



## Travis County Commissioners Court Voting Session Agenda Request

**Meeting Date:**

July 18, 2017

**Agenda Language:**

Approve a proclamation recognizing July 16-22, 2017, as "Pretrial, Probation, and Parole Supervision Week".

**Prepared By/Phone Number:**

Cynthia Rodriguez, Adult Probation, 512-854-4608

**Elected/Appointed Official or Department Head:**

Roger Jefferies, County Executive, Justice and Public Safety; Rudy Perez Jr., Director, Adult Probation

**Commissioners Court Sponsor(s):**

Commissioner Gerald Daugherty, Precinct 3 and Commissioner Margaret Gomez, Precinct 4

**Background/Summary of Request and Attachments:**

The week of July 16 through July 22, 2017, is Pretrial, Probation, and Parole Supervision Week in the United States of America and Canada. Since 2011, Travis County Justice Planning has proudly sponsored the event in Travis County. We ask the Travis County Commissioners' Court to join us in acknowledging the dedication and hard work of our community supervision colleagues.

**Staff Recommendations:**

N/A

**Issues and Opportunities:**

N/A

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.

**Fiscal Impact and Source of Funding:**

N/A

**Required Authorizations:**

N/A

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.

**PROCLAMATION**  
**Pretrial, Probation and Parole Supervision Week**

**WHEREAS**, Travis County Pretrial Services, Probation and Parole professionals are an essential part of the justice system; they are professionals who uphold the law with dignity, serve the Courts; and recognize the right of the public to be safe-guarded from criminal activity; and

**WHEREAS**, Travis County Pretrial Services, Probation and Parole professionals are responsible for supervising adult and juvenile clients in the community; they advocate community and restorative justice while supporting the vision and mission of their departments; and

**WHEREAS**, Travis County Pretrial Services, Probation and Parole professionals assist the Courts in making fair decisions regarding pretrial release, treatment, sentencing and supervision by providing objective investigations, reports and recommendations; and

**WHEREAS**, Travis County Pretrial Services, Probation and Parole professionals are trained professionals who provide services and referrals to clients, work in partnership with community agencies and groups; they promote prevention, intervention and advocacy;

**WHEREAS**, Travis County Pretrial Services, Probation and Parole professionals provide services, support, and protection for victims, and

**WHEREAS**, Travis County Pretrial Services, Probation and Parole professionals are a true Force for Positive Change in their communities.

**NOW THEREFORE, BE IT RESOVLED**, on the 18<sup>th</sup> day of July, Travis County Commissioners Court, does proclaim July 16-22, 2017, Pretrial, Probation and Parole Supervision Week and encourage all citizens to honor these community corrections professionals and to recognize their achievements.

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**SARAH ECKHARDT**  
**County Judge**

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**JEFF TRAVILLION**  
**County Commissioner, Pct. 1**

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**GERALD DAUGHERTY**  
**County Commissioner, Pct. 3**

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**BRIGID SHEA**  
**County Commissioner, Pct. 2**

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**MARGARET J. GÓMEZ**  
**County Commissioner, Pct. 4**



## Travis County Commissioners Court Agenda Request

**Meeting Date:** July 18, 2017

**Agenda language:**

Approve proclamation recognizing July 18, 2017 as "Bank of America Student Leaders Day" in Travis County.

**Prepared By/Phone Number:** Caitlin Brown, 512-854-4857

**Elected/Appointed Official/Dept. Head:** Commissioner Jeffrey W. Travillion, Pct. 1

**Commissioners Court Sponsor:** Commissioner Jeffrey W. Travillion, Pct. 1

**Background/summary of request and attachments:**

The five Student Leaders, along with representatives from Communities in Schools, will be on hand to comment on the valuable work being done through this summer internship program.

**Staff recommendations:**

Recommend Approval.

**Issues and opportunities:**

N/A

**Fiscal impact and source of funding:**

N/A

**Required authorizations:**

N/A

## *Travis County Commissioners Court*



# *Proclamation*

- WHEREAS,** The vitality and prosperity of our region, and our country as a whole, rests in the hands of our young people; and
- WHEREAS,** To ensure that communities continue to grow and address the challenges facing them, we must help our youth gain the skills they will need to lead for years to come; and
- WHEREAS,** Every year, Bank of America invests in our youth through the Student Leaders program. This program awards hundreds of young people the opportunity to serve their communities while practicing the skills they will need as the future leaders of our country; and
- WHEREAS,** In Travis County, five outstanding young people are awarded the opportunity to participate in the Student Leaders program every summer; and
- WHEREAS,** These five young people positively contribute to their schools and communities in many ways; serving as academic and moral role models, leading in athletics, the arts, and the fields of politics and STEM, demonstrating their commitment to service in over 1,500 combined volunteer hours, and contributing to the work of Communities In Schools, a nonprofit organization that supports at-risk students; and
- WHEREAS,** Throughout their time with Communities In Schools, the Student Leaders have provided children in Travis County with safe and enriching summer experiences, networked with hundreds of accomplished young people in the District of Columbia, and assisted with multiple projects to build capacity within the agency. They are exceptional representatives of Travis County and are sure to go on to better our region, and our country as a whole; and
- WHEREAS,** We congratulate the 2017 Bank of America Student Leaders on their outstanding accomplishments and wish them well as they continue to leverage their leadership abilities for the betterment of society, and we recognize Bank of America for their continued investment in our youth;

NOW, THEREFORE, WE, THE MEMBERS OF THE TRAVIS COUNTY COMMISSIONERS COURT, DO HEREBY PROCLAIM JULY 18, 2017 AS:

**“BANK OF AMERICA STUDENT LEADERS DAY”**

IN TRAVIS COUNTY AND ENCOURAGE ALL TRAVIS COUNTY RESIDENTS TO JOIN US IN THANKING THESE EXCEPTIONAL YOUNG PEOPLE FOR THEIR LEADERSHIP IN OUR COMMUNITY.

SIGNED AND ENTERED THIS 18TH DAY OF JULY 2017.

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SARAH ECKHARDT  
COUNTY JUDGE

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JEFFREY W. TRAVILLION, SR.  
COMMISSIONER, PRECINCT 1

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BRIGID SHEA  
COMMISSIONER, PRECINCT 2

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GERALD DAUGHERTY  
COMMISSIONER, PRECINCT 3

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MARGARET J. GOMEZ  
COMMISSIONER, PRECINCT 4



## Travis County Commissioners Court Agenda Request

**Meeting Date:** July 18, 2017

**Prepared By/Phone Number:** Geri Castaneda/854-1754

**Elected/Appointed Official/Dept. Head:** Bonnie Floyd, Purchasing Office

**Commissioners Court Sponsor:** Commissioner Travillion

**Agenda Language:** Receive Bids from County Purchasing Agent

### **Background/Summary of Request and Attachments:**

As per LGC 262.027- the officer in charge of opening bids shall present them to the Commissioner Court in session. See attached summary information of Bid(s) sent to County Clerk for inspection by anyone desiring to see them until the first anniversary of the date of opening. Opened bids are subject to disclosure under Chapter 552 of the Government Code.

### **Staff Recommendations:**

Accept bid(s).

### **Issues and Opportunities:**

N/A

### **Fiscal Impact and Source of Funding:**

N/A



# TRAVIS COUNTY PURCHASING OFFICE

*Bonnie S. Floyd, MBA, CPPO, CPPB, Purchasing Agent*

P.O. Box 1748, Austin, Texas 78767 (512) 854-9700 Fax (512) 854-9185

## MEMORANDUM

TO: Robert Resnick  
Commissioners Court Minutes

FROM: Geri Castaneda  
Purchasing Office

DATE: July 10, 2017

SUBJECT: **BIDS FOR VOTING SESSION JULY 18, 2017**

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No bids were scheduled for the week of July 3, 2017.

Please call ext. 41754, if any questions arise on this matter.

Thank you.

/CME



## **Travis County Commissioners Court Voting Session Agenda Request**

**Meeting Date:** July 18, 2017

**Agenda Language:**

Approve payment of claims by the County Treasurer.

**Prepared By/Phone Number:** Mike Crawford / 854-6909

**Elected/Appointed Official or Department Head:** Nicki Riley

**Commissioners Court Sponsor(s):** Judge Sarah Eckhardt

**Background/Summary of Request and Attachments:**

As per LGC 115.021, claims that have been audited and approved by Travis County Auditor staff must also be approved by the Commissioners Court prior to their release. See attached summary information of claims needing Commissioners Court approval. Detailed claims information may be obtained from Travis County Auditor staff.

**Staff Recommendations:**

Approve payment of claims.

**Issues and Opportunities:**

N/A

**Fiscal Impact and Source of Funding:**

See attached summary.

**Required Authorizations:**

Melinda Grahmann - Chief Assistant II, County Auditor  
Judge Sarah Eckhardt

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.

TRAVIS COUNTY  
AUDITOR'S OFFICE

NICKI RILEY, CPA  
COUNTY AUDITOR



TRAVIS COUNTY  
ADMINISTRATION BUILDING  
P.O. BOX 1748  
AUSTIN, TX. 78767  
(512) 854-9125  
FAX: (512) 854-9128

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DATE: July 14, 2017  
TO: Travis County Commissioners Court Agenda  
FROM: Travis County Auditor  
RE: Tabulated report on claims for 7/18/17 C2 Agenda item

Pursuant to LGC 114.024, the below tabulated summary of claims against the county requesting disbursement has been audited according to statutes, are funded by approved budgets and approved by authorized Travis County Auditor staff. The Travis County Auditor is seeking Commissioners Court approval to authorize the County Treasurer to pay these claims, as per LGC 115.021.

| <u>FUND</u>                     | <u>CHECK NUMBERS/EFT</u> | <u>AMOUNT</u>  |
|---------------------------------|--------------------------|----------------|
| Operating                       | Checks                   | \$1,678,364.80 |
| General Fund                    | EFT's/Wires              | \$1,320,643.18 |
| Other Funds<br>(except Rd Dist) | Checks                   | \$2,106,136.80 |
|                                 | EFT's/Wires              | \$7,209,487.45 |

**Subtotal** **\$12,314,632.23**

Informational only

|                        |                                  |
|------------------------|----------------------------------|
| <u>Payroll</u>         | <u>Revised Estimate for July</u> |
| Checks                 | \$658,419.21                     |
| Direct Deposit         | \$16,876,490.00                  |
| Payroll Liabilities    | \$7,036,908.71                   |
| IRS Tax Payment        | \$6,928,182.08                   |
| Additional CSCD payout | \$520,000.00                     |

**Subtotal** **\$32,020,000.00**

**GRAND TOTAL** **\$44,334,632.23**

| PaymentDt | Vendor   | Name 1                    | Payment    | Amnt Paid |
|-----------|----------|---------------------------|------------|-----------|
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556801 | 244.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556802 | 375.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556803 | 397.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556804 | 260.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556805 | 502.86    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556806 | 385.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556807 | 646.64    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556808 | 19.00     |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556809 | 291.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556810 | 388.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556811 | 220.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556812 | 319.61    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556813 | 328.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556814 | 386.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556815 | 160.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556816 | 200.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556817 | 373.22    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556818 | 537.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556819 | 564.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556820 | 268.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556821 | 162.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556822 | 100.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556823 | 233.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556824 | 650.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556825 | 287.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556826 | 210.60    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556827 | 567.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556828 | 450.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556829 | 401.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556830 | 400.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556831 | 28.50     |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556832 | 200.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556833 | 334.15    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556834 | 162.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556835 | 386.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556836 | 544.50    |
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| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556838 | 325.00    |
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| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556844 | 235.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556845 | 342.25    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556846 | 283.34    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556847 | 104.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556848 | 450.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556849 | 185.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556850 | 225.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556851 | 486.50    |

| PaymentDt | Vendor   | Name 1                    | Payment    | Amnt Paid |
|-----------|----------|---------------------------|------------|-----------|
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556852 | 336.00    |
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| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556854 | 146.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556855 | 263.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556856 | 175.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556857 | 750.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556858 | 384.64    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556859 | 377.25    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556860 | 200.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556861 | 305.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556862 | 359.46    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556863 | 175.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556864 | 337.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556865 | 225.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556866 | 265.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556867 | 355.09    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556868 | 337.00    |
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| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556870 | 303.00    |
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| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556874 | 137.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556875 | 300.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556876 | 255.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556877 | 436.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556878 | 400.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556879 | 58.50     |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556880 | 273.80    |
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| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556883 | 300.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556884 | 300.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556885 | 327.50    |
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| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556888 | 252.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556889 | 350.27    |
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| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556891 | 587.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556892 | 350.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556893 | 341.85    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556894 | 405.80    |
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| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556897 | 318.00    |
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| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556899 | 293.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556900 | 145.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556901 | 225.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556902 | 150.00    |

| PaymentDt | Vendor   | Name 1                    | Payment    | Amnt Paid |
|-----------|----------|---------------------------|------------|-----------|
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556903 | 419.26    |
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| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556905 | 299.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556906 | 175.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556907 | 151.72    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556908 | 277.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556909 | 287.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556910 | 297.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556911 | 446.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556912 | 373.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556913 | 381.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556914 | 25.00     |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556915 | 518.76    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556916 | 260.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556917 | 300.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556918 | 450.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556919 | 192.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556920 | 192.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556921 | 257.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556922 | 348.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556923 | 350.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556924 | 309.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556925 | 419.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556926 | 305.96    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556927 | 847.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556928 | 175.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556929 | 377.99    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556930 | 350.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556931 | 376.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556932 | 200.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556933 | 36.50     |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556934 | 50.50     |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556935 | 228.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556936 | 295.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556937 | 442.38    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556938 | 287.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556939 | 185.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556940 | 41.50     |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556941 | 157.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556942 | 265.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556943 | 215.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556944 | 155.90    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556945 | 250.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556946 | 276.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556947 | 369.55    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556948 | 350.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556949 | 140.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556950 | 300.83    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556951 | 381.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556952 | 350.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556953 | 181.92    |

| PaymentDt | Vendor   | Name 1                    | Payment    | Amnt Paid |
|-----------|----------|---------------------------|------------|-----------|
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556954 | 301.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556955 | 189.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556956 | 150.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556957 | 357.81    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556958 | 220.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556959 | 197.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556960 | 129.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556961 | 15.00     |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556962 | 358.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556963 | 257.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556964 | 713.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556965 | 227.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556966 | 172.71    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556967 | 164.71    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556968 | 126.70    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556969 | 450.24    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556970 | 225.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556971 | 201.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556972 | 320.71    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556973 | 350.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556974 | 175.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556975 | 291.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556976 | 129.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556977 | 135.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556978 | 717.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556979 | 375.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556980 | 300.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556981 | 299.25    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556982 | 300.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556983 | 502.39    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556984 | 282.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556985 | 607.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556986 | 296.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556987 | 582.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556988 | 234.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556989 | 150.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556990 | 150.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556991 | 382.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556992 | 133.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556993 | 162.70    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556994 | 226.11    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556995 | 367.50    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556996 | 250.00    |
| 7/12/2017 | CH001000 | ATTORNEY GENERAL OF TEXAS | 2000556997 | 467.50    |
| 7/12/2017 | BA002000 | LANGEHENNIG, DEBORAH B    | 2000557002 | 1,395.00  |
| 7/12/2017 | BA002000 | LANGEHENNIG, DEBORAH B    | 2000557003 | 1,172.50  |
| 7/12/2017 | BA002000 | LANGEHENNIG, DEBORAH B    | 2000557004 | 350.00    |
| 7/12/2017 | BA002000 | LANGEHENNIG, DEBORAH B    | 2000557005 | 193.75    |
| 7/12/2017 | BA002000 | LANGEHENNIG, DEBORAH B    | 2000557006 | 767.50    |
| 7/12/2017 | BA002000 | LANGEHENNIG, DEBORAH B    | 2000557007 | 521.00    |
| 7/12/2017 | BA002000 | LANGEHENNIG, DEBORAH B    | 2000557008 | 720.00    |

| PaymentDt | Vendor   | Name 1                             | Payment    | Amnt Paid  |
|-----------|----------|------------------------------------|------------|------------|
| 7/12/2017 | BA002000 | LANGEHENNIG, DEBORAH B             | 2000557009 | 390.00     |
| 7/12/2017 | BA002000 | LANGEHENNIG, DEBORAH B             | 2000557010 | 980.00     |
| 7/12/2017 | BA002000 | LANGEHENNIG, DEBORAH B             | 2000557011 | 383.35     |
| 7/12/2017 | BA002000 | LANGEHENNIG, DEBORAH B             | 2000557012 | 800.00     |
| 7/12/2017 | BA002001 | MARY K VIEGELAHN TRUSTEE           | 2000557013 | 447.00     |
| 7/12/2017 | CH001001 | CHILD SUPPORT SERVICES ORS         | 2000557014 | 313.82     |
| 7/12/2017 | CH001006 | STATE DISBURSEMENT UNIT            | 2000557015 | 65.00      |
| 7/12/2017 | CH001006 | STATE DISBURSEMENT UNIT            | 2000557016 | 70.00      |
| 7/12/2017 | CH001006 | STATE DISBURSEMENT UNIT            | 2000557017 | 124.80     |
| 7/12/2017 | CH001006 | STATE DISBURSEMENT UNIT            | 2000557018 | 144.00     |
| 7/12/2017 | CH001010 | CALIFORNIA STATE DISBURSEMENT UNIT | 2000557019 | 212.00     |
| 7/12/2017 | CH001010 | CALIFORNIA STATE DISBURSEMENT UNIT | 2000557020 | 667.50     |
| 7/12/2017 | CH001010 | CALIFORNIA STATE DISBURSEMENT UNIT | 2000557021 | 175.00     |
| 7/12/2017 | CH001017 | NC CHILD SUPPORT                   | 2000557022 | 266.50     |
| 7/12/2017 | CH001027 | GEORGIA DEPT OF HUMAN SVCS         | 2000557023 | 245.54     |
| 7/12/2017 | CH001030 | FLORIDA DEPARTMENT OF REVENUE      | 2000557024 | 650.00     |
| 7/12/2017 | CH001033 | WISCONSIN SCTF                     | 2000557025 | 156.50     |
| 7/12/2017 | CH001033 | WISCONSIN SCTF                     | 2000557026 | 100.00     |
| 7/12/2017 | CH001033 | WISCONSIN SCTF                     | 2000557027 | 180.00     |
| 7/12/2017 | CH001033 | WISCONSIN SCTF                     | 2000557028 | 25.00      |
| 7/12/2017 | CH001033 | WISCONSIN SCTF                     | 2000557029 | 184.50     |
| 7/12/2017 | CH001035 | NYS CHILD SUPPORT PROCESSING CENT  | 2000557030 | 402.66     |
| 7/12/2017 | CH001036 | NEW MEXICO CHILD SUPPORT ENFORCE   | 2000557031 | 2,777.36   |
| 7/12/2017 | FD005000 | SOCIAL SECURITY ADMINISTRATION     | 2000557032 | 50.00      |
| 7/12/2017 | FD005001 | INTERNAL REVENUE SERVICE           | 2000557033 | 50.00      |
| 7/12/2017 | FD005001 | INTERNAL REVENUE SERVICE           | 2000557034 | 57.00      |
| 7/12/2017 | FD005001 | INTERNAL REVENUE SERVICE           | 2000557035 | 55.00      |
| 7/12/2017 | FD005002 | DEPT OF TREASURY FMS               | 2000557036 | 151.59     |
| 7/12/2017 | FD005002 | DEPT OF TREASURY FMS               | 2000557037 | 243.02     |
| 7/12/2017 | PR006000 | CIGNA LINA                         | 2000557038 | 247,739.46 |
| 7/12/2017 | PR006005 | TRAVIS COUNTY SHERIFFS LAW         | 2000557039 | 15,440.13  |
| 7/12/2017 | PR006008 | TRAVIS COUNTY SHERIFF OFFICERS     | 2000557040 | 10,887.95  |
| 7/12/2017 | PR006009 | AMERICAN FEDERATION OF STATE       | 2000557041 | 13,202.41  |
| 7/12/2017 | PR006011 | ASSURANT EMPLOYEE BENEFITS         | 2000557042 | 150,190.15 |
| 7/12/2017 | PR006020 | UNITED WAY FOR GREATER AUSTIN      | 2000557043 | 2,079.96   |
| 7/12/2017 | PR006021 | DAVIS VISION INC                   | 2000557044 | 12,226.85  |
| 7/12/2017 | PR006022 | SHERIFF'S MEMORIAL AND BENOVOLENT  | 2000557045 | 595.32     |
| 7/12/2017 | PR006023 | UNITEDHEALTHCARE INSURANCE COMP/   | 2000557046 | 37,845.00  |
| 7/12/2017 | SL003000 | TEXAS GUARANTEED STUDENT LOAN CO   | 2000557047 | 277.70     |
| 7/12/2017 | SL003000 | TEXAS GUARANTEED STUDENT LOAN CO   | 2000557048 | 270.78     |
| 7/12/2017 | SL003000 | TEXAS GUARANTEED STUDENT LOAN CO   | 2000557049 | 446.17     |
| 7/12/2017 | SL003000 | TEXAS GUARANTEED STUDENT LOAN CO   | 2000557050 | 221.12     |
| 7/12/2017 | SL003000 | TEXAS GUARANTEED STUDENT LOAN CO   | 2000557051 | 222.91     |
| 7/12/2017 | SL003000 | TEXAS GUARANTEED STUDENT LOAN CO   | 2000557052 | 203.77     |
| 7/12/2017 | SL003000 | TEXAS GUARANTEED STUDENT LOAN CO   | 2000557053 | 175.64     |
| 7/12/2017 | SL003000 | TEXAS GUARANTEED STUDENT LOAN CO   | 2000557054 | 250.04     |
| 7/12/2017 | SL003000 | TEXAS GUARANTEED STUDENT LOAN CO   | 2000557055 | 183.63     |
| 7/12/2017 | SL003000 | TEXAS GUARANTEED STUDENT LOAN CO   | 2000557056 | 269.36     |
| 7/12/2017 | SL003000 | TEXAS GUARANTEED STUDENT LOAN CO   | 2000557057 | 221.88     |
| 7/12/2017 | SL003000 | TEXAS GUARANTEED STUDENT LOAN CO   | 2000557058 | 66.88      |
| 7/12/2017 | SL003001 | UNITED STATES DEPT OF EDUCATION    | 2000557059 | 252.31     |

| PaymentDt | Vendor     | Name 1                              | Payment    | Amnt Paid    |
|-----------|------------|-------------------------------------|------------|--------------|
| 7/12/2017 | SL003001   | UNITED STATES DEPT OF EDUCATION     | 2000557060 | 227.40       |
| 7/12/2017 | SL003001   | UNITED STATES DEPT OF EDUCATION     | 2000557061 | 204.75       |
| 7/12/2017 | SL003001   | UNITED STATES DEPT OF EDUCATION     | 2000557062 | 321.04       |
| 7/12/2017 | SL003001   | UNITED STATES DEPT OF EDUCATION     | 2000557063 | 172.96       |
| 7/12/2017 | SL003002   | PREMIERE CREDIT OF NORTH AMERICA    | 2000557064 | 113.11       |
| 7/12/2017 | SL003012   | Performant Recovery Inc             | 2000557065 | 275.45       |
| 7/12/2017 | SL003012   | Performant Recovery Inc             | 2000557066 | 150.83       |
| 7/12/2017 | SL003013   | Great Lakes Higher Education Guaran | 2000557067 | 332.77       |
| 7/12/2017 | SL003014   | NSLP                                | 2000557068 | 174.86       |
| 7/12/2017 | PR006016   | EMPOWER RETIREMENT                  | 2000557069 | 225,755.97   |
| 7/12/2017 | PR006007   | FRATERNAL ORDER OF POLICE           | 2000556998 | 158.48       |
| 7/12/2017 | PR006012   | CSCD BENEFIT PAYMENT                | 2000556999 | 45,494.69    |
| 7/12/2017 | PR006014   | TEXAS COUNTY AND DISTRICT           | 2000557000 | 5,508,165.63 |
| 7/12/2017 | PR006015   | TRAVIS COUNTY EMPLOYEES CREDIT      | 2000557001 | 78,351.79    |
| 7/14/2017 | 1000000352 | JPMORGAN CHASE BANK NA              | 2000557441 | 2,477.85     |
| 7/14/2017 | 10002055   | BETTY JEAN VAN ZANDT                | 2000558230 | 57.86        |
| 7/14/2017 | 10003487   | SARA A RUNGE                        | 2000558231 | 150.96       |
| 7/14/2017 | 10009617   | SONIA COYLE                         | 2000558232 | 31.04        |
| 7/14/2017 | 10012945   | TREVOR ANDREW RIDER PERKINS         | 2000558233 | 39.06        |
| 7/14/2017 | 10014748   | ANN THERESE HENSON                  | 2000558234 | 38.52        |
| 7/14/2017 | 10014900   | EMILY LAUREN MENSCH                 | 2000558235 | 136.43       |
| 7/14/2017 | 1000000006 | XEROX CORPORATION                   | 2000558236 | 43,214.18    |
| 7/14/2017 | 1000000017 | THE PEAVEY CORPORATION              | 2000558237 | 263.35       |
| 7/14/2017 | 1000000055 | AUSTIN TYPEWRITER AND COMPUTER IN   | 2000558238 | 109.14       |
| 7/14/2017 | 1000000069 | B D HOLT CO                         | 2000558239 | 49.18        |
| 7/14/2017 | 1000000093 | PATTISON AND SONS INC               | 2000558240 | 5,225.17     |
| 7/14/2017 | 1000000139 | MARTINEZ, FERNANDO                  | 2000558241 | 1,600.00     |
| 7/14/2017 | 1000000142 | ROSEN, ERIC                         | 2000558242 | 525.00       |
| 7/14/2017 | 1000000147 | MORGAN, CHRISTOPHER P               | 2000558243 | 2,425.00     |
| 7/14/2017 | 1000000149 | WISSER, EVA SUE                     | 2000558244 | 1,100.00     |
| 7/14/2017 | 1000000154 | FOX, BRUCE S                        | 2000558245 | 300.00       |
| 7/14/2017 | 1000000168 | ICHON, LAURA P                      | 2000558246 | 120.00       |
| 7/14/2017 | 1000000170 | POSTMASTER                          | 2000558247 | 1,656.00     |
| 7/14/2017 | 1000000195 | CALLAHANS GENERAL STORE INC         | 2000558248 | 139.00       |
| 7/14/2017 | 1000000196 | COTHRONS SAFE AND LOCK INC          | 2000558249 | 1,273.00     |
| 7/14/2017 | 1000000207 | CENTRAL TEXAS FOOD BANK             | 2000558250 | 21,674.85    |
| 7/14/2017 | 1000000212 | KING TEARS MORTUARY INC             | 2000558251 | 1,200.00     |
| 7/14/2017 | 1000000216 | PEDERNALES ELECTRIC COOPERATIVE II  | 2000558252 | 14,219.54    |
| 7/14/2017 | 1000000220 | TRAVIS COUNTY WATER CONTROL AND     | 2000558253 | 5,361.67     |
| 7/14/2017 | 1000000222 | MANVILLE WATER SUPPLY CORP          | 2000558254 | 4,548.58     |
| 7/14/2017 | 1000000227 | HELPING THE AGING NEEDY AND         | 2000558255 | 1,904.08     |
| 7/14/2017 | 1000000228 | RAMEY DDS, HOWARD A                 | 2000558256 | 200.00       |
| 7/14/2017 | 1000000230 | BLUEBONNET ELECTRIC COOPERATIVE II  | 2000558257 | 397.69       |
| 7/14/2017 | 1000000235 | HAMILTON ELECTRIC WORKS INC         | 2000558258 | 770.57       |
| 7/14/2017 | 1000000245 | Federal Express Corporation         | 2000558259 | 469.19       |
| 7/14/2017 | 1000000248 | TIBH INDUSTRIES INC                 | 2000558260 | 16,045.13    |
| 7/14/2017 | 1000000254 | SHERWIN WILLIAMS                    | 2000558261 | 344.14       |
| 7/14/2017 | 1000000255 | JOHN A BARCLAY AGENCY               | 2000558262 | 71.00        |
| 7/14/2017 | 1000000280 | DISPUTE RESOLUTION CENTER           | 2000558263 | 39,234.50    |
| 7/14/2017 | 1000000294 | FORESTRY SUPPLIERS INC              | 2000558264 | 890.89       |
| 7/14/2017 | 1000000299 | UNITED PARCEL SERVICE OF AMERICA    | 2000558265 | 1,240.16     |

| PaymentDt | Vendor     | Name 1                             | Payment    | Amnt Paid  |
|-----------|------------|------------------------------------|------------|------------|
| 7/14/2017 | 1000000312 | MOTOROLA SOLUTIONS INC             | 2000558266 | 397.60     |
| 7/14/2017 | 1000000332 | TEXAS DISTRICT AND COUNTY ATTORNE  | 2000558267 | 650.00     |
| 7/14/2017 | 1000000361 | GREATER AUSTIN TRANSPORTATION      | 2000558268 | 76.50      |
| 7/14/2017 | 1000000362 | CRUMLEY, CAROLE HOWARD             | 2000558269 | 343.00     |
| 7/14/2017 | 1000000428 | CITY OF LAGO VISTA                 | 2000558270 | 59.92      |
| 7/14/2017 | 1000000504 | AUSTIN COMMUNITY COLLEGE           | 2000558271 | 3,250.00   |
| 7/14/2017 | 1000000519 | MITCHELL ADDING MACHINE COMPANY IN | 2000558272 | 145.00     |
| 7/14/2017 | 1000000530 | GEORGE V PARKER                    | 2000558273 | 4,320.00   |
| 7/14/2017 | 1000000583 | MCCOYS BUILDING SUPPY CENTER       | 2000558274 | 127.74     |
| 7/14/2017 | 1000000597 | GARFIELD WATER SUPPLY CORPORATIO   | 2000558275 | 1,501.11   |
| 7/14/2017 | 1000000602 | CAPITOL SCIENTIFIC INC             | 2000558276 | 1,695.98   |
| 7/14/2017 | 1000000648 | TEXAS DEPARTMENT OF PUBLIC SAFETY  | 2000558277 | 116.00     |
| 7/14/2017 | 1000000666 | GULF COAST TRADES CENTER           | 2000558278 | 31,168.24  |
| 7/14/2017 | 1000000669 | FLEET MAINTENANCE OF TEXAS         | 2000558279 | 494.80     |
| 7/14/2017 | 1000000674 | STATE COMPTROLLER                  | 2000558280 | 17,849.02  |
| 7/14/2017 | 1000000709 | APPLE INC                          | 2000558281 | 707,270.65 |
| 7/14/2017 | 1000000755 | DOMINQUEZ, FRANK                   | 2000558282 | 343.00     |
| 7/14/2017 | 1000000844 | BAUDVILLE INC                      | 2000558283 | 55.25      |
| 7/14/2017 | 1000000863 | WILBARGER COUNTY CLERK             | 2000558284 | 1,430.00   |
| 7/14/2017 | 1000000871 | HOME DEPOT U.S.A., INC.            | 2000558285 | 188.57     |
| 7/14/2017 | 1000000921 | PHIPPS PC, DREW                    | 2000558286 | 3,175.00   |
| 7/14/2017 | 1000000926 | RUSSELL, WADE H.                   | 2000558287 | 1,625.00   |
| 7/14/2017 | 1000000994 | AUSTIN CHRONICLE CORP              | 2000558288 | 275.00     |
| 7/14/2017 | 1000001105 | EMMIS AUSTIN RADIO BROADCASTING    | 2000558289 | 20,000.00  |
| 7/14/2017 | 1000001109 | AMERICAN NATIONAL RED CROSS        | 2000558290 | 1,944.00   |
| 7/14/2017 | 1000001180 | VERITIV OPERATING COMPANY          | 2000558291 | 869.55     |
| 7/14/2017 | 1000001203 | NATIONAL ASSOCIATION OF PRETRIAL   | 2000558292 | 65.00      |
| 7/14/2017 | 1000001224 | DISABILITY RIGHTS TEXAS            | 2000558293 | 1,252.50   |
| 7/14/2017 | 1000001278 | MALORIC SERVICES INC               | 2000558294 | 30.00      |
| 7/14/2017 | 1000001374 | NESTLE WATERS NORTH AMERICA        | 2000558295 | 324.09     |
| 7/14/2017 | 1000001440 | BASTROP SCALE CO., INC.            | 2000558296 | 250.00     |
| 7/14/2017 | 1000001467 | MEALS ON WHEELS AND MORE INC       | 2000558297 | 25,873.00  |
| 7/14/2017 | 1000001496 | MANAGEMENT CONCEPTS INC            | 2000558298 | 899.00     |
| 7/14/2017 | 1000001522 | NORTHTOWN MUNICIPAL UTILITY        | 2000558299 | 112.67     |
| 7/14/2017 | 1000001568 | MILES, BETTY A                     | 2000558300 | 530.00     |
| 7/14/2017 | 1000001633 | ULINE INC                          | 2000558301 | 1.68       |
| 7/14/2017 | 1000001633 | ULINE INC                          | 2000558302 | 789.32     |
| 7/14/2017 | 1000001671 | ERGON ASPHALT & EMULSIONS INC      | 2000558303 | 97,163.82  |
| 7/14/2017 | 1000001722 | DRIESSEN WATER INC                 | 2000558304 | 305.00     |
| 7/14/2017 | 1000001805 | SMITH, ROBERT R                    | 2000558305 | 100.00     |
| 7/14/2017 | 1000001810 | Z NON ELECTRIC INC                 | 2000558306 | 33,241.38  |
| 7/14/2017 | 1000001833 | RDO EQUIPMENT COMPANY              | 2000558307 | 383.27     |
| 7/14/2017 | 1000001839 | TONG, HY                           | 2000558308 | 418.00     |
| 7/14/2017 | 1000001855 | COMAL COUNTY                       | 2000558309 | 5,708.80   |
| 7/14/2017 | 1000001857 | HALASZ, LESLIE J                   | 2000558310 | 2,225.00   |
| 7/14/2017 | 1000001875 | BROWNING, WILLIAM JACK             | 2000558311 | 2,325.00   |
| 7/14/2017 | 1000001876 | HARRIS JR, ROBERT T                | 2000558312 | 1,025.00   |
| 7/14/2017 | 1000001885 | AUSTIN TRAVIS COUNTY MHMR CENTER   | 2000558313 | 48,821.55  |
| 7/14/2017 | 1000001898 | THIRD COURT OF APPEALS             | 2000558314 | 10,059.63  |
| 7/14/2017 | 1000001908 | LUSK AND EVANS                     | 2000558315 | 700.00     |
| 7/14/2017 | 1000001910 | SOUTHWEST SOLUTIONS GROUP INC      | 2000558316 | 580.00     |

| PaymentDt | Vendor     | Name 1                              | Payment    | Amnt Paid |
|-----------|------------|-------------------------------------|------------|-----------|
| 7/14/2017 | 1000001915 | BERNARD, BRIAN                      | 2000558317 | 700.00    |
| 7/14/2017 | 1000001929 | KIMCO SERVICES INC                  | 2000558318 | 4,995.00  |
| 7/14/2017 | 1000001934 | SCI TEXAS FUNERAL SERVICES INC      | 2000558319 | 1,200.00  |
| 7/14/2017 | 1000001948 | EWING IRRIGATION                    | 2000558320 | 12.01     |
| 7/14/2017 | 1000001980 | QUILL CORPORATION                   | 2000558321 | 903.00    |
| 7/14/2017 | 1000002021 | AFFILIATED TELEPHONE AUSTIN INC     | 2000558322 | 3,161.40  |
| 7/14/2017 | 1000002025 | GUTZKE, SUSAN L                     | 2000558323 | 800.00    |
| 7/14/2017 | 1000002032 | JOHN GASPARINI INC                  | 2000558324 | 1,665.10  |
| 7/14/2017 | 1000002032 | JOHN GASPARINI INC                  | 2000558325 | 130.61    |
| 7/14/2017 | 1000002040 | YOUTH AND FAMILY ALLIANCE           | 2000558326 | 259.06    |
| 7/14/2017 | 1000002054 | KONICA MINOLTA BUSINESS SOLUTIONS   | 2000558327 | 6,814.13  |
| 7/14/2017 | 1000002069 | CIRKIEL, MARTIN J                   | 2000558328 | 550.00    |
| 7/14/2017 | 1000002076 | HULL SUPPLY CO INC                  | 2000558329 | 182.10    |
| 7/14/2017 | 1000002116 | JAMAR TECHNOLOGIES INC              | 2000558330 | 1,596.00  |
| 7/14/2017 | 1000002136 | PUBLIC RISK MANAGEMENT ASSOCIATIOI  | 2000558331 | 385.00    |
| 7/14/2017 | 1000002152 | HOLGATE, WILLARD GEORGE             | 2000558332 | 550.00    |
| 7/14/2017 | 1000002180 | BRINSON MD PA, CYNTHIA              | 2000558333 | 5,000.00  |
| 7/14/2017 | 1000002184 | ENCOTECH ENGINEERING CONSULTANT     | 2000558334 | 3,325.00  |
| 7/14/2017 | 1000002308 | ICENHAUER RAMEREZ, LINDA            | 2000558335 | 3,335.00  |
| 7/14/2017 | 1000002463 | TAYLOR, JOE F                       | 2000558336 | 1,425.00  |
| 7/14/2017 | 1000002467 | Lowes Home Centers Inc.             | 2000558337 | 527.43    |
| 7/14/2017 | 1000002504 | INFORMATION MANAGEMENT SOLUTIONS    | 2000558338 | 3,431.33  |
| 7/14/2017 | 1000002504 | INFORMATION MANAGEMENT SOLUTIONS    | 2000558339 | 495.65    |
| 7/14/2017 | 1000002541 | CERDA, HECTOR                       | 2000558340 | 99.00     |
| 7/14/2017 | 1000002630 | SHI GOVERNMENT SOLUTIONS            | 2000558341 | 119.65    |
| 7/14/2017 | 1000002744 | HAWKINS ASSOCIATES INC              | 2000558342 | 493.35    |
| 7/14/2017 | 1000002772 | PATHWAYS COMMUNITY SUPPORT OF TX    | 2000558343 | 385.00    |
| 7/14/2017 | 1000002776 | TXU ENERGY ASSISTANCE GROUP         | 2000558344 | 1,757.77  |
| 7/14/2017 | 1000002822 | 4 IMPRINT INC                       | 2000558345 | 218.20    |
| 7/14/2017 | 1000002887 | INTERSTATE BATTERIES                | 2000558346 | 52.99     |
| 7/14/2017 | 1000003077 | LANGUAGE LINE SERVICES INC          | 2000558347 | 2,701.24  |
| 7/14/2017 | 1000003120 | AUSTIN FLAG AND FLAGPOLE INC        | 2000558348 | 789.20    |
| 7/14/2017 | 1000003172 | ENCORE HOUSE LLC                    | 2000558349 | 343.00    |
| 7/14/2017 | 1000003175 | VERIZON WIRELESS SERVICES LLC       | 2000558350 | 115.34    |
| 7/14/2017 | 1000003175 | VERIZON WIRELESS SERVICES LLC       | 2000558351 | 37.99     |
| 7/14/2017 | 1000003175 | VERIZON WIRELESS SERVICES LLC       | 2000558352 | 379.92    |
| 7/14/2017 | 1000003175 | VERIZON WIRELESS SERVICES LLC       | 2000558353 | 169.16    |
| 7/14/2017 | 1000003175 | VERIZON WIRELESS SERVICES LLC       | 2000558354 | 64.03     |
| 7/14/2017 | 1000003175 | VERIZON WIRELESS SERVICES LLC       | 2000558355 | 102.92    |
| 7/14/2017 | 1000003175 | VERIZON WIRELESS SERVICES LLC       | 2000558356 | 151.96    |
| 7/14/2017 | 1000003219 | DENTRUST DENTAL TEXAS PC            | 2000558357 | 18,775.68 |
| 7/14/2017 | 1000003431 | LEXISNEXIS RISK DATA MANAGEMENT INI | 2000558358 | 100.00    |
| 7/14/2017 | 1000003515 | DAVIS, DARLA D                      | 2000558359 | 1,300.00  |
| 7/14/2017 | 1000003562 | SID TOOL CO. INC.                   | 2000558360 | 801.98    |
| 7/14/2017 | 1000003640 | JONES, MATTHEW B                    | 2000558361 | 4,575.00  |
| 7/14/2017 | 1000003703 | DOWNING JR, EDWARD K                | 2000558362 | 575.00    |
| 7/14/2017 | 1000003755 | CANO, JUAN M                        | 2000558363 | 275.00    |
| 7/14/2017 | 1000003778 | AXON ENTERPRISE, INC.               | 2000558364 | 1,316.19  |
| 7/14/2017 | 1000004056 | BERKEL, SUE                         | 2000558365 | 775.00    |
| 7/14/2017 | 1000004099 | AAA FIRE AND SAFETY EQUIPMENT CO    | 2000558366 | 5,335.95  |
| 7/14/2017 | 1000004199 | CARMAX AUTO SUPERSTORES INC         | 2000558367 | 3,000.00  |

| PaymentDt | Vendor     | Name 1                              | Payment    | Amnt Paid  |
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| 7/14/2017 | 1000004211 | HOOKS, SHANNON                      | 2000558368 | 350.00     |
| 7/14/2017 | 1000004248 | SANTOS, ALFREDO                     | 2000558369 | 170.00     |
| 7/14/2017 | 1000004298 | METRO AVIATION                      | 2000558370 | 450.00     |
| 7/14/2017 | 1000004328 | THE UNIVERSITY OF TEXAS AT AUSTIN   | 2000558371 | 7,107.00   |
| 7/14/2017 | 1000004328 | THE UNIVERSITY OF TEXAS AT AUSTIN   | 2000558372 | 1,185.76   |
| 7/14/2017 | 1000004416 | INDIGENT HEALTHCARE SOLUTIONS LTD   | 2000558373 | 1,014.00   |
| 7/14/2017 | 1000004432 | J SIMMONS INDUSTRIES INC            | 2000558374 | 3,210.00   |
| 7/14/2017 | 1000004460 | AT&T MOBILITY II LLC                | 2000558375 | 9,962.66   |
| 7/14/2017 | 1000004765 | SPEED SHORE CORPORATION             | 2000558376 | 2,522.19   |
| 7/14/2017 | 1000004788 | HINES RANC AND HOLUB                | 2000558377 | 1,475.00   |
| 7/14/2017 | 1000004834 | MOORE MEDICAL LLC                   | 2000558378 | 2,405.32   |
| 7/14/2017 | 1000004839 | BURROWS, MAUREEN                    | 2000558379 | 13,700.00  |
| 7/14/2017 | 1000004858 | HINDSITE 20 20                      | 2000558380 | 658.00     |
| 7/14/2017 | 1000004939 | GUADARRAMA, LINDA                   | 2000558381 | 325.00     |
| 7/14/2017 | 1000004943 | URRUTIA, JOHNNY J                   | 2000558382 | 600.00     |
| 7/14/2017 | 1000005127 | ITWFEG LLC                          | 2000558383 | 1,008.88   |
| 7/14/2017 | 1000005157 | STAR OF TEXAS EVENTS                | 2000558384 | 171.88     |
| 7/14/2017 | 1000005208 | PRECISION MICROGRAPHICS AND IMAGIN  | 2000558385 | 782.62     |
| 7/14/2017 | 1000005373 | INTERNATIONAL BUILDING SERVICES     | 2000558386 | 180.00     |
| 7/14/2017 | 1000005377 | ONTIVEROS, ELOISA                   | 2000558387 | 625.00     |
| 7/14/2017 | 1000005437 | RI/RMT ACQUISITION LTD              | 2000558388 | 103.92     |
| 7/14/2017 | 1000005480 | HARDIES FRUIT AND VEGETABLES CO     | 2000558389 | 417.20     |
| 7/14/2017 | 1000005506 | SIRCHIE ACQUISITION COMPANY LLC     | 2000558390 | 48.48      |
| 7/14/2017 | 1000005541 | STRELNIEKS, ERIK                    | 2000558391 | 1,050.00   |
| 7/14/2017 | 1000005746 | WEIS, DANA LOUISE                   | 2000558392 | 600.00     |
| 7/14/2017 | 1000006114 | TEXAS ASSOCIATION OF COUNTY OFFICI. | 2000558393 | 60.00      |
| 7/14/2017 | 1000006335 | QUANTICO ARMS AND TACTICAL SUPPLY   | 2000558394 | 1,092.00   |
| 7/14/2017 | 1000006342 | TRI TECH FORENSIC INC               | 2000558395 | 3,029.87   |
| 7/14/2017 | 1000006477 | US GREEN BUILDING COUNCIL           | 2000558396 | 300.00     |
| 7/14/2017 | 1000006530 | PHOENIX AWARDS LLC                  | 2000558397 | 58.50      |
| 7/14/2017 | 1000006986 | FRESHPOINT SOUTH TEXAS LP           | 2000558398 | 1,227.45   |
| 7/14/2017 | 1000007050 | CAR WASH PARTNERS INC               | 2000558399 | 2,639.98   |
| 7/14/2017 | 1000007110 | PAGE SOUTHERLAND PAGE LLP           | 2000558400 | 16,075.40  |
| 7/14/2017 | 1000007928 | COTHRON SECURITY SOLUTIONS LLC      | 2000558401 | 12,391.00  |
| 7/14/2017 | 1000008455 | RODRIGUEZ, CESAR SANTIAGO           | 2000558402 | 775.00     |
| 7/14/2017 | 1000008762 | ITCOA LLC                           | 2000558403 | 245,716.20 |
| 7/14/2017 | 1000009042 | DALLAS COUNTY                       | 2000558404 | 10,368.00  |
| 7/14/2017 | 1000009209 | HERNANDEZ, ALFONSO CARLOS           | 2000558405 | 600.00     |
| 7/14/2017 | 1000009238 | TEXAS HYDRAULICS AND PNEUMATICS     | 2000558406 | 215.00     |
| 7/14/2017 | 1000009288 | GREAT KIDS INC                      | 2000558407 | 2,440.00   |
| 7/14/2017 | 1000009306 | TALLY, KATHERINE K                  | 2000558408 | 5,438.34   |
| 7/14/2017 | 1000009376 | TYLER TECHNOLOGIES INC              | 2000558409 | 35,728.00  |
| 7/14/2017 | 1000009540 | MERIDELL ACHIEVEMENT CENTER INC     | 2000558410 | 17,010.00  |
| 7/14/2017 | 1000009554 | AB SCIEX LLC                        | 2000558411 | 6,913.01   |
| 7/14/2017 | 1000009688 | VETS SECURING AMERICA INC           | 2000558412 | 118.49     |
| 7/14/2017 | 1000009732 | OCHOA, TANYA M                      | 2000558413 | 105.00     |
| 7/14/2017 | 1000009767 | CARTER, ANDREA CHRISTINE            | 2000558414 | 12,656.71  |
| 7/14/2017 | 1000009888 | T MOBILE USA, INC.                  | 2000558415 | 1,660.74   |
| 7/14/2017 | 1000009915 | SAFEWAY CERTIFICATIONS LLC          | 2000558416 | 900.00     |
| 7/14/2017 | 1000010032 | BIOTAGE LLC                         | 2000558417 | 601.50     |
| 7/14/2017 | 1000010275 | PROPERTY TAX EDUCATION COALITION II | 2000558418 | 240.00     |

| PaymentDt | Vendor     | Name 1                              | Payment    | Amnt Paid  |
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| 7/14/2017 | 1000010422 | COLLINS, STEFANIE DENISE            | 2000558419 | 1,325.00   |
| 7/14/2017 | 1000010445 | BURKE, MICHAEL                      | 2000558420 | 1,262.50   |
| 7/14/2017 | 1000010536 | WALLER COUNTY ASPHALT INC           | 2000558421 | 8,331.86   |
| 7/14/2017 | 1000010599 | SOUTHWEST WATER COMPANY             | 2000558422 | 167.71     |
| 7/14/2017 | 1000010911 | COVERT CHEVROLET BASTROP            | 2000558423 | 872.52     |
| 7/14/2017 | 1000010918 | RODZINA INDUSTRIES                  | 2000558424 | 295.10     |
| 7/14/2017 | 1000010928 | RIATA FORD LTD                      | 2000558425 | 621.71     |
| 7/14/2017 | 1000010967 | CORRECTIONAL REHABILITATION SERVIC  | 2000558426 | 3,500.00   |
| 7/14/2017 | 1000015555 | USA Consulting Inc                  | 2000558427 | 29,160.00  |
| 7/14/2017 | 1000015966 | DAVIS, KEITH L                      | 2000558428 | 362.96     |
| 7/14/2017 | 1000016026 | MCKINNEY, TOMMIE                    | 2000558429 | 343.00     |
| 7/14/2017 | 1000016044 | RUSSELL, WILLIAM                    | 2000558430 | 510.00     |
| 7/14/2017 | 1000016450 | Quality Traffic Data, LLC           | 2000558431 | 570.00     |
| 7/14/2017 | 1000016504 | BUCKINGHAM PLACE                    | 2000558432 | 343.00     |
| 7/14/2017 | 1000017069 | AUTOMATED LOGIC CONTRACTING SERV    | 2000558433 | 3,525.00   |
| 7/14/2017 | 1000017095 | BROTHERS PRODUCE OF AUSTIN          | 2000558434 | 100.00     |
| 7/14/2017 | 1000017138 | Air Relief Technologies, Inc.       | 2000558435 | 1,943.52   |
| 7/14/2017 | 1000017672 | Fox, Mykal Jarrett                  | 2000558436 | 379.16     |
| 7/14/2017 | 1000017675 | Antonio, Juan Valerio               | 2000558437 | 2,350.00   |
| 7/14/2017 | 1000018053 | GARDA CL SOUTHEST INC CO 130        | 2000558438 | 11,689.00  |
| 7/14/2017 | 1000018118 | Canon Solutions America Inc         | 2000558439 | 500.89     |
| 7/14/2017 | 1000018211 | Entech Sales & Service, Inc.        | 2000558440 | 246.00     |
| 7/14/2017 | 1000018301 | PENTANA, INCORPORATED               | 2000558441 | 4,911.10   |
| 7/14/2017 | 1000018411 | Burton Family Enterprises LLC       | 2000558442 | 193.00     |
| 7/14/2017 | 1000018436 | LB COUNTRY LLC                      | 2000558443 | 418.00     |
| 7/14/2017 | 1000018684 | SHANLEY, RAE ANN                    | 2000558444 | 600.00     |
| 7/14/2017 | 1000018686 | THE BRANDT COMPANY LLC              | 2000558445 | 6,597.38   |
| 7/14/2017 | 1000018698 | SALAS, MARIA G                      | 2000558446 | 75.00      |
| 7/14/2017 | 1000019000 | ARNOLD OIL COMPANY OF AUSTIN LP     | 2000558447 | 287.81     |
| 7/14/2017 | 1000019078 | CAROLINA BIOLOGICAL SUPPLY COMPAN   | 2000558448 | 1,133.30   |
| 7/14/2017 | 1000019176 | JOSEPH DAMIAN SERIO                 | 2000558449 | 6,712.00   |
| 7/14/2017 | 1000019233 | WILLOW BEND CENTER                  | 2000558450 | 194.61     |
| 7/14/2017 | 1000019298 | BURKES, MAJOR                       | 2000558451 | 1,820.00   |
| 7/14/2017 | 1000019687 | MOTEL 6                             | 2000558452 | 343.00     |
| 7/14/2017 | 1000019744 | PM WILSON AND ASSOCIATES PLLC       | 2000558453 | 15,952.50  |
| 7/14/2017 | 1000019965 | TRANSUNION RISK AND ALTERNATIVE     | 2000558454 | 455.46     |
| 7/14/2017 | 1000020018 | ZARA ENVIRONMENTAL LLC              | 2000558455 | 17,430.52  |
| 7/14/2017 | 1000020057 | HURST JOINT VENTURE LP              | 2000558456 | 2,351.80   |
| 7/14/2017 | 1000020162 | TURRO, STEVE                        | 2000558457 | 600.00     |
| 7/14/2017 | 1000020186 | YORK, LAURIE LYNN                   | 2000558458 | 275.00     |
| 7/14/2017 | 1000020199 | THE LEGAL CONNECTION INC            | 2000558459 | 269.90     |
| 7/14/2017 | 1000020243 | Envision Pharmaceutical Services, L | 2000558460 | 798,699.89 |
| 7/14/2017 | 1000020251 | SPOK INC                            | 2000558461 | 149.64     |
| 7/14/2017 | 1000020251 | SPOK INC                            | 2000558462 | 67.50      |
| 7/14/2017 | 1000020372 | OXFORD HOUSE COLONY PARK            | 2000558463 | 686.00     |
| 7/14/2017 | 1000020388 | COOLEY, JAMIE                       | 2000558464 | 167.00     |
| 7/14/2017 | 1000020577 | BALCONES RECYCLING INC              | 2000558465 | 157.08     |
| 7/14/2017 | 1000020579 | ALCO OPERATIONS                     | 2000558466 | 299.34     |
| 7/14/2017 | 1000020585 | TEXAS TROPICAL PLANTS INC           | 2000558467 | 274.00     |
| 7/14/2017 | 1000020595 | GREATER TEXAS LANDSCAPE SERVICES    | 2000558468 | 1,023.85   |
| 7/14/2017 | 1000020646 | SANTA FE COMMUNITY COLLEGE          | 2000558469 | 3,600.00   |

| PaymentDt | Vendor     | Name 1                              | Payment    | Amnt Paid  |
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| 7/14/2017 | 1000020861 | RITE OF PASSAGE INC                 | 2000558470 | 34,478.67  |
| 7/14/2017 | 1000020914 | ADK Environmental, Inc.             | 2000558471 | 950.00     |
| 7/14/2017 | 1000021133 | LOPEZ, RAMIRO                       | 2000558472 | 593.33     |
| 7/14/2017 | 1000021165 | SPRAGGINS, ANDREA                   | 2000558473 | 4,250.00   |
| 7/14/2017 | 1000021244 | GARCIA, SANDRA ANN                  | 2000558474 | 232.50     |
| 7/14/2017 | 1000021428 | Pest Management Inc                 | 2000558475 | 100.00     |
| 7/14/2017 | 1000021438 | Sibb Operations                     | 2000558476 | 2,450.00   |
| 7/14/2017 | 1000021468 | The Supply Cache                    | 2000558477 | 283.45     |
| 7/14/2017 | 1000021520 | PICTURE PERFECT COOPERATIVE LIVING  | 2000558478 | 7,616.00   |
| 7/14/2017 | 1000021580 | ALLEGIANCE POWER SYSTEMS INC        | 2000558479 | 800.00     |
| 7/14/2017 | 1000021585 | CINDY K SYMPSON                     | 2000558480 | 199.00     |
| 7/14/2017 | 1000021596 | NOVA HEALTHCARE PA                  | 2000558481 | 12,878.31  |
| 7/14/2017 | 1000021632 | HERRERA-GARZA, EVANGELINA           | 2000558482 | 130.00     |
| 7/14/2017 | 1000021640 | MCKESSON MEDICAL SURGICAL INC.      | 2000558483 | 1,394.01   |
| 7/14/2017 | 1000021672 | Capstone Title, LLC                 | 2000558484 | 181,859.00 |
| 7/14/2017 | 1000021799 | JOHN MATTHEW FABIAN, PSYD, JD, LLC  | 2000558485 | 1,500.00   |
| 7/14/2017 | 1000021935 | DE LA VINA, JOHN NICHOLAS           | 2000558486 | 975.00     |
| 7/14/2017 | 1000021936 | MARTIN, HARLEY                      | 2000558487 | 1,775.00   |
| 7/14/2017 | 1000021956 | OMNIBUS-M INC.                      | 2000558488 | 25.00      |
| 7/14/2017 | 1000022040 | ViaWest, Inc.                       | 2000558489 | 18,854.00  |
| 7/14/2017 | 1000022112 | AUSTIN AEROSPACE, LLC               | 2000558490 | 90.00      |
| 7/14/2017 | 1000022544 | TEXAS PRISONER TRANSPORTATION SEF   | 2000558491 | 5,093.25   |
| 7/14/2017 | 1000022661 | HT STAFFING SOLUTIONS, LLC          | 2000558492 | 17,864.00  |
| 7/14/2017 | 1000023054 | NOVA RECOVERY, LLC                  | 2000558493 | 686.00     |
| 7/14/2017 | 1000023071 | HILAND DAIRY FOODS COMPANY, LLC     | 2000558494 | 6,247.50   |
| 7/14/2017 | 1000023092 | WASTEWATER TRANSPORT SERVICES LL    | 2000558495 | 1,500.00   |
| 7/14/2017 | 1000023098 | ACA GREAT NORTHERN, LLC             | 2000558496 | 343.00     |
| 7/14/2017 | 1000023151 | OLSON, LANCE                        | 2000558497 | 144.97     |
| 7/14/2017 | 1000023326 | RESENDEZ, ADRIAN                    | 2000558498 | 375.00     |
| 7/14/2017 | 1000023526 | CHEMPRO SERVIES, INC                | 2000558499 | 7,308.00   |
| 7/14/2017 | 1000023548 | MCHUGH, MCKAYLA ANN                 | 2000558500 | 999.00     |
| 7/14/2017 | 1000023557 | FC BLUEBONNET HOUSING, LP           | 2000558501 | 686.00     |
| 7/14/2017 | 1000023636 | FIRETRON, INC                       | 2000558502 | 2,551.50   |
| 7/14/2017 | 1000023668 | GEOPROJECTS INTERNATIONAL, INC.     | 2000558503 | 2,800.00   |
| 7/14/2017 | 1000023712 | FOWLER, SUE J                       | 2000558504 | 37,082.58  |
| 7/14/2017 | 1000023757 | COOPER, ELDRICK J                   | 2000558505 | 405.00     |
| 7/14/2017 | 1000023927 | DANIELS, OTIS                       | 2000558506 | 418.00     |
| 7/14/2017 | 1000023952 | GRATEFUL REAL ESTATE                | 2000558507 | 343.00     |
| 7/14/2017 | 1000023953 | TSOURMAS, NICHOLAS                  | 2000558508 | 1,642.50   |
| 7/14/2017 | 1000023992 | REINFORCING STEEL SUPPLY INC        | 2000558509 | 115.50     |
| 7/14/2017 | 1000024033 | A.C SALES INC.                      | 2000558510 | 668.75     |
| 7/14/2017 | 1000024171 | SMITH, SONJA                        | 2000558511 | 200.00     |
| 7/14/2017 | 1000024240 | LUNATEK LLC                         | 2000558512 | 13,295.00  |
| 7/14/2017 | 1000024248 | H.D.F. INVESTMENTS L.T.D.           | 2000558513 | 343.00     |
| 7/14/2017 | 1000024263 | REGENCY PROPERTY MANAGEMENT         | 2000558514 | 200.00     |
| 7/14/2017 | 1000024266 | EAST AUSTIN ECONOMIC DEVELOPEMTN    | 2000558515 | 343.00     |
| 7/14/2017 | 1000024269 | Rorschach Performance Assessment Sy | 2000558516 | 210.79     |
| 7/14/2017 | 1000024270 | SERENITY FOUND SOBER LIVING LLC     | 2000558517 | 120.00     |
| 7/14/2017 | 1000024276 | QUALITY COMMUNITY CARE, QCC         | 2000558518 | 343.00     |
| 7/14/2017 | 1000024289 | THE OZARK MOBILE HOMES MANUFACTU    | 2000558519 | 540.12     |
| 7/14/2017 | 1000024316 | THE FOUNDATION CENTER               | 2000558520 | 1,499.00   |

| PaymentDt | Vendor     | Name 1                             | Payment    | Amnt Paid |
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| 7/14/2017 | 1000024322 | DISTRICT 8 TEA-FCS                 | 2000558521 | 275.00    |
| 7/14/2017 | 1000024329 | CONSERVATION CENTER FOR ART AND F  | 2000558522 | 300.00    |
| 7/14/2017 | 7000000001 | AUSTIN ENERGY                      | 2000558523 | 73,289.20 |
| 7/14/2017 | 7000000007 | TEXAS ASSOCIATION OF COUNTIES      | 2000558524 | 150.00    |
| 7/14/2017 | 7000000009 | TXU ENERGY                         | 2000558525 | 10,170.66 |
| 7/14/2017 | 7000000016 | CITY OF PFLUGERVILLE               | 2000558526 | 8,340.36  |
| 7/14/2017 | 7000000019 | TRAVIS COUNTY DISTRICT ATTORNEY    | 2000558527 | 56.00     |
| 7/14/2017 | 7000000021 | AUSTIN HOUSING AUTHORITY           | 2000558528 | 152.00    |
| 7/14/2017 | 7000000022 | CHILDRENS RIGHTS CLINIC            | 2000558529 | 17,712.02 |
| 7/14/2017 | 7000000066 | STATE BAR OF TEXAS                 | 2000558530 | 449.00    |
| 7/14/2017 | 7000000067 | CITY OF AUSTIN INDIGENT PAYMENTS   | 2000558531 | 66,644.35 |
| 7/14/2017 | 7000000112 | UNIVERSITY OF TEXAS AT AUSTIN      | 2000558532 | 275.00    |
| 7/14/2017 | 7000000131 | HOUSING AUTHORITY OF TRAVIS COUNT  | 2000558533 | 853.00    |
| 7/14/2017 | 7000000217 | TEXAS ASSOCIATION FOR COURT ADMIN  | 2000558534 | 325.00    |
| 7/14/2017 | 7000000236 | DEPARTMENT OF STATE HEALTH SERVIC  | 2000558535 | 690.00    |
| 7/14/2017 | 7000000338 | HYATT REGENCY INDIANAPOLIS         | 2000558536 | 876.33    |
| 7/14/2017 | 7000000525 | PENBROOK CLUB APARTMENTS           | 2000558537 | 343.00    |
| 7/14/2017 | 7000000548 | SAN LUIS RESORT SPA AND CONFERENC  | 2000558538 | 455.40    |
| 7/14/2017 | 7000000699 | PARK PLAZA APARTMENTS              | 2000558539 | 343.00    |
| 7/14/2017 | 7000000858 | CIRCLE S APARTMENTS                | 2000558540 | 343.00    |
| 7/14/2017 | 7000000871 | ROSEMONT AT OAK VALLEY             | 2000558541 | 245.00    |
| 7/14/2017 | 7000001046 | BRIDGEPOINT INVESTMENT GROUP LLC   | 2000558542 | 418.00    |
| 7/14/2017 | 7000001339 | STUART, SYDNEY TYE                 | 2000558543 | 1,770.00  |
| 7/14/2017 | 7000001716 | STAYBRIDGE SUITES AUSTIN AIRPORT   | 2000558544 | 287.50    |
| 7/14/2017 | 7000001899 | ASHLEYS HAPPY HOME                 | 2000558545 | 343.00    |
| 7/14/2017 | 7000002320 | TEXAS JUVENILE DETENTION ASSOCIATI | 2000558546 | 1,125.00  |
| 7/14/2017 | 7000002538 | AMBIT ENERGY                       | 2000558547 | 2,001.78  |
| 7/14/2017 | 7000002651 | AMG AUSTIN GROUP LLC               | 2000558548 | 343.00    |
| 7/14/2017 | 7000002752 | STATE BAR OF TEXAS                 | 2000558549 | 125.00    |
| 7/14/2017 | 7000002752 | STATE BAR OF TEXAS                 | 2000558550 | 125.00    |
| 7/14/2017 | 7000002762 | CITY OF CEDAR PARK                 | 2000558551 | 390.64    |
| 7/14/2017 | 7000002773 | DELL CHILDRENS MEDICAL CENTER      | 2000558552 | 9,239.00  |
| 7/14/2017 | 7000002832 | AT&T                               | 2000558553 | 5,325.49  |
| 7/14/2017 | 7000002873 | RELIANT ENERGY                     | 2000558554 | 1,394.10  |
| 7/14/2017 | 7000002877 | ENTRUST ENERGY                     | 2000558555 | 57.20     |
| 7/14/2017 | 7000003087 | TEXAS GAS SERVICE                  | 2000558556 | 47.00     |
| 7/14/2017 | 7000003326 | AUTONATION TOYOTA SOUTH AUSTIN,SC  | 2000558557 | 9,000.00  |
| 7/14/2017 | 7000003333 | CONSERVICE ENERGY LLC              | 2000558558 | 145.00    |
| 7/14/2017 | 7000003598 | AMIGO ENERGY                       | 2000558559 | 151.94    |
| 7/14/2017 | 7000003921 | THE LODGE AT MERRILLTOWN APARTME   | 2000558560 | 343.00    |
| 7/14/2017 | 7000004159 | LANGUAGE LINE SERVICES             | 2000558561 | 397.67    |
| 7/14/2017 | 7000004191 | BROOK MEADOW VILLAGE APARTMENTS    | 2000558562 | 418.00    |
| 7/14/2017 | 7000004265 | TRICOUNTY PRACTICE ASSOCIATION     | 2000558563 | 74.50     |
| 7/14/2017 | 7000004331 | TEXAS GAS SERVICE                  | 2000558564 | 3,037.68  |
| 7/14/2017 | 7000004364 | DIRECT ENERGY AND POWER TO GO      | 2000558565 | 297.47    |
| 7/14/2017 | 7000004366 | BLUEBONNET ELECTRIC COOPERATIVE II | 2000558566 | 660.15    |
| 7/14/2017 | 7000004369 | UNDER THE STARS SOBER LIVING       | 2000558567 | 343.00    |
| 7/14/2017 | 7000004446 | FIRST CHOICE POWER                 | 2000558568 | 137.90    |
| 7/14/2017 | 7000004504 | AT&T                               | 2000558569 | 769.39    |
| 7/14/2017 | 7000004621 | AT&T                               | 2000558570 | 89.15     |
| 7/14/2017 | 7000004708 | AUSTIN CERTIFIED TRANSLATION LLC   | 2000558571 | 130.00    |

| PaymentDt | Vendor     | Name 1                            | Payment    | Amnt Paid |
|-----------|------------|-----------------------------------|------------|-----------|
| 7/14/2017 | 7000004721 | TEXAS GENERAL LAND OFFICE         | 2000558572 | 8,129.16  |
| 7/14/2017 | 7000004725 | CORRECTIONAL MANAGEMENT INSTITUT  | 2000558573 | 175.00    |
| 7/14/2017 | 7000004801 | SWIFT SOLUTIONS EVENTS LLC        | 2000558574 | 525.00    |
| 7/14/2017 | 7000004832 | OMNI ROYAL ORLEANS HOTEL          | 2000558575 | 450.48    |
| 7/14/2017 | 7000004897 | GALEWOOD GARDENS APARTMENTS       | 2000558576 | 113.59    |
| 7/14/2017 | 7000005006 | MARRIOTT QUORUM HOTEL             | 2000558577 | 471.21    |
| 7/14/2017 | 7000005206 | BURKES SUPERVISED LIVING CENTER   | 2000558578 | 686.00    |
| 7/14/2017 | 7000005272 | O'CONNOR'S                        | 2000558579 | 793.70    |
| 7/14/2017 | 7000005399 | MILAN                             | 2000558580 | 418.00    |
| 7/14/2017 | 7000005402 | DITECH FINANCIAL LLC              | 2000558581 | 343.00    |
| 7/14/2017 | 7000005440 | HILTON COLLEGE STATION & CONFEREN | 2000558582 | 746.59    |
| 7/14/2017 | 7000005522 | THE BALLPARK SOUTH                | 2000558583 | 411.48    |
| 7/14/2017 | 7000005802 | TIME WARNER CABLE                 | 2000558584 | 12.58     |
| 7/14/2017 | 7000005802 | TIME WARNER CABLE                 | 2000558585 | 190.99    |
| 7/14/2017 | 7000005802 | TIME WARNER CABLE                 | 2000558586 | 33.31     |
| 7/14/2017 | 7000005802 | TIME WARNER CABLE                 | 2000558587 | 157.87    |
| 7/14/2017 | 7000005802 | TIME WARNER CABLE                 | 2000558588 | 36.55     |
| 7/14/2017 | 7000005802 | TIME WARNER CABLE                 | 2000558589 | 35.65     |
| 7/14/2017 | 7000005802 | TIME WARNER CABLE                 | 2000558590 | 118.95    |
| 7/14/2017 | 7000005802 | TIME WARNER CABLE                 | 2000558591 | 29.50     |
| 7/14/2017 | 7000005852 | NATIONAL DEVELOPMENT COUNCIL      | 2000558592 | 1,700.00  |
| 7/14/2017 | 7000006047 | JUST ENERGY                       | 2000558593 | 555.33    |
| 7/14/2017 | 7000006048 | AUSTIN HOUSING AUTHORITY          | 2000558594 | 536.50    |
| 7/14/2017 | 7000006049 | AUSTIN HOUSING AUTHORITY          | 2000558595 | 210.00    |
| 7/14/2017 | 7000006103 | TARA ENERGY                       | 2000558596 | 267.70    |
| 7/14/2017 | 7000006106 | P & R PROPANE                     | 2000558597 | 230.00    |
| 7/14/2017 | 7000006130 | FORT BRANCH AT TRUMANS LANDING    | 2000558598 | 466.09    |
| 7/14/2017 | 7000006226 | CAMDEN GAINES RANCH               | 2000558599 | 49.32     |
| 7/14/2017 | 7000006372 | MADRONE                           | 2000558600 | 343.00    |
| 7/14/2017 | 7000006451 | NEW YORK HILTON MIDTOWN           | 2000558601 | 1,202.60  |
| 7/14/2017 | 7000006473 | WATERTOWN HOTEL                   | 2000558602 | 1,358.30  |
| 7/14/2017 | 7000006476 | EEOC TRAINING INSTITUTE           | 2000558603 | 349.00    |
| 7/14/2017 | 7000006479 | HAMPTON INN WINCHESTER            | 2000558604 | 926.02    |
| 7/14/2017 | 7000006480 | UW CME                            | 2000558605 | 745.00    |
| 7/14/2017 | 7000006482 | EMBASSY SUITES BY HILTON MONTGOME | 2000558606 | 1,031.55  |
| 7/14/2017 | 7000006485 | LAURA HINOJOSA, CDCAT TREASURER   | 2000558607 | 125.00    |
| 7/14/2017 | 9000000000 | One Time Vendor                   | 2000558608 | 10.00     |
| 7/14/2017 | 9000000000 | One Time Vendor                   | 2000558609 | 600.00    |
| 7/14/2017 | 9000000000 | One Time Vendor                   | 2000558610 | 150.00    |
| 7/14/2017 | FOURSEASO  | FOUR SEASONS                      | 2000558611 | 3,503.92  |
| 7/14/2017 | 10000129   | RANDALL A GRAEF                   | 2000557857 | 257.94    |
| 7/14/2017 | 10000196   | CARYL C COLBURN                   | 2000557858 | 35.90     |
| 7/14/2017 | 10000262   | DANIEL O CHAPMAN                  | 2000557859 | 64.00     |
| 7/14/2017 | 10000327   | ALFREDO LUGO                      | 2000557860 | 725.43    |
| 7/14/2017 | 10000351   | PRISCILLA EVE THURMAN             | 2000557861 | 13.92     |
| 7/14/2017 | 10000361   | CORINA MICHELLE ESPARZA           | 2000557862 | 204.80    |
| 7/14/2017 | 10000468   | TERESA K GOFF                     | 2000557863 | 36.93     |
| 7/14/2017 | 10000484   | COLLEEN T JOHNSON                 | 2000557864 | 165.37    |
| 7/14/2017 | 10000556   | SANDRA G SAUCEDO                  | 2000557865 | 41.76     |
| 7/14/2017 | 10000705   | JOHN EDWARD VASQUEZ               | 2000557866 | 55.12     |
| 7/14/2017 | 10000762   | DIANA R CANTU                     | 2000557867 | 24.10     |

| PaymentDt | Vendor   | Name 1                       | Payment    | Amnt Paid |
|-----------|----------|------------------------------|------------|-----------|
| 7/14/2017 | 10000790 | SAMUEL D SOSA                | 2000557868 | 71.20     |
| 7/14/2017 | 10000792 | MARIA A VILLALPANDO          | 2000557869 | 32.16     |
| 7/14/2017 | 10000822 | BERTHA CECILIA CRUZ          | 2000557870 | 52.44     |
| 7/14/2017 | 10000835 | CHRISTINE MARY LEGO          | 2000557871 | 26.75     |
| 7/14/2017 | 10000865 | JACOB HUKS ILOKA             | 2000557872 | 177.65    |
| 7/14/2017 | 10000903 | DEBORAH ANN COFTY            | 2000557873 | 16.05     |
| 7/14/2017 | 10001013 | JULIA ANNE MOSS              | 2000557874 | 110.28    |
| 7/14/2017 | 10001060 | PAULETTE LA'FAYE TYSON       | 2000557875 | 27.84     |
| 7/14/2017 | 10001108 | CHARLES EDWARD HOY           | 2000557876 | 351.10    |
| 7/14/2017 | 10001258 | CHRIS M YOUNG                | 2000557877 | 46.58     |
| 7/14/2017 | 10001305 | CAROLYN GAIL GREMMINGER      | 2000557878 | 300.77    |
| 7/14/2017 | 10001553 | BRANDON D VAN NOY            | 2000557879 | 517.60    |
| 7/14/2017 | 10001734 | SHERRI ELAINE FLEMING        | 2000557880 | 70.71     |
| 7/14/2017 | 10001735 | MELANIE T DESLATTE           | 2000557881 | 293.82    |
| 7/14/2017 | 10001768 | MARY C SWANSON               | 2000557882 | 12.84     |
| 7/14/2017 | 10001787 | DARREN W ADAMS               | 2000557883 | 9.10      |
| 7/14/2017 | 10001840 | BELINDA R POERNER            | 2000557884 | 65.30     |
| 7/14/2017 | 10001899 | LESLIE A HILL                | 2000557885 | 25.00     |
| 7/14/2017 | 10001901 | MAGDALENA MARY CANO          | 2000557886 | 153.10    |
| 7/14/2017 | 10001927 | ALICIA PENA                  | 2000557887 | 41.23     |
| 7/14/2017 | 10001937 | THOMAS W CHILEK              | 2000557888 | 180.32    |
| 7/14/2017 | 10001942 | DELMA D PACE                 | 2000557889 | 101.70    |
| 7/14/2017 | 10002009 | RAUL ARTURO GONZALEZ         | 2000557890 | 444.90    |
| 7/14/2017 | 10002130 | DARREL JOHN VINKLAREK        | 2000557891 | 252.06    |
| 7/14/2017 | 10002192 | CYNTHIA ANN URESTI           | 2000557892 | 83.51     |
| 7/14/2017 | 10002254 | CHRISPEN DELEON              | 2000557893 | 17.13     |
| 7/14/2017 | 10002465 | TRICIA JOY CASSADY           | 2000557894 | 153.61    |
| 7/14/2017 | 10002467 | CHAVELA VICTORIA CRAIN       | 2000557895 | 530.15    |
| 7/14/2017 | 10002495 | MELISSA RANAE ASTROWSKI      | 2000557896 | 43.90     |
| 7/14/2017 | 10002511 | VIVIANA ORTIZ                | 2000557897 | 86.72     |
| 7/14/2017 | 10002556 | ROSALINDA VASQUEZ            | 2000557898 | 25.16     |
| 7/14/2017 | 10002571 | MARIA JUANA CARNERO          | 2000557899 | 51.92     |
| 7/14/2017 | 10002660 | DANIEL B WINGATE             | 2000557900 | 91.00     |
| 7/14/2017 | 10002765 | AMANDA CELESTE VONESCHENBACH | 2000557901 | 137.00    |
| 7/14/2017 | 10002790 | BERTHA PATRICIA ARELLANO     | 2000557902 | 14.99     |
| 7/14/2017 | 10002848 | TONDALIER OWENS              | 2000557903 | 78.14     |
| 7/14/2017 | 10002875 | DELIA TREVINO                | 2000557904 | 20.88     |
| 7/14/2017 | 10002897 | BRENDA L THOMAS              | 2000557905 | 135.39    |
| 7/14/2017 | 10003146 | SANDRA TREVINO               | 2000557906 | 40.00     |
| 7/14/2017 | 10003274 | DIANE N MARTINEZ             | 2000557907 | 141.84    |
| 7/14/2017 | 10003275 | KRISTIN K MACKAY             | 2000557908 | 30.51     |
| 7/14/2017 | 10003291 | CYNTHIA C GONZALEZ           | 2000557909 | 242.90    |
| 7/14/2017 | 10003375 | MISTY TEA SCHULLER           | 2000557910 | 13.92     |
| 7/14/2017 | 10003380 | CORINNE PEREZ                | 2000557911 | 31.05     |
| 7/14/2017 | 10003391 | BELYNE GONZALEZ              | 2000557912 | 17.12     |
| 7/14/2017 | 10003395 | ANDREW R BENFORD             | 2000557913 | 615.86    |
| 7/14/2017 | 10003403 | REBECA E SANTOS              | 2000557914 | 18.75     |
| 7/14/2017 | 10003410 | AMANDA B CELO                | 2000557915 | 65.00     |
| 7/14/2017 | 10003542 | JUANITA JACKSON              | 2000557916 | 108.74    |
| 7/14/2017 | 10003583 | LYNN M LECROPANE             | 2000557917 | 595.66    |
| 7/14/2017 | 10003586 | LESLIE JOHNS MASSAD          | 2000557918 | 145.01    |

| PaymentDt | Vendor   | Name 1                      | Payment    | Amnt Paid |
|-----------|----------|-----------------------------|------------|-----------|
| 7/14/2017 | 10003600 | AMANDA ANDERSON             | 2000557919 | 810.48    |
| 7/14/2017 | 10003729 | STEVEN N SCHNITZ            | 2000557920 | 152.53    |
| 7/14/2017 | 10003843 | MELLANIE L MICKELSON        | 2000557921 | 634.34    |
| 7/14/2017 | 10003868 | JULIA M SUBERVI             | 2000557922 | 132.18    |
| 7/14/2017 | 10003898 | CHARITY GLADISH CORTEZ      | 2000557923 | 30.51     |
| 7/14/2017 | 10003913 | MARY D CHAGOLLA             | 2000557924 | 137.53    |
| 7/14/2017 | 10003945 | ROBERT DEVEREAUX            | 2000557925 | 250.97    |
| 7/14/2017 | 10004001 | ERICA J VILLARREAL          | 2000557926 | 65.82     |
| 7/14/2017 | 10004087 | JIMMY ANTHONY JAIME         | 2000557927 | 82.40     |
| 7/14/2017 | 10004171 | BARBARA A LUNDQUIST         | 2000557928 | 14.98     |
| 7/14/2017 | 10004187 | AARON LEE GILLAND           | 2000557929 | 127.92    |
| 7/14/2017 | 10004288 | DAWN DENISE TANNOUS         | 2000557930 | 141.24    |
| 7/14/2017 | 10004361 | LIZETH CASTANEDA            | 2000557931 | 57.79     |
| 7/14/2017 | 10004373 | REGINA ZAVALA MCAFEE        | 2000557932 | 386.93    |
| 7/14/2017 | 10004481 | VALERIE ALMETRIS FRUGE      | 2000557933 | 307.15    |
| 7/14/2017 | 10004495 | LEONARDO TORRES             | 2000557934 | 136.46    |
| 7/14/2017 | 10004643 | SHIRLEY R GOTTSCH           | 2000557935 | 109.70    |
| 7/14/2017 | 10004684 | MEGAN FOX NAVARRO           | 2000557936 | 10.72     |
| 7/14/2017 | 10004732 | YANET GUZMAN                | 2000557937 | 55.66     |
| 7/14/2017 | 10004744 | ARIC MEYER                  | 2000557938 | 188.91    |
| 7/14/2017 | 10004925 | ALEXANDRA VACKIMES          | 2000557939 | 130.05    |
| 7/14/2017 | 10004942 | CATHERINE MANANGAN SANTIAGO | 2000557940 | 86.16     |
| 7/14/2017 | 10004963 | BOBBY CORN                  | 2000557941 | 150.38    |
| 7/14/2017 | 10005003 | ANNABEL A ALMEIDA           | 2000557942 | 52.43     |
| 7/14/2017 | 10005061 | KAROLYN VANESSA HORN        | 2000557943 | 114.02    |
| 7/14/2017 | 10005072 | SIGRID LEVI-BAUM            | 2000557944 | 24.08     |
| 7/14/2017 | 10005105 | ANITA VASQUEZ               | 2000557945 | 495.48    |
| 7/14/2017 | 10005114 | MARGARET A GARZA            | 2000557946 | 249.97    |
| 7/14/2017 | 10005198 | DAPHNE RICHARDS             | 2000557947 | 242.89    |
| 7/14/2017 | 10005335 | RODGERICK DEVON BRADLEY     | 2000557948 | 170.68    |
| 7/14/2017 | 10005348 | LUDIM GUERRERO              | 2000557949 | 103.31    |
| 7/14/2017 | 10005515 | WILLIAM JOHN ARLOTTO        | 2000557950 | 68.50     |
| 7/14/2017 | 10005549 | JACOB A KOENIGSKNECHT       | 2000557951 | 38.53     |
| 7/14/2017 | 10005568 | CATHERINE C MASTROSIMONE    | 2000557952 | 1,000.00  |
| 7/14/2017 | 10005652 | KIMBERLY J HERNANDEZ        | 2000557953 | 30.60     |
| 7/14/2017 | 10005679 | LAMAR W CLAYPOOL            | 2000557954 | 5.35      |
| 7/14/2017 | 10005681 | HEATHER L HELLUMS           | 2000557955 | 74.41     |
| 7/14/2017 | 10009151 | KELLY MARIE KINSINGER       | 2000557956 | 8.56      |
| 7/14/2017 | 10009248 | GERALD R MCKNIGHT           | 2000557957 | 190.13    |
| 7/14/2017 | 10009255 | JUSTIN GRANT WESTLUND       | 2000557958 | 211.97    |
| 7/14/2017 | 10009341 | BARBARA JEAN TERRY          | 2000557959 | 10.00     |
| 7/14/2017 | 10009362 | MELINDA RUTH SAUCEDO        | 2000557960 | 145.02    |
| 7/14/2017 | 10009763 | RUBY JEAN HERNANDEZ         | 2000557961 | 228.59    |
| 7/14/2017 | 10009764 | VALERIA FRANCES SIMMS       | 2000557962 | 214.62    |
| 7/14/2017 | 10009818 | MEREDITH EDGLEY O'REILLY    | 2000557963 | 53.51     |
| 7/14/2017 | 10009895 | MELISSA LAUREN ERB          | 2000557964 | 18.22     |
| 7/14/2017 | 10009939 | INGRID CHINN CROAN-ELLERBEE | 2000557965 | 51.36     |
| 7/14/2017 | 10009955 | ALEJANDRA CRUZ-AMADOR       | 2000557966 | 193.22    |
| 7/14/2017 | 10010140 | KENNETH PATRICK HOWARD      | 2000557967 | 7.49      |
| 7/14/2017 | 10010167 | MARILYN TWENEWA KYERE       | 2000557968 | 8.04      |
| 7/14/2017 | 10010177 | JOHANNA SANCHEZ             | 2000557969 | 11.78     |

| PaymentDt | Vendor     | Name 1                           | Payment    | Amnt Paid |
|-----------|------------|----------------------------------|------------|-----------|
| 7/14/2017 | 10010835   | ELISHA DAWN ENGLISH              | 2000557970 | 62.63     |
| 7/14/2017 | 10011003   | LATASHA NAN TAYLOR               | 2000557971 | 147.76    |
| 7/14/2017 | 10011021   | ATHENA MARIE TURK                | 2000557972 | 441.44    |
| 7/14/2017 | 10011404   | CRAIG E HILZENDAGER              | 2000557973 | 1,000.00  |
| 7/14/2017 | 10011406   | LESLIE RENEE HERNANDEZ           | 2000557974 | 711.23    |
| 7/14/2017 | 10011522   | MARLYN MARTINEZ                  | 2000557975 | 190.51    |
| 7/14/2017 | 10011697   | ALEXANDER JAMES MEAGHER          | 2000557976 | 42.27     |
| 7/14/2017 | 10012052   | FRANCES AUDREY SHELBY            | 2000557977 | 58.86     |
| 7/14/2017 | 10012063   | KAREN JULIETTE BAYS              | 2000557978 | 95.80     |
| 7/14/2017 | 10012216   | VELVA LASHA PRICE                | 2000557979 | 18.00     |
| 7/14/2017 | 10012227   | EBONY NICOLE SIMMONS             | 2000557980 | 29.97     |
| 7/14/2017 | 10012262   | SUZANNE COVINGTON                | 2000557981 | 902.07    |
| 7/14/2017 | 10012290   | GLEN R GILLMAN                   | 2000557982 | 75.00     |
| 7/14/2017 | 10012316   | DAKOTA TROY CARTWRIGHT           | 2000557983 | 55.65     |
| 7/14/2017 | 10012395   | JEREMY JOSEPH WESTON             | 2000557984 | 180.00    |
| 7/14/2017 | 10012433   | MYRA JANNINE STODDARD            | 2000557985 | 345.22    |
| 7/14/2017 | 10012480   | LORENA MICHELLE MORENO           | 2000557986 | 102.76    |
| 7/14/2017 | 10012609   | FREDERICK A JONES                | 2000557987 | 833.70    |
| 7/14/2017 | 10012846   | MAX WALTER FRY                   | 2000557988 | 48.20     |
| 7/14/2017 | 10012847   | CASEY JOHN BRANTHOOVER           | 2000557989 | 9.63      |
| 7/14/2017 | 10013065   | AYRA ALEXANDRIA MATTHEWS         | 2000557990 | 136.53    |
| 7/14/2017 | 10013233   | AMER GILANI                      | 2000557991 | 40.00     |
| 7/14/2017 | 10013389   | CHLOE JEAN SIMON                 | 2000557992 | 71.70     |
| 7/14/2017 | 10014077   | LISA M WARD                      | 2000557993 | 41.23     |
| 7/14/2017 | 10014511   | SHANNON P OVERLAND               | 2000557994 | 9.64      |
| 7/14/2017 | 10014512   | CORNELIA BEATRICE WEATHERLEY     | 2000557995 | 108.10    |
| 7/14/2017 | 10014513   | TERRA NATIA RICHARDSON           | 2000557996 | 110.25    |
| 7/14/2017 | 10014518   | VICTORIA LYNN PORTER             | 2000557997 | 101.70    |
| 7/14/2017 | 10014545   | BERTHA ANN RODRIGUEZ             | 2000557998 | 80.83     |
| 7/14/2017 | 10014584   | MIGUEL ANGEL CAMPOS              | 2000557999 | 156.81    |
| 7/14/2017 | 10014626   | KARINA RUBI VILLARREAL           | 2000558000 | 80.30     |
| 7/14/2017 | 10014653   | JAYAN RAJAGOPALA PILLAI          | 2000558001 | 38.52     |
| 7/14/2017 | 10014730   | NICKALOUS DONALD WISNER          | 2000558002 | 35.86     |
| 7/14/2017 | 10014770   | JORDAN LIA JOLY                  | 2000558003 | 60.46     |
| 7/14/2017 | 10014771   | MARIA GUADALUPE GARCIA           | 2000558004 | 168.02    |
| 7/14/2017 | 10014772   | ARMANDO MENDOZA                  | 2000558005 | 216.68    |
| 7/14/2017 | 10014773   | CHARLA L WELCH                   | 2000558006 | 21.96     |
| 7/14/2017 | 10014859   | KIMBERLY DAWN CLEMONS            | 2000558007 | 28.90     |
| 7/14/2017 | 10014923   | ANTONIUS T MILLER                | 2000558008 | 150.39    |
| 7/14/2017 | 10014924   | BRIAN BIERRE BUSH                | 2000558009 | 71.19     |
| 7/14/2017 | 10014952   | MONIQUE ROCHELLE MCMICHAEL       | 2000558010 | 196.42    |
| 7/14/2017 | 10015779   | CHRISTA NOEL DENISE COKER        | 2000558011 | 50.30     |
| 7/14/2017 | 10016099   | MONICA HUERTA PEREZ              | 2000558012 | 110.77    |
| 7/14/2017 | 10016152   | JAMES A CAREY                    | 2000558013 | 639.40    |
| 7/14/2017 | 10016160   | WHITNEY RENEE ALLEN              | 2000558014 | 139.15    |
| 7/14/2017 | 10016215   | HELENA WRIGHT                    | 2000558015 | 64.20     |
| 7/14/2017 | 1000000000 | DELL MARKETING L P               | 2000558016 | 68,696.40 |
| 7/14/2017 | 1000000001 | OFFICE DEPOT BUSINESS SERVICES   | 2000558017 | 16,998.75 |
| 7/14/2017 | 1000000002 | WW GRAINGER INC                  | 2000558018 | 5,602.39  |
| 7/14/2017 | 1000000027 | PTL LAWN & CLEANING SERVICE, INC | 2000558019 | 1,100.32  |
| 7/14/2017 | 1000000039 | CAPITOL BEARING SERVICE INC      | 2000558020 | 523.45    |

| PaymentDt | Vendor     | Name 1                             | Payment    | Amnt Paid  |
|-----------|------------|------------------------------------|------------|------------|
| 7/14/2017 | 1000000048 | COOPER EQUIPMENT CO                | 2000558021 | 13.96      |
| 7/14/2017 | 1000000058 | EVINS PERSONNEL CONSULTANTS INC    | 2000558022 | 3,736.02   |
| 7/14/2017 | 1000000067 | HDR ENGINEERING INC                | 2000558023 | 2,461.41   |
| 7/14/2017 | 1000000080 | MAGNUM CUSTOM TRAILER MANUFACTU    | 2000558024 | 24.75      |
| 7/14/2017 | 1000000083 | MILLER UNIFORMS AND EMBLEMS INC    | 2000558025 | 13,966.89  |
| 7/14/2017 | 1000000103 | WAUKESHA-PEARCE INDUSTRIES, LLC    | 2000558026 | 1,673.40   |
| 7/14/2017 | 1000000125 | GULF COAST PAPER CO                | 2000558027 | 1,822.26   |
| 7/14/2017 | 1000000130 | BACON, JACK P                      | 2000558028 | 225.00     |
| 7/14/2017 | 1000000134 | ESPERSEN, RAYMOND M                | 2000558029 | 5,550.00   |
| 7/14/2017 | 1000000138 | HILL, ANDRIA                       | 2000558030 | 2,875.00   |
| 7/14/2017 | 1000000146 | WESTENHOVER, JOHN MARK             | 2000558031 | 2,625.00   |
| 7/14/2017 | 1000000161 | SPENCER, SUZANNE                   | 2000558032 | 1,525.00   |
| 7/14/2017 | 1000000174 | GT DISTRIBUTORS                    | 2000558033 | 419.94     |
| 7/14/2017 | 1000000180 | BOB BARKER CO INC                  | 2000558034 | 915.00     |
| 7/14/2017 | 1000000182 | HASTINGS COMMUNICATION SERVICES I  | 2000558035 | 466.45     |
| 7/14/2017 | 1000000229 | CITY OF MANOR                      | 2000558036 | 205.88     |
| 7/14/2017 | 1000000257 | GOODWILL INDUSTRIES OF CENTRAL TX  | 2000558037 | 6,000.00   |
| 7/14/2017 | 1000000261 | GTS TECHNOLOGY SOLUTIONS, INC.     | 2000558038 | 362,879.14 |
| 7/14/2017 | 1000000275 | TEXAS DISPOSAL SYSTEMS INC         | 2000558039 | 6,261.20   |
| 7/14/2017 | 1000000281 | BARTOS, DON KEITH                  | 2000558040 | 300.00     |
| 7/14/2017 | 1000000284 | FUTCH JR, JOE                      | 2000558041 | 775.00     |
| 7/14/2017 | 1000000311 | CLINICAL PATHOLOGY LABORATORIES IN | 2000558042 | 173.20     |
| 7/14/2017 | 1000000448 | AUSTIN RED CEDAR                   | 2000558043 | 989.00     |
| 7/14/2017 | 1000000477 | IVY, FRANK T                       | 2000558044 | 1,725.00   |
| 7/14/2017 | 1000000524 | MARTINEZ, LEONARD MARTIN           | 2000558045 | 700.00     |
| 7/14/2017 | 1000000562 | NOTARY PUBLIC UNDERWRITERS         | 2000558046 | 411.00     |
| 7/14/2017 | 1000000593 | BLACKLAND COMMUNITY DEVELOPMENT    | 2000558047 | 300.00     |
| 7/14/2017 | 1000000622 | GALAN, VELIA MENDEZ                | 2000558048 | 334.00     |
| 7/14/2017 | 1000000649 | ELSIE F CRAVEN PC                  | 2000558049 | 425.00     |
| 7/14/2017 | 1000000791 | DUNCAN, NICK                       | 2000558050 | 350.00     |
| 7/14/2017 | 1000000804 | DIAFI, SARAH HELEN                 | 2000558051 | 4,885.00   |
| 7/14/2017 | 1000000828 | BUTLER, JOHN STILLMAN              | 2000558052 | 1,550.00   |
| 7/14/2017 | 1000000902 | MARTINEZ, GILBERT                  | 2000558053 | 1,125.00   |
| 7/14/2017 | 1000000961 | SOUTHWEST KEY PROGRAM              | 2000558054 | 4,566.64   |
| 7/14/2017 | 1000000996 | LAUERMAN, KEITH THOMAS             | 2000558055 | 4,995.00   |
| 7/14/2017 | 1000001004 | MACHADO, ALBERT                    | 2000558056 | 950.00     |
| 7/14/2017 | 1000001059 | PASCO BROKERAGE INC                | 2000558057 | 22,941.00  |
| 7/14/2017 | 1000001092 | SEGURA JR, RICHARD JOHN            | 2000558058 | 350.00     |
| 7/14/2017 | 1000001302 | SOUTH AUSTIN MARKET PLACE          | 2000558059 | 343.00     |
| 7/14/2017 | 1000001561 | CALHOUN, ALEXANDER L               | 2000558060 | 600.00     |
| 7/14/2017 | 1000001601 | PCMG, INC.                         | 2000558061 | 25,234.43  |
| 7/14/2017 | 1000001682 | DAVENPORT, ROXANNE MAE             | 2000558062 | 2,075.50   |
| 7/14/2017 | 1000001691 | WEST PUBLISHING CORPORATION        | 2000558063 | 665.75     |
| 7/14/2017 | 1000001698 | MA SMITH CONTRACTING CO INC        | 2000558064 | 353,899.46 |
| 7/14/2017 | 1000001742 | STOTT, LANCE                       | 2000558065 | 2,125.00   |
| 7/14/2017 | 1000001745 | DAVIS, PATRICIA S HEIL             | 2000558066 | 2,100.00   |
| 7/14/2017 | 1000001752 | CAMPBELL, HOMER P                  | 2000558067 | 2,100.00   |
| 7/14/2017 | 1000001880 | DUBOSE, LEIGH A                    | 2000558068 | 2,928.60   |
| 7/14/2017 | 1000001885 | AUSTIN TRAVIS COUNTY MHMR CENTER   | 2000558069 | 600.00     |
| 7/14/2017 | 1000001888 | INDUSTRIAL ASPHALT LLC             | 2000558070 | 5,095.20   |
| 7/14/2017 | 1000001939 | SIGMAN AND SIGMAN LLP              | 2000558071 | 17,057.19  |

| PaymentDt | Vendor     | Name 1                             | Payment    | Amnt Paid |
|-----------|------------|------------------------------------|------------|-----------|
| 7/14/2017 | 1000002085 | THE SAFE ALLIANCE                  | 2000558072 | 20,786.44 |
| 7/14/2017 | 1000002144 | SHEPHERD, ROBB WILLIAM             | 2000558073 | 2,300.00  |
| 7/14/2017 | 1000002153 | CAPITAL INVESTING IN DEVELOPMENT   | 2000558074 | 44,671.83 |
| 7/14/2017 | 1000002215 | HEARTLAND AUTOMOTIVE SERVICES INC  | 2000558075 | 166.89    |
| 7/14/2017 | 1000002296 | CALL ONE INC                       | 2000558076 | 266.11    |
| 7/14/2017 | 1000002501 | REGALADO, CHRISTINE                | 2000558077 | 1,798.00  |
| 7/14/2017 | 1000002505 | EMR ELEVATOR INC                   | 2000558078 | 30,135.81 |
| 7/14/2017 | 1000002567 | SHAVER FOODS LLC                   | 2000558079 | 22,659.74 |
| 7/14/2017 | 1000002572 | HINDERA, CHERYL Y                  | 2000558080 | 4,990.00  |
| 7/14/2017 | 1000002706 | NATIONAL FOOD GROUP INC            | 2000558081 | 22,419.20 |
| 7/14/2017 | 1000002720 | HUNT JR, RUSSELL D                 | 2000558082 | 1,300.00  |
| 7/14/2017 | 1000002774 | NORTH AMERICAN WESTERN DATA SYST   | 2000558083 | 26.25     |
| 7/14/2017 | 1000003024 | THE DEROSA CO INC                  | 2000558084 | 410.00    |
| 7/14/2017 | 1000003033 | MORGAN, MICHELE                    | 2000558085 | 1,050.00  |
| 7/14/2017 | 1000003079 | CLINICAL STAFF SUPPORT INC         | 2000558086 | 12,546.93 |
| 7/14/2017 | 1000003164 | THE CHANGE COMPANIES               | 2000558087 | 204.25    |
| 7/14/2017 | 1000003198 | FISHER SCIENTIFIC CO LLC           | 2000558088 | 216.95    |
| 7/14/2017 | 1000003316 | WACO PSYCHOLOGICAL ASSOCIATES PC   | 2000558089 | 1,800.00  |
| 7/14/2017 | 1000003318 | US BANK HOME MORTGAGE              | 2000558090 | 631.35    |
| 7/14/2017 | 1000003331 | RAMIREZ, CARLOS                    | 2000558091 | 300.00    |
| 7/14/2017 | 1000003357 | ALVARENGA, SELENA M                | 2000558092 | 2,900.00  |
| 7/14/2017 | 1000003413 | FREIGHTLINER OF AUSTIN             | 2000558093 | 95.34     |
| 7/14/2017 | 1000003503 | GONNET, LEIGH A                    | 2000558094 | 350.00    |
| 7/14/2017 | 1000003516 | G AND K SERVICES                   | 2000558095 | 86.85     |
| 7/14/2017 | 1000003532 | CDW GOVERNMENT INC                 | 2000558096 | 529.36    |
| 7/14/2017 | 1000003578 | GREEN MOUNTAIN ENERGY CO           | 2000558097 | 609.69    |
| 7/14/2017 | 1000003595 | 4M YOUTH SERVICES INC              | 2000558098 | 5,208.08  |
| 7/14/2017 | 1000003604 | V-QUEST OFFICE MACHINES & SUPPLIES | 2000558099 | 559.96    |
| 7/14/2017 | 1000003626 | WHARTON COUNTY                     | 2000558100 | 9,536.00  |
| 7/14/2017 | 1000003656 | TERRACON CONSULTANTS INC           | 2000558101 | 5,811.21  |
| 7/14/2017 | 1000003699 | KLEMENS, ELLIE E                   | 2000558102 | 5,323.50  |
| 7/14/2017 | 1000003748 | HERNANDEZ, RAPHAEL                 | 2000558103 | 350.00    |
| 7/14/2017 | 1000003757 | FARLEY, TULL                       | 2000558104 | 375.00    |
| 7/14/2017 | 1000003784 | QA CONSTRUCTION SERVICES INC       | 2000558105 | 14,155.00 |
| 7/14/2017 | 1000003797 | WORKERS ASSISTANCE PROGRAM INC     | 2000558106 | 400.00    |
| 7/14/2017 | 1000003912 | GILLESPIE, MARK A                  | 2000558107 | 409.50    |
| 7/14/2017 | 1000003949 | CENTER FOR CHILD PROTECTION        | 2000558108 | 13,302.87 |
| 7/14/2017 | 1000003998 | SALZER, KATHRYN                    | 2000558109 | 2,050.00  |
| 7/14/2017 | 1000004057 | BALLARD AND MULLOWNEY PC           | 2000558110 | 1,650.00  |
| 7/14/2017 | 1000004120 | SAENZ, ALEXANDRA                   | 2000558111 | 4,656.00  |
| 7/14/2017 | 1000004156 | FLETCHER, ROBYNN L                 | 2000558112 | 4,051.75  |
| 7/14/2017 | 1000004238 | ISHAM, KIMBERLY R                  | 2000558113 | 24,943.08 |
| 7/14/2017 | 1000004292 | SANDAD INC                         | 2000558114 | 644.00    |
| 7/14/2017 | 1000004326 | BEN SPRINKLER AND PLUMBING INC     | 2000558115 | 1,132.56  |
| 7/14/2017 | 1000004603 | NICHOLS, MATTHEW C                 | 2000558116 | 575.00    |
| 7/14/2017 | 1000004774 | AUCTOR CORPORATION                 | 2000558117 | 5,675.00  |
| 7/14/2017 | 1000004877 | HIGHLAND WHOLESALE FOODS INCORPC   | 2000558118 | 9,232.86  |
| 7/14/2017 | 1000004920 | ROSS DRESS FOR LESS INC            | 2000558119 | 93.88     |
| 7/14/2017 | 1000005052 | JUSTICE WORKS LLC                  | 2000558120 | 92.00     |
| 7/14/2017 | 1000005123 | CARVAJAL, TERRY M                  | 2000558121 | 90.00     |
| 7/14/2017 | 1000005502 | OREILLY AUTO PARTS                 | 2000558122 | 32.16     |

| PaymentDt | Vendor     | Name 1                             | Payment    | Amnt Paid |
|-----------|------------|------------------------------------|------------|-----------|
| 7/14/2017 | 1000005502 | OREILLY AUTO PARTS                 | 2000558123 | 301.26    |
| 7/14/2017 | 1000005548 | REED, RICHARD DALE                 | 2000558124 | 1,979.16  |
| 7/14/2017 | 1000005764 | LABATT FOOD SERVICE                | 2000558125 | 45,938.72 |
| 7/14/2017 | 1000005937 | AMERISOURCEBERGEN DRUG CORPORA     | 2000558126 | 35,861.87 |
| 7/14/2017 | 1000006157 | SIMPKINS, ROBERT C                 | 2000558127 | 1,575.00  |
| 7/14/2017 | 1000006302 | WALCUTT, PAUL HOLT                 | 2000558128 | 2,775.00  |
| 7/14/2017 | 1000006904 | LANDERS, DAVID D                   | 2000558129 | 450.00    |
| 7/14/2017 | 1000007001 | MCDONALD, ERIC                     | 2000558130 | 700.00    |
| 7/14/2017 | 1000007238 | STAPLES BUSINESS ADVANTAGE         | 2000558131 | 556.81    |
| 7/14/2017 | 1000007288 | VICTIM SAFETY FIRST LLC            | 2000558132 | 12,037.70 |
| 7/14/2017 | 1000007934 | KNAUPE, GREGG W.                   | 2000558133 | 6,500.00  |
| 7/14/2017 | 1000008150 | SERVICWEAR APPAREL INC             | 2000558134 | 504.74    |
| 7/14/2017 | 1000008199 | MCCULLOUGH HEATING AND AIR         | 2000558135 | 150.00    |
| 7/14/2017 | 1000008425 | SIFUENTES, JESUS                   | 2000558136 | 2,040.00  |
| 7/14/2017 | 1000008571 | MCCONNELL, BRIAN                   | 2000558137 | 1,516.67  |
| 7/14/2017 | 1000009097 | JORGESON PITTMAN LLP               | 2000558138 | 5,145.00  |
| 7/14/2017 | 1000009154 | RABAGO, ANTHONY ADAM               | 2000558139 | 1,225.00  |
| 7/14/2017 | 1000009155 | LAW OFFICE OF ROBERT KEATES        | 2000558140 | 1,979.16  |
| 7/14/2017 | 1000009158 | WISE, JOHN L                       | 2000558141 | 325.00    |
| 7/14/2017 | 1000009424 | RED WING SHOE STORE BURNET         | 2000558142 | 169.99    |
| 7/14/2017 | 1000009658 | PIONEER MANUFACTURING CO           | 2000558143 | 4,638.00  |
| 7/14/2017 | 1000009890 | FLORES, ROBERT R                   | 2000558144 | 825.00    |
| 7/14/2017 | 1000010436 | CARDEL SYSTEMS LLC                 | 2000558145 | 2,750.00  |
| 7/14/2017 | 1000010450 | KERCHER, MARGARET                  | 2000558146 | 1,527.50  |
| 7/14/2017 | 1000010646 | LANG MA LPC LSOTP, LESLIE K        | 2000558147 | 140.00    |
| 7/14/2017 | 1000010710 | MAYS, MICHELLE LEA                 | 2000558148 | 800.00    |
| 7/14/2017 | 1000010891 | COMMERCIAL SECURITY INTEGRATION IN | 2000558149 | 2,512.00  |
| 7/14/2017 | 1000010923 | TEMPERATURE CONTROL SYSTEMS INC    | 2000558150 | 416.94    |
| 7/14/2017 | 1000015253 | ICS Jail Supplies, Inc             | 2000558151 | 26.64     |
| 7/14/2017 | 1000015674 | Young, Gabriella Cica              | 2000558152 | 700.00    |
| 7/14/2017 | 1000016229 | ImageSoft Inc                      | 2000558153 | 6,627.75  |
| 7/14/2017 | 1000016636 | FLUENCY INC                        | 2000558154 | 425.00    |
| 7/14/2017 | 1000016934 | Borden Dairy Company               | 2000558155 | 708.26    |
| 7/14/2017 | 1000017089 | DUCES TECUM INC                    | 2000558156 | 175.00    |
| 7/14/2017 | 1000017364 | Rodriquez, Alejandro               | 2000558157 | 292.50    |
| 7/14/2017 | 1000017383 | RC DATA COMMUNICATION LLC          | 2000558158 | 1,125.85  |
| 7/14/2017 | 1000017800 | Mitchell, William Raburn           | 2000558159 | 100.00    |
| 7/14/2017 | 1000017960 | Mauro Psychological Services PLLC  | 2000558160 | 1,000.00  |
| 7/14/2017 | 1000018009 | Boys and Girls Club of the Austin  | 2000558161 | 10,966.68 |
| 7/14/2017 | 1000018284 | WILLIAMS, NATHANIEL G              | 2000558162 | 3,400.00  |
| 7/14/2017 | 1000018801 | BRIDGES, JOHN MARSHALL             | 2000558163 | 1,037.50  |
| 7/14/2017 | 1000018837 | ASPLUNDH TREE EXPERT CO            | 2000558164 | 43,105.92 |
| 7/14/2017 | 1000019067 | GRAND JUNCTION NEWSPAPERS INC      | 2000558165 | 3,041.44  |
| 7/14/2017 | 1000019068 | AIRGAS INC                         | 2000558166 | 339.56    |
| 7/14/2017 | 1000019713 | T 7 ENTERPRISES LLC                | 2000558167 | 908.60    |
| 7/14/2017 | 1000019795 | SILSBEE FORD INC                   | 2000558168 | 32,363.64 |
| 7/14/2017 | 1000020092 | FREEIT DATA SOLUTIONS INC          | 2000558169 | 11,744.25 |
| 7/14/2017 | 1000020180 | BIMBO BAKERIES USA INC             | 2000558170 | 7,064.75  |
| 7/14/2017 | 1000020180 | BIMBO BAKERIES USA INC             | 2000558171 | 5,791.30  |
| 7/14/2017 | 1000020184 | KEITH, DONNA HALL                  | 2000558172 | 2,961.67  |
| 7/14/2017 | 1000020191 | YATIM-ALIN, LEYLA                  | 2000558173 | 260.00    |

| PaymentDt | Vendor     | Name 1                              | Payment    | Amnt Paid  |
|-----------|------------|-------------------------------------|------------|------------|
| 7/14/2017 | 1000020234 | SREENIVASAN, ERIN SHINN             | 2000558174 | 1,100.00   |
| 7/14/2017 | 1000020236 | BLACKBURN BETTS PLLC                | 2000558175 | 729.15     |
| 7/14/2017 | 1000020246 | HARRISON, KIMBERLY SUE              | 2000558176 | 2,000.00   |
| 7/14/2017 | 1000020445 | LAW OFFICE OF LESLIE J BOYKIN PC    | 2000558177 | 2,050.00   |
| 7/14/2017 | 1000020650 | Etkin, Scott H.                     | 2000558178 | 200.00     |
| 7/14/2017 | 1000020734 | TURNER LAW PC, PHIL                 | 2000558179 | 2,050.00   |
| 7/14/2017 | 1000020740 | KUHN, JOHN C                        | 2000558180 | 1,100.00   |
| 7/14/2017 | 1000020922 | Zegema Professional Resource Center | 2000558181 | 1,994.00   |
| 7/14/2017 | 1000020980 | HEATHMAN, ALLISON E                 | 2000558182 | 850.00     |
| 7/14/2017 | 1000021003 | CASEY, JAMES ANDREW                 | 2000558183 | 50.00      |
| 7/14/2017 | 1000021029 | SAUER, DORAN GEORGE                 | 2000558184 | 1,829.17   |
| 7/14/2017 | 1000021051 | WATSON, MICHAEL THOMAS              | 2000558185 | 3,625.00   |
| 7/14/2017 | 1000021126 | CORRECTIONAL SOLUTIONS GROUP LLC    | 2000558186 | 171,839.10 |
| 7/14/2017 | 1000021142 | VASQUEZ, ANITA                      | 2000558187 | 148.00     |
| 7/14/2017 | 1000021218 | PREFERRED TECHNOLOGIES, INC.        | 2000558188 | 31,003.11  |
| 7/14/2017 | 1000021505 | BOHR, JUDITH                        | 2000558189 | 600.00     |
| 7/14/2017 | 1000021669 | DOBSON, WILLIAM V                   | 2000558190 | 600.00     |
| 7/14/2017 | 1000021685 | LEAL, MELISA YVONNE                 | 2000558191 | 397.50     |
| 7/14/2017 | 1000021722 | IRON MOUNTAIN INC                   | 2000558192 | 52,182.45  |
| 7/14/2017 | 1000021767 | BURPEE, BRENT G.                    | 2000558193 | 750.00     |
| 7/14/2017 | 1000021768 | GORSKI, JACKSON F.                  | 2000558194 | 225.00     |
| 7/14/2017 | 1000021930 | CARTER, CLAIRE ROBERTSON            | 2000558195 | 2,525.00   |
| 7/14/2017 | 1000021969 | TIBBE, SHERRI K.                    | 2000558196 | 275.00     |
| 7/14/2017 | 1000022000 | LEON, JOSE MIGUEL                   | 2000558197 | 1,035.00   |
| 7/14/2017 | 1000022055 | Varidesk, LLC                       | 2000558198 | 1,385.00   |
| 7/14/2017 | 1000022679 | STANTEC CONSULTING SERVICES INC.    | 2000558199 | 1,990.00   |
| 7/14/2017 | 1000022683 | DANIEL, ROBERT ALLEN                | 2000558200 | 525.00     |
| 7/14/2017 | 1000022756 | OLIVO, RICHARD A.                   | 2000558201 | 775.00     |
| 7/14/2017 | 1000022764 | TOLBERT, CHRISTOPHER L.             | 2000558202 | 275.00     |
| 7/14/2017 | 1000023018 | THE LAW OFFICE OF KRISTA A CHACONA  | 2000558203 | 650.00     |
| 7/14/2017 | 1000023042 | BOURQUE LAW FIRM                    | 2000558204 | 2,350.00   |
| 7/14/2017 | 1000023093 | ANDERSON, RITA, HECKER              | 2000558205 | 3,774.18   |
| 7/14/2017 | 1000023213 | GONZALES, JACKLYN C                 | 2000558206 | 333.00     |
| 7/14/2017 | 1000023340 | AUSTIN, SUNDAY LISA                 | 2000558207 | 600.00     |
| 7/14/2017 | 1000023435 | EMILY RICKERS LAW, PC               | 2000558208 | 907.50     |
| 7/14/2017 | 1000023481 | GATELY, JILL                        | 2000558209 | 1,950.00   |
| 7/14/2017 | 1000023640 | DEAN, WALTER S                      | 2000558210 | 517.50     |
| 7/14/2017 | 1000023938 | MARTINEZ BROWN, WILLIE BERNARD      | 2000558211 | 900.00     |
| 7/14/2017 | 1000023971 | JOHN C. WILSON, P.C.                | 2000558212 | 2,667.02   |
| 7/14/2017 | 1000024051 | PRIMEAUX, RACHELLE                  | 2000558213 | 167.00     |
| 7/14/2017 | 1000024077 | PERCHES LAW PLLC                    | 2000558214 | 1,275.00   |
| 7/14/2017 | 1000024078 | YOUNG, JAMES R                      | 2000558215 | 950.00     |
| 7/14/2017 | 1000024079 | REINARZ, JANET                      | 2000558216 | 175.00     |
| 7/14/2017 | 1000024089 | SMITH, ROBERT MICHAEL               | 2000558217 | 1,745.84   |
| 7/14/2017 | 1000024103 | HANSEN, ERIKA                       | 2000558218 | 1,050.00   |
| 7/14/2017 | 1000024192 | COGLIANO, ANGELICA                  | 2000558219 | 429.17     |
| 7/14/2017 | 1000024203 | SMALLEY, JOSHUA JAMES               | 2000558220 | 476.66     |
| 7/14/2017 | 1000024254 | LAW OFFICE OF BRENDAN T WOOD PLLC   | 2000558221 | 525.00     |
| 7/14/2017 | 7000000536 | BILINGO LANGUAGE SERVICES           | 2000558222 | 130.00     |
| 7/14/2017 | 7000001131 | ATMOS ENERGY CORPORATION            | 2000558223 | 48.71      |
| 7/14/2017 | 7000002397 | ATMOS ENERGY                        | 2000558224 | 185.04     |

| PaymentDt | Vendor     | Name 1                              | Payment    | Amnt Paid            |
|-----------|------------|-------------------------------------|------------|----------------------|
| 7/14/2017 | 7000004025 | LEON TRANSLATIONS                   | 2000558225 | 2,600.00             |
| 7/14/2017 | 7000005652 | FRED PRYOR SEMINARS                 | 2000558226 | 99.00                |
| 7/14/2017 | 7000005659 | LONE STAR INTERPRETING              | 2000558227 | 666.25               |
| 7/14/2017 | PR006017   | United HealthCare Insurance Company | 2000558228 | 626,777.65           |
| 7/14/2017 | PR006019   | TOTAL ADMINISTRATIVE SERVICES CORP  | 2000558229 | 126,417.61           |
|           |            |                                     |            | <b>12,314,632.23</b> |



## **Travis County Commissioners Court Voting Session Agenda Request**

**Meeting Date:** July 18, 2017

**Agenda Language:** Authorize the County Treasurer to invest County funds.

**Prepared By/Phone Number:** Deborah Lauder milk / 854-9779

**Elected/Appointed Official or Department Head:** Jessica Rio, PBO

**Commissioners Court Sponsor(s):** Judge Sarah Eckhardt

**Background/Summary of Request and Attachments:**

Transactions attached

**Staff Recommendations:**

N/A

**Issues and Opportunities:**

N/A

**Fiscal Impact and Source of Funding:**

N/A

**Required Authorizations:**

Jessica Rio

Judge Sarah Eckhardt

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.

**ITEM # C3**

STATE OF TEXAS

COUNTY OF TRAVIS

Whereas, it appears to the Commissioners Court of Travis County, Texas that there are sufficient funds on hand over and above those of immediate need for operating demand,

Now, Therefore, the Commissioners Court hereby orders

- 1) that the County Treasurer of Travis County, Texas execute the investment of these funds in the total amount of \$11,126,750.00 in legally authorized securities as stipulated in the Travis County Investment Policy for the periods as indicated in Attachment A which consists of 5 pages.
- 2) that the County Treasurer take and hold in safekeeping these investment instruments, relinquishing same only by order of the Court or for surrender at maturity.

Date: July 18, 2017

\_\_\_\_\_  
COUNTY JUDGE

\_\_\_\_\_  
COMMISSIONER, PRECINCT 1

\_\_\_\_\_  
COMMISSIONER, PRECINCT 2

\_\_\_\_\_  
COMMISSIONER, PRECINCT 3

\_\_\_\_\_  
COMMISSIONER, PRECINCT 4

TRAVIS COUNTY

ATTACHMENT A

INVESTMENT DEPARTMENT

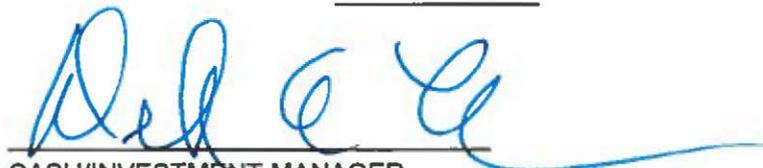
SECURITY TRANSACTION FORM

DATE: 7/12/2017

TIME: 9:30

The following transaction was executed on behalf of Travis County:

|                |                 |                    |                          |
|----------------|-----------------|--------------------|--------------------------|
| DESCRIPTION:   | TexPool         | FUND NAME/NUMBER:  | DEBT SERV TAX BDS / 3005 |
| PAR VALUE:     | \$10,900,000.00 | SAFEKEEPING NO:    | N/A                      |
| CPN/DISC RATE: | N/A             | PRICE:             | 100%                     |
| MATURITY DATE: | N/A             | BOND EQ. YIELD:    | 0.9611%                  |
| PRINCIPAL:     | \$10,900,000.00 | PURCHASED THROUGH: | TexPool                  |
| ACCRUED INT:   | N/A             | BROKER:            | N/A                      |
| TOTAL DUE:     | \$10,900,000.00 | CUSIP #:           | N/A                      |
| TRADE DATE:    | 7/12/2017       | SETTLEMENT DATE:   | 7/12/2017                |

  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 7/12/2017

TIME: 9:30

The following transaction was executed on behalf of Travis County:

|                |                    |                    |                                 |
|----------------|--------------------|--------------------|---------------------------------|
| DESCRIPTION:   | <u>TexSTAR</u>     | FUND NAME/NUMBER:  | <u>CONS.DEBT SERVICE / 3001</u> |
| PAR VALUE:     | <u>\$13,000.00</u> | SAFEKEEPING NO:    | <u>N/A</u>                      |
| CPN/DISC RATE: | <u>N/A</u>         | PRICE:             | <u>100%</u>                     |
| MATURITY DATE: | <u>N/A</u>         | BOND EQ. YIELD:    | <u>0.9626%</u>                  |
| PRINCIPAL:     | <u>\$13,000.00</u> | PURCHASED THROUGH: | <u>TexSTAR</u>                  |
| ACCRUED INT:   | <u>N/A</u>         | BROKER:            | <u>N/A</u>                      |
| TOTAL DUE:     | <u>\$13,000.00</u> | CUSIP #:           | <u>N/A</u>                      |
| TRADE DATE:    | <u>7/12/2017</u>   | SETTLEMENT DATE:   | <u>7/12/2017</u>                |

  
\_\_\_\_\_  
CASH/INVESTMENT MANAGER

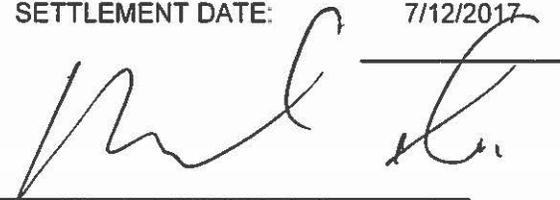
TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

ATTACHMENT A

DATE: 7/12/2017  
TIME: 9:30

The following transaction was executed on behalf of Travis County:

|                |            |                    |                          |
|----------------|------------|--------------------|--------------------------|
| DESCRIPTION:   | TexSTAR    | FUND NAME/NUMBER:  | DEBT SERV TAX BDS / 3005 |
| PAR VALUE:     | \$3,000.00 | SAFEKEEPING NO:    | N/A                      |
| CPN/DISC RATE: | N/A        | PRICE:             | 100%                     |
| MATURITY DATE: | N/A        | BOND EQ. YIELD:    | 0.9626%                  |
| PRINCIPAL:     | \$3,000.00 | PURCHASED THROUGH: | TexSTAR                  |
| ACCRUED INT:   | N/A        | BROKER:            | N/A                      |
| TOTAL DUE:     | \$3,000.00 | CUSIP #:           | N/A                      |
| TRADE DATE:    | 7/12/2017  | SETTLEMENT DATE:   | 7/12/2017                |

  
CASH/INVESTMENT MANAGER

TRAVIS COUNTY  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 7/11/2017

TIME: 9:30

The following transaction was executed on behalf of Travis County:

|                |             |                    |                    |
|----------------|-------------|--------------------|--------------------|
| DESCRIPTION:   | TexSTAR     | FUND NAME/NUMBER:  | POOLED BOND / 8002 |
| PAR VALUE:     | \$53,750.00 | SAFEKEEPING NO:    | N/A                |
| CPN/DISC RATE: | N/A         | PRICE:             | 100%               |
| MATURITY DATE: | N/A         | BOND EQ. YIELD:    | 0.9666%            |
| PRINCIPAL:     | \$53,750.00 | PURCHASED THROUGH: | TexSTAR            |
| ACCRUED INT:   | N/A         | BROKER:            | N/A                |
| TOTAL DUE:     | \$53,750.00 | CUSIP #:           | N/A                |
| TRADE DATE:    | 7/11/2017   | SETTLEMENT DATE:   | 7/11/2017          |

  
 CASH/INVESTMENT MANAGER

TRAVIS COUNTY  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 7/10/2017

TIME: 9:30

The following transaction was executed on behalf of Travis County:

|                |                     |                    |                                 |
|----------------|---------------------|--------------------|---------------------------------|
| DESCRIPTION:   | <u>TexSTAR</u>      | FUND NAME/NUMBER:  | <u>OPERATING ACCOUNT / 8000</u> |
| PAR VALUE:     | <u>\$157,000.00</u> | SAFEKEEPING NO:    | <u>N/A</u>                      |
| CPN/DISC RATE: | <u>N/A</u>          | PRICE:             | <u>100%</u>                     |
| MATURITY DATE: | <u>N/A</u>          | BOND EQ. YIELD:    | <u>0.9624%</u>                  |
| PRINCIPAL:     | <u>\$157,000.00</u> | PURCHASED THROUGH: | <u>TexSTAR</u>                  |
| ACCRUED INT:   | <u>N/A</u>          | BROKER:            | <u>N/A</u>                      |
| TOTAL DUE:     | <u>\$157,000.00</u> | CUSIP #:           | <u>N/A</u>                      |
| TRADE DATE:    | <u>7/10/2017</u>    | SETTLEMENT DATE:   | <u>7/10/2017</u>                |

  
 \_\_\_\_\_  
 CASH/INVESTMENT MANAGER

TRAVIS COUNTY INVESTMENT REPORT  
 PORTFOLIO STATISTICS  
 DATE: July 14, 2017

| By Fund Type           |                          |                |
|------------------------|--------------------------|----------------|
| Operating              | \$ 453,763,349.58        | 59.06%         |
| Debt Service           | 39,042,034.13            | 5.08%          |
| Pooled Bond Fund       | 275,115,876.21           | 35.81%         |
| Other                  | 354,298.08               | 0.05%          |
| <b>Total Portfolio</b> | <b>\$ 768,275,558.00</b> | <b>100.00%</b> |

| By Security Type        |                          |                |
|-------------------------|--------------------------|----------------|
| Operating-              |                          |                |
| Government Agencies     | \$ 251,525,546.11        | 55.43%         |
| Government Treasuries   | -                        | 0.00%          |
| Municipal Bonds         | 69,318,534.25            | 15.28%         |
| Certificates of Deposit | 152,524.22               | 0.03%          |
| Commercial Paper        | 10,646,821.00            | 2.35%          |
| TexasTERM CP            | -                        | 0.00%          |
| TexasDAILY              | 62,090,136.63            | 13.68%         |
| TexSTAR                 | 21,900,775.24            | 4.83%          |
| TexPool                 | 38,129,012.13            | 8.40%          |
| <b>Total</b>            | <b>\$ 453,763,349.58</b> | <b>100.00%</b> |

|                     |                         |                |
|---------------------|-------------------------|----------------|
| Debt Service-       |                         |                |
| Government Agencies | \$ -                    | 0.00%          |
| Municipal Bonds     | -                       | 0.00%          |
| Commercial Paper    | 1,293,539.00            | 3.31%          |
| TexasTERM CP        | -                       | 0.00%          |
| TexasDAILY          | 11,479,262.40           | 29.40%         |
| TexSTAR             | 7,957,512.57            | 20.38%         |
| TexPool             | 18,311,720.16           | 46.90%         |
| <b>Total</b>        | <b>\$ 39,042,034.13</b> | <b>100.00%</b> |

|                       |                          |                |
|-----------------------|--------------------------|----------------|
| Pooled Bond Fund-     |                          |                |
| Government Agencies   | \$ 165,328,339.80        | 60.09%         |
| Government Treasuries | -                        | 0.00%          |
| Municipal Bonds       | 38,517,548.59            | 14.00%         |
| Commercial Paper      | -                        | 0.00%          |
| TexasTERM CP          | 7,000,000.00             | 2.54%          |
| TexasDAILY            | 15,586,661.05            | 5.67%          |
| TexSTAR               | 20,695,481.40            | 7.52%          |
| TexPool               | 27,987,865.37            | 10.17%         |
| <b>Total</b>          | <b>\$ 275,115,876.21</b> | <b>100.00%</b> |

|                         |                      |                |
|-------------------------|----------------------|----------------|
| Other-                  |                      |                |
| Certificates of Deposit | \$ 354,298.08        | 100.00%        |
|                         | <b>\$ 354,298.08</b> | <b>100.00%</b> |

| Summary                 | Investment               | Actual         | Guidelines |
|-------------------------|--------------------------|----------------|------------|
| Combined Portfolio-     |                          |                |            |
| Government Agencies     | \$ 416,853,885.91        | 54.26%         | 75.00%     |
| Government Treasuries   | -                        | 0.00%          | 100.00%    |
| Municipal Bonds         | 107,836,082.84           | 14.04%         | 20.00%     |
| Certificates of Deposit | 506,822.30               | 0.07%          | 50.00%     |
| Commercial Paper        | 11,940,360.00            | 1.55%          | 20.00%     |
| TexasTERM CP            | 7,000,000.00             | 0.91%          | 20.00%     |
| Texas DAILY             | 89,156,060.08            | 11.60%         | 30.00%     |
| TexSTAR                 | 50,553,749.21            | 6.58%          | 30.00%     |
| TexPool                 | 84,428,567.66            | 10.99%         | 50.00%     |
| <b>Total</b>            | <b>\$ 768,275,558.00</b> | <b>100.00%</b> |            |

| All Local Government Investment Pools in Total: | Investment               | Actual        | Guidelines    |
|---|--------------------------|---------------|---------------|
| TexasTERM CP                                    | \$ 7,000,000.00          | 0.91%         |               |
| Texas DAILY                                     | 89,156,060.08            | 11.60%        |               |
| TexSTAR   | 50,553,749.21            | 6.58%         |               |
| TexPool   | 84,428,597.66            | 10.99%        |               |
|   | <u>\$ 231,138,406.95</u> | <u>30.09%</u> | <u>60.00%</u> |

| Municipal Bonds by Entity as a Percentage of Portfolio | Investment              | Actual        | Guidelines    |
|--|-------------------------|---------------|---------------|
| <b>Operating Portfolio-</b>                            |                         |               |               |
| Univ Pitts Hgr Edu Txbi Rev                            | 8,000,000.00            | 1.76%         | 5.00%         |
| NC State Agric & Tech - Tax                            | 250,000.00              | 0.06%         | 5.00%         |
| Calif. St. Dept. Vet Home Purch                        | 1,480,000.00            | 0.33%         | 5.00%         |
| Tulsa County OK ISD GO 4/18                            | 6,814,425.00            | 1.50%         | 5.00%         |
| ST Calif GO - High Speed Train 2018                    | 2,000,000.00            | 0.44%         | 5.00%         |
| Reg Trans Auth IL Rev                                  | 7,378,040.26            | 1.63%         | 5.00%         |
| TX A&M Brd Rgts Rev Bonds                              | 2,000,000.00            | 0.44%         | 5.00%         |
| Louisville KY Reg Air Rev Bonds                        | 2,776,361.50            | 0.61%         | 5.00%         |
| FLA Hurrican Rev Bonds                                 | 6,428,025.78            | 1.42%         | 5.00%         |
| Harris County Rev Bonds                                | 2,042,106.67            | 0.45%         | 5.00%         |
| Univ Pitts Hgr Edu Txbi Rev                            | 3,000,000.00            | 0.66%         | 5.00%         |
| ST Calif GO - High Speed Train 2019                    | 2,500,000.00            | 0.55%         | 5.00%         |
| FLA ST Brd Admin Fin Rev Bnds                          | 8,048,001.33            | 1.77%         | 5.00%         |
| Arizona ST Sch Fac COPS                                | 3,755,123.65            | 0.83%         | 5.00%         |
| NYC GO Bonds   | 7,521,833.67            | 1.66%         | 5.00%         |
| TX A&M Univ Rev Bonds                                  | 5,324,616.39            | 1.17%         | 5.00%         |
|  | <u>\$ 69,318,534.25</u> | <u>15.28%</u> | <u>20.00%</u> |
| <b>Debt Service Portfolio</b>                          |                         |               |               |
|  | \$ -                    | 0.00%         | 5.00%         |
|  | <u>\$ -</u>             | <u>0.00%</u>  | <u>20.00%</u> |

| Pooled Bond Portfolio         | Investment              | Actual        | Guidelines    |
|-------------------------------|-------------------------|---------------|---------------|
| Miami-Dade Airport Rev Bonds  | 5,465,000.00            | 1.99%         | 5.00%         |
| NYC Trans. Rev. Tax-Ex 2/1/18 | 6,081,765.00            | 2.21%         | 5.00%         |
| Mass St Hlth & Ed Harvard Ref | 5,413,894.44            | 1.97%         | 5.00%         |
| Weld Cty CO Sch Dist.         | 5,091,161.11            | 1.85%         | 5.00%         |
| Brandon MI Sch Dist GO        | 2,538,033.33            | 0.92%         | 5.00%         |
| FLA ST Brd Admin Fin Rev Bnds | 2,821,891.39            | 0.95%         | 5.00%         |
| State of Hawaii GO            | 2,972,230.33            | 1.08%         | 5.00%         |
| State of NY Dorm Auth Rev     | 4,313,204.10            | 1.57%         | 5.00%         |
| State of Miss Ref Bond GO     | 4,020,368.89            | 1.46%         | 5.00%         |
|                               | <u>\$ 38,517,548.59</u> | <u>14.00%</u> | <u>20.00%</u> |

| Total Portfolio-                | Investment               | Actual        | Guidelines    |
|---------------------------------|--------------------------|---------------|---------------|
| NC State Agric & Tech - Tax     | 250,000.00               | 0.03%         | 5.00%         |
| Calif. St. Dept. Vet Home Purch | 1,480,000.00             | 0.19%         | 5.00%         |
| Tulsa County OK ISD GO 4/18     | 6,814,425.00             | 0.89%         | 5.00%         |
| Univ Pitts Hgr Edu Txbi Rev     | 11,000,000.00            | 1.43%         | 5.00%         |
| Miami-Dade Airport Rev Bonds    | 5,465,000.00             | 0.71%         | 5.00%         |
| NYC Trans. Rev. Tax-Ex 2/1/18   | 6,081,765.00             | 0.79%         | 5.00%         |
| Reg Trans Auth IL Rev           | 7,378,040.26             | 0.96%         | 5.00%         |
| TX A&M Brd Rgts Rev Bonds       | 7,324,616.39             | 0.95%         | 5.00%         |
| Louisville KY Reg Air Rev Bonds | 2,776,361.50             | 0.36%         | 5.00%         |
| ST Calif GO - High Speed Train  | 4,500,000.00             | 0.59%         | 5.00%         |
| FLA Hurrican Rev Bonds          | 6,428,025.78             | 0.84%         | 5.00%         |
| Harris County Rev Bonds         | 2,042,106.67             | 0.27%         | 5.00%         |
| FLA ST Brd Admin Fin Rev Bnds   | 10,669,892.72            | 1.38%         | 5.00%         |
| Mass St Hlth & Ed Harvard Ref   | 5,413,894.44             | 0.70%         | 5.00%         |
| Weld Cty CO Sch Dist.           | 5,091,161.11             | 0.66%         | 5.00%         |
| Brandon MI Sch Dist GO          | 2,538,033.33             | 0.33%         | 5.00%         |
| Arizona ST Sch Fac COPS         | 3,755,123.65             | 0.49%         | 5.00%         |
| NYC GO Bonds                    | 7,521,833.67             | 0.98%         | 5.00%         |
| State of Hawaii GO              | 2,972,230.33             | 0.39%         | 5.00%         |
| State of NY Dorm Auth Rev       | 4,313,204.10             | 0.56%         | 5.00%         |
| State of Miss Ref Bond GO       | 4,020,368.89             | 0.52%         | 5.00%         |
|                                 | <u>\$ 107,836,082.84</u> | <u>14.04%</u> | <u>20.00%</u> |

| Commercial Paper by Firm as a Percentage of Portfolio | Investment              | Actual       | Guidelines    |
|---|-------------------------|--------------|---------------|
| <b>Operating Portfolio-</b>                           |                         |              |               |
| ING (8/11/17)   | \$ 10,646,821.00        | 2.35%        | 5.00%         |
| Total Operating                                       | <u>\$ 10,646,821.00</u> | <u>2.35%</u> | <u>20.00%</u> |
| <b>Debt Service Portfolio</b>                         |                         |              |               |
| ING (8/11/17)   | \$ 1,293,539.00         | 3.31%        | 5.00%         |
|   | <u>\$ 1,293,539.00</u>  | <u>3.31%</u> | <u>20.00%</u> |
| <b>Pooled Bond Portfolio</b>                          |                         |              |               |
|   | \$ -                    | 0.00%        | 5.00%         |
| Total Pooled Bond                                     | <u>\$ -</u>             | <u>0.00%</u> | <u>20.00%</u> |
| <b>Total Portfolio-</b>                               |                         |              |               |
| ING   | \$ 11,940,360.00        | 1.55%        | 5.00%         |
| Total Combined Portfolio                              | <u>\$ 11,940,360.00</u> | <u>1.55%</u> | <u>20.00%</u> |



# Travis County Commissioners Court Voting Session Agenda Request

**Meeting Date:** July 18, 2017

**Agenda Language:**

Approve the Commissioners Court Minutes for the Voting Session of July 6, 2017

**Prepared By/Phone Number:** Robert Resnick, Commissioners Court Specialist, 512-854-4722

**Elected/Appointed Official or Department Head:** Dana DeBeauvoir, Travis County Clerk

**Commissioners Court Sponsor(s):** Judge Eckhardt

**Background/Summary of Request and Attachments:**

N/A

**Staff Recommendations:**

N/A

**Issues and Opportunities:**

N/A

**Fiscal Impact and Source of Funding:**

N/A

**Required Authorizations:**

Ronald Morgan, Chief Deputy, Travis County Clerk's Office

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.



# Minutes for the Travis County Commissioners Court Thursday, July 6, 2017 Special Voting Session

Minutes Prepared by the Travis County Clerk  
512-854-4722 • [www.traviscountytexas.gov](http://www.traviscountytexas.gov) • PO Box 149325, Austin, TX 78714-9325

## Call to Order

Meeting called to order on July 6, 2017, in the Travis County Administration Building, Commissioners Courtroom, 700 Lavaca Street, 1st Floor, Austin, TX, Dana DeBeauvoir, County Clerk, was represented by Deputies Robert Resnick and Gillian Porter.

|                            |                          |         |
|----------------------------|--------------------------|---------|
| Sarah Eckhardt             | County Judge             | Present |
| Jeffrey W. Travillion, Sr. | Precinct 1, Commissioner | Present |
| Brigid Shea                | Precinct 2, Commissioner | Present |
| Gerald Daugherty           | Precinct 3, Commissioner | Absent  |
| Margaret J. Gómez          | Precinct 4, Commissioner | Present |

## Public Communication

*Clerk's Note: There were no speakers for Public Communication.*

## County Announcements

*Clerk's Note: The County Judge expressed the Court's condolences to the family affected by the recent tragedy at Reimers Ranch, and to the family of Mike Fisher, Bastrop County Emergency Services.*

*The Judge noted that a video conferencing pilot test will take place at the July 11, 2017 Commissioners Court Voting Session, with Commissioner Daugherty.*

## Consent Items

- C1. Receive bids from the County Purchasing Agent. (Commissioner Travillion)
- C2. Approve payment of claims by the County Treasurer. (Judge Eckhardt)
- C3. Authorize the County Treasurer to invest County funds. (Judge Eckhardt)

**MOTION:** Approve Consent Items C1–C3 and Agenda Items 3, 5, 8, 13, 14.a–b, 15, 16, 17, 18, 19, and 20.  
**RESULT:** **ADOPTED [UNANIMOUS]**  
**MOVER:** Margaret J. Gómez, Commissioner  
**SECONDER:** Brigid Shea, Commissioner  
**AYES:** Sarah Eckhardt, Jeffrey Travillion Sr, Brigid Shea, Margaret J. Gómez  
**ABSENT:** Gerald Daugherty

## Emergency Services

1. Consider and take appropriate action on an order concerning outdoor burning in the unincorporated areas of Travis County. (Judge Eckhardt)

Members of the Court heard from:

Tony Callaway, Travis County Fire Marshal

**MOTION:** Approve a ban on outdoor burning for the unincorporated areas of Travis County.  
**RESULT:** **APPROVED [UNANIMOUS]**  
**MOVER:** Brigid Shea, Commissioner  
**SECONDER:** Margaret J. Gómez, Commissioner  
**AYES:** Sarah Eckhardt, Jeffrey Travillion Sr, Brigid Shea, Margaret J. Gómez  
**ABSENT:** Gerald Daugherty

## Justice Planning

2. Receive update on DNA issues related to the closure of the Austin Police Department Forensics Sciences Center's DNA testing lab, and take appropriate action. (Judge Eckhardt)

Members of the Court heard from:

Roger Jefferies, County Executive, Justice and Public Safety (JPS)  
Gregg Cox, Director of Operations, Travis County District Attorney's Office  
Troy Gay, Assistant Chief, Austin Police Department  
Margaret Moore, Travis County District Attorney  
Rey Arellano, Assistant City Manager, City of Austin

**RESULT:** **DISCUSSED**

## Health and Human Services

3. Consider and take appropriate action on appointments to the Travis County Children's Protective Services Board. (Judge Eckhardt)

**RESULT:** **ADDED TO CONSENT**

4. Receive update on Master Community Workforce Plan. (Judge Eckhardt)

Members of the Court heard from:

Cesiah Kessler, Board Chair, Workforce Solutions  
Tamara Atkinson, Executive Director, Workforce Solutions  
Lawrence Lyman, Director, Research and Planning Division, Travis County Health and Human Services & Veterans Service (TCHHS&VS)

**RESULT:** **DISCUSSED**

## Planning and Budget

5. Consider and take appropriate action on budget amendments, transfers and discussion items. (Commissioner Gómez)

**RESULT:           ADDED TO CONSENT**

6. Consider and take appropriate action on 308 Guadalupe. **(This item may be taken into Executive Session under the Consultation with Attorney and Real Property exceptions.)** (Judge Eckhardt)

*Judge Eckhardt announced that Item 6 would be considered in Executive Session pursuant to Gov't. Code Ann. 551.071, Consultation with Attorney and Gov't. Code Ann. 551.072, Real Property.*

**RESULT:           DISCUSSED**

7. Consider and take appropriate action on the Civil & Family Courts Capacity Project. **(This item may be taken into Executive Session under the Consultation with Attorney and Real Property exceptions.)** (Judge Eckhardt)

*Judge Eckhardt announced that Item 7 would be considered in Executive Session pursuant to Gov't. Code Ann. 551.071, Consultation with Attorney and Gov't. Code Ann. 551.072, Real Property.*

**RESULT:           DISCUSSED**

## Operations Management

8. Consider and take appropriate action on routine personnel actions. (Commissioner Gómez)

**RESULT:           ADDED TO CONSENT**

9. Discuss roles and responsibilities for the position of County Executive of Operations Management. **(This item may be taken into Executive Session under the Consultation with Attorney and Personnel Matters exceptions.)** (Judge Eckhardt)

Members of the Court heard from:

    Sherri Fleming, County Executive, TCHHS&VS

    Tracey Calloway, Director, Human Resources Management Department (HRMD)

*Judge Eckhardt announced that Item 9 would be considered in Executive Session pursuant to Gov't. Code Ann. 551.071, Consultation with Attorney and Gov't. Code Ann. 551.074, Personnel Matters.*

**RESULT:           DISCUSSED**

10. Consider and take appropriate action on recommendations for centralized administration for Family and Medical Leave. (Judge Eckhardt)

Members of the Court heard from:

Tracey Calloway, Director, HRMD  
David Jungerman, Chief Auditor, Internal Audit Division, County Auditor's Office  
John Hille, Assistant County Attorney

**MOTION:** Approve Item 10, as recommended by staff.  
**MOVER:** Margaret J. Gómez, Commissioner  
**SECONDER:** Jeffery W. Travillion, Sr., Commissioner  
**FRIENDLY AMENDMENT:** Follow HRMD's recommendation of an external review of the centralized process.  
**MOVER:** Sarah Eckhardt, Judge  
**RESULT:** **FRIENDLY AMENDMENT ACCEPTED**

*Judge Eckhardt announced that Item 10 would be considered in Executive Session pursuant to Gov't. Code Ann. 551.071, Consultation with Attorney and Gov't. Code Ann. 551.074, Personnel Matters.*

*Clerk's Note: A Vote on the Standing Motion was taken.*

**RESULT:** **APPROVED [UNANIMOUS]**  
**AYES:** Sarah Eckhardt, Jeffrey Travillion Sr, Brigid Shea, Margaret J. Gómez  
**ABSENT:** Gerald Daugherty

11. Consider and take appropriate action on the following items regarding the Travis County Compensation Committee:

- a. Receive update from the Committee on and provide input for FY18 compensation considerations in advance of the next Committee meeting

*Clerk's Note: Item 11.a was discussed.*

- b. Replace the following retired members of the Committee as follows:

- 1) Beverly Evans with Victoria Ramirez
- 2) Jim Collins with Sherine Thomas
- 3) Danny Hobby with Josh Davies (Commissioners Travillion & Gómez)

Members of the Court heard from:

Tracey Calloway, Director, HRMD  
Todd Osburn, Compensation Manager, HRMD  
Barbara Wilson, Assistant County Attorney  
Jessica Rio, County Executive, Planning and Budget Office (PBO)  
Carol Guthrie, Business Manager, Local 1624, American Federation of State, County and Municipal Employees (AFSCME)

**MOTION:** Approve Item 11.b.  
**RESULT:** **APPROVED [UNANIMOUS]**  
**MOVER:** Jeffrey Travillion Sr, Commissioner  
**SECONDER:** Brigid Shea, Commissioner  
**AYES:** Sarah Eckhardt, Jeffrey Travillion Sr, Brigid Shea, Margaret J. Gómez  
**ABSENT:** Gerald Daugherty

12. Consider and take appropriate action regarding the installation of an electric vehicle charging station at the 800 Lavaca Street parking garage, street level along Guadalupe Street.  
(Commissioner Shea)

Members of the Court heard from:

Roger El Khoury, Director, Facilities Management Department (FMD)

**MOTION:** Approve Item 12.  
**RESULT:** **APPROVED [UNANIMOUS]**  
**MOVER:** Brigid Shea, Commissioner  
**SECONDER:** Margaret J. Gómez, Commissioner  
**AYES:** Sarah Eckhardt, Jeffrey Travillion Sr, Brigid Shea, Margaret J. Gómez  
**ABSENT:** Gerald Daugherty

### **Transportation and Natural Resources**

13. Approve setting a public hearing on Tuesday, July 25, 2017, to receive comments regarding the prioritized candidate list of projects as selected by the 2017 Citizens Bond Advisory Committee.  
(Commissioners Travillion & Shea)

**RESULT: ADDED TO CONSENT**

14. Consider and take appropriate action on the following cash security agreements with Gehan Homes LTD, for sidewalk fiscal for Commons at Rowe Lane Phase 6A, in Precinct One:

- a. Lot 10 Block R
- b. Lot 11 Block R
- c. Lot 15 Block R
- d. Lot 6 Block T
- e. Lot 12 Block T
- f. Lot 16 Block R
- g. Lot 10 Block T
- h. Lot 9 Block T
- i. Lot 12 Block S
- j. Lot 13 Block S
- k. Lot 9 Block R
- l. Lot 9 Block GG (Commissioner Travillion)

**RESULT: ADDED TO CONSENT**

15. Consider and take appropriate action on a plat for recording: Star Ranch Phase 2 Section 2 (Final plat – four commercial lots – 8.086 acres – Priem Lane – water and waste water by Williamson County Water, Sewer, Irrigation, and Drainage District No. 3 – City of Hutto ETJ) in Precinct One. (Commissioner Travillion)

**RESULT:           ADDED TO CONSENT**

16. Consider and take appropriate action on a plat for recording: Star Ranch Phase 2 Section 3 (Final plat – three commercial lots – 2.681 acres – Muirfield Bend Drive – water and waste water by Williamson County Water, Sewer, Irrigation, and Drainage District No. 3 – City of Hutto ETJ) in Precinct One. (Commissioner Travillion)

**RESULT:           ADDED TO CONSENT**

17. Consider and take appropriate action on a request to use a cash security agreement for boundary street improvements for the Goodnight Ranch, Phase One, Section Five project within Precinct Four. (Commissioner Gómez)

**RESULT:           ADDED TO CONSENT**

#### **Purchasing Office Items**

18. Authorize Purchasing Agent to commence negotiations with the highest-ranked firm for Request for Qualifications (RFQ) No. Q1703-009-PS, Architectural/Engineering Services for the Restoration and Renovation of the Historic Austin Federal Courthouse. (Commissioner Travillion)

**RESULT:           ADDED TO CONSENT**

19. Approve Modification No. 5 to Contract No. 4400002860, Harvey-Cleary Builders, for Construction of the Travis County Medical Examiner's Office Building. (Commissioner Travillion)

**RESULT:           ADDED TO CONSENT**

20. Approve Interlocal Agreement No. 4400003200, Texas Workforce Commission, for Information Release for Travis County Constable Precinct Three. (Commissioner Travillion)

**RESULT:           ADDED TO CONSENT**

#### **Other**

21. Consider and take appropriate action regarding the position of Chief Information Officer:
  - a. Accept notice of resignation for Tanya Acevedo, effective July 15, 2017
  - b. Discuss job description, reporting relationships, and process to recruit & hire for the position
  - c. Designate an interim Chief Information Officer until a formal search is completed to name a permanent appointment

**(This item may be taken into Executive Session under the Consultation with Attorney and Personnel Matters exceptions.)** (Judge Eckhardt)

Members of the Court heard from:  
Tracey Calloway, Director, HRMD  
Sherri Fleming, County Executive, TCHHS&VS

*Clerk's Note: The Court accepted the notice of resignation for Tanya Acevedo.*

*Judge Eckhardt announced that Items 21.b & c would be considered in Executive Session pursuant to Gov't. Code Ann. 551.071, Consultation with Attorney and Gov't. Code Ann. 551.074, Personnel Matters.*

**MOTION:** Appoint John Stark as Interim Chief Information Officer.  
**RESULT:** **APPROVED [UNANIMOUS]**  
**MOVER:** Jeffrey Travillion Sr, Commissioner  
**SECONDER:** Brigid Shea, Commissioner  
**AYES:** Sarah Eckhardt, Jeffrey Travillion Sr, Brigid Shea, Margaret J. Gómez  
**ABSENT:** Gerald Daugherty

22. Consider and take appropriate action regarding tax collection agreement with Lazy Nine Municipal Utility District No. 1A. (Judge Eckhardt)

**MOTION:** Approve Item 22.  
**RESULT:** **APPROVED [UNANIMOUS]**  
**MOVER:** Margaret J. Gómez, Commissioner  
**SECONDER:** Jeffrey Travillion Sr, Commissioner  
**AYES:** Sarah Eckhardt, Jeffrey Travillion Sr, Brigid Shea, Margaret J. Gómez  
**ABSENT:** Gerald Daugherty

23. Consider and take appropriate action on issues related to the North Campus Development Project at 5325–5335 Airport Boulevard. **(This item may be taken into Executive Session under the Consultation with Attorney and Real Property exceptions.)** (Judge Eckhardt)

**RESULT:** **NO ACTION NECESSARY**

### **Executive Session**

The Commissioners Court will consider the following items in Executive Session. The Commissioners Court may also consider any other matter posted on the agenda if there are issues that require consideration in Executive Session and the Commissioners Court announces that the item will be considered during Executive Session.

*Note 1: Gov't Code Ann 551.071, Consultation with Attorney*

*Note 2: Gov't Code Ann 551.072, Real Property*

*Note 3: Gov't Code Ann 551.074, Personnel Matters*

*Note 4: Gov't Code Ann 551.076, Security*

*Note 5: Gov't Code Ann 551.087, Economic Development Negotiations*

24. Receive briefing and take appropriate action regarding Texas v. Travis County, et al., Cause No. 1:17-cv-425-SS, and City of El Cenizo, et al. v. State of Texas, et al., Cause No. 5:17-cv-404-OLG. <sup>1</sup> (Judge Eckhardt)

*Judge Eckhardt announced that Item 24 would be considered in Executive Session pursuant to Gov't. Code Ann. 551.071, Consultation with Attorney.*

**RESULT:** **DISCUSSED**

25. Receive briefing on the Office of Civil Rights' (OCR) draft audit findings regarding Travis County's compliance with the Health Insurance Portability and Accountability Act (HIPAA) Security Rule. <sup>1</sup> (Judge Eckhardt)

*Judge Eckhardt announced that Item 25 would be considered in Executive Session pursuant to Gov't. Code Ann. 551.071, Consultation with Attorney.*

**RESULT: NO ACTION NECESSARY**

26. Consider and take appropriate action on an offer to sell approximately 30 acres of undeveloped land located south of Littig Road and along Wilbarger Creek in Precinct One, as part of the Eastern Creeks Open Space, 2011 Bond program. <sup>1&2</sup> (Commissioner Travillion)

*Judge Eckhardt announced that Item 26 would be considered in Executive Session pursuant to Gov't. Code Ann. 551.071, Consultation with Attorney and Gov't. Code Ann. 551.072, Real Property.*

**MOTION:** Accept the offer to sell.  
**RESULT:** **APPROVED [UNANIMOUS]**  
**MOVER:** Jeffrey Travillion Sr, Commissioner  
**SECONDER:** Brigid Shea, Commissioner  
**AYES:** Sarah Eckhardt, Jeffrey Travillion Sr, Brigid Shea, Margaret J. Gómez  
**ABSENT:** Gerald Daugherty

**Adjourn**

### **Minutes approved by the Commissioners Court**

\_\_\_\_\_  
Date of Approval

\_\_\_\_\_  
Sarah Eckhardt, Travis County Judge



# Travis County Commissioners Court Agenda Request

**Meeting Date:** July 18, 2018

**Prepared by/Phone Number:** Josh Davies/854.4416

**Elected/Appointed Official/Dept. Head:** Josh Davies  
County Executive - ES

**Commissioners Court Sponsors:** Gerald Daugherty, Pct. 3  
Brigid Shea, Pct. 4

**Agenda Language:**

Receive report on the status of Emergency Services Strategic Planning.

**Background/Summary of Request and Attachments:**

The purpose of this report is to provide an update as to the status of the Emergency Services Strategic Planning.

All divisions within the Emergency Services Department (Medical Examiner, Office of Emergency Management, STAR Flight, Fire Marshal, and Executives Office) are participating in a one year strategic planning process which is scheduled to conclude in February 2018 with a published and Commissioners Court approved Emergency Services Strategic Plan. A project charter has been attached [Attachment 1: Emergency Services Strategic Planning Charter] and is being used to guide the process including the identification of various milestones and timelines.

Project Objectives:

1. Develop a roadmap for the next five years related to the core activities of the Emergency Services Departments in the form of a Strategic Plan.

2. Develop Executive Leadership relationships through the planning process which is designed to empower collaboration and strategic visioning.
3. Create a planning process that considers the value and input of all staff, stakeholders, and County partners.
4. Provide an opportunity for any Emergency Services staff member to take lead roles in a large, strategic level project.
5. Create a forum for honest and informed program evaluation in order to develop a well-defined and actionable plan.
6. Encourage creativity, innovation, and Just Culture approaches.

#### Key Deliverables:

1. Integrated planning between all Emergency Services Departments
2. Increased staff awareness of the need for strategic planning as a driver of service analysis and cost determination.
3. Production of a one to three-year Work Plan
4. Production of a five year Emergency Services Strategic Plan
5. Increased staff, management, and stakeholder awareness of the roles and responsibilities of Emergency Services.
6. Collaborative visioning and shared understandings related to management and organizational priorities.

#### Progress to Date:

The strategic planning process is progressing well and on schedule.

1. **Preparatory: Mandates and Essential Services Determination**  
Division heads have collected and identified legal mandates and essential services that must be accomplished by the organization. Each mandate and essential services has been reviewed and the



division heads are determining if any gaps exist as they progress through the strategic planning process.

Responsible: Department Heads  
Timeline: **April 2017 - Complete**  
Deliverables: Applicable Mandates Documented  
Essential Services Documented  
Credentials & Accreditations Documented

**2. Preparatory: Lean Six Sigma Yellow Belt**



29 County Staff and one external emergency services partner completed the Lean Six Sigma Yellow Belt program. The program provides a framework for understanding performance standards and measurement as they apply to department operations, quality assurance and improvement, and strategic planning. The course received positive evaluations; Emergency Services has submitted a FY2018 budget request to offer the full suite of courses to County Staff (Yellow, Green, and Black Belt).

Responsible: County Executive  
Timeline: **June 1-2, 2017 Complete**  
Deliverables: Increased Performance Management Skills  
Lean Six Sigma Yellow Belt Capabilities in each ES Department  
Access to Strategic Planning Resources

**3. Preparatory: Work Plans**



The three-year Emergency Services Work Plan has been shared with each Department so that all Department staff members are aware of the short and mid-term organizational priorities (in each division). Each department creates subordinate work plans as necessary to track progress, assign/delegate tasks, and to provide routine updates.

Responsible: County Executive and Department Heads  
Timeline: **March 2017 - Complete**  
Deliverables: Emergency Services Work Plan (attached)

#### 4. **Preparatory: Staff Review of Existing Mission, Vision, and Values**



In advance of Session 1, division heads have reviewed the existing department mission, vision, and values with staff to determine if they are accurate and reflective of applicable mandates and essential services in addition to mission-specific attributes. Department heads will use the Golden Circle to craft revised statements that focus on “why” and not simply “how” and “what”. Suggestions are discussed and collected for use in Session 1.

Responsible: Department Heads  
Timeline: **April / May 2017 - Complete**  
Deliverables: Mission, Vision, Values Documented

#### 5. **Preparatory: Staff Anonymous On-Line Survey**



In advance of Session 1, all Emergency Services Staff have been provided with an on-line, anonymous survey that focuses on the views from the staff of their organization. Questions focused on management, customer service, staff development and mentoring, visioning, and suggestions using a strengths, weaknesses, opportunities, and threats assessment approach.

This data has been used to help inform the process used in Item 6.

Responsible: County Executive & Department Heads  
Timeline: **April / May 2017 - Complete**  
Deliverables: Collected and Analyzed Survey Data

#### 6. **Session 1: Staff Strategic Planning: SWOT and Mission, Vision, and Values**



This session is conducted with each department and their staff (encouraging all staff to participate) facilitated by the County Executive. The focus is on identification of Strengths, Weaknesses, Opportunities, and Threats (SWOT) and then, using the Golden Circle, define the department’s mission, vision, and values through the use of SMART components (earlier preparatory work).

Responsible: County Executive (Facilitator) & Department Heads  
Timeline: **May – July, 2017- In progress.**  
Deliverables: SWOT Analysis Complete  
Draft Revised Mission, Vision, and Values Complete

## Just Culture:

Just Culture refers to a values-supportive model of shared accountability. It's a culture that holds organizations accountable for the systems they design and for how they respond to staff behaviors fairly and justly. It requires staff be accountable to the organization and the organization to be accountable to the staff.

Starting this summer, using specially trained staff from each Emergency Services Department, all Emergency Services personnel will participate in Just Culture training. The training will be approximately four-hours in duration and will be held on a frequent basis through the end of the calendar year. The sessions are open to all departments so that staff from various divisions within Emergency Services can mix together within each session. Approximately two sessions per-month will be held, not including a few additional sessions that will be held after routine business hours (nights and weekends).

Trainers work in small groups to deliver the training. Emergency Services Division Heads will also participate in the "train the trainer" program so that trainers and leadership are in synchronization with messaging and in supporting the principles of Just Culture. The Division Heads will not lead the Just Culture training sessions, however the cadre of trained staff are responsible for program delivery to begin the infuse cultural change within each division. If you are unfamiliar with Just Culture – no worries, you don't need to be. Emergency Services will codify Just Culture practices into Department policy to support the development of the culture.

## **Staff Recommendations:**

Staff recommends that the Commissioners Court receive the information provided, supports strategic planning initiatives, and supports Six Sigma training for County personnel.

## **Issues and Opportunities:**

The completion of a comprehensive Emergency Services Strategic Plan will lend to the development of subordinate project charters and work plans that will guide the implementation of goals and objectives contained within the plan.

These goals and objectives will be tied to performance measures within the annual County budget process and will inform the division and department budgetary priorities.

**Fiscal Impact and Source of Funding:**

The Emergency Services Department and subordinate divisions are using existing staffing and resources to support the strategic planning process. A request for funding to support the strategic planning and implementation effort has been submitted as part of the Fiscal Year 2018 budget as has a request to fund a full suite of Six Sigma training programs for County personnel.

**Next Steps:**

The Court may wish to receive a progress report prior to the submission of the Emergency Services Strategic Plan to the Court for approval which is scheduled for early in Calendar Year 2018.

**Attachments:**

Attachment 1: Emergency Services Strategic Planning Project Charter

Attachment 2: Emergency Services Work Plan

**Notifications:**

Bonnie Floyd, Purchasing Agent, Travis County Purchasing Office

Nicki Riley, Auditor, Travis County Auditor's Office

Barbara Wilson, County Attorney's Office

Jessica Rio, County Executive, Planning and Budget Office

Sherri Fleming, County Executive, Health and Human Services

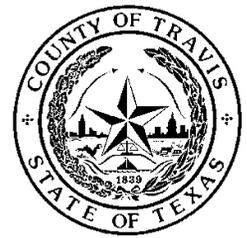
Steven Manilla, County Executive, Transportation and Natural Resources

Roger Jefferies, County Executive Justice Planning

# TRAVIS COUNTY EMERGENCY SERVICES

Josh Davies | MA, CEM  
County Executive

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## Emergency Services Project Charter

Revised 20170607

**Project Name:** Emergency Services Strategic Plan Development

**Project Manager:** Josh Davies, County Executive Emergency Services

**Administrative Support:** Lauren Hill

**Leadership Team:** Tony Callaway, Chief Fire Marshal  
Stacy Moore-Guajardo, Emergency Management Coordinator  
Casey Ping, Program Director  
Dr. Keith Pinckard, Chief Medical Examiner  
Helena Wright, Public Information Officer  
Beth Devery, Chief Administrative Officer

**Start Date:** February 2017

**Completion Date:** March 2018

### Description of Project:

The Travis County Emergency Services Department will create a comprehensive strategic plan that includes the Executive Office, Fire Marshal, STARFlight, Office of Emergency Management, and Medical Examiner.

The Strategic Plan will provide a roadmap for the Department for up to a five-year period and will serve as a guidance document for budget alignment, potential department organization modifications, and project prioritization.

### Project Objectives:

1. Develop a roadmap for the next five years related to the core activities of the Emergency Services Departments in the form of a Strategic Plan.
2. Develop Executive Leadership relationships through the planning process which is designed to empower collaboration and strategic visioning.
3. Create a planning process that considers the value and input of all staff, stakeholders, and County partners.
4. Provide an opportunity for any Emergency Services staff member to take lead roles in a large, strategic level project.
5. Create a forum for honest and informed program evaluation in order to develop a well-defined and actionable plan.
6. Encourage creativity, innovation, and Just Culture approaches.

**Key Deliverables:**

1. Integrated planning between all Emergency Services Departments
2. Increased staff awareness of the need for strategic planning as a driver of service analysis and cost determination.
3. Production of a one to three-year Work Plan
4. Production of a five year Emergency Services Strategic Plan
5. Increased staff, management, and stakeholder awareness of the roles and responsibilities of Emergency Services.
6. Collaborative visioning and shared understandings related to management and organizational priorities.

**Methodology:**

Using a decentralized method, department heads will coordinate established activities with their staff and their stakeholder groups using tools provided by the project manager. This approach is intended to leverage feedback from all staff that work in Emergency Services Departments and the clients that are served by these departments.

The process that is used will first identify what services are required to be provided, the self-analysis of the department, the clients analysis of the department, recognition of gaps, and then planned activities that address these gaps as well as the identification of actions required to advance the organization to meet its required and elective objectives.

Limited consulting services may be accessed to address certain portions of the plan development process.

**Estimated Costs Description:**

Up to \$50,000 in training cost related to Lean Six Sigma training may be requested. Depending on the progress of the plan, additional funding may be required to complete the project if external consulting services are used.

**Issues/Risks:**

1. Lack of support from staff and stakeholders will decrease the effectiveness of the strategic planning effort.
2. The developed plan must be actionable where results and the return on the investment are clear to not only staff but the public and stakeholders.

3. Participants must be focused on the operations of the Emergency Services Department of future, this means that some initiatives and core components may need to be adjusted or changed to accommodate organizational pivots and budgetary considerations.
4. The lack of having a stakeholder-driven strategic plan will impact the organization's ability to successfully leverage organizational changes, budget adjustments, and performance.
5. Emergency Services has not participated in strategic planning efforts in some time. Understanding and then applying tools and skill necessary for developing and implementing a strategic plan can be confusing and cause frustration.

#### **Assumptions:**

1. Strategic planning is needed and will provide valuable information in order to make the organization more effective and efficient in the way services are delivered to the public and the staff.
2. The final strategic plan will be the baseline for budget management and organizational development.

#### **Constraints and Dependencies:**

1. Staff time is needed to dedicate to the strategic planning process that may require reprioritization of department work plans and priorities.

#### **Planned Approach:**

##### **1. Preparatory: Mandates and Essential Services Determination**

In advance of Session 1, department heads collect and identify legal mandates and essential services that must be accomplished by the organization. Each mandate and essential services is then reviewed and the department head determines if any gaps exist. These gaps are tracked and used in Session 1 and 3 understanding that more gaps may emerge throughout the strategic planning process.



Responsible: Department Heads  
Timeline: April 2017 - Complete  
Deliverables: Applicable Mandates Form  
Essential Services Form  
Credentials, Accreditations, Certifications Form

**2. Preparatory: Lean Six Sigma Yellow Belt**



The Lean Six Sigma Yellow Belt program provides a framework for understanding performance standards and measurement as they apply to department operations, quality assurance and improvement, and strategic planning. Key members of each Emergency Services Department and other County Departments will participate in this session in advance of the formal start of the strategic planning process.

Responsible: County Executive  
Timeline: **June 1-2, 2017 Complete**  
Deliverables: Increased Performance Management Awareness  
Lean Six Sigma Yellow Belt Capabilities in each ES Department  
Access to Strategic Planning Resources

**3. Preparatory: Work Plans**



The Emergency Services Work Plan is shared with each Department so that all Department staff members are aware of the short and mid-term organizational priorities. Each department creates subordinate work plans as necessary to track progress, assign/delegate tasks, and to provide routine updates.

Responsible: County Executive and Department Heads  
Timeline: **March 2017 - Complete**  
Deliverables: Emergency Services Work Plan

**4. Preparatory: Staff Review of Existing Mission, Vision, and Values**



In advance of Session 1, department heads review the existing department mission, vision, and values with staff to determine if they are accurate and reflective of applicable mandates and essential services in addition to mission-specific attributes. Department heads will use the Golden Circle to craft revised statements that focus on “why” and not simply “how” and “what”. Suggestions are discussed and collected for use in Session 1.

Responsible: Department Heads  
Timeline: **April / May 2017 - Complete**  
Deliverables: Mission, Vision, Values Form



**5. Preparatory: Staff Anonymous On-Line Survey**

In advance of Session 1, all Emergency Services Staff will be provided with an on-line, anonymous survey that focuses on the views from the staff of the organization. Questions focus on management, customer service, staff development and mentoring, visioning, and suggestions.

Responsible: County Executive, Department Heads, and Public Information Officer

Timeline: April / May 2017 - Complete

Deliverables: Collected and Analyzed Survey Data

**6. Session 1: Staff Strategic Planning: SWOT and Mission, Vision, and Values**

This session will be conducted with each department and their staff (encourage all staff to participate if at all possible) facilitated by the County Executive. The focus is on identification of Strengths, Weaknesses, Opportunities, and Threats (SWOT) and then, using the Golden Circle, define the department's mission, vision, and values through the use of SMART components (earlier preparatory work). The Executive Team will complete this session together at the May Executive Staff meeting before facilitating the same exercise with each Emergency Services Department.

Responsible: County Executive (Facilitator) and Department Heads

Timeline: May – July, 2017

Deliverables: SWOT Form  
Mission, Vision, and Values Form

**7. Session 2: Stakeholder Strategic Planning:**

This session will be conducted by the department heads working with their key internal and external stakeholders. The department mission, vision, values, and essential functions will be shared with the partners. Additionally, an on-line survey will be provided so that stakeholders may anonymously submit feedback. The County Executive will assist with facilitation as needed. The value of external viewpoints is critical to understanding the public impression of the services that are provided and the value placed on the organization by the public. It may be necessary to hold multiple forums to effectively collect actionable feedback.

Responsible: County Executive (Facilitator) and Department Heads

Timeline: July / August 2017

Deliverables: Survey Data  
External View Points Summary Form

**8. Session 3: Identification of Gaps**

Department heads will review feedback from Session 1 and 2 and then identify gaps in mandated services, essential services, and elective services with consideration of public expectations. Department heads will then review these gaps with staff to determine how they should be addressed focusing on the development of strategic goals and objectives that are the focus of Session 4.

Responsible: Department Heads  
Timeline: September 2017  
Deliverables: Strategic, Operational, or Tactical Categorization of Gaps Form

**9. Session 4: Identification of Strategic Goals and Objectives**

In this session, which will consist of more than one meeting with staff, department heads will address the gaps identified in Session 4 as well feedback solicited from internal and external stakeholders to draw the roadmap at a high level. This is the core development phase of the strategic planning process where the results of data analysis are used to create specific goals and objectives. Once individual department-goals objectives are completed, the Executive Staff will collaborate to integrate applicable goals and objectives across all departments.

Responsible: Department Heads and County Executive  
Timeline: September – November 2017  
Deliverables: Department Goals and Objectives Form  
Emergency Services Organizational Goals and Objectives Form

**10. Session 5: Vetting the Plan / Seeking Feedback**

The final draft plan will be available for staff, stakeholders, and County Staff review. An open comment period will occur following the presentation of the plan.

Responsible: Department Heads, County Executive, and Public Information Officer  
Timeline: December 2017  
Deliverables: Summary of Collected Feedback

## 11. Session 6: Executing and Monitoring of the Plan

Responsible: Department Heads and County Executive  
 Timeline: January / February 2018  
 Deliverables: Emergency Services Strategic Plan (5 year)  
 Department Specific Work Plans (2 year)

### Planned Approach Summary

| Activity    | Focus Area   | Timeline                | Status   |
|-------------|--|-------------------------|----------|
| Preparatory | Emergency Services Work Plans                                  | March 2017              | Complete |
| Preparatory | Mandates and Essential Services Determination                  | April 2017              | Complete |
| Preparatory | Staff Review of Existing Mission, Vision, and Values           | April/May 2017          | Complete |
| Preparatory | Staff Anonymous On-Line Survey                                 | April/May 2017          | Complete |
| Preparatory | Lean Six Sigma Yellow Belt Program                             | June 2017               | Complete |
| Session 1   | Staff Strategic Planning: SWOT and Mission, Vision, and Values | May/July 2017           |          |
| Session 2   | Stakeholder Strategic Planning                                 | July/August 2017        |          |
| Session 3   | Identification of Gaps   | September 2017          |          |
| Session 4   | Identification of Strategic Goals and Objectives               | September/November 2017 |          |
| Session 5   | Vetting the Plan / Seeking Feedback                            | December 2017           |          |
| Session 6   | Executing and Monitoring the Plan                              | January/February 2018   |          |

### Adjustments to Initial Plan since Authorization

1. Early in the evaluation process it was identified that all staff would benefit from training in Just Culture. Just Culture training will occur beginning in July 2017 through December 2017 for all Emergency Services Department Staff.

Responsible: Department Heads and County Executive  
 Lauren Hill - Coordinator  
 Timeline: July – December 2017  
 Deliverables: Completion of Train-the-Trainer Program  
 All Staff Completion of Just Culture 4 Hour Module



# Emergency Services Work Plan 2017 to 2019

Revised: **Update Due July 10th**



| Description   | Responsibility  | Status  |
|---|---|---|
| <p><b>Establish Redundant Emergency Communications</b><br/>Develop and implement redundant emergency communications capabilities in order to maintain continuity of government and operations by providing each County Commissioner, the County Judge, and each County Executive with a portable radio, dedicated emergency email address, and GETS/WPS (priority wireless and landline telephone access) by June 2017.</p> | <p>Executive Office:<br/><u>Davies,</u><br/><u>Bearden,</u><br/><u>Moore-Guajardo</u></p> | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• <i>Commissioners Court, County Executives, and others added to Code Red.</i></li> <li>• <i>Emergency Contacts roster in progress of completion, critical staff complete.</i></li> <li>• <i>WPS/GETS accounts in progress.</i></li> <li>• <i>Radios are in the process of being acquired/programmed.</i></li> <li>• <i>Emergency email address completion in progress – critical staff complete.</i></li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• <i>Code Red first test complete.</i></li> <li>• <i>Hardcopy roster being prepared for distribution within a week.</i></li> <li>• <i>Radio distribution delayed – on schedule for June completion.</i></li> <li>• <i>Emergency emails complete – OEM to conduct training for user.</i></li> </ul> <p><u>20170601: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• <i>Commissioners Court and Exec's participating in WPS training.</i></li> <li>• <i>Emergency Contact rosters have been distributed. OEM responsible for updating on a routine basis.</i></li> </ul> |

| Description  | Responsibility  | Status  |
|--|---|---|
|  |   | <p><u>20170609: Bearden, Brad</u></p> <ul style="list-style-type: none"> <li>City has agreed to loan the County some radios for a few months. Scheduled for July completion.</li> </ul>   |
| <p><b>Expand Partnerships: Intelligence Product Access</b><br/>Investigate the integration of emergency services, fire marshal, medical examiner, and <b>STAR Flight</b> into the Regional Intelligence Center (RIC) community and fusion center by March 2017 in order to receive intelligence products that will assist in increasing situational awareness.</p> | <p>Executive Office:<br/><u>Davies, Ping,</u><br/><u>Callaway,</u><br/><u>Moore-Guajardo,</u><br/><u>Pinckard</u></p> | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>Meeting with TDPS complete.</li> <li>Follow-up meeting scheduled with ARIC and TDPS mid-April.</li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>On-going work – adjusted completion date to end of CY2017 from March of 2017.</li> </ul>  |
| <p><b>Emergency Services District (ESD) Commissioners Charter</b><br/>[Public Works Recommendation 12.4.2]</p> <p>Develop and Implement a Court-approved ESD Commissioners Charter by October 2017 that provides ESD Commissioners with clear roles and responsibilities.</p>  | <p>Executive Office:<br/><u>Davies</u></p>  | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>ESD Commissioners are working with Staff to develop core components through the ESD Commissioners Council.</li> <li>Review scheduled for May 2017, which includes update of County/ESD “relationship” agreement.</li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>Draft language provided to ESDCC for consideration on May 20, 2017.</li> </ul> <p><u>20170601: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>Reviewed with ESDCC – will become part of new ILA with Annexes for services provided such as EMS, data systems, hazardous materials, etc.</li> </ul> |

| Description  | Responsibility   | Status   |
|--|--|--|
| <p><b>Emergency Services Management Staff Development</b><br/>Develop and implement methods to plan for succession within Emergency Services. This includes empowering management staff, multi-department task force projects, and collaborative policy development by June 2017.</p>  | <p>Executive Office:<br/><u>Davies</u>, all Department Heads and Staff</p> | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• Transition plan for Executive Assistant in progress.</li> <li>• Strategic planning process in progress.</li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• In progress</li> </ul>  |
| <p><b>Evaluate the Partnership Between the County and City in Regard to the Emergency Operations Center (EOC) and Emergency Management Coordination</b><br/>Conduct an analysis of the benefits of the existing partnership and make recommendations for improvement that will increase the return on investment for both the City and County by May 2018.</p>   | <p>Executive Office:<br/><u>Davies</u></p>                                 | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• Initial discussions have occurred with the Assistant City Manager and County Executive in addition to the County and City Emergency Management Coordinators.</li> <li>• EOC review is a component that will be considered for potential modifications.</li> </ul> <p><u>20170601: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• Feedback from Staff through the Strategic Planning Survey will be considered as related to this item – determining appropriate relationships.</li> </ul> |
| <p><b>Support the Development of Executive Leadership</b><br/>Develop and implement collaborative plans that support the continuous growth of all department heads and the staff of Emergency Services. This may include completion of academic degrees, relevant certifications, attainment of credentialing and accreditation, as well as personal skill development. While this is an ongoing objective, all staff will have the opportunity to identify their personal development objectives by June 2017. <b>COMPLETE 20170404</b></p> | <p>Executive Office:<br/><u>Davies</u></p>                                 | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• Discussions have occurred with staff and some have provided specific growth plans.</li> <li>• Continued work in this item will focus on supporting attainment of professional credentialing, accreditation, and academic degree completion.</li> <li>• This item will be reviewed annually and included within the strategic plan.</li> </ul>  |

| Description  | Responsibility  | Status  |
|--|---|---|
| <p><b>Cost Recovery for Travis County Services Rendered</b><br/>           [Public Works Recommendation 12.6.3]<br/>           Assess and make recommendations to Commissioners Court related to implementing ways to reduce costs associated with the provision of emergency services in other jurisdictions as appropriate by July 2018.</p> | <p>Executive Office:<br/> <u>Davies, Lego, Ping, Devery, Pinckard</u></p> | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• Analysis is in progress that has included consideration of foundations, taxing schema, billing adjustments, and program efficiencies.</li> <li>• STAR Flight's CY18 Budget submission includes multiple cost recovery proposals for the Courts consideration.</li> <li>• ILA costs are being reviewed and evaluated to determine mechanisms for cost-reductions.</li> <li>• Meetings scheduled with the State to consider better reimbursement practices for mutual aid.</li> <li>• Following meetings with the State, partner County meetings will be scheduled to discuss ways to assist in cost recovery for mutual aid services.</li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• STAR Flight fee changes and billing system changes on Court Agenda for June/July.</li> <li>• Items above remain in progress.</li> <li>• EMS ILA negotiations in progress.</li> </ul> <p><u>20170601: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• Feedback from Staff through the Strategic Planning Survey will be considered as related to this item – determining appropriate relationships.</li> </ul> |
| <p><b>Just Culture Training</b><br/>           Using specially trained volunteers from each Emergency Services Department, begin holding approximately two sessions per month through the end of the year, with the goal of all staff participating.<br/>           Expected Completion Dec 2017</p>   | <p>Executive Office:<br/> <u>Hill, all Department Heads</u></p>           | <p><u>20170609: Hill, Lauren</u></p> <ul style="list-style-type: none"> <li>• Communicated with all staff requesting volunteers for training. Answers due by 6/14.</li> <li>• "Train the trainer" training scheduled for July 17<sup>th</sup>.</li> </ul>   |

| Description   | Responsibility  | Status  |
|---|---|---|
| <p><b>Information Officer Liaisons: Emergency Services</b><br/>Develop and implement a Department Information Officer Liaison that links existing staff in each emergency services department to the PIO to standardize information collection, distribution, branding, and situational awareness by July 2017.</p> | <p>Executive Office:<br/><u>Wright</u></p>            | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• <i>Internal policy development in-progress.</i></li> <li>• <i>Initial work towards standardized branding in progress.</i></li> </ul> <p><u>20170510: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• <i>In the process of collecting names and contact from each department head.</i></li> </ul> <p><u>20170614: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• <i>Liaisons identified. Initial email sent to each informing them of their role with a request to weekly, or as is possible to provide me with something for social media, a screensaver or the Web site. Once a process fully established and approved, policy will be written to support this.</i></li> <li>• <i>ME – Beth Devery<br/>FM – Jeremy Trahan<br/>OEM – Blake Clampffer<br/>SF – Howard Polden</i></li> </ul> |
| <p><b>Emergency Services Annual Report</b><br/>Develop and Publish a Comprehensive Emergency Services Annual Report by February 2018 and every year thereafter that integrates existing department-specific reports.</p>  | <p>Executive Office:<br/><u>Wright, all Staff</u></p> | <p><u>20170614: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• <i>No Update.</i></li> </ul>  |
| <p><b>Emergency Services Branding Guide</b><br/>Develop and implement a branding guide for all emergency services departments by June 2017.</p>   | <p>Executive Office:<br/><u>Wright</u></p>            | <p><u>20170412: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• <i>Reviewing existing branding guides for reference.</i></li> </ul> <p><u>20170614: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• <i>Draft currently in production. Request to push deadline out further.</i></li> </ul>  |

| Description   | Responsibility  | Status  |
|---|---|---|
| <p><b>Continuity of Operations Plans (COOP)</b><br/>           [Public Works Recommendation 12.2.1]<br/>           All Emergency Services Departments and HHS will complete a COOP by December 2017. Pending Court approval, the remainder of County Departments with Emergency Operations Center responsibilities will complete a COOP by December 2018; all other departments by December 2019.</p> | <p>Executive Office:<br/> <u>Guajardo,</u><br/> <u>Davies, Ping,</u><br/> <u>Pinckard,</u><br/> <u>Callaway, Lego,</u><br/> <u>Fariss, Devery,</u><br/> <u>Wright, Myers.</u></p> | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• <i>ITS is working with OEM to enable electronic COOP completion.</i></li> <li>• <i>Emergency Services Department Heads will receive COOP Plan Orientation Training on April 24<sup>th</sup>.</i></li> </ul> <p><u>20170502: Moore, Stacy</u></p> <ul style="list-style-type: none"> <li>• <i>ES Dept. Heads have identified staff members for data input. The names and office locations have been provided to ITS to upload. Waiting to schedule hands on computer lab training.</i></li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• <i>COOP Orientation Complete.</i></li> <li>• <i>Electronic system training in progress</i></li> </ul> <p><u>20170605: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>• <i>Web tool training was held on May 31, 2017 with COOP Dept. reps</i></li> </ul> |

| Description   | Responsibility  | Status   |
|---|---|--|
| <p><b>Countywide Portable Two-Way Radio Replacement Plan</b><br/>Develop and recommend multi-year replacement plans for all County owned portable two-way radios for consideration FY18 County Budget Proposals in May 2017.</p>  | <p>Executive Office:<br/><u>Bearden, Lego, Fariss</u></p> | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>On schedule for CY18 Budget submission.</li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>Project has been adjusted based on review with PBO and ES Staff, new completion date is Dec 2017 (changed from May 2017)</li> <li>Revised approach will change the method by which replacements are requested while retaining funding in a central ES managed budget.</li> <li>A CY18 budget request was submitted to continue replacements while a new plan is developed and implemented.</li> </ul> <p><u>20170508: Bearden, Brad</u></p> <ul style="list-style-type: none"> <li>Have begun meetings with City Radio Shop, TNR and East Service Center</li> </ul> |
| <p><b>Countywide Mobile Two-Way Radio Replacement Completion</b><br/>Complete replacement of all end-of-support County owned mobile radios with newly purchased radios using the ESC and the City Radio Shop by January 2018.</p>   | <p>Executive Office:<br/><u>Bearden</u></p>               | <p><u>20170508: Bearden, Brad</u></p> <ul style="list-style-type: none"> <li>Have begun meetings with City Radio Shop, TNR and East Service Center</li> </ul> <p><u>20170609: Bearden, Brad</u></p> <ul style="list-style-type: none"> <li>Have issued 3 Base radios, 1 LE Mobile and have begun working on templates for TNR Mobiles.</li> </ul>  |
| <p><del><b>Implement Countywide Two-Way Radio Replacement</b></del><br/><del>Implement the Commissioners Court selected long-term replacement plan to address all County owned end-of-support radios, including a detailed completion timeline, by November 2017.</del></p> | <p>Executive Office:<br/><u>Bearden, Lego, Fariss</u></p> | <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>Merged with other radio replacement program item.</li> </ul>   |

| Description  | Responsibility  | Status   |
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| <p><b>Combined Transportation, Emergency, and Communications Center (CTECC) Back-Up Facility</b><br/>Continue to collaborate with the CTECC Backup Center (BUC) Workgroup to identify 911 call taking and two-way radio needs concerning the expansion of the BUC through June 2018.</p>   | <p>Executive Office:<br/><u>Bearden</u></p>                               | <p><u>20170508: Bearden, Brad</u></p> <ul style="list-style-type: none"> <li>Ongoing. Currently working on the UPS replacements</li> </ul>   |
| <p><b>Combined Transportation, Emergency, and Communications Center (CTECC) Strategic Plan</b><br/>In collaboration with CTECC partners, develop and implement a strategic plan to assist in the long-range financial and operational analysis of the Center by December 2017.</p>   | <p>Executive Office:<br/><u>Davies, Bearden, Fariss, Lego, Wright</u></p> | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>CTECC Manager is in the process of soliciting for a vendor to provide strategic planning services.</li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>RFP for a consultant complete and released.</li> </ul> |
| <p><b>Emergency Services District (ESD) Overlay Assessment Tool</b><br/>[Public Works Recommendation 12.4.1]<br/>Collaboratively develop and implement an ESD overlay assessment tool at will be used in the evaluation of future ESD overlay requests focusing on feasibility and improvements to the population (financial and operational) by April 2018.</p> | <p>Executive Office:<br/><u>Davies, Fariss</u></p>                        | <p>Not Started</p>   |

| Description   | Responsibility  | Status   |
|---|---|--|
| <p><b>Emergency Services Districts / Travis County Parks / STAR Flight Medical Direction</b><br/>           Develop and implement a consolidated and collaborative medical direction model by October 2017 for inclusion in the FY2019 County Budget.</p>   | <p>Executive Office:<br/> <u>Davies, Ping</u></p>                     | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>Discussions with COA EMS and OMD have been occurring.</li> <li>Discussions with ESDs and other contributing partners is in progress.</li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>On-going as part of the EMS ILA Amendment negotiation process.</li> </ul> <p><u>20170601: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>Amendment 4 of the COA/Travis County ILA includes an intention statement to implement a revised medical direction model in CY19.</li> <li>ESDCC and ESD Chiefs are working together to determine how a County-coordinated model would work.</li> </ul> |
| <p><b>Emergency Medical Services (EMS): EMS ILA Amendment</b><br/>           Develop and execute, with Commissioners Court approval, the fourth amendment to the EMS ILA with the City of Austin focusing on the reduction of costs, increased value, and reaffirmation of open collaboration by July 2017.</p> | <p>Executive Office:<br/> <u>Davies, Fariss,</u><br/> <u>Lego</u></p> | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>Discussions with COA EMS have been occurring.</li> <li>Amendment 4 of the ILA scheduled for Court consideration in June.</li> <li>EMS to present to Court in April related to services provided to Travis County.</li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>Negotiations continue – on schedule for June completion.</li> </ul> <p><u>20170601: Davies, Josh</u><br/>           Amendment with COA for legal review and final negotiations.</p>   |

| Description   | Responsibility   | Status   |
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| <p><b>Emergency Medical Services (EMS): Contract Development</b><br/> Collaboratively develop an agreement/proposed scope of work language for EMS services provided to the County to be effective October 2019. This agreement will be performance based; value-driven, stakeholder developed, and will be financially responsible.</p> <p>The proposed “Scope of Work” will be complete by February 2018 for Commissioners Court consideration.</p> | <p>Executive Office:<br/> <u>Davies, Fariss, Lego</u></p>  | <p>Not Started</p>   |
| <p><b>Emergency Medical Services (EMS) and Emergency Services District (ESD) Roadmap</b><br/> [Public Works Recommendation 12.4.1]<br/> Collaboratively develop a long-term roadmap for the provision of services over the next 20 years that considers annexation, changing population, and demographics to serve as a base line for stakeholders by December 2018.</p>  | <p>Executive Office:<br/> <u>Davies, Callaway, Ping, Fariss, Lego, Wright</u></p>                                    | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>Initial discussion has occurred with ESD Commissioners Council and COA Assistant City Manager.</li> <li>Estimated to begin work on this issue after COA / TC EMS ILA renewal is complete.</li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>Discussed further with COA on 5-1-2017. On schedule.</li> </ul>             |
| <p><b>Public Works Recommendation Implementation</b><br/> Complete the Implementation of all Emergency Services Public Works Recommendations by December 2017.</p>  | <p>Executive Office:<br/> <u>Davies, Callaway, Ping, Moore-Guajardo, Pinckard, Devery, Bearden, Lego, Fariss</u></p> | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>On schedule and in-progress.</li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>On schedule and in-progress.</li> </ul> <p><u>20170601: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>On schedule and in-progress – met with implementation staff and provided update on all outstanding items.</li> </ul> |

| Description  | Responsibility                              | Status  |
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| <p><b>Emergency Services Websites</b><br/>           Coordinate the update of all Emergency Services Websites with a consistent brand that facilitates easy access for users, including a dedicated emergency incident page that can be activated as needed by May 2018.</p> | <p>Executive Office:<br/> <u>Wright</u></p> | <p><u>20170412: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>Initial discussion has begun with Chris Stangland and the Web Team</li> </ul> <p><u>20170509: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>Will work with Chris Stangland on each ES Web page to add new, updated photos and messaging. Chris will also assist developing and deploying ES screen savers. Currently working to meet with each department head to discuss their page and necessary changes per the branding guide (still being developed).</li> </ul> <p><u>20170605 Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>OEM met with Wright 5/19 to discuss TCOEM's new web design</li> </ul> <p><u>20170614: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>Met with all department heads and their liaisons to discuss specifics of their individual Web pages.</li> <li>May 19 – Met with Casey<br/>           Established Howard Polden would be my liaison. He'd also send me photos and info the add to our social media accounts. STAR Flight also has its own Twitter account managed by Howard. We agreed that once Howard gets Casey's approval to post or tweet a photo, I'd use it to re-tweet if necessary.</li> <li>May 19 – Met with Stacy<br/>           Looked at current site and discussed re-design ideas to make the page more</li> </ul> |

| Description   | Responsibility                                      | Status  |
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|   |   | <p><i>beneficial.</i></p> <p><i>May 20 – Met with Jeremy Trahan from FM’s office. Will work with him on ongoing basis.</i></p> <ul style="list-style-type: none"> <li><i>May 22 – Met with Keith and Beth Dr. Pinkard and Beth gave me examples of other ME Web pages (Dallas, Fort Worth, Harris County) to use (or not us) as a reference for ours. They also provided some history of some individual slides that currently are running on their page. We also discussed ideas for other slides to freshen up their page.</i></li> </ul> |
| <p><b>Codify the Importance of Collaboration and Regional Partnerships when Appointing ESD Commissioners</b><br/>         [Public Works Recommendation 12.4.2]<br/>         Develop and Implement a Court-approved Prospective ESD Commissioner Guide that provides interested persons with an understanding of the roles and responsibilities of Court appointed ESD Commissioners by June 2017.</p> | <p>Executive Office:<br/> <u>Davies, Fariss</u></p> | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li><i>Initial discussion with ESD Commissioners Council in March 2017.</i></li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li><i>Draft language provided to ESDCC for consideration on May 20.</i></li> </ul>  |

| Description   | Responsibility   | Status  |
|---|--|---|
| <p><b>Implement Performance Based Measures and Evaluation Systems</b></p> <p>Work plans and strategic plans will be drive the work of the Emergency Services Departments by December 2017 that focus on the identification of specific, measurable, attainable, and time-bound objectives.</p> <p>This includes the completion of Lean Six Sigma programs (Yellow and Green Belt) by the December 2018.</p> <p><b>COMPLETE 20170404</b></p> | <p>Executive Office:<br/><i>Davies, Lego, Fariss, Callaway, Moore-Guajardo, Ping, Bearden, Devery, Pinckard.</i></p> | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li><i>The Emergency Services Work Plan was published 20170404 for a 2-3 year time period.</i></li> <li><i>Lean Six Sigma is scheduled for June 1 and 2, 2017.</i></li> </ul> |
| <p><b>Emergency Services Districts Commissioners Annual Meeting</b></p> <p>[Public Works Recommendation 12.4.2]</p> <p>Develop and implement a Court-approved annual ESD Commissioner update meeting that focuses on Court priority policy areas, a review of required commissioner duties, and strategic visioning by March 2018.</p>  | <p>Executive Office:<br/><i>Davies, Fariss</i></p>   | <p><u>20170601: Davies, Josh</u></p> <ul style="list-style-type: none"> <li><i>Work Session is scheduled for October 12, 2017 to be presented by the County Executive, ESD Commissioners, and ESD Chiefs.</i></li> </ul>                              |
| <p><b>Emergency Awareness and Coordination: Multiple Agency Coordination Group (MACG)</b></p> <p>Develop and Implement a Travis County Multiple Agency Coordination Group (MACG) that “forward-leans” in advance of anticipated events that may affect the County and serves as the advisory body to the Management Group should an EOC be established by May 2018.</p>   | <p>Executive Office:<br/><i>Davies, Moore-Guajardo, Ping, Pinckard, Callaway</i></p>                                 | <p>Not Started</p>  |

| Description   | Responsibility  | Status  |
|---|---|---|
| <p><b>Emergency Management Coordination Framework</b><br/>           Develop and implement a Commissioners Court approved framework that will serve as the basis for all emergency coordination in Travis County by December 2017.<br/> <b>COMPLETE 20170425</b></p>  | <p>Executive Office:<br/> <u>Davies, Moore-Guajardo, Wright</u></p> | <p><u>20170404: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• Commissioners Court Work Session Complete March 9<sup>th</sup>.</li> <li>• Action Item scheduled for Court on April 25.</li> </ul> <p><u>20170502: Moore, Stacy</u></p> <ul style="list-style-type: none"> <li>• EOP Subcommittee Identified</li> <li>• After Action Subcommittee Identified</li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• Approved by the Commissioners Court on April 25, 2017. Complete.</li> </ul> |
| <p><b>Emergency Services District (ESD) Performance Guide</b><br/>           Develop and Implement an Emergency Services District Performance Guide that identifies required annual activities, reports, and actions to aid each ESD Commissioner with adhering to applicable laws and reporting the status of appointee objectives to the Commissioners Court.<br/> <b>COMPLETE 20170504</b></p> | <p>Executive Office:<br/> <u>Davies, Fariss</u></p>                 | <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• Reviewed with ESD Commissioners. Guide not needed based on update of the SAFE-D ESD Guide.</li> <li>• The Revised ESD Guide has been electronically distributed to all ESDs.</li> <li>• Closed</li> </ul>   |

| Description   | Responsibility                                     | Status   |
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| <p><b>Social Media Use Policies</b><br/>Develop and implement County-approved Social Media policies for use in all Emergency Services departments by May 2017.</p> <p>Coordinate social media with Department Information Officers and establish back-up procedures by July 2017.</p>   | <p>Executive Office:<br/><u>Wright</u></p>         | <p><u>20170412: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• Not started</li> </ul> <p><u>20170509: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• Began discussion with Samantha Casertano and will meet with her and members of the Web team on Monday, May 15 to discuss approved policies, as well as discuss new policies.</li> </ul> <p><u>20170614: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• Working on 2<sup>nd</sup> draft of this policy with instructions to expand the breadth and add more detail. In progress.</li> </ul> |
| <p><b>Emergency Operations Center: Travis County Staffing</b><br/>[Reference: Public Works Report Recommendation 12.1.1]<br/>Require all County Executives to designate appropriate personnel to represent their department at the Emergency Operations Center during activations to support the Office of Emergency Management Recommendations for “three-deep” staffing by December 2018.</p> | <p>Executive Office:<br/><u>Moore-Guajardo</u></p> | <p><u>20170502: Moore, Stacy</u></p> <ul style="list-style-type: none"> <li>• EOC Rep Class held on March 30th, over 15 Travis County Employees attended</li> <li>• Next EOC Rep Class is scheduled for June 22</li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• Transferred lead to OEM – Moore-Guajardo has been working with COA and reviewing existing plans.</li> <li>• Davies to continue to support with County Executives and other Court reports to attain staffing recommended by OEM.</li> </ul>  |

| Description   | Responsibility  | Status   |
|---|---|--|
| <p><b>Develop and Implement County Emergency and Procurement Process</b><br/>           [Public Works Recommendation 12.1.4]<br/>           Develop a strategic procurement group responsible for emergency purchases and procurement during emergencies and disasters by October 2018.</p>     | <p>Executive Office:<br/> <u>Davies, Ping, Callaway, Pinckard, Moore-Guajardo</u></p> | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• Discussed the use of emergency purchase orders with the Purchasing Agent – working to codify policy and department access to emergency purchase orders.</li> <li>• Request for expanded use of County-issued credit cards in progress with PBO/Auditor.</li> <li>• Finance personnel EOC training has occurred, additional future sessions.</li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• County issued credit card submitted to Auditor – discussions continue.</li> </ul> |
| <p><b>Emergency Services Work Plan</b><br/>           Develop and implement an Emergency Services Work Plan covering a three-year period, that includes all outstanding Public Works Recommendations and other recommended corrective actions, by April 2017.<br/> <b>COMPLETE 20170404</b></p> | <p>Executives Office:<br/> <u>Davies, all department heads.</u></p>                   | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• Work Plan was published 20170404.</li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• Project in maintenance – complete and ongoing.</li> </ul>   |

| Description   | Responsibility   | Status   |
|---|--|--|
| <p><b>Strategic Planning</b><br/>Develop and implement an Emergency Services Strategic Plan that is consistent with the Commissioners Court strategic planning effort.</p> <ol style="list-style-type: none"> <li>1. Develop and implement a Strategic Planning Work Plan by May 2017.</li> <li>2. Conduct Strengths, Weaknesses, Opportunities, and Threats Workshops with all Emergency Services departments by July 2017.</li> <li>3. Procure the services on a consultant to produce the strategic plan pending FY18 County Budget approval by December 2017.</li> <li>4. Publish Court-approved Emergency Services Strategic Plan by May 2018 in support of the annual County budget process.</li> </ol> | <p>Executives Office:<br/><u>Davies, all department heads.</u></p> | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• <i>Emergency Services Strategic Work Plan Charter with tools finalized and distributed on 20170404.</i></li> </ul> <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• <i>First department –based reports due April/May (mission, vision, values, and mandates/essential services).</i></li> </ul> <p><u>20170504: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• <i>Staff survey released</i></li> </ul> <p><u>20170601: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• <i>Staff surveys complete and summarized, distributed to Division Heads for review.</i></li> <li>• <i>Just Culture train-the-trainer program scheduled for July.</i></li> <li>• <i>On schedule for individual Division Work Sessions</i></li> </ul> |

| Description   | Responsibility                                  | Status  |
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| <p><b>Establish a Common Fire Code for Travis County</b><br/>           [Public Works Recommendation 12.4.3]<br/>           The Commissioner's Court and private consulting firm (Public Works) has identified the need to establish a single Fire Code Authority managed under the Travis County Fire Marshal's Office for the unincorporated portions of Travis County. To accomplish this mission, the County Fire Marshal and Emergency Services Director will need to formally meet with the Emergency Services Subcommittee within the next two months to develop appropriate short term and long-term objectives. Meetings with the remaining Commissioner's Court staff will be required within the next six months to develop associated SMART goals to assist in the implementation of this endeavor.</p> | <p>Fire Marshal:<br/> <u>Callaway</u></p>       | <p><u>20170504: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>Meeting scheduled with County Commissioners ES sub-committee June 15, 2017</li> </ul> <p><u>20170614: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>No Updates</li> </ul> |
| <p><b>Hire Assistant Chief Fire Marshal Position</b><br/>           In accordance with the County approved organizational chart/structure and in order to consistently meet the obligations of the Office of Fire Marshal, hire Assistant Chief Fire Marshal vacancy by May 2017.</p>   | <p>Fire Marshal:<br/> <u>Callaway, Lego</u></p> | <p><u>20170512: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>Met with HR to discuss on 5/10.</li> </ul> <p><u>20170614: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>No Updates</li> </ul>  |

| Description   | Responsibility                                | Status  |
|---|---|---|
| <p><b>Hire Deputy Fire Marshal Position</b><br/>           Fill the current vacant Deputy Fire Marshal position by July 2017 in order to aide in providing adequate services to our customer base. In addition, reduce overtime cost and reduce workload and accompanied stress placed on current staff.</p> <p>This goal will be accomplished by a multi-step process involving the following:</p> <ol style="list-style-type: none"> <li>1. Finalize the salary survey using data from County-approved comparison agencies by 02/24/2017.</li> <li>2. Host a meeting with Human Resources representatives and the County Executive-Emergency Services to present the salary survey data by 05/15/2017.</li> <li>3. Present the findings of the salary survey to Commissioner's Court by July 2017.</li> <li>4. Have the salary for the vacant position adjusted accordingly and in place by 05/01/2017.</li> <li>5. Post the position with the updated salary and fill position by September 2017.</li> </ol> | <p>Fire Marshal:<br/> <u>Davies, Lego</u></p> | <p><u>20170414: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• Internal salary survey comparison completed.</li> <li>• Completion dates updated</li> </ul> <p><u>20170504: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• Back-up documentation created</li> <li>• Meeting with H.R. May 10, 2017.</li> </ul> <p><u>20170614: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• No Updates</li> </ul> |

| Description   | Responsibility                            | Status   |
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| <p><b>Fire Marshal Personnel Credentialing</b><br/>           In order to enhance the required knowledge of current staff members and to provide the training needed to remain safe and be successful in their endeavor, all staff will complete the Hazardous Materials Technician and Basic Environmental Law courses by February 2018.</p> | <p>Fire Marshal:<br/> <u>Callaway</u></p> | <p><u>20170504: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• <i>Four of the six Marshals have attended the Basic Environmental Law course.</i></li> <li>• <i>Four of the current six Marshals have completed the Hazmat Technician Level training.</i></li> <li>• <i>All Six current Marshals recently attended the National Fire Academy 40 hour course "Hazardous Materials Code Enforcement".</i></li> <li>• <i>The TCFMO is co-hosting with Williamson County Hazmat in August 2017, National Fire Academy 80 hour course: "Chemistry for Emergency Hazmat Responders".</i></li> </ul> <p><u>20170614: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• <i>No Updates</i></li> </ul> |

| Description   | Responsibility                                   | Status  |
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| <p><b>Tier II Hazardous Materials</b><br/>           Fire Marshal's Office will be collaborating with the County Hazardous Materials Coordinator over the next 12 months to identify the location of all recognized HazMat Tier II facilities to comply with government guidelines and more importantly to provide accurate Hazardous Materials storage quantities to first responders for both pre-plan and response. The current data will be updated in a shareable format by the conclusion of the project.</p> | <p>Fire Marshal:<br/> <u>Callaway, Doege</u></p> | <p><u>20170414: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>Modeling is currently be conducted by Aristatek with PEAC software utilizing the 2015 Tier II data.</li> <li>New Tier II data should be received form TCEQ sometime in June for the 2016 reporting cycle. This information will be passed on to Aristatek for final update to include any changes in data from the 2015 reporting cycle- targeted completion date of August 2017.</li> </ul> <p><u>20170504: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>Callaway and Doege have met and begun to review the current raw data.</li> </ul> <p><u>20170614: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>No Updates</li> </ul> |
| <p><b>Records Management System: Fire Marshal &amp; Office of Emergency Management (OEM)</b><br/>           Improve the accuracy and efficiency of our in-house fire records management system by identifying, researching and selecting the proper hardware and applicable software by the end of Calendar Year 2017.</p>  | <p>Fire Marshal:<br/> <u>Callaway, Doege</u></p> | <p><u>20170414: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>In Progress</li> </ul> <p><u>20170614: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>No Updates</li> </ul>  |

| Description  | Responsibility  | Status  |
|--|---|---|
| <p><b>Enhance Public Information and Community Outreach</b><br/>           In order to enhance the Public Education and Outreach programs offered by the Fire Marshal's Office, a full-time employee will be hired by October 2017.</p>  | <p>Fire Marshal:<br/> <u>Callaway,</u><br/> <u>Wright</u></p> | <p><u>20170412: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• <i>Not started</i></li> </ul> <p><u>20170504: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• <i>Submitted PB forms requesting FTE in FY-18 budget dedicated to Education and Outreach.</i></li> </ul> <p><u>20170614: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• <i>No Updates</i></li> </ul> <p><u>20170614: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• <i>Will work with Tony once employee is hired.</i></li> </ul> |
| <p><b>Fire Planning Guide</b><br/>           Develop and implement a standardized fire planning guide, designed to identify site threats and hazards, to be used by firefighters by March 2017. This includes a skills-based training program that will be implemented by June 2017.</p> | <p>Fire Marshal:<br/> <u>Callaway</u></p>                     | <p><u>20170414: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• <i>Pre-plan template form created. Training course outline draft has been created.</i></li> </ul>   |

| Description  | Responsibility  | Status   |
|--|---|--|
| <p><b>Home Ignition Zone Assessment Program</b><br/>           In partnership with the Austin Fire Department and Emergency Services Districts #3 and #8, Implement a Home Ignition Zone Assessment program in order to give individual homeowners within our jurisdiction a better understanding of how to make their homes more resilient in the event of a wildfire by December 2017.</p>   | <p>Fire Marshal:<br/> <u>Callaway,</u><br/> <u>Wright</u></p> | <p><u>20170412: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• <i>Not started</i></li> </ul> <p><u>20170414: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• <i>Home wildfire ignition zone assessment training course scheduled for the end of April in coordination with the AFD Wildfire Division.</i></li> </ul> <p><u>20170512: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• <i>In house training with AFD Wildland group completed.</i></li> </ul> <p><u>20170614: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• <i>No Updates</i></li> </ul> <p><u>20170614: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• <i>No Updates</i></li> </ul> |
| <p><b>Certified Fire Marshals</b><br/>           Promote the development of Travis County Fire Marshal's Office staff by providing study materials, practice tests and testing fees for all operational Travis County Fire Marshal's Office staff to achieve ICC Certified Fire Plans Examiner (Level III) status by 06/01/2018 and encourage staff to achieve ICC Certified Fire Marshal status by 06/01/2019. Obtaining these certifications will continue to enhance the office's credibility and allow personnel to demonstrate an advanced understanding of Fire Code-related knowledge and skills.</p> | <p>Fire Marshal:<br/> <u>Callaway</u></p>                     | <p><u>20170614: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• <i>Continue to promote internal development amongst staff towards this goal. DFM Howell just successfully passed his fourth test in the series of six tests towards his CFM certification.</i></li> </ul>  |

| Description  | Responsibility  | Status  |
|--|---|---|
| <p><b>Hazardous Materials Permitting</b><br/>Re-establish the Hazardous Materials permitting process upon the completion of the updated Hazardous Materials Tier II database as mentioned in the Short Term goals.</p>   | <p>Fire Marshal:<br/><u>Callaway</u><br/><u>Doege</u></p> | <p><u>20170614: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• Preston is scheduled to make a presentation on 6/28/17 that has direct ties to this program.</li> </ul>   |
| <p><b>Mobile Food Vending Fire Inspections</b><br/>In consultation with Counsel and Court, determine the need and then make recommendations appropriate recommendations, including timelines, to implement mobile food vending inspections for operators within Travis County by November 2017 if directed by the Court.</p> | <p>Fire Marshal:<br/><u>Callaway</u></p>                  | <p><u>20170404: Davies, Josh</u></p> <ul style="list-style-type: none"> <li>• Court scheduled to hear recommendations in May 2017.</li> </ul> <p><u>20170414: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• Meeting w/Austin Health 4/13/17.</li> <li>• Meeting w/AFD 4/19/17</li> <li>• Sub-committee (working group) formed</li> </ul> <p><u>20170504: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• Presentation to Commissioners May 9, 2017</li> <li>• Continue to meet with working group</li> <li>• Still on schedule for program implementation.</li> </ul> <p><u>20170614: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• No Updates</li> </ul> |
| <p><b>Business Plan</b><br/>Create a comprehensive one-year and five-year business plan that incorporates the vision, mission, objectives, and strategies of the Fire Marshal's Office that will be incorporated into the broader Emergency Services Strategic Plan by Oct 2017.<br/><b>DEFERRED 20170414</b></p>            | <p>Fire Marshal:<br/><u>Callaway</u></p>                  | <p><u>20170414: Callaway, Tony</u></p> <ul style="list-style-type: none"> <li>• Deferred to the Strategic Plan</li> </ul>   |

| Description   | Responsibility  | Status  |
|---|---|---|
| <p><b>Facility Transition: Medical Examiner's Office</b><br/>           Develop a comprehensive plan to facilitate the movement of the Medical Examiners facility from its current location to the new facility by June 2017 with scheduled plan implementation in Aug/Sept 2017.</p> | <p>Medical Examiner:<br/> <u>Pinckard,</u><br/> <u>Devery, Wright</u></p> | <p><u>20170412: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• <i>Not started</i></li> </ul> <p><u>20170414: Devery, Beth</u></p> <ul style="list-style-type: none"> <li>• <i>Adjusted implementation to August</i></li> </ul> <p><u>20170508: Devery, Beth</u></p> <ul style="list-style-type: none"> <li>• <i>Adjusted plan due date to June</i></li> <li>• <i>Adjusted implementation to August/September</i></li> </ul> <p><u>20170509: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• <i>Transition date moved to August, but will discuss in a meeting I've requested. Meeting with ME has been requested for either the week of the May 15th or 27th. Currently waiting to get ME's availability</i></li> </ul> <p><u>20170613: Devery, Beth</u></p> <ul style="list-style-type: none"> <li>• <i>No Updates</i></li> </ul> <p><u>20170614: Wright, Helena</u></p> <ul style="list-style-type: none"> <li>• <i>The end of August is the most current status, but there is not specific date set. Meeting with Beth set for Tues., June 27 to discuss plan for facility move.</i></li> </ul> |

| Description  | Responsibility   | Status   |
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| <p><b>Medical Examiner (Physician) Salary Assessment</b><br/>Reassess and address noncompetitive medical examiners' salaries by Dec 2017.</p>  | <p>Medical Examiner:<br/><u>Pinckard,</u><br/><u>Devery</u></p>  | <p><u>20170508: Devery, Beth</u><br/> <ul style="list-style-type: none"> <li>Adjusted assessment date to Dec 2017.</li> </ul> <u>20170613: Devery, Beth</u><br/> <ul style="list-style-type: none"> <li>No Updates</li> </ul> </p>   |
| <p><b>Update Toxicology and Laboratory Capabilities</b><br/>Evaluate the existing toxicology equipment and consider expansion of technological and analytical laboratory capabilities by developing recommendations and funding sources by April 2018.</p>   | <p>Medical Examiner:<br/><u>Pinckard,</u><br/><u>Devery</u></p>  | <p><u>20170613: Devery, Beth</u><br/> <ul style="list-style-type: none"> <li>No Updates</li> </ul> </p>  |
| <p><b>Cost Recovery and Partner Relationships</b><br/>[Public Works Recommendation 12.6.1]<br/>Redefine relationships with outside counties which may include a new consultation service in addition to seeing greater cost recover for services rendered to out-of-country partners by December 2017.</p>   | <p>Medical Examiner:<br/><u>Pinckard,</u><br/><u>Devery</u></p>  | <p><u>20170613: Devery, Beth</u><br/> <ul style="list-style-type: none"> <li>No Updates</li> </ul> </p>  |
| <p><b>Comprehensive Fee Structure: Medical Examiner's Office</b><br/>[Public Works Recommendation 12.6.1]<br/>The Medical Examiner's Office should undertake a comprehensive review of its fee structure for all services, with a goal of establishing fees equal to costs. Present a comprehensive fee schedule to the Commissioners Court by Dec 2017.</p> | <p>Medical Examiner:<br/><u>Pinckard,</u><br/><u>Devery</u></p>  | <p><u>20170414: Pinckard, Keith</u><br/> <ul style="list-style-type: none"> <li>Timeline will need to be revised</li> </ul> <u>20170508: Devery, Beth</u><br/> <ul style="list-style-type: none"> <li>Timeline revised to Dec 2017</li> </ul> <u>20170613: Devery, Beth</u><br/> <ul style="list-style-type: none"> <li>No Updates</li> </ul> </p> |
| <p><b>Comprehensive Emergency Communications Directory</b><br/>Develop and implement an emergency communications directory that is used during times of emergency and disaster to assist in facilitating communication with County Executive staff and the Commissioners Court by June 23, 2017.</p>   | <p>Office of Emergency Management:<br/><u>Moore-Guajardo</u></p> | <p><u>20170414: Moore-Guajardo, Stacy</u><br/> <ul style="list-style-type: none"> <li>June 23<sup>rd</sup> due date chosen.</li> <li>HW and LH have been through Code Red Training.</li> </ul> </p>  |

| Description  | Responsibility   | Status  |
|--|--|---|
| <p><b>Coordinate Emergency Operations Plan with Government Partners</b><br/>Assist governmental partners, through the use of the existing Travis County Small Cities Meeting”, with implementing the provisions of the County Emergency Operations Plan within their own organizations in the form of local policies, procedures, and guidelines by December 2019.</p> | <p>Office of Emergency Management:<br/><u>Moore-Guajardo</u></p> | <p><u>20170414: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>No updates</li> </ul> <p><u>20170502: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>EMC’s from all 17 participating EOP Cities have been invited to Annex E &amp; J Update Planning meetings</li> </ul>   |
| <p><b>County Emergency Operations Plan Revision</b><br/>Review and revise as necessary the County Emergency Operations Plan and 22 supporting Annexes including Annex J: Recovery and Annex E: Evacuation, by August 2017</p>  | <p>Office of Emergency Management:<br/><u>Moore-Guajardo</u></p> | <p><u>20170414: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>Identified planning team for Annex E.</li> <li>Scheduled team meeting for May 11<sup>th</sup>.</li> </ul> <p><u>20170502: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>Scheduled Annex J for May 31</li> <li>Identified Planning team for Annex G update</li> </ul> <p><u>20170605: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>May 11 Annex E Review was held</li> <li>May 31 Annex J Review was held</li> </ul> |
| <p><b>Implement Corrective Actions: 2015 Halloween Floods</b><br/>Implement Corrective Action Plan recommendations for the 2015 Halloween Floods Severe Weather After Action Report. July 2017</p>   | <p>Office of Emergency Management:<br/><u>Moore-Guajardo</u></p> | <p><u>20170502: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>In Progress</li> </ul> <p><u>20170605: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>AFD, EMS and STAR Flight have met to discuss our items. One more meeting is scheduled for a couple of follow up items.</li> </ul>  |

| Description  | Responsibility   | Status   |
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| <p><b>National Incident Management System Training</b><br/>Develop and implement a plan to facilitate the training of County staff in order to comply with the requirements of the National Incident Management System (NIMS) by June 2017.</p> <p>Complete Executive staff and EOC assigned personnel by June 2018. Complete all staff training by June 2019.</p> | Office of Emergency Management:<br><u>Moore-Guajardo</u> | <p><u>20170414: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>No updates</li> </ul>   |
| <p><b>Preliminary Damage Assessment Capability</b><br/>Collaborate with Travis County Fire Marshal's Office and TNR to strengthen county preliminary damage assessments capabilities. Have a working database or ESRI App developed and launched by May 2017 procedures written in Annex J (Recovery) update August 2017</p>                                       | Office of Emergency Management:<br><u>Moore-Guajardo</u> | <p><u>20170414: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>No updates</li> </ul> <p><u>20170502: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>Meetings with David Shore in progress</li> </ul> |
| <p><b>Community Wildfire Protection Plan (CWPP)</b><br/>In collaboration with the CWPP Executive Committee, develop and implement a plan to identify and implement outstanding elements of the plan by September 2017.</p>   | Office of Emergency Management:<br><u>Moore-Guajardo</u> | <p><u>20170414: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>No updates</li> </ul>   |
| <p><b>Increase Capabilities within the Office of Emergency Management</b><br/>Increase OEM Staff and capability to enhance or build key program areas to Support the COOP Countywide effort by hiring a full time employee within FY18.</p>  | Office of Emergency Management:<br><u>Moore-Guajardo</u> | <p><u>20170414: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>Adjust due date goals</li> </ul>  |

| Description  | Responsibility  | Status   |
|--|---|--|
| <p><b>EOC Technology Barriers</b><br/>           [Public Works Recommendation 12.1.3]<br/>           Address barriers that limit the productivity of County employees working in the Emergency Operations Center by May 2017.<br/> <b>COMPLETED 20170502</b></p>                 | <p>Office of<br/>           Emergency<br/>           Management:<br/> <u>Moore-Guajardo</u></p> | <p><u>20170414: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>No updates</li> </ul> <p><u>20170502: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>Completed</li> </ul>   |
| <p><b>GIS Project with ACC</b><br/>           Working with ACC and the Information Technology and Communications sub-committee, create a resource that enables the public to enter their address on a website and evaluate the risk of flood, fire, etc. to their property.</p>  | <p>Office of<br/>           Emergency<br/>           Management:<br/> <u>Moore-Guajardo</u></p> | <p><u>20170605: Moore-Guajardo, Stacy</u></p> <ul style="list-style-type: none"> <li>Provided list of contacts to Lauren to schedule initial meeting.</li> </ul>   |
| <p><b>STAR Flight Specialized Dispatch Compliance</b><br/> <b>STAR Flight</b> in cooperation with Austin-Travis County EMS Communications will increase percentage of requests processed by a specially trained emergency communications dispatcher to 95% by December 2017.</p> | <p><b>STAR Flight:</b><br/> <u>Ping</u></p>   | <p><u>20170414: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>Davies in discussion with Austin-Travis County EMS</li> </ul> <p><u>20170501: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>No Update</li> </ul> <p><u>20170605: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>No Update</li> </ul> |
| <p><b>STAR Flight Customer Service</b><br/> <b>STAR Flight</b> in cooperation with Austin-Travis County EMS Communications will decrease request customer service issues and dispatch errors by 75% by September 28, 2018</p>  | <p><b>STAR Flight:</b><br/> <u>Ping</u></p>   | <p><u>20170414: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>Davies in discussion with Austin-Travis County EMS</li> </ul> <p><u>20170501: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>No Update</li> </ul> <p><u>20170605: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>No Update</li> </ul> |

| Description   | Responsibility                                    | Status   |
|---|---|--|
| <p><b>Specialized Staff for <i>STAR Flight</i> Dispatch</b><br/>Investigate and then implement, if <i>appropriate</i>, dedicated Air Communication Specialist (ACS) for <i>STAR Flight</i> requests for consideration in the County Fiscal Year 2019 Budget Process</p> | <p><b><i>STAR Flight</i></b>.<br/><u>Ping</u></p> | <p><u>20170414: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>Davies in discussion with Austin-Travis County EMS</li> </ul> <p><u>20170501: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>No Update</li> </ul> <p><u>20170605: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>No Update</li> </ul>   |
| <p><b>Life Cycle Aircraft Planning</b><br/>[Public Works Recommendation 12.5.3]<br/>Develop and implement a formal life-cycle plan for updating and replacing aircraft in alignment with <i>STAR Flight</i>'s mission by June 2017.</p>                                 | <p><b><i>STAR Flight</i></b>.<br/><u>Ping</u></p> | <p><u>20170414: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>No action</li> </ul> <p><u>20170501: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>No Update</li> </ul> <p><u>20170605: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>No Update</li> </ul>  |
| <p><b><i>STAR Flight</i> Revenue</b><br/>Develop and implement a plan to pursue the continual development external revenue sources to support <i>STAR Flight</i> operations by December 2017.</p>   | <p><b><i>STAR Flight</i></b>.<br/><u>Ping</u></p> | <p><u>20170414: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>Davies update to ES CC sub-committee. Ping working with Intermedix on recommendation. Scheduling meeting with PBO and Audit.</li> </ul> <p><u>20170501: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>ES subcommittee briefed on April 20th.</li> <li>Briefed Audit and PBO on April 28th. Draft memo submitted April 29<sup>th</sup></li> </ul> <p><u>20170605: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>No Update</li> </ul> |

| Description   | Responsibility                              | Status  |
|---|---|---|
| <p><b>Market Rate Billing</b><br/>           [Public Works Recommendation 12.5.2]<br/>           Ensure that <b>STAR Flight</b> rates reflect market standards and current government program reimbursement rates by developing and implementing an internal policy that facilitates the routine adjustment of rates by October 2017.</p> | <p><b>STAR Flight:</b><br/> <u>Ping</u></p> | <p><u>20170414: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• Recommendation from Intermedix</li> </ul> <p><u>20170501: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• ES subcommittee briefed on April 20th.</li> <li>• Briefed Audit and PBO on April 28th. Draft memo submitted April 29<sup>th</sup></li> </ul> <p><u>20170605: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• Working on back for Commissioners Court agenda June 20, 2017</li> </ul>   |
| <p><b>STAR Flight Instrument Flight Rules (IFR)</b><br/>           Develop and implement low-level GPS for identified hospitals and IFR procedures and approval for <b>STAR Flight</b> operations by December 2018.</p>   | <p><b>STAR Flight:</b><br/> <u>Ping</u></p> | <p><u>20170414: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• Waiting for check from Seton. Audit and Purchasing are ready to proceed when received check. Provided Invoice to Seton on 04/12/2017</li> </ul> <p><u>20170501: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• Waiting for Seton check, contract with vendor completed. Checked on status on April 28<sup>th</sup> and 5/11</li> </ul> <p><u>20170605: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• Check has been received, certified by Audit. It has been pending budget transfer approval at PBO level. Contract is completed and Purchasing is awaiting Department memo.</li> </ul> |

| Description   | Responsibility                             | Status   |
|---|--|--|
| <p><b>STAR Flight Check Airmen</b><br/>Train and achieve FAA approval for second FAA Check Airmen for <b>STAR Flight</b> aviation operations by May 2017.</p> | <p><b>STAR Flight:</b><br/><u>Ping</u></p> | <p><u>20170414: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>In progress</li> </ul> <p><u>20170501: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>In progress 75% complete</li> </ul> <p><u>20170605: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>Waiting on FAA to conduct final check ride.</li> </ul>   |
| <p><b>STAR Flight Huey Flight Instructor</b><br/>Train <b>STAR Flight</b> instructor to complete flight instructor status by August 2017.</p>                 | <p><b>STAR Flight:</b><br/><u>Ping</u></p> | <p><u>20170414: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>On hold</li> </ul> <p><u>20170501: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>On hold</li> </ul> <p><u>20170605: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>On hold</li> </ul>  |
| <p><b>STAR Flight move to DSMC@UT</b><br/>Develop and implement a plan to move <b>STAR Flight</b> operations from UMBC to DSMC@UT by June 2017.</p>           | <p><b>STAR Flight:</b><br/><u>Ping</u></p> | <p><u>20170414: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>On going, current issue between DSMC and Travis County fuel vendor.</li> </ul> <p><u>20170501: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>Under development. Fuel issue referred to Seton senior leadership.</li> </ul> <p><u>20170605: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>SF1 crew moved from UMBC to DCMC pending crew quarters and fueling issues. Seton communicated 8 weeks to resolve fueling issue.</li> </ul> |

| Description  | Responsibility                             | Status   |
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| <p><b>STAR Flight transition position for Director of Aviation</b><br/>Develop and implement a plan to transition Director of Aviation position to ensure a smooth transition. Identify any subsequent process and transition cost May 2017.</p> | <p><b>STAR Flight:</b><br/><u>Ping</u></p> | <p><u>20170414: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• Applications being received. Interview process in the coming weeks.</li> </ul> <p><u>20170501: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• Interviews scheduled for May 9th and 11th.</li> </ul> <p><u>20170605: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• Interviews completed. Position filled by Chuck Spangler. Interviews for Safety Officer position completed on May 30th. Position filled by Joe Lebreque. Open pilot position posted on June 5th.</li> </ul> |
| <p><b>STAR Flight Body Camera</b><br/>Participate with other Travis County departments to develop and implement a plan to use body cameras to improve our ability review rescue operations by December 2017.</p>                                 | <p><b>STAR Flight:</b><br/><u>Ping</u></p> | <p><u>20170414: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• In progress with Travis County trials.</li> </ul> <p><u>20170501: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• In progress with other Travis County Departments</li> </ul> <p><u>20170605: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• In progress with other Travis County Departments</li> </ul>   |
| <p><b>STAR Flight Mobile Device Management</b><br/>Participate with other Travis County departments to develop and implement a plan to manage mobile devices by December 2017.</p>   | <p><b>STAR Flight:</b><br/><u>Ping</u></p> | <p><u>20170414: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• In progress with ITS project.</li> </ul> <p><u>20170501: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• In progress with ITS</li> </ul> <p><u>20170605: Ping, Casey</u></p> <ul style="list-style-type: none"> <li>• In progress with ITS</li> </ul>  |

| Description   | Responsibility  | Status  |
|---|---|---|
| <p><b><i>STAR Flight Clinical Operating Guidelines</i></b><br/>           Develop, implement and train clinical crews on new clinical operating guidelines. This includes simulation training for new procedures by June 2017.<br/> <b>COMPLETED 20170503</b></p> | <p><b><i>STAR Flight:</i></b><br/> <u><i>Ping</i></u></p> | <p><u><i>20170414: Ping, Casey</i></u></p> <ul style="list-style-type: none"> <li><i>Training for staff is almost complete. Implementation in the coming weeks.</i></li> </ul> <p><u><i>20170501: Ping, Casey</i></u></p> <ul style="list-style-type: none"> <li><i>Implementation May 3<sup>d</sup></i></li> </ul> <p><u><i>20170605: Ping, Casey</i></u></p> <ul style="list-style-type: none"> <li><i>Completed</i></li> </ul> |



## Travis County Commissioners Court Voting Session Agenda Request

**Meeting Date:** July 18, 2017

**Agenda Language:**

Consider and take appropriate action on the appointments of the following individuals to the Emergency Services District (ESD) #15 Board of Commissioners for terms effective immediately and ending as indicated:

- A. Belinda Ruiz, term ending on December 31, 2018;
- B. Jim Elman, term ending on December 31, 2018 ;
- C. Jerry Staton, term ending on December 31, 2019; and
- D. Tim Reinhardt, term ending on December 31, 2019. (Commissioner Gómez)

**Prepared By/Phone Number:** David A. Salazar/854-9144

**Elected/Appointed Official or Department Head:** Commissioner Margaret J. Gómez

**Commissioners Court Sponsor(s):** Commissioner Margaret J. Gómez

**Background/Summary of Request and Attachments:**

On May 6, 2017, residents of Southeastern Travis County voted to create Emergency Service District (ESD) #15. The Commissioners Court has the responsibility to appoint a 5-member Board of Commissioners for ESDs within its jurisdiction. Typically, appointments are made for 2-year terms; initial appointments are made for staggered terms to preserve continuity and institutional knowledge of issues related to governing the ESD. Working with area residents and experts on Emergency Services, individuals who have served on the Board of ESD #11 are recommended for appointment to the Board of ESD #15 with the appointment of the 5<sup>th</sup> member to be appointed in the near future.

**Staff Recommendations:**

NA

**Issues and Opportunities:**

NA

**Fiscal Impact and Source of Funding:**

NA

**Required Authorizations:**

NA

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.



## Travis County Commissioners Court Voting Session Agenda Request

**Meeting Date:** July 18, 2017

**Agenda Language:**

Receive update on DNA issues related to the closure of the Austin Police Department Forensics Sciences Center's DNA testing lab, and take appropriate action. (Judge Eckhardt)

**Prepared By/Phone Number:** Gregg Cox, District Attorney's Office, 512-854-9530

**Elected/Appointed Official or Department Head:** Roger Jefferies, County Executive, Justice Planning, 512-854-4759

**Commissioners Court Sponsor(s):** Sarah Eckhardt, County Judge

**Background/Summary of Request and Attachments:**

**Staff Recommendations:**

Please see attached presentation with updates to various tasks related to DNA lab issues.

**Issues and Opportunities:**

N/A

**Fiscal Impact and Source of Funding:**

None

**Required Authorizations:**

Sarah Eckhardt, Travis County Judge

Margaret Moore, District Attorney

Roger Jefferies, Justice and Public Safety County Executive

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.

# Update on Issues Related to Closure of APD DNA Lab

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JULY, 2017



# Cases Impacted by DNA Lab Issues

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The cases impacted by the problems with the DNA lab fall into the following categories

Post-conviction cases where DNA evidence was tested by the APD lab – prosecutor's responsibility

Pending indicted cases either tested by the APD DNA lab or outsourced because of the closure of the lab – prosecutor's responsibility

Charged by not indicted cases either tested by the APD DNA lab or outsourced because of the closure of the lab – shared responsibility between law enforcement and prosecutor

Active investigations with no charges – law enforcement responsibility

Sexual Assault Kit backlog cases being outsourced to DPS or private labs – typically a law enforcement responsibility

# Interlocal Agreement

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Travis County and the City of Austin approved an interlocal agreement (ILA) on March 21 and March 23, 2017 respectively to share costs related to the fallout of the lab closure.

Travis County entered into an agreement with CAPDS to conduct a materiality review process for post conviction cases where the APD lab was involved.

The City of Austin entered into the agreement with DPS for DPS to reopen the lab under DPS management.

The City of Austin will enter into additional agreements for retroactive case reviews and root cause analysis.

The City and County will “settle up” on cost sharing at the appropriate time.

# Working Group

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The ILA calls for a working group of subject matter experts from criminal justice related organizations to advise the City and the County on matters related to DNA evidence

This group has been meeting regularly since late last year and includes subject matter experts from affected organizations

Organizations currently represented include:

- County - Justice Planning, District Attorney, District Courts, County Attorney
- City – City Manager, Austin Police Department (APD), City Attorney
- Defense – Capital Area Private Defender Service (CAPDS), Austin Criminal Defense Lawyers Association (ACDLA)
- State – Texas Forensic Science Commission (TFSC), Department of Public Safety (DPS)

When county decisions related to the expenditure of funds have been discussed, PBO, County Auditor and Purchasing have attended meetings to advise the county participants

# Task #1 - Materiality Review

---

The DA's Conviction Integrity Unit reviewed all cases handled by the APD DNA lab from the time it opened in 2004 until its closure in 2016 to identify cases and defendants that were convicted or received another collateral consequence related to being charged with a crime where the lab was involved.

The DA's office is sending Brady notices to all of those people and directing them to contact CAPDS if they would like their cases reviewed.

The CAPDS Forensic Review Team is reviewing all cases where a defendant responds with a request for review.

The DA's office is providing discovery to The Forensic Project to support their review and is conducting parallel reviews of cases. The DA's office is also conducting proactive reviews on certain other cases, including situations where a defendant previously requested post-conviction DNA testing.

The Forensic Project staff are conducting fact investigations, sending questionnaires, and interviewing clients.

If the DNA evidence is found to be material, The Forensic Project and DA will work together to determine the appropriate remedy. This could be recalculation of statistical probabilities, a scientific review of the analyst's work on a case, possible retesting of some evidence, or post-conviction litigation.

The DA and CAPDS are working with the Juvenile Public Defender to identify/notify juvenile offenders affected.

# Task #1 - Materiality Review Update (as of July 5, 2017)

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## District Attorney's Conviction Integrity Unit

- APD Lab Cases Identified by the DA's Office – 1780 cases involving 1585 defendants
- Brady Notices Sent to defendants – 984
- Individuals already represented by CAPDS on mixture review (no new notice needed) – 109
- Deceased defendants – 45
- Deported defendants – 164
- Number of defendants to still be located – 446

## CAPDS Forensic Project

- 490 people have requested review of their cases (60% response rate)
- 323 cases have been pre-screened
- 116 cases have been flagged as high priority for materiality reviews
- Have requested discovery on all cases

# Task #2 - Retroactive Case Review

---

The City is negotiating an interlocal cooperation agreement with the University of North Texas (UNT) DNA Lab to conduct retroactive case reviews and other services to assess the reliability of the analysis and handling of evidence by the APD DNA lab.

Under the agreement, UNT scientists would conduct scientific reviews of specific cases identified by CAPDA and the DA. UNT would also be available to consult with the DA's Office and CAPDS regarding cases where DNA has been determined to be material to the conviction of a defendant.

The City Manager is planning to bring an agreement for City Council consideration in August 2017.

# Task #3 – Interim Solution

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Interim Solution – A DNA Lab has been opened under DPS management at the APD facility pursuant to a 5 year agreement with the City of Austin. Lab is functioning on a limited scale during hiring and training period with APD DNA lab employees, new employees, new equipment and using DPS processes.

In addition to working with DPS, APD has contracted with 3 private labs to provide DNA testing capacity.

Currently, there are XX cases pending DNA testing with the interim solution.

# Task #4 Quattrone Look Forward/Look Backward (Root Cause Analysis)

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The City is negotiating with the Quattrone Center for the Fair Administration of Justice from the University of Pennsylvania to conduct a “Sentinel Event” review to determine the root causes of the problems that resulted in the closure of the APD DNA Lab.

Root cause analysis – a process review of the APD DNA lab and its protocols to provide a root cause analysis of each of the deficiencies noted in the TFSC audit. The intent is to identify the cause of problems that resulted in the closure so that those problems may be avoided in the future

The Quattrone Center has conducted sentinel event reviews in other criminal justice contexts.

Look Forward – Guidance and advice from experts to assist the stakeholders in determining the future of forensic analysis of evidence in the Austin/Travis County area.

# Task #5 - Stakeholders Advisory Group

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A group including community representatives, victim's rights advocates, and other interested parties will be convened to provide feedback to decision makers regarding future DNA lab solutions for the region

# Pending Cases Involving DNA Testing

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The DA's Office is currently tracking 97 pending cases and 2 convictions with DNA testing

Breakdown by case type:

**29** - Murders and Capital Murders

**30** – Sex crimes with adult victims

**8** – Sex crimes with child victims

**12** – Aggravated robberies and kidnappings

**7** – Burglaries

**7** – Assaulting offenses (Agg Assault, Strangulation, Injury to a Child)

**6** - Other



## Travis County Commissioners Court Voting Session Agenda Request

**Meeting Date:** July 18, 2017

**Agenda Language:**

Consider and take appropriate action on submission of a technical assistance grant application to the Data-Driven Justice & Behavioral Health Design Institute.

**Prepared By/Phone Number:** Valerie Hollier, Planning Project Manager (854-4755), Jabari Welch, Planner Mgmt. Research Assistant (854-6049)

**Elected/Appointed Official or Department Head:** Roger Jefferies, County Executive, Justice & Public Safety (854-4759)

**Commissioners Court Sponsor(s):** Margaret Gomez, Commissioner, Precinct 4

**Background/Summary of Request and Attachments:**

The Substance Abuse and Mental Health Services Administration (SAMHSA), National Association of Counties (NACo), and the Laura and John Arnold Foundation will conduct a Data-Driven Justice and Behavioral Health Design Institute from September 6-8, 2017, at the Hilton Rockville Hotel & Executive Meeting Center in Rockville, Maryland.

The planning committee will select 14 jurisdictions through a competitive process. SAMHSA will cover airfare, lodging, and per diem expenses for team members. The completed application is attached for your review.

**Staff Recommendations:**

Application was submitted on the July 10<sup>th</sup> deadline. Staff recommends approval and ratification.

**Issues and Opportunities:**

The convening will support teams that are committed to the Data-Driven Justice project, a collaborative effort to use integrated data and technology solutions in an effort to improve service delivery and health outcomes for individuals, commonly referred to as "high utilizers," with complex physical health, behavioral health, criminal justice, and social services needs.

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.

During this two-and-a-half day convening, teams will work directly with expert faculty in facilitated sessions and workshops to develop an action plan for implementing or enhancing their Data-Driven Justice strategy.

To be considered, Travis County must commit to sending one senior-level representative from **each** of the following government agencies/departments as part of our team and Justice Planning has selected the following representatives below and each has committed to attend:

- Behavioral Health Executive – Sherry Blyth, Director, Integral Care
- Coordinated Case Management/ Treatment Provider – Marisa Aguilar, Integral Care
- Jail Administration Executive – Michael Gottner, Captain, Travis County Sheriff Office
- Emergency Services Executive – Josh Davies, County Executive, Emergency Services
- Law Enforcement – Justin Newsom, Commander, Austin Police Department
- Homeless Services Executive – Ann Howard, Executive Director, Ending Homelessness Coalition
- County / Municipal Elected Official – Judge Nancy Hohengarten, Travis County Court at Law # 5
- County / Municipal Personnel – Meg Ledyard, Business Analyst III, Travis County Criminal Courts
- Budgeting Office – Keith Goertz, Financial Analyst, Travis County Justice Planning

Other representatives (may come from Departmental travel budgets):

Roger Jefferies – County Executive, Justice and Public Safety, Travis County  
Melissa Shearer – Director, Mental Health Public Defender, Travis County  
Valerie Hollier – Planning Project Manager, Justice Planning, Travis County  
Efrain Davila – Senior Planner, Justice Planning, Travis County  
Stacy Brown – Director, Pre-trial Services, Travis County

Preparatory Work:

The Travis County team must commit to advanced preparatory work that will be used in workshop sessions. This work will involve identifying and building two case studies of individuals with complex physical health, behavioral health, criminal justice, and social services needs in our community. Information needed to complete the case studies will include 911, police, emergency department, and behavioral health contacts for each individual; costs related to these contacts; and the individuals current health and housing status. Additionally, teams will be asked to collect estimated general costs for following the services: emergency department visits; police contacts, including those for

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.

overdose victims; and jail-bed days. Note, at no point will the organizers of this meeting ever ask for identifiable data for any individual or group of individuals.

**Fiscal Impact and Source of Funding:**

A-G Consulting firm will cover the following items as a pre-paid expense: roundtrip coach class airfare and a two-night hotel stay. Ground transportation (up to \$150), meals and incidentals for one full (\$69/day) and two travel days (\$51.75/day) will be reimbursed by A-G Consulting firm within 30 days of submission.

**Required Authorizations:**

Margaret Gomez, Commissioner, Precinct 4

Roger Jefferies, County Executive, Justice & Public Safety

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.



June 13, 2017

Dear Data-Driven Justice Community,

On behalf of the Substance Abuse and Mental Health Services Administration (SAMHSA), National Association of Counties (NACo), and the Laura and John Arnold Foundation (LJAF), we invite you to participate in the Data-Driven Justice and Behavioral Health Design Institute (Design Institute), September 6-8, 2017, at the Hilton Washington DC/Rockville Hotel & Executive Meeting Center in Rockville, Maryland. SAMHSA will provide travel, lodging, and per diem expenses for all teams selected to participate.

This convening is a unique opportunity for communities that have joined the Data-Driven Justice project to build on their progress. The Design Institute will help jurisdictions take the next step in planning and implementing a data-sharing strategy to improve service delivery and health outcomes for individuals, commonly referred to as “high-utilizers,” with complex physical health, behavioral health, criminal justice, and social service needs.

The goal of the Design Institute is to provide Data-Driven Justice jurisdictions with the information, tools, and strategies they need to use data to improve care for individuals and reduce costs. Specifically, the event is focused on teaching community leaders how to integrate data from various services sectors, as well as how to make efficient use of limited health, behavioral health, criminal justice, and social support services and resources.

Teams will learn how to:

- Enhance cross-sector collaboration among health, behavioral health, criminal justice, and homeless service systems;
- Harness technologies that can help to identify individuals with complex physical health, behavioral health, criminal justice, and social service needs;
- Understand legal frameworks and tools for cross-system information sharing; and
- Create a work plan that can be implemented after the convening.

Each day will feature national and local experts and practitioners who successfully use data to serve individuals with complex needs. Teams from each jurisdiction will directly engage with these experts in hands-on sessions as they develop action plans for their own communities. By the end of the Design Institute, teams will be equipped with the tools and information they need to leverage technology to improve health outcomes and cross-system collaboration.

### **How to Apply:**

As many as 14 teams will be selected to attend the Design Institute. Each team must submit an application and commit to sending one senior-level representative from each of the following sectors:

- Behavioral health executive (or the agency responsible for behavioral health services)
- Case management or treatment provider
- Law enforcement executive

- Jail administration executive
- Emergency services executive
- Homeless services executive
- County/municipal elected official
- Personnel from the following county/municipal offices:
  - Chief Information Officer or an individual with experience in data analysis
  - Budgeting

Click [here](#) to learn more and apply.

Applications are due by **July 10, 2017**. Selected communities will be notified by **July 28, 2017**.

SAMHSA, NACo, and LJAF are excited to have this opportunity to work with communities participating in the Data-Driven Justice project. If you have any questions, please contact Kayvon Behroozian at, [kbehroozian@a-gassociates.com](mailto:kbehroozian@a-gassociates.com).

**Larke Nahme Huang, Ph.D.**

Director, Office of Behavioral Health Equity  
Lead, Trauma and Justice Strategic Initiative  
Administrator's Office of Policy Planning and Innovation  
SAMHSA

**Maeghan Gilmore, M.P.H.**

Program Director for Health, Human Services, and Justice  
County Solutions and Innovation Department  
National Association of Counties

**Lynn Overmann, J.D.**

Vice President of Data-Driven Justice  
Laura and John Arnold Foundation

# Data Driven Justice & Behavioral Health Design Institute

September 6-8, 2017

Hilton Rockville ■ 1750 Rockville Pike ■ Rockville, MD



## APPLICATION

### Overview

The Substance Abuse and Mental Health Services Administration (SAMHSA), National Association of Counties (NACo), and Laura and John Arnold Foundation (LJAF) will conduct a Data-Driven Justice and Behavioral Health Design Institute (Design Institute) from September 6-8, 2017, at the Hilton Rockville Hotel & Executive Meeting Center in Rockville, Maryland.

The convening will support teams that are committed to the Data-Driven Justice project, a collaborative effort to use integrated data and technology solutions in an effort to improve service delivery and health outcomes for individuals, commonly referred to as “high utilizers,” with complex physical health, behavioral health, criminal justice, and social service needs.

During this two-and-a-half-day convening, teams will work directly with expert faculty in facilitated sessions and workshops to develop an action plan for implementing or enhancing their Data-Driven Justice strategy.

The planning committee will select 14 jurisdictions through a competitive application process. SAMHSA will cover airfare, lodging, and per diem expenses for team members.

**A completed application must be emailed to [kbehroozian@a-gassociates.com](mailto:kbehroozian@a-gassociates.com) by July 10, 2017.**

*Selection announcements will be made via email by July 28, 2017.*

### Eligibility

The Design Institute is only open to communities that have formally joined the Data-Driven Justice project.

We encourage applications from communities that have taken steps to implement a system of diversion for individuals with complex physical health, behavioral health, criminal justice, and social service needs. We especially encourage applicants who have cultivated strong cross-sector support for developing a system-wide data integration strategy.

### Applicant Teams

To be considered, communities must commit to sending one senior-level representative from **each** of the following county or local government agencies as part of their team:

- Behavioral health executive (or the agency/entity responsible for behavioral health services)
- Coordinated case management or treatment provider
- Law enforcement executive
- Jail administration executive
- Emergency services executive
- Homeless services executive
- County/municipal elected official

- On representative from the following county/municipal offices:
  - Chief Information Officer or an individual with experience in data analysis
  - Budgeting

### **Preparatory Work**

To receive the full benefits of the Design Institute, teams must commit to advanced preparatory work that will be used in workshop sessions. By submitting an application, teams commit to completing all required preparatory work prior to attendance.

This work will involve identifying and building two case studies of individuals with complex physical health, behavioral health, criminal justice, and social service needs in your community. The information needed to complete the case studies will include the number of 911, police, emergency department, and behavioral health contacts for each individual; costs related to these contacts; and the individuals' current health and housing status. Additionally, teams will be asked to collect estimated general costs for the following services: emergency department visits; police contacts, including those for overdose victims; and jail-bed days. Note, at no point will the organizers of this meeting ever ask for identifiable data for any individual or group of individuals. Data and information for preparatory work assignments will need to be de-identified.

Worksheets and further information will be provided to teams to assist in completing the preparatory work.

### **Application Process**

A complete application includes:

1. Cover Sheet (Section I)
2. Written Response (Section II)
3. List of Team Members and Associated Questions (Section III)

### **Who Should Complete the Application?**

The application respondent must be a representative of one of the county or local government agencies listed in the section titled "Applicant Teams," and he or she must plan to attend the Design Institute if his or her jurisdiction is selected.

Application respondents should note that the information collected will be useful both to the Design Institute coordinators and to their communities. Collaboration is encouraged with other team members when completing Section II.

## APPLICATION SECTION I

Jurisdiction applying: \_\_\_\_\_  
*Jurisdiction* *State*

Name of application respondent: \_\_\_\_\_

Title of application respondent: \_\_\_\_\_

Agency of application respondent: \_\_\_\_\_  
*Agency Title* *Department*

Email of application respondent: \_\_\_\_\_

Phone number of application respondent: \_\_\_\_\_

Jurisdiction's population size: \_\_\_\_\_

## APPLICATION SECTION II

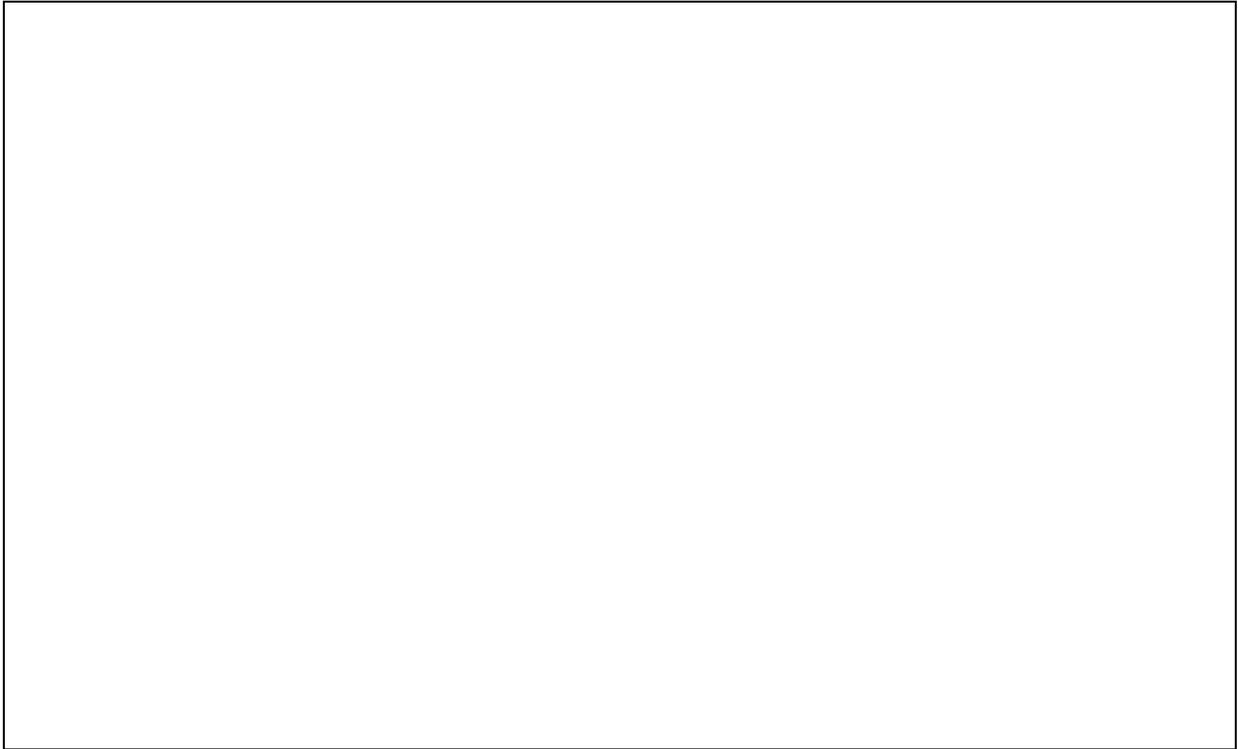
***Please briefly (500 words max per question) share with us the following:***

1. Does your jurisdiction have an existing operational definition and method for identifying individuals with complex physical health, behavioral health, criminal justice, and social service needs? If so, please describe what it is and who in your community uses it. If not, please describe how your local services and government entities identify, or plan to identify, this population.

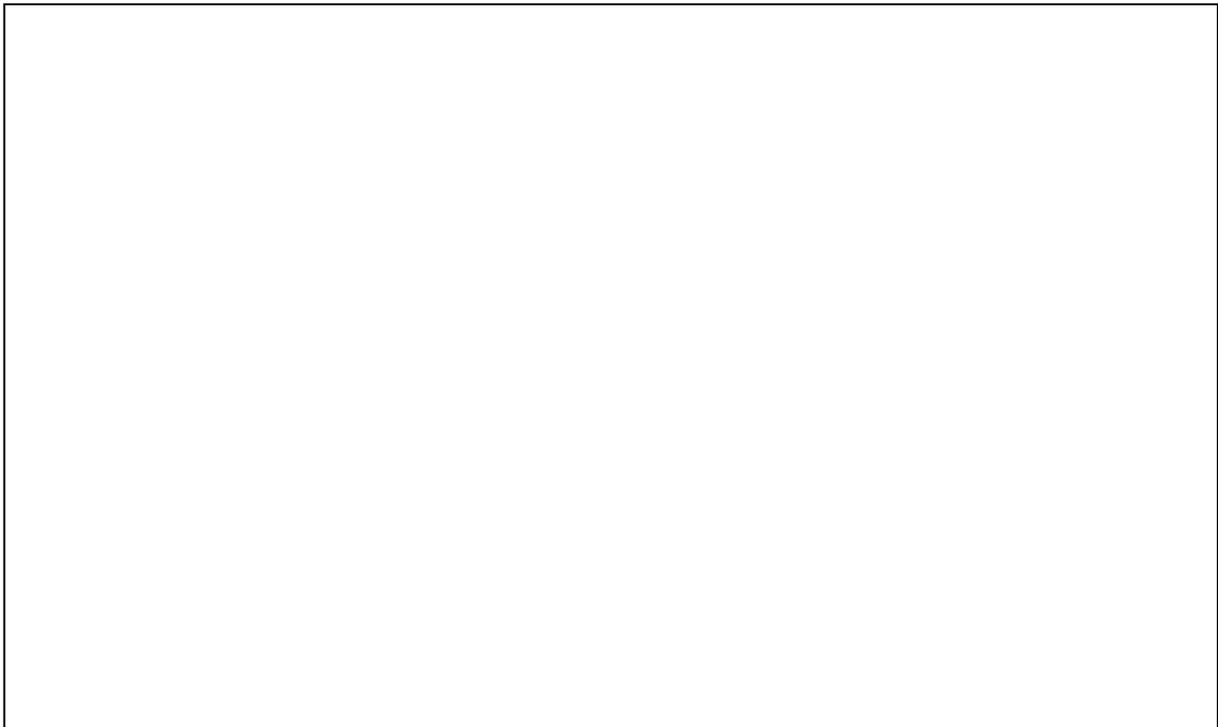
2. What is your jurisdiction doing *now* to implement a system of diversion for individuals with complex physical health, behavioral health, criminal justice, and social service needs? Describe the policies or practices that your jurisdiction has implemented and any it would like to implement in the future.

3. What is your jurisdiction doing *now*, or what does it hope to do in the near future, to implement a system-wide data integration strategy to identify individuals with complex physical health, behavioral health, criminal justice, and social service needs? Describe the data and technology solutions your jurisdiction has implemented or any it would like to implement in the future.

4. What access to data does your jurisdiction currently have that will help to implement your system-wide data integration strategy? How does your jurisdiction use, or hope to use, these data to identify high-utilizers and coordinate services for these individuals?



5. What data would your jurisdiction like to have access to, and why? What are the current barriers to accessing these data?



## APPLICATION SECTION III

The application respondent should coordinate with representatives from the agencies listed below to confirm their ability to participate in the Design Institute, dependent upon selection. Please list the representative, their contact information, and their agency records management system/electronic health record system/database, if applicable. Please provide information for a senior-level representative who is able to attend this Design Institute should your jurisdiction be selected.

### Behavioral Health

Name of representative: \_\_\_\_\_

Title of representative: \_\_\_\_\_  
*Title Department/Agency Office*

Email of representative: \_\_\_\_\_

Record management system that representative's organization uses: \_\_\_\_\_  
*Name/Vendor (i.e., Credible, HMIS, Excel Spreadsheet)*

**Is this representative available to attend the Design Institute from Sept 6-8, 2017?**  Yes  No

**Has this representative been made aware that there will be preparatory work in advance of the meeting and that their involvement in completing it is expected?**  Yes  No

### Jail Administration

Name of representative: \_\_\_\_\_

Title of representative: \_\_\_\_\_  
*Title Department/Agency Office*

Email of representative: \_\_\_\_\_

Record management system that representative's organization uses: \_\_\_\_\_  
*Name/Vendor (i.e., Credible, HMIS, Excel Spreadsheet)*

**Is this representative available to attend the Design Institute from Sept 6-8, 2017?**  Yes  No

**Has this representative been made aware that there will be preparatory work in advance of the meeting and that their involvement in completing it is expected?**  Yes  No

### Emergency Services

Name of representative: \_\_\_\_\_

Title of representative: \_\_\_\_\_  
*Title Department/Agency Office*

Email of representative: \_\_\_\_\_

Record management system that representative's organization uses: \_\_\_\_\_  
*Name/Vendor (i.e., Credible, HMIS, Excel Spreadsheet)*

**Is this representative available to attend the Design Institute from Sept 6-8, 2017?**  Yes  No

**Has this representative been made aware that there will be preparatory work in advance of the meeting and that their involvement in completing it is expected?**  Yes  No

## Law Enforcement

Name of representative: \_\_\_\_\_

Title of representative: \_\_\_\_\_  
*Title Department/Agency Office*

Email of representative: \_\_\_\_\_

Record management system that representative's organization uses: \_\_\_\_\_  
*Name/Vendor (i.e., Credible, HMIS, Excel Spreadsheet)*

**Is this representative available to attend the Design Institute from Sept 6-8, 2017?**  Yes  No

**Has this representative been made aware that there will be preparatory work in advance of the meeting and that their involvement in completing it is expected?**  Yes  No

## Homeless Services

Name of representative: \_\_\_\_\_

Title of representative: \_\_\_\_\_  
*Title Department/Agency Office*

Email of representative: \_\_\_\_\_

Record management system that representative's organization uses: \_\_\_\_\_  
*Name/Vendor (i.e., Credible, HMIS, Excel Spreadsheet)*

**Is this representative available to attend the Design Institute from Sept 6-8, 2017?**  Yes  No

**Has this representative been made aware that there will be preparatory work in advance of the meeting and that their involvement in completing it is expected?**  Yes  No

## Elected County/Municipal Official

Name of representative: \_\_\_\_\_

Title of representative: \_\_\_\_\_  
*Title Department/Agency Office*

Email of representative: \_\_\_\_\_

Record management system that representative's organization uses: \_\_\_\_\_  
*Name/Vendor (i.e., Credible, HMIS, Excel Spreadsheet)*

**Is this representative available to attend the Design Institute from Sept 6-8, 2017?**  Yes  No

**Has this representative been made aware that there will be preparatory work in advance of the meeting and that their involvement in completing it is expected?**  Yes  No

**County/Municipal Personnel:**

**Office of the Chief Information Officer, or individual with experience in data analysis**

Name of representative: \_\_\_\_\_

Title of representative: \_\_\_\_\_  
*Title Department/Agency Office*

Email of representative: \_\_\_\_\_

Record management system that representative’s organization uses (if applicable):

\_\_\_\_\_  
*Name/Vendor (i.e., Credible, HMIS, Excel Spreadsheet)*

**Is this representative available to attend the Design Institute from Sept 6-8, 2017?**  Yes  No

**Has this representative been made aware that there will be preparatory work in advance of the meeting and that their involvement in completing it is expected?**  Yes  No

**Budgeting Office**

Name of representative: \_\_\_\_\_

Title of representative: \_\_\_\_\_  
*Title Department/Agency Office*

Email of representative: \_\_\_\_\_

Record management system that representative’s organization uses (if applicable):

\_\_\_\_\_  
*Name/Vendor (i.e., Credible, HMIS, Excel Spreadsheet)*

**Is this representative available to attend the Design Institute from Sept 6-8, 2017?**  Yes  No

**Has this representative been made aware that there will be preparatory work in advance of the meeting and that their involvement in completing it is expected?**  Yes  No

**OPTIONAL**

If your community feels that having an additional representative, along with the required representatives listed above, would be beneficial, please provide his or her information below. The Design Institute coordinators will consider allowing certain teams to bring an additional representative, based on provided rationale and available funding. There is no guarantee that your additional representative will be able to attend.

Note that this is completely optional and will have no impact on your community’s chances of selection.

**Other Representative**

Name of representative: \_\_\_\_\_

Title of representative: \_\_\_\_\_  
*Title Department/Agency Office*

Email of representative: \_\_\_\_\_



# DATA-DRIVEN JUSTICE & BEHAVIORAL HEALTH DESIGN INSTITUTE

- Substance Abuse & Mental Health Services Administration (SAMHSA), National Association of Counties (NACo), and the Laura & John Arnold Foundation will conduct a Data-Driven Justice and Behavioral Design Institute from **Sept. 6-8, 2017**
- **Purpose:** a collaborative effort to use integrated data and technology solutions in an effort to improve service delivery and health outcomes for high utilizers with complex physical health, behavioral health, criminal justice and services needs



# DATA-DRIVEN JUSTICE & BEHAVIORAL HEALTH DESIGN INSTITUTE, CONTD.

- Facilitated sessions and workshops to develop action plans to effectively enhance data-driven justice strategy
- 14 jurisdictions selected through a competitive process
- Airfare, lodging, and per diem expenses for team members included
- **Selection announcements: July 28, 2017**
- Must send one senior-level representative from each of the following departments:
  1. Behavioral Health
  2. Treatment Provider
  3. Law Enforcement
  4. Jail Administration
  5. Emergency Services
  6. Homeless services
  7. County / Municipal Elected Official





## Travis County Commissioners Court Voting Session Agenda Request

**Meeting Date:** July 18, 2017

**Agenda Language:**

Amended contract with SAFE ALLIANCE (formerly known as: SafePlace) for Travis County Constable, Pct. 5

**Prepared By/Phone Number:** Constable Carlos B. Lopez 512-854-9137

**Elected/Appointed Official or Department Head:** Constable Carlos B. Lopez

**Commissioners Court Sponsor(s):** Judge Sarah Eckhardt

**Background/Summary of Request and Attachments:**

Current approved contract with SafePlace implemented on 06/02/2015 calls for security at two facilities (SafePlace and Austin Children's Center) with part-time deputies. Safe Place has changed names to SAFE ALLIANCE. This amended contract with the same entity will now cover three facilities (SafePlace, Austin Children's Center and Planet Safe). With the addition of the third facility, the current part-time deputies would be changed to full-time deputies, and the price per hour would increase to \$38.25 per hour to cover costs.

**Staff Recommendations:**

N/A

**Issues and Opportunities:**

N/A

**Fiscal Impact and Source of Funding:**

SAFE ALLIANCE will be responsible for all personnel costs associated with this request. No general funding is needed.

**Required Authorizations:**

Constable Carlos B. Lopez

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.



**CARLOS B. LOPEZ**

TRAVIS COUNTY CONSTABLE, PRECINCT FIVE

July 7, 2017

Sarah Eckhardt  
County Judge

Judge Eckhardt:

We are currently providing security services at two (2) facilities for Safe Alliance under a contract approved by the Commissioner's Court in 2015. Constable 5 employed part-time deputies to provide the security services funded by Safe Alliance.

Safe Alliance is in agreement with Constable 5 to amend the contract by adding a third Safe Alliance facility to the security services and raising the hourly rate for staffing. Safe Alliance will continue to be responsible for all personnel expenses, and all parties have the option to terminate the contract with proper notice. PBO is in agreement with the amended contract since the revenue covers all expenses and there is no general funding needed.

We are seeking to have the Commissioner's Court approval so we can move forward with this great endeavor.

Sincerely,

A handwritten signature in blue ink that reads "Carlos B. Lopez".

Carlos B. Lopez  
Travis County Constable, Pct.5

CC: David Escamilla, Travis County Attorney  
Kelly White, Chief Executive Officer, Safe Alliance



CONTRACT BETWEEN TRAVIS COUNTY AND SAFE ALLIANCE  
FOR LAW ENFORCEMENT SECURITY SERVICES

This Contract for law enforcement security services is made and entered into by and between Travis County, Texas (the “COUNTY”) and SAFE Alliance, a nonprofit organization devoted to ending sexual and domestic violence (the “SAFE ALLIANCE”) pursuant to Section 351.061 of the Texas Local Government Code.

WHEREAS, Section 351.061 of the Local Government Code provides that, to protect the public interest, the commissioners court of a county may contract with a nongovernmental association for the provision of law enforcement services by the county on a fee basis in the geographical area represented by the association; and,

WHEREAS, SAFE ALLIANCE provides protection to survivors of domestic violence, sexual assault, and child abuse, and serves the community and the interests of justice; and

WHEREAS, SAFE ALLIANCE desires to enter into a Contract with COUNTY pursuant to which COUNTY, acting by and through the Office of the Constable for Precinct 5, would provide law enforcement security services on a fee basis in the area covered by SAFE ALLIANCE.

NOW, THEREFORE, COUNTY and SAFE ALLIANCE agree as follows:

I.

A. COUNTY, acting by and through the Office of Constable for Precinct 5, shall provide security patrols by Deputy Constables as walking patrols and security services in the geographical area covered by SAFE ALLIANCE at times and places to be agreed upon by the Parties. The geographical area includes the following facilities: SafePlace; Austin Children’s Center; and Planet Safe.

B. The Deputies who provide services pursuant to this Contract are employees of the Office of the Constable for Precinct 5 and shall remain under the supervisory command and control of the Constable for Precinct 5 at all times. Such Deputies shall have no duty or obligation to SAFE ALLIANCE or the residents of the geographical area covered by SAFE ALLIANCE other than those duties and obligations that such Deputies have to the public generally.

C. The Deputies performing services under this Contract shall promptly notify the Austin Police Department of the Deputies’ receipt and response to a complaint constituting a criminal offense and on request shall secure and preserve the scene of the offense for a reasonable time until the arrival of a representative of the police department. Deputies will cooperate with any further criminal investigation to the greatest degree practical.

D. The law enforcement services provided pursuant to this Contract will be carried out in accordance with all applicable laws.

## II.

A. SAFE ALLIANCE will pay COUNTY THIRTY EIGHT DOLLARS and TWENTY-FIVE CENTS (\$38.25) per hour per Deputy for services rendered by the Office of the Constable for Precinct 5 pursuant to this Contract. Such hourly charges will apply to the actual time spent by any Deputy performing services pursuant to this Contract calculated from the time the Deputy arrives in the geographical area covered by SAFE ALLIANCE until the Deputy finishes performing the services. Such charges will also apply to any additional Deputies assigned as back-up personnel to potentially hazardous calls.

B. The Parties acknowledge that the cost to COUNTY of providing the services described herein may change over time. Therefore, the Parties agree that COUNTY may change the hourly rates stated in Section II, A, above by giving SAFE ALLIANCE a written Notice of Rate Change delivered in accordance with section V, E, at least sixty (60) days prior to the effective date of the rate change. If SAFE ALLIANCE does not desire to continue to receive services at the rates stated in the Notice of Rate Change, it may terminate this Contract prior to the effective date of the rate change by giving COUNTY written notice delivered in accordance with Section V, E. If SAFE ALLIANCE does not terminate this Contract, SAFE ALLIANCE will be deemed to have accepted the rate change and will pay the rates stated in the Notice of Rate Change for any services provided by the Office of the Constable for Precinct 5 pursuant to this Contract on or after the effective date of the rate change.

C. COUNTY will bill SAFE ALLIANCE bi-monthly for the services rendered and SAFE ALLIANCE will pay COUNTY for the services rendered within 30 days after the billing date.

## III.

A. The Constable for Precinct 5 or his designated representative shall be responsible for administering this Contract for COUNTY.

B. The Chief Executive Officer of SAFE ALLIANCE or her designated representative shall be responsible for administering this Contract for SAFE ALLIANCE.

## IV.

### A. INDEMNIFICATION

1. SAFE ALLIANCE agrees to and shall indemnify, save and hold harmless, and defend COUNTY, its officials, officers, agents, and employees from and against any and all claims, losses, damages, causes of action, suits and liability of every kind, including but not limited to attorney's fees and related costs, for personal injury, death, or property damage, destruction, or loss arising

out of or connected in any way with the performance of SAFE ALLIANCE officials, agents, and employees of SAFE ALLIANCE's responsibilities under this Contract, where such personal injury, death, or property damage, destruction, or loss is caused by SAFE ALLIANCE's sole negligence or its sole intentional act or omission, or where such personal injury, death, or property damage, destruction, or loss is caused by the joint negligence of SAFE ALLIANCE and any other person or entity or the joint intentional act or omission of SAFE ALLIANCE and any other person or entity. Such indemnity will apply where the claims, losses, damages, causes of action, suits or liability arise in whole or in part from the negligence or intentional act or omission of SAFE ALLIANCE.

2. Within three (3) working days of receiving notice of any claim, demand, suit, or any action made or brought against SAFE ALLIANCE, arising out of the law enforcement activities conducted pursuant to this Contract, SAFE ALLIANCE must give written notice to COUNTY of such claim, demand, suit or other action. Said notice must include: (a) the name and address of the claimant; (b) the basis of the claim, action or proceeding; (c) the court, if any, where such claim, action, or proceeding was instituted; and (d) the name or names of any person or persons against whom such claim is being made.

#### B. INSURANCE COVERAGE

1. SAFE ALLIANCE must obtain and maintain liability insurance or other acceptable risk coverage, which covers the acts of commission or omission of COUNTY employees and the acts of commission or omission of other governmental entities who are assisting COUNTY at COUNTY's request.

2. The insurance policy or other coverage must provide coverage in the amount of FIVE HUNDRED THOUSAND DOLLARS (\$500,000.00) per occurrence and ONE MILLION DOLLARS (\$1,000,000.00) in the aggregate for property damage, bodily injury, or death.

3. Within ten (10) days after execution of this Contract, SAFE ALLIANCE must provide COUNTY proof of insurance. To the extent feasible, SAFE ALLIANCE will have COUNTY named as an additional insured under SAFE ALLIANCE's insurance policy.

#### V.

A. This Contract will commence upon complete execution and continue through August 1, 2018, and replaces and supersedes the existing Contract between the two parties for law enforcement security services. Subject to paragraphs C and D below, this Contract will thereafter automatically renew each August 1 for five (5) subsequent one (1) year periods.

B. SAFE ALLIANCE will pay for services rendered by COUNTY from current revenue funds available to SAFE ALLIANCE.

C. The renewal of this Contract is contingent upon the availability of current revenue funds.

D. Either Party may terminate this Contract with thirty (30) days' notice to the other Party with or without cause. Upon termination of this Contract, neither Party shall have any obligations to the other Party, except to pay for services already rendered.

E. All notices under this Contract must be in writing and may be either hand-delivered or sent by certified mail, postage prepaid, return receipt requested, to the following addresses:

COUNTY:                   Constable Carlos Lopez (or his successor)  
                                  Travis County Constable, Precinct 5  
                                  1003 Guadalupe Street  
                                  Austin, Texas 78701

SAFE ALLIANCE:   Kelly White (or her successor)  
                                  Chief Executive Officer of SAFE Alliance  
                                  1401 Grove Blvd.  
                                  Austin, Texas 78741

F. If any provision of this Contract is found to be invalid, illegal, or unenforceable, such invalidity, illegality, or unenforceability will not affect the remaining provisions of this Contract.

G. The waiver by either party of a breach of this Contract will not constitute a continuing waiver of such breach or of a subsequent breach of the same or a different provision.

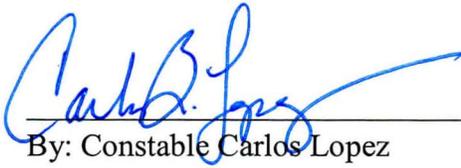
H. This is the entire agreement between COUNTY and SAFE ALLIANCE. No other agreements, statements, or promises relating to the subject matter of this Contract and which are not contained herein shall be valid or binding. Except for the rate change described in Section II, B, this Contract may not be amended, except in writing signed by both Parties. NO OFFICIAL, AGENT, EMPLOYEE, OR REPRESENTATIVE OF TRAVIS COUNTY HAS ANY AUTHORITY TO ALTER, AMEND, OR MODIFY THE TERMS OF THIS CONTRACT, EXCEPT IN ACCORDANCE WITH SUCH EXPRESS AUTHORITY AS MAY BE GRANTED BY THE TRAVIS COUNTY COMMISSIONERS COURT.

EXECUTED AS OF THE LATER DATE SET FORTH BELOW.

TRAVIS COUNTY, TEXAS

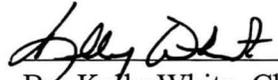
\_\_\_\_\_  
By: Honorable Sarah Eckhardt  
      Travis County Judge

Date: \_\_\_\_\_

  
By: Constable Carlos Lopez

Date: 7-3-17

SAFE ALLIANCE

  
By: Kelly White, Chief Executive Officer

Date: 6/22/17



# Travis County Commissioners Court Voting Session Agenda Request

**Meeting Date:** July 18, 2017

**Agenda Language:**

Consider and take appropriate action on acceptance of all FY2018 donations related to the use of County vehicles by off-duty Travis County Sheriff's Office peace officers for other employment that serves as a public purpose.

**Prepared By/Phone Number:** Paul Matthews CPA, CFA, Travis County Sheriff,  
Finance Director (512) 854-9234

**Elected/Appointed Official or Department Head:** Sally Hernandez, Travis County Sheriff

**Commissioners Court Sponsor(s):** Judge Sarah Eckhardt

**Background/Summary of Request and Attachments:**  
See attached memo and Texas Attorney General opinion

**Staff Recommendations:**

Acceptance of all FY 2018 donations related to the use of Travis County Sheriff's Office county vehicles.

**Issues and Opportunities:**

N/A

**Fiscal Impact and Source of Funding:**

N/A

**Required Authorizations:**

Sally Hernandez, Travis County Sheriff  
Art Arevalo, Travis County Sheriff, Major Law Enforcement Bureau  
Shane Poole, Travis County Sheriff, Major Administration & Support  
John Hille, County Attorney's Office  
Alan Miller, Planning and Budget Office  
Aerin Pfaffenberger, Planning and Budget Office  
Nicki Riley, Travis County Auditor  
Dan Wilson, County Auditor's Office  
Vanessa Robles, County Auditor's Office

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.



ANTHONY JOHNSON  
Chief Deputy

## SALLY HERNANDEZ

TRAVIS COUNTY SHERIFF

P.O. Box 1748  
Austin, Texas 78767  
(512) 854-9770  
[www.tcsheriff.org](http://www.tcsheriff.org)

ARTHUR AREVALO  
Major - Law Enforcement

WES PRIDDY  
Major - Corrections

SHANE POOLE  
Major - Administration & Support

June 30, 2017

To: Travis County Commissioner's Court

From: Paul Matthews, Finance Director Travis County Sheriff's Office

Subject: Consider and take appropriate action on acceptance of all FY 2018 donations related to the use of County vehicles.

The Travis County Sheriff's Office (TCSO) Law Enforcement Bureau requests that the Travis County Commissioner's Court accept all donations in FY 2018 related to off-duty employment by Travis County peace officers for the use of vehicles that serve a public purpose. In September 2007, Commissioner's Court approved a form agreement that allows the Sheriff and Constable Office(s) to accept donations for the use of County vehicles utilized by off-duty peace officers that serve a public purpose, such as conserving the peace, controlling traffic on public roads, and ensuring the public safety. The form is attached. Additionally, Attorney General Opinion No. GA-0480 allows the use of County patrol vehicles during off-duty employment.

Under Section 81.032 of the Local Government Code, the Commissioner's Court may accept a gift, grant, donation, bequest, or devise of money or other property on behalf of the County for the purpose of performing a function conferred by law on the County or a County officer.

Thank you,

Paul B. Matthews CPA, CFA  
Financial Manager  
Travis County Sheriff's Office  
(512) 854-9234



ATTORNEY GENERAL OF TEXAS  
GREG ABBOTT

October 31, 2006

The Honorable Joel D. Littlefield  
Hunt County Attorney  
Post Office Box 1097  
Greenville, Texas 75403-1097

Opinion No. GA-0480

Re: Whether a deputy sheriff may use a county patrol vehicle to perform off-duty security work ([RQ-0482-GA](#))

Dear Mr. Littlefield:

You inform us that Hunt County "deputy sheriffs working off duty security used county patrol vehicles, in the course and scope of that off duty employment, without reimbursing the county for the use of the county patrol vehicle."<sup>(1)</sup> Consequently, you ask four questions about the authority of a deputy sheriff to use the county's vehicle in this manner. Request Letter, *supra* note 1, at 1. Specifically, you ask:

- (1) May a deputy sheriff who is engaged in working off duty (security) employment, use a county patrol vehicle in the course and scope of that off duty (security) employment, without reimbursing the county for the use of the county patrol vehicle?
- (2) May a Sheriff allow a deputy sheriff, who is engaged in working off duty (security) employment, to use a county patrol vehicle in the course and scope of that off duty (security) employment, without providing reimbursement to the county for the use of the county patrol vehicle?
- (3) Should reimbursement of the county vehicle be required for its use in the above, which individual or agency sets the amount to be reimbursed to the county for its use--the sheriff or the commissioner's court?
- (4) May the Commissioner's Court prevent patrol vehicles, which were assigned to the sheriff's office, from being used in off duty (security) employment by deputy sheriffs?

*Id.* at 1-2. Because your first two questions raise related questions of law, we treat them together.

Article III, section 52(a) of the Texas Constitution provides that the "[l]egislature shall have no power to authorize any county . . . to lend its credit or to grant public money or thing of value in aid of, or to any individual, association or corporation whatsoever." Tex. Const. art. III, § 52(a). This provision is not violated if the public receives consideration for granting a

thing of value. *Tex. Mun. League Intergov'tl Risk Pool v. Tex. Workers' Comp. Comm'n*, 74 S.W.3d 377, 383 (Tex. 2002). This provision, moreover, does not prohibit a grant of a public thing of value that incidentally benefits a private party if the grant is made to accomplish a legitimate public purpose. *Walker v. City of Georgetown*, 86 S.W.3d 249, 260 (Tex. App.--Austin 2002, pet. denied). To satisfy this public purpose exception, the grant's predominant purpose must be to accomplish a public purpose, not to benefit private parties; there must be public control over the assets to ensure that the public purpose is accomplished and to protect the public's investment; and the public must receive a return benefit. *Tex. Mun. League Intergov'tl Risk Pool*, 74 S.W.3d at 384.

A sheriff is required to conserve the peace within the sheriff's county. *See* Tex. Code Crim. Proc. Ann. art. 2.17 (Vernon 2005). Because no statute prescribes the manner in which a sheriff is to conserve the peace, it is for the sheriff, in the exercise of reasonable discretion, to determine how best to accomplish this duty. *Weber v. City of Sachse*, 591 S.W.2d 563, 567 (Tex. App.--Dallas 1979, writ dismissed). The patrol vehicles allocated to a sheriff by a commissioners court are tools to be used by the sheriff to perform the sheriff's law enforcement duties. *See* Tex. Att'y Gen. Op. No. GA-0158 (2004) at 4 ("The sheriff's vehicles belong to the county, which acts through its commissioners court."). By extension, then, a sheriff has discretion over the vehicles allocated to the sheriff, including the discretion to determine how the sheriff's deputies are to use those vehicles in providing law enforcement within the county.

But a sheriff is bound by the constitution and other laws of this state. *See* Tex. Loc. Gov't Code Ann. § 85.001(c) (Vernon Supp. 2006) (requiring sheriff to take official oath). Texas Constitution article III, section 52(a), and the case law interpreting that article, circumscribes a sheriff's discretion over county patrol vehicles, but it leaves to the sheriff the authority to permit the use of the county's patrol vehicles in a manner that satisfies the public purpose doctrine, subject to judicial review. *See Barrington v. Cokinos*, 338 S.W.2d 133, 142 (Tex. 1960) ("[A] court has no right to substitute its judgment and discretion for the judgment and discretion of the governing body upon whom the law visits the primary power and duty to act."). Therefore, in answer to your first two questions, the sheriff may authorize deputy sheriffs to use county patrol vehicles during off-duty security employment without the deputies reimbursing the county for that use only if the predominant purpose of the off-duty use is to conserve the peace within the county, the sheriff retains control over the vehicles in a manner that ensures the peace will be conserved, and the county actually receives this public benefit.<sup>(2)</sup>

You next ask: "Should reimbursement of the county vehicle be required for its use in the above, which individual or agency sets the amount to be reimbursed to the county for its use--the sheriff or the commissioners court?" Request Letter, *supra* note 1, at 2. This question presupposes that, for circumstances in which the sheriff determines that use of the county patrol vehicle does not accomplish a legitimate public purpose, a deputy may use the vehicle as long as the county is reimbursed for such use. This presupposition is incorrect.

It is well established that a sheriff has no authority to enter into contracts binding the county except where specifically authorized by statute. *Anderson v. Wood*, 152 S.W.2d 1084, 1085 (Tex. 1941). We have found no statute that authorizes a sheriff to bind the county in a lease of its vehicles to deputy sheriffs for the deputies to use in a private capacity. Therefore, the sheriff may not set the amount of money that would reimburse the county for the use of its

vehicles because that would be a contract term. And a commissioners court, although it is the entity with jurisdiction over all county business, Tex. Const. art. V, § 18(b), and "although [it] may exercise broad discretion in conducting county business, the legal basis for any action taken must be grounded in the constitution or statutes." *Guynes v. Galveston County*, 861 S.W.2d 861, 863 (Tex. 1993) (citation omitted). Consequently, a commissioners court's authority to contract on behalf of the county is limited to that authority conferred either expressly or by necessary implication by the constitution and the laws of this state. Tex. Att'y Gen. Op. No. GA-0085 (2003) at 2. As it is with a sheriff, we can find no statute that expressly authorizes a commissioners court to lease the county's personal property in this way. Indeed, Local Government Code chapter 263, which specifically authorizes a commissioners court to sell or lease county property under certain conditions, makes no provision for the lease of county personal property in the manner described here. *See* Tex. Loc. Gov't Code Ann. §§ 263.001-.251 (Vernon 2005). Thus, a commissioners court may not lease the county's vehicles to the county's deputy sheriffs to use in a private capacity. *Cf.* Tex. Att'y Gen. Op. No. GA-0085 (2003) at 4-5 (determining that a commissioners court could sell county-owned dirt provided that the dirt fell under a Local Government Code chapter 263 provision authorizing a county to sell surplus property). Accordingly, we need not answer your question as to who determines reimbursement amounts, because the county may not lease its vehicles for private use in the first place.

You last ask: "May the Commissioner's Court prevent patrol vehicles, which were assigned to the sheriff's office, from being used in off duty (security) employment by deputy sheriffs?" Request Letter, *supra* note 1, at 2.

"It is the law in Texas that an elected officer occupies a sphere of authority, which is delegated to him by the Constitution and laws, within which another officer may not interfere or usurp." *Renken v. Harris County*, 808 S.W.2d 222, 226 (Tex. App.--Houston [14th Dist.] 1991, no writ). Based on this statement of the law, this office has said:

A commissioners court, which sets the budgetary priorities of a county and can decide generally how much of the county's funds to dedicate to each of the county's purposes, has thereby a considerable ability to shape the ways in which an elected county official uses the resources of his office. But it cannot make those decisions for him. It may, in effect, tell that official what resources it will place at his disposal. But it may not micro-manage his decisions as to the use of those resources.

Tex. Att'y Gen. Op. No. JC-0214 (2000) at 3. And, as a court has elaborated, "[a] sheriff is under as much obligation to conduct his office lawfully as is the commissioners' court its duties." *Tarrant County v. Smith*, 81 S.W.2d 537, 538 (Tex. Civ. App.--Fort Worth 1935, writ ref'd). Thus, the sheriff, and not the commissioners court, is responsible for seeing that the sheriff's deputies use patrol vehicles only for lawful purposes.

## S U M M A R Y

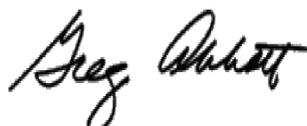
Article III, section 52(a) of the Texas Constitution prohibits the state and its political subdivisions from granting a thing of value for private use. An exception is made where the grant's predominant purpose is to accomplish a public purpose, not to benefit private parties; where there is public control over

the assets to ensure that the public purpose is accomplished and to protect the public's investment; and where the public receives a return benefit . Thus, a sheriff may authorize the sheriff's deputies to use county patrol vehicles for off-duty employment without reimbursing the county only if the predominant purpose is to conserve the peace within the county, the sheriff retains control over the vehicles in a manner that ensures the peace will be conserved, and the county actually receives this public benefit.

There is no authority for a sheriff or a commissioners court to contract with a deputy sheriff to guarantee reimbursement to the county for the deputy's private use of a county patrol vehicle. Thus, neither a sheriff nor a commissioners court may set a rate for reimbursement.

The sheriff, and not the commissioners court, is responsible for seeing that the sheriff's deputies use patrol vehicles only for lawful purposes.

Very truly yours,



GREG ABBOTT  
Attorney General of Texas

KENT C. SULLIVAN  
First Assistant Attorney General

ELLEN L. WITT  
Deputy Attorney General for Legal Counsel

NANCY S. FULLER  
Chair, Opinion Committee

Daniel C. Bradford  
Assistant Attorney General, Opinion Committee

## Footnotes

1. Letter from Honorable Joel D. Littlefield, Hunt County Attorney, to Honorable Greg Abbott, Attorney General of Texas at 1 (Apr. 26, 2006) (on file with the Opinion Committee, *also available at* <http://www.oag.state.tx.us>) [hereinafter Request Letter].

2. We note that Government Code section 612.005 requires the governing body of a political subdivision to insure its law enforcement officers against liability to third persons arising out of the officer's operation of a vehicle owned, leased, or otherwise controlled by the political subdivision "at any time that the officer is authorized to operate the vehicle, including times that the officer is authorized to operate the vehicle while off duty." Tex. Gov't Code Ann. § 612.005(b) (Vernon 2004).

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# Travis County Commissioners Court Agenda Request

**Meeting Date:**

July 18, 2017

**Prepared By/Phone Number:**

Juanita Jackson/854-4467

**Elected/Appointed Official/Dept. Head:**

Sherri E. Fleming,  
County Executive for Health and Human Services, Veterans Service Office and AgriLife  
Extension

**Commissioners Court Sponsor:** Comm. Margaret Gomez and Comm. Brigid Shea

**AGENDA LANGUAGE:**

Receive briefing on the estimated 5 year American Community Survey and Focus on Poverty in Travis County presentation.

**BACKGROUND/SUMMARY OF REQUEST AND ATTACHMENTS:**

See attachment report and presentation

**STAFF RECOMMENDATIONS:**

No recommendation

**ISSUES AND OPPORTUNITIES:**

See attachment report and presentation

**FISCAL IMPACT AND SOURCE OF FUNDING:**

No Fiscal Impact

**REQUIRED AUTHORIZATIONS:**

Commissioner Brigid Shea, Precinct 2  
Commissioner Margaret Gomez, Precinct 4  
Prema Gregerson, County Attorney, VII  
Jessica Rio, County Executive – PBO  
Bonnie Floyd, CPM, Travis County Purchasing Agent  
Aerin Toussaint, Budget Analyst I, PBO  
Nicki Riley, CPA, Travis County Auditor  
Sherri Fleming, County Executive - HHS

AGENDA REQUEST DEADLINE: All agenda requests and supporting materials must be submitted as a pdf to the County Judge's office, [agenda@co.travis.tx.us](mailto:agenda@co.travis.tx.us) by **Tuesdays at 5:00 p.m.** for the next week's meeting.

# Focus on Poverty in Travis County

2011-2015 American Community Survey

5-Year Estimates

Spring 2017

# Informing Our Community

## *Research & Planning Information Services*

**American  
Community  
Survey  
Supplement  
Reports**

**American  
Community  
Survey  
Travis  
County  
Snapshot**

**Community  
Impact  
Report**

**Data  
Access &  
Technical  
Assistance  
(DATA)  
Service**

# About the Focus on Poverty Report

- Purpose: An in-depth look at poverty
  - Who is most impacted by poverty?
- Data Source: American Community Survey 5-Year Estimates
  - 2011-2015 ACS 5-Year Estimates
  - 2006-2010 ACS 5-Year Estimates (for trends)
- 5-Year Estimates were chosen because:
  - Most reliable and provide a larger sample size
  - Data available at sub-county level

# What is Poverty?

- **Poverty Threshold**
  - Issued annually by Census Bureau
  - Used for statistical purposes
  - Method developed in the 60's and assumes food costs are one-third of household expenses

|                                | One Person      | Family of Four  |
|--------------------------------|-----------------|-----------------|
| <b>Poverty Threshold, 2015</b> | <b>\$12,082</b> | <b>\$24,036</b> |

# OVERVIEW & GEOGRAPHIC COMPARISON

# Travis County, 2011-2015

- 180,220 individuals (16%) below 100% of poverty
- 368,571 individuals (34%) below 200% of poverty
- Between 2006-2010 & 2011-2015:
  - The *number* of individuals living in poverty increased by 15%
  - The *poverty rate* remained stable (16%)
  - The percent of individuals living below 200% of poverty remained stable (34%)

# Travis County, Texas, and the U.S.

| <b>Poverty Status</b> |              |              |
|-----------------------|--------------|--------------|
|                       | 2006-2010    | 2011-2015    |
|                       | Poverty Rate | Poverty Rate |
| Travis County         | 16.2%†       | 16.4%†       |
| Texas                 | 16.8%        | 17.3%        |
| United States         | 13.8%        | 15.5%        |

†The difference between the 2006-2010 & 2011-2015 poverty rate is not statistically significant

# Central Texas Counties

| <b>Poverty Status</b> |              |              |
|-----------------------|--------------|--------------|
|                       | 2006-2010    | 2011-2015    |
|                       | Poverty Rate | Poverty Rate |
| Bastrop County        | 14.1%†       | 14.3%†       |
| Caldwell County       | 19.6%†       | 19.3%†       |
| Hays County           | 16.4%†       | 16.9%†       |
| Travis County         | 16.2%†       | 16.4%†       |
| Williamson County     | 6.5%         | 7.5%         |

†The difference between the 2006-2010 & 2011-2015 poverty rate is not statistically significant

# DEMOGRAPHIC, SOCIAL, AND EMPLOYMENT TOPICS

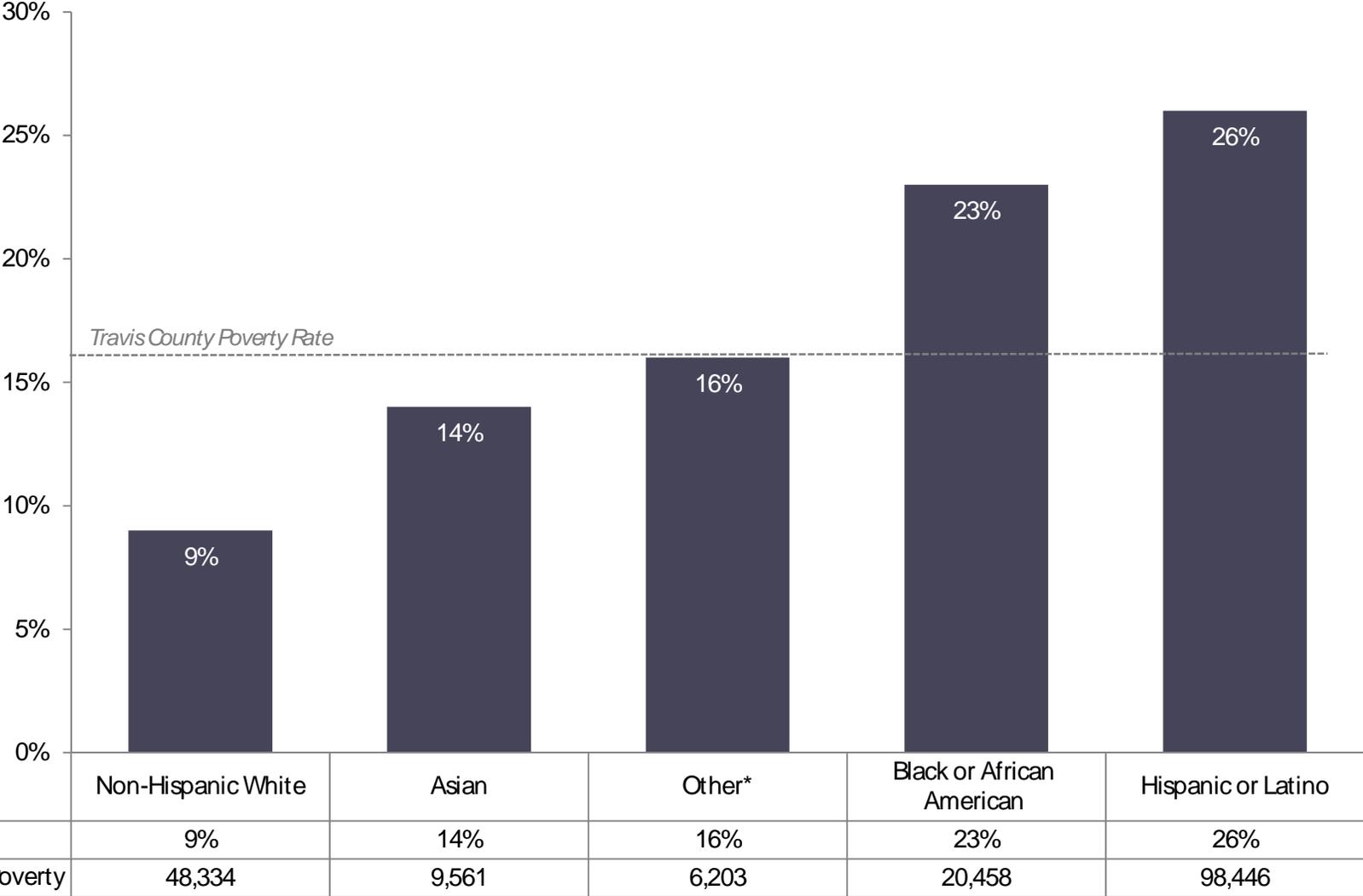
# Sex & Age

| Sex     | 2011-2015 Poverty Rate |
|---------|------------------------|
| Females | 18%                    |
| Males   | 15%                    |

| Age Category       | 2006-2010 Poverty Rate | 2011-2015 Poverty Rate |
|--------------------|------------------------|------------------------|
| Under 18 years     | 21%                    | 23%                    |
| 18 to 24 Years     | 36%                    | 38%                    |
| 25 to 44 years     | 13%                    | 13%                    |
| 45 to 64 years     | 9%                     | 10%                    |
| 65 years and older | 8%                     | 8%                     |

# Poverty By Race and Hispanic Origin

## Total Population

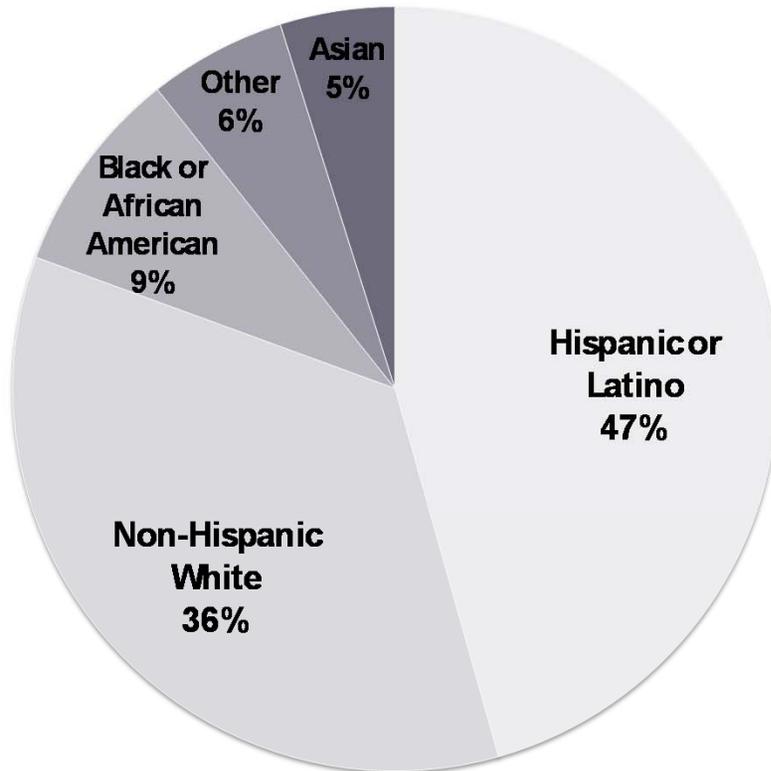


\*Use this estimate with caution: CV is 15.5% - 30.49%

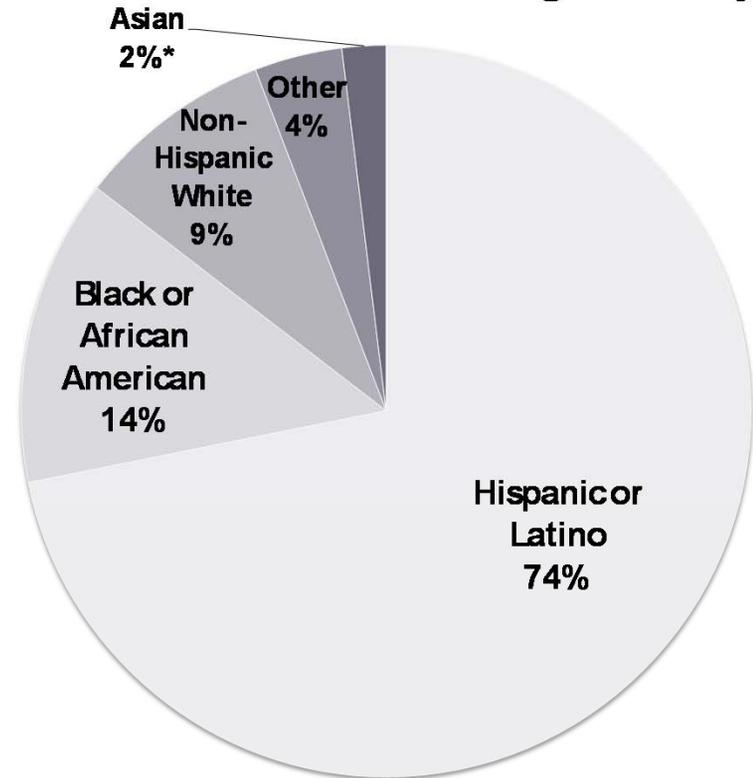
# Poverty By Race and Hispanic Origin

## Population of Children under 18 years old

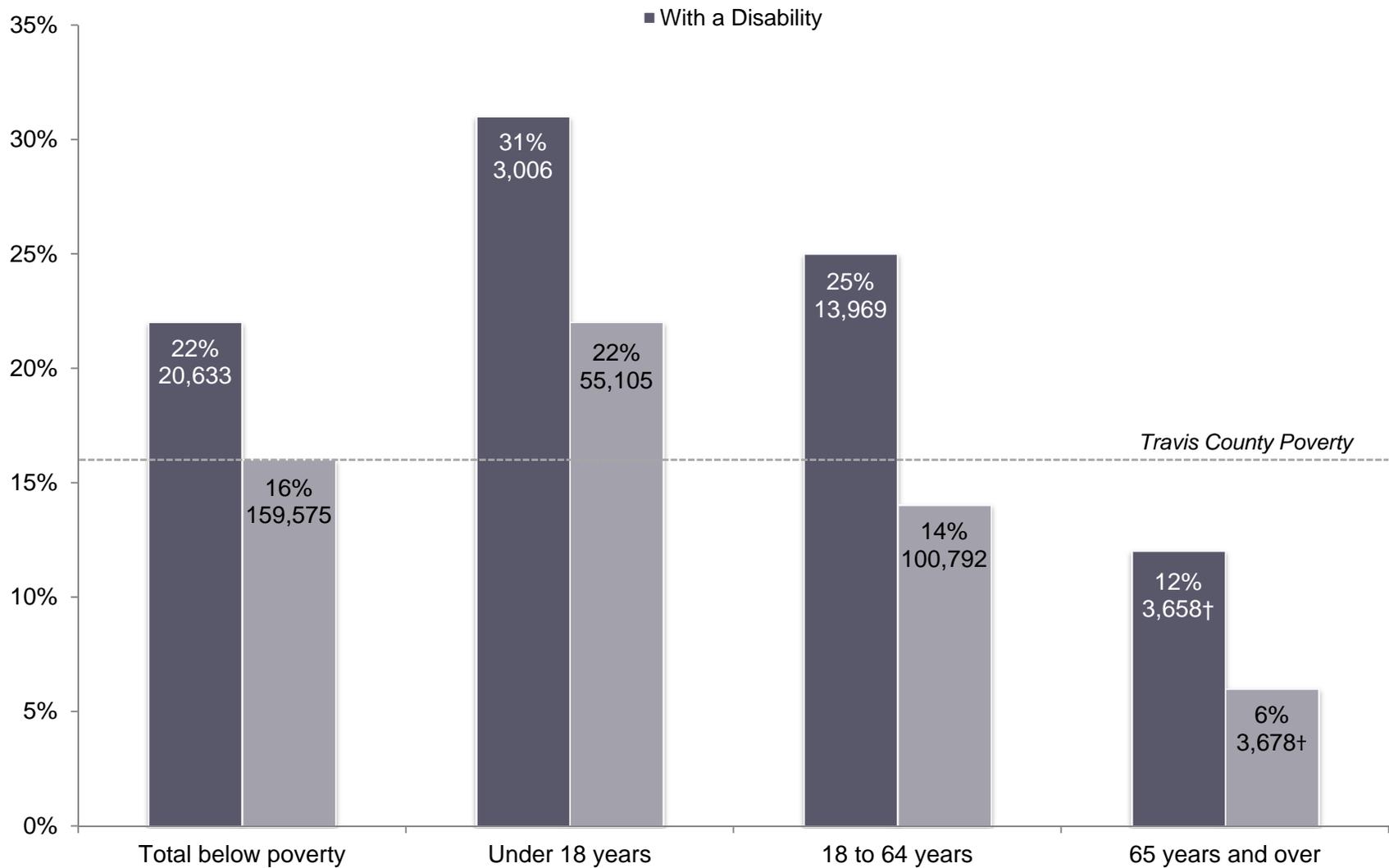
**Total Child Population**



**Children Under 18 Years Living in Poverty**

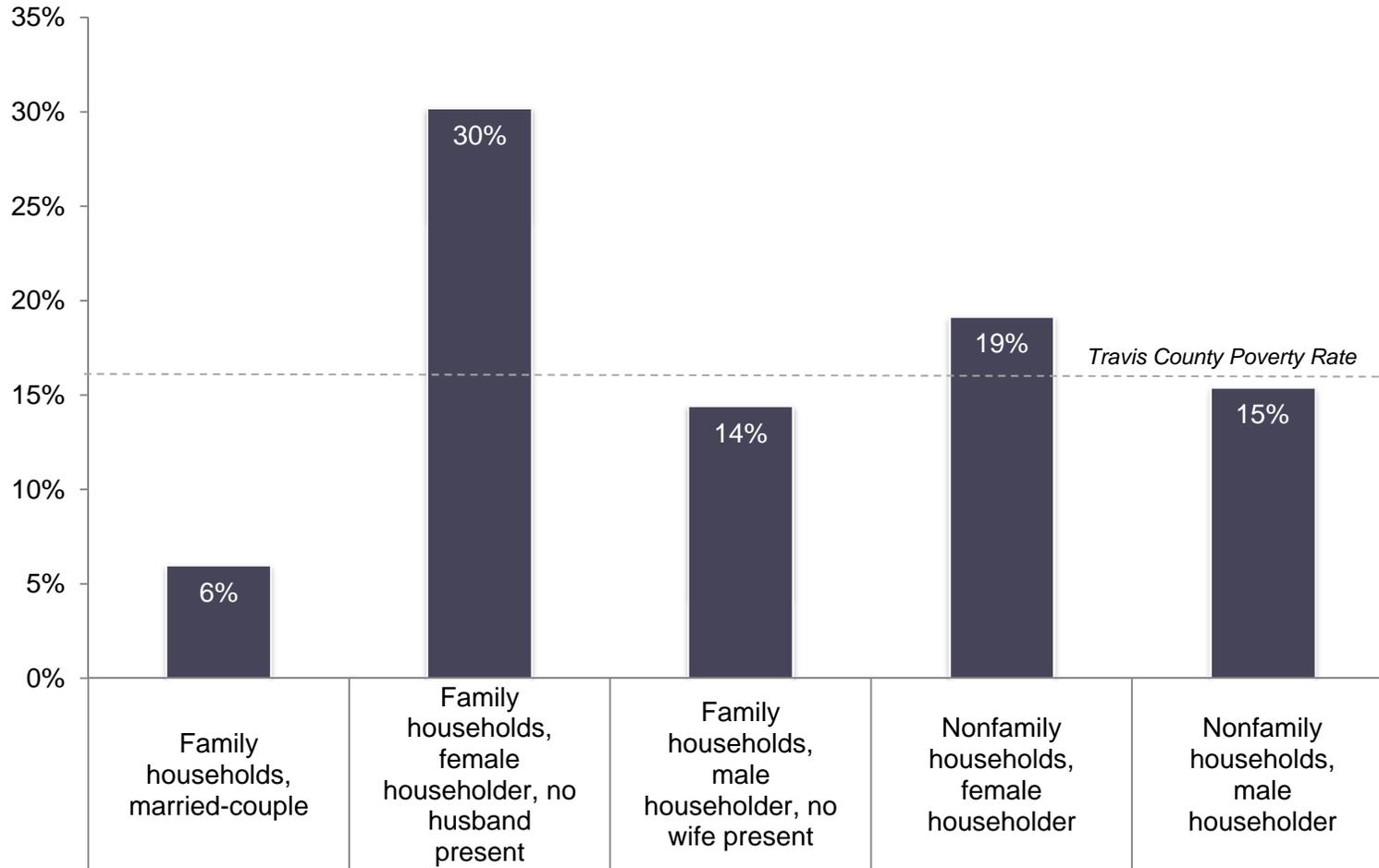


# Individuals in Poverty by Disability Status



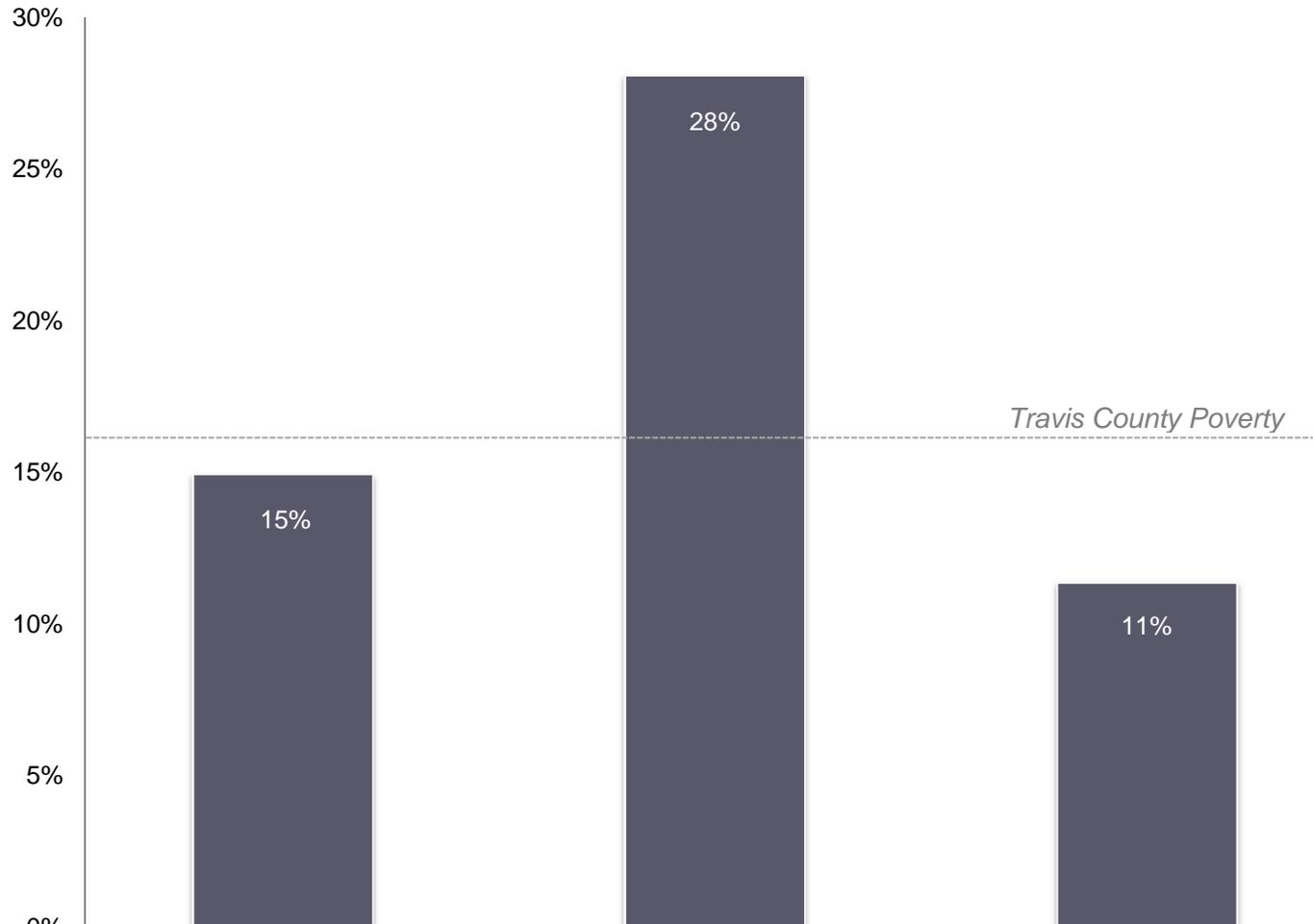
† The difference between these estimates is not statistically significant

# Households in Poverty by Family Type



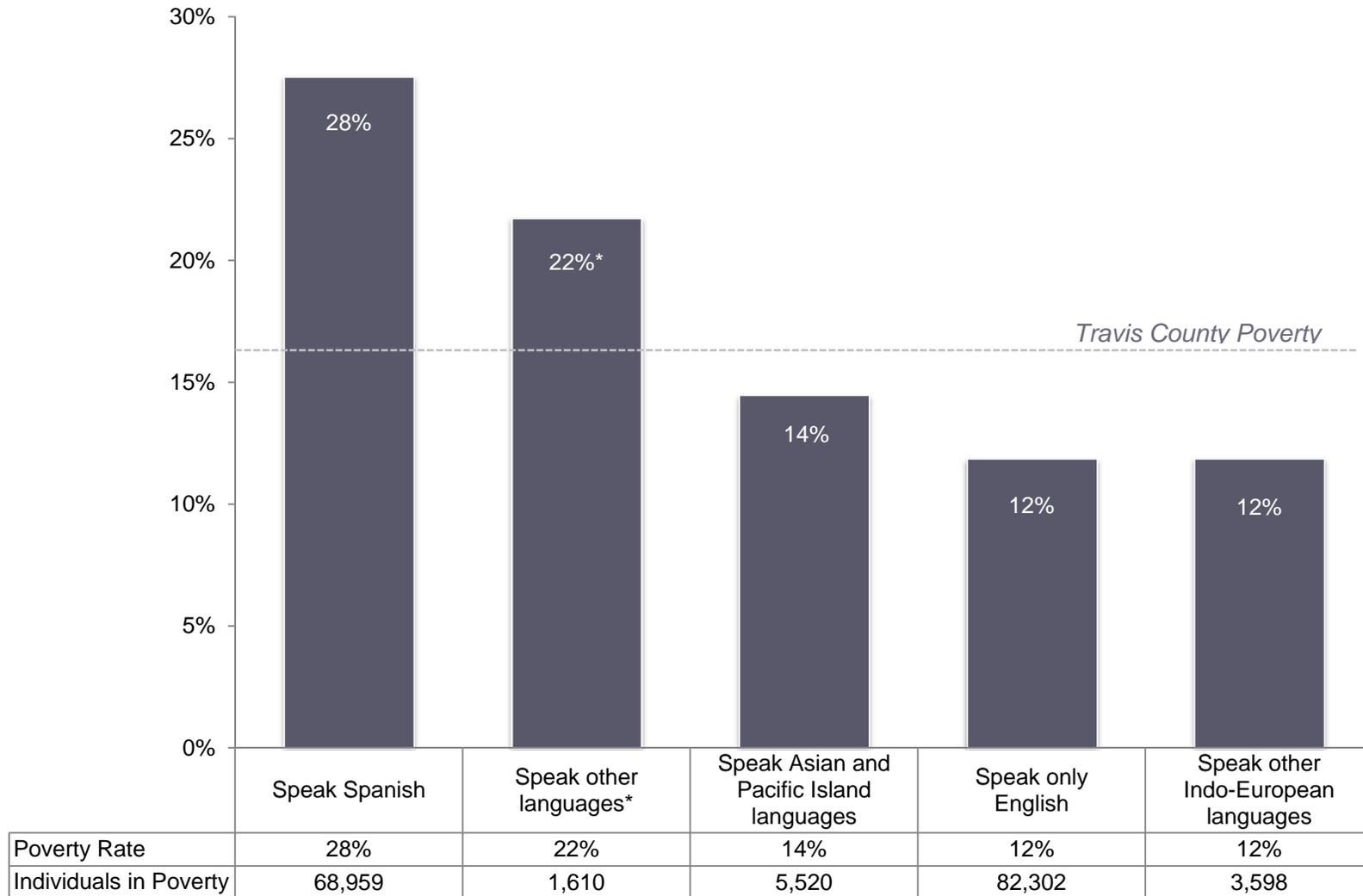
|                       |        |        |       |        |        |
|-----------------------|--------|--------|-------|--------|--------|
| Poverty Rate          | 6%     | 30%    | 14%   | 19%    | 15%    |
| Households in Poverty | 10,755 | 14,070 | 2,668 | 17,545 | 14,238 |

# Individuals in Poverty by Nativity



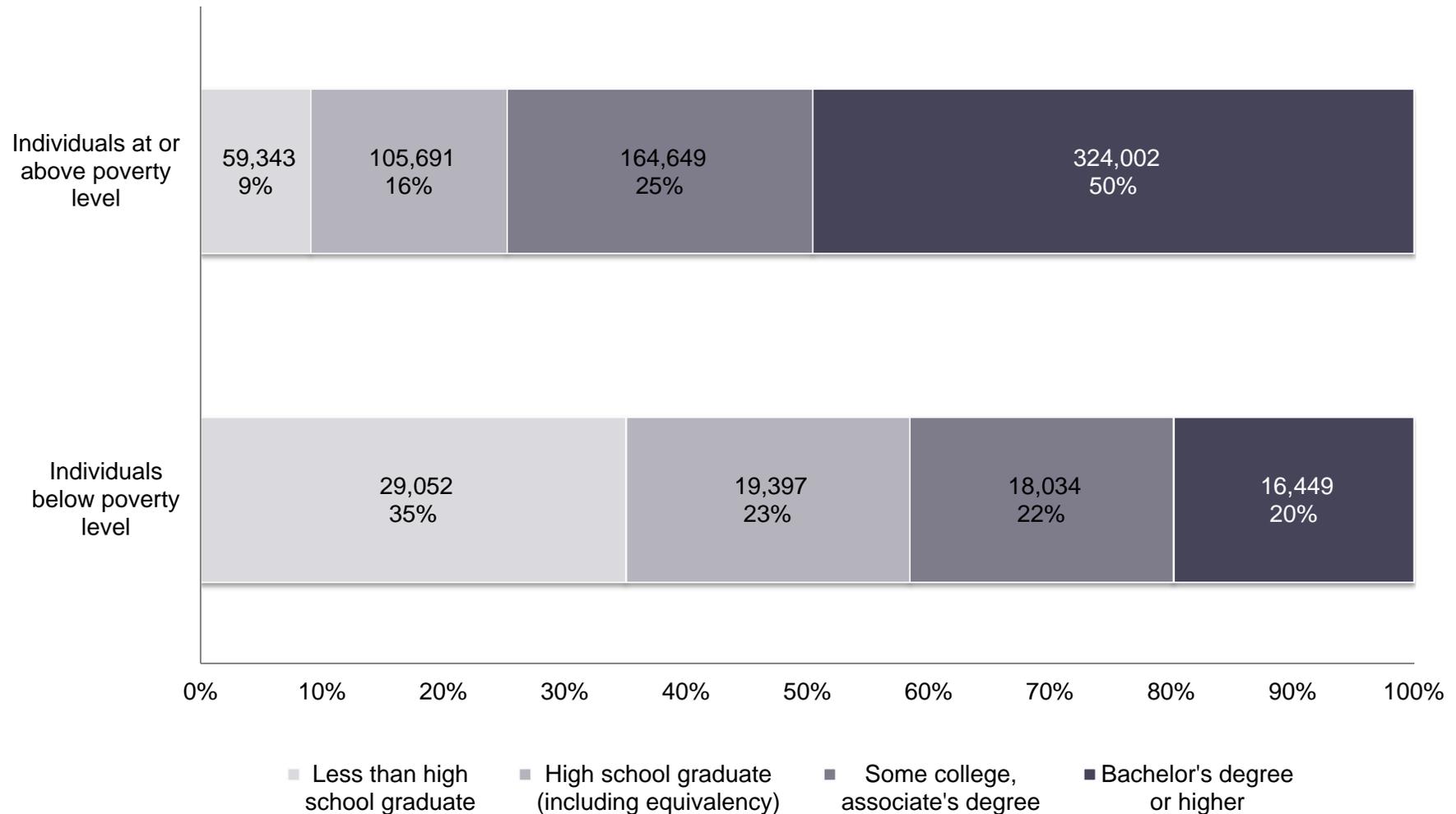
|                        | Native Born | Foreign Born: Not a Citizen | Foreign Born: Naturalized Citizen |
|------------------------|-------------|-----------------------------|-----------------------------------|
| Poverty Rate           | 15%         | 28%                         | 11%                               |
| Individuals in Poverty | 134,615     | 38,750                      | 6,855                             |

# Individuals in Poverty by Language Spoken at Home



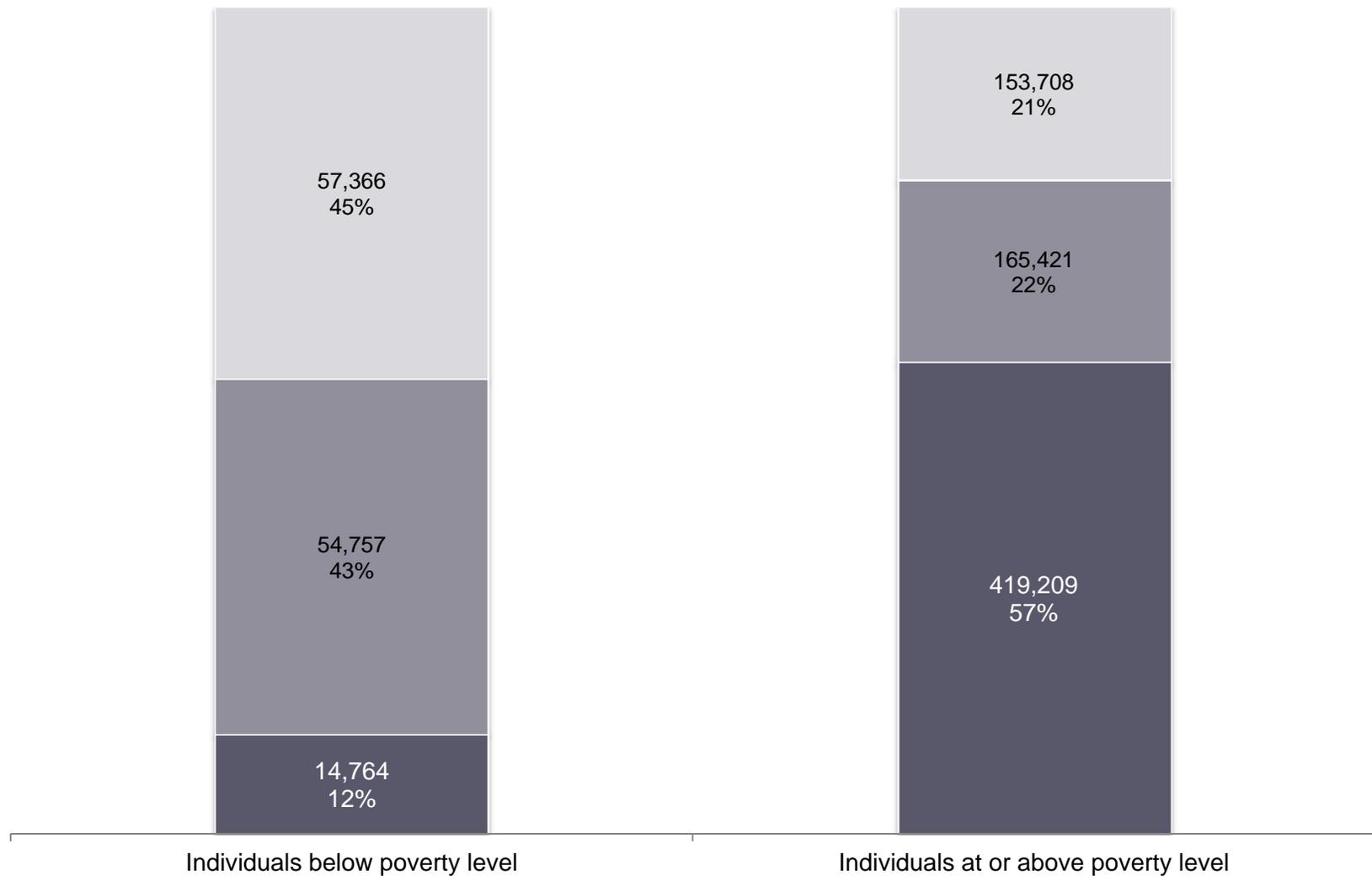
\*Use this estimate with caution: CV is 15.5% - 30.49%

# Individuals in Poverty by Educational Attainment



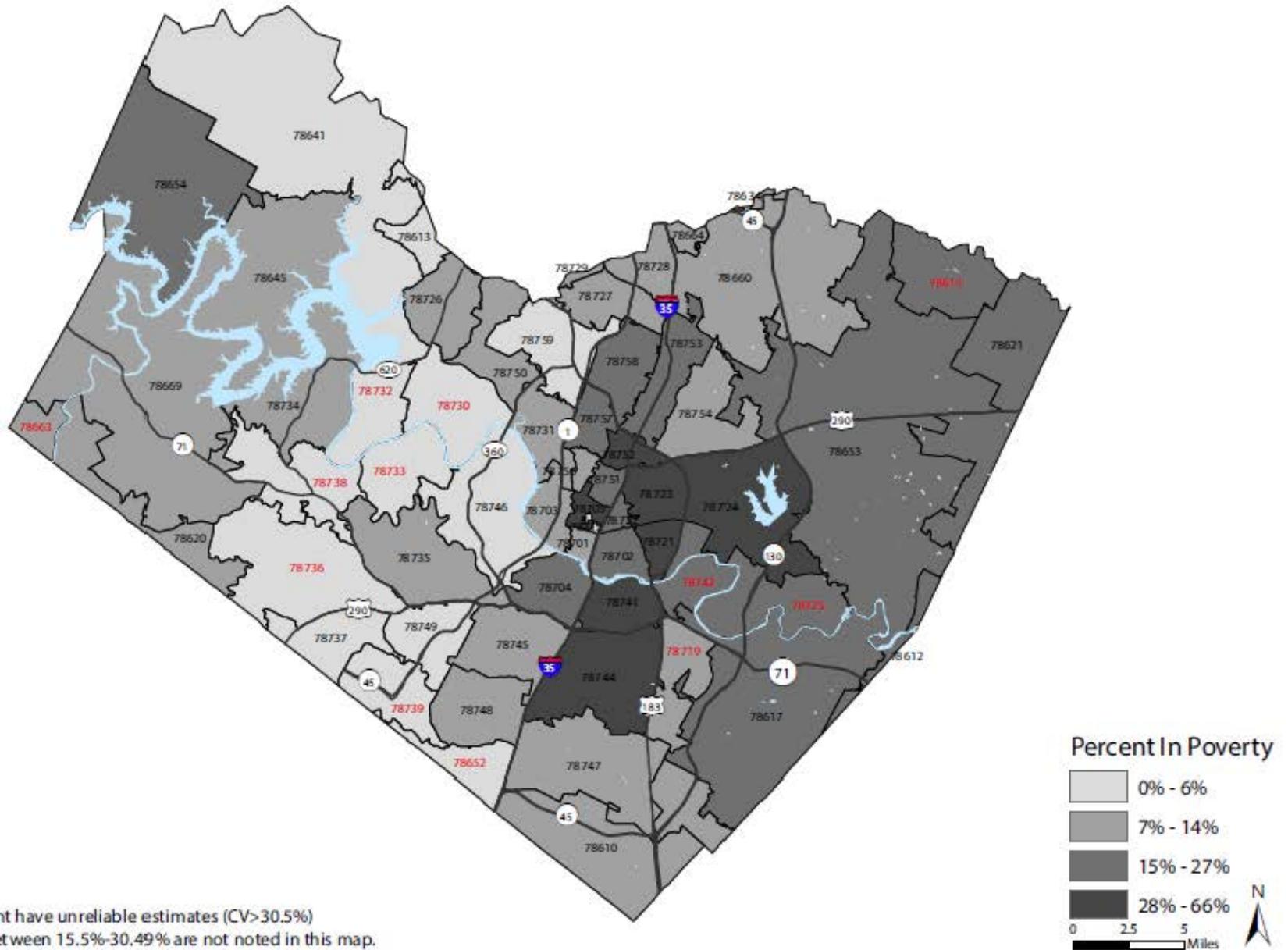
# Poverty Status by Work Experience

■ Worked full time, year-round ■ Worked part-time or part-year ■ Did not work



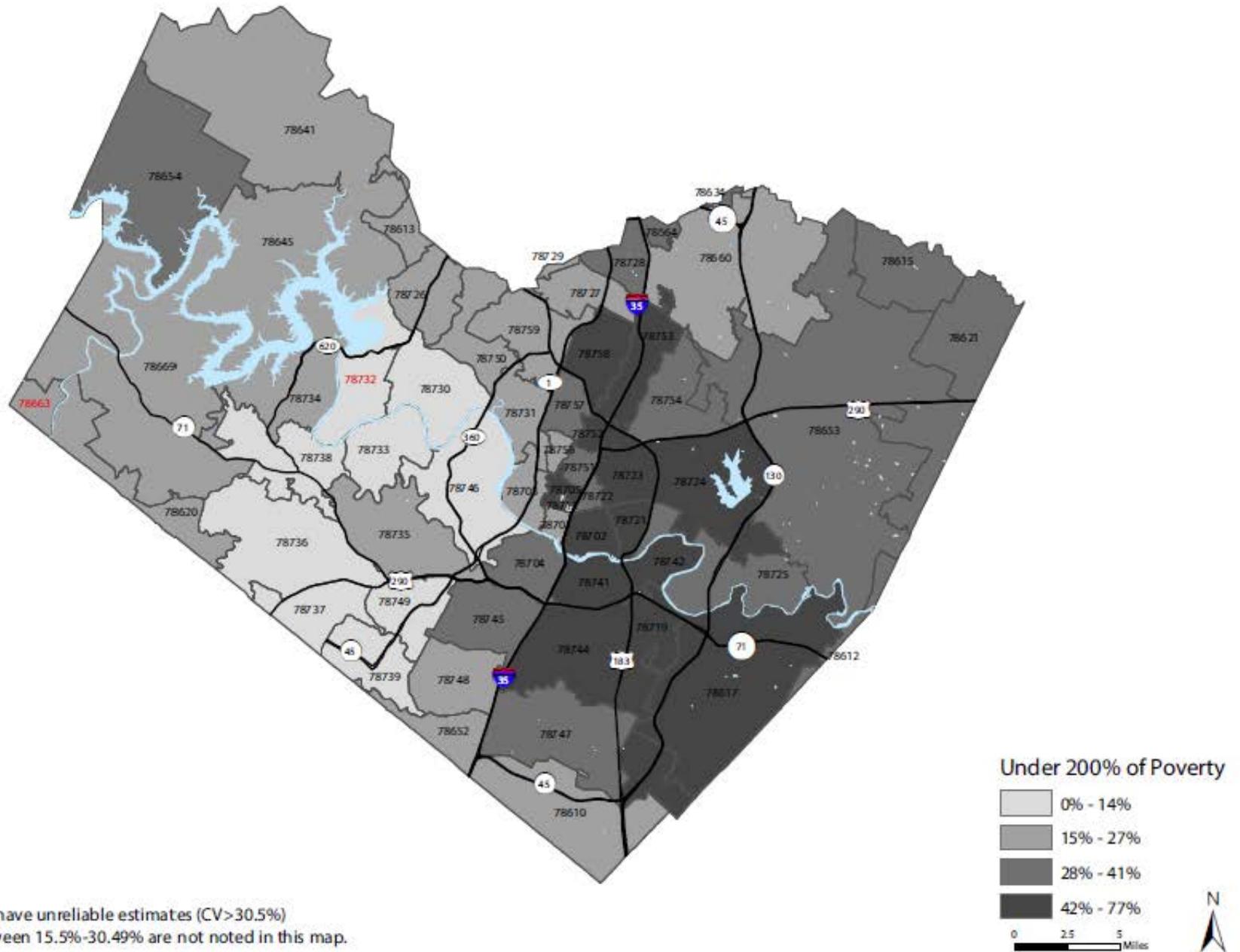
# GEOGRAPHIC CONCENTRATION OF POVERTY

## Individuals Living In Poverty Zip Code Tabulation Areas (ZCTA), Travis County, 2011-2015



Note: ZCTAs in red font have unreliable estimates (CV>30.5%)  
 Estimates with CVs between 15.5%-30.49% are not noted in this map.

## Individuals Living Under 200% of the Poverty Threshold Zip Code Tabulation Areas (ZCTA), Travis County, 2011-2015



Note: ZCTAs in red font have unreliable estimates (CV>30.5%)  
Estimates with CVs between 15.5%-30.49% are not noted in this map.

# More Information & Questions

## **View the Focus on Poverty in Travis County Online:**

<https://www.traviscountytexas.gov/health-human-services/research-planning/publications-research>

## **Questions or Comments?**

### **Contact the Authors:**

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focus on  
**POVERTY**  
in **TRAVIS COUNTY**



Travis County snapshot from the  
**american community  
survey** 5-year estimates  
2011 - 2015

Spring 2017



## About the Authors

This report was researched and written by the staff at Travis County Health and Human Services, Research & Planning Division. Lead writers were Brittain Ayres, Tara Carmean, Lucero Huayhua, and Tonya Pennie with support from Korey Darling (Planning Manager), Lawrence Lyman (Division Director), and Sherri Fleming (County Executive for Travis County HHS).

Travis County Health and Human Services (HHS) is a department of Travis County that serves the community under the guidance of the Commissioner's Court. Travis County HHS strives to maximize quality of life for all people in Travis County by: protecting vulnerable populations; investing in social and economic well-being; promoting healthy living: physical, behavioral, and environmental; and building a shared understanding of our community.

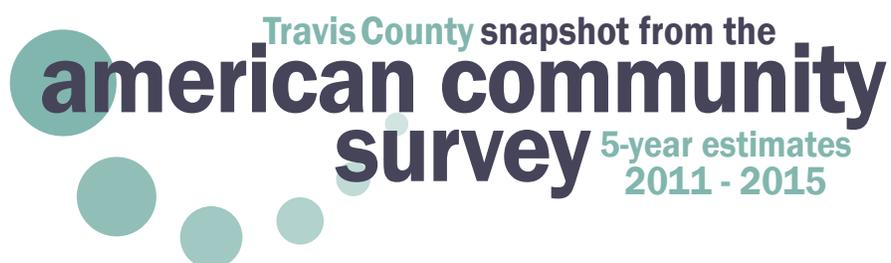
The Research & Planning Division identifies and analyzes community issues and informs stakeholders; promotes collaborative solutions to community problems; and evaluates programs, processes and outcomes to maximize impact. We serve as a resource by providing information, tools and resources; support group processes and planning efforts; and help to measure and evaluate programs and processes. To learn more about our work and for links to our other publications see: <https://www.traviscountytx.gov/health-human-services/research-planning>

## Questions or Comments?

For questions or for more information please contact the Research & Planning Division at [HHS\\_R&P@traviscountytx.gov](mailto:HHS_R&P@traviscountytx.gov).

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# Introduction

This report uses American Community Survey (ACS) data to look at how demographic, social, and employment characteristics, and geography interact with poverty. It also explores how poverty in Travis County has changed over time. Data in this report are from the American FactFinder and Public Use Microsample Data 5-Year Estimates.

Rather than produce a broad assessment of poverty and related issues using various sources, this report prioritizes an in-depth look at poverty-related information available from ACS data. While this allows for a more nuanced and detailed look at the ACS data, there are limitations in utilizing a single data source to explore a complex topic.

The information provided aims to support efforts to design and improve programs, inform funding and resource allocation, and shape local policies.

## What is poverty?

Generally, the term poverty is used to describe the state of an individual or household that lacks a certain amount of economic resources. In a more technical sense, poverty is determined by a set of monetary income thresholds that vary by family size and composition.

According to the 2011-2015 ACS estimates, 16% of Travis County residents, 180,220 individuals, live in poverty. Individuals and families in poverty often encounter difficulties meeting their basic needs and experience a reduced well-being due to the economic hardships they face. Children who grow up in poor households are less likely to thrive as adults.<sup>1</sup> For these reasons, it is important to understand the characteristics of those in poverty to identify who is affected and to help allocate services and resources to those most in need.

## Poverty Measurements

There are two different federal poverty measures: poverty thresholds and poverty guidelines. The U.S. Census Bureau issues the poverty thresholds for statistical purposes to calculate the number of people in poverty. The U.S. Department of Health and Human Services issues the poverty guidelines or the Federal Poverty Income Guidelines, which are a simplified version of the poverty thresholds, to use for administrative purposes, such as determining financial eligibility for certain programs.<sup>2</sup>

### *The Poverty Threshold*

The Census Bureau updates the poverty thresholds annually, using the Consumer Price Index. The methodology for calculating poverty thresholds was developed in the 1960s and is based on the assumption that food costs account for one-third of total household expenses.

The thresholds vary by family size, number of children, and for 1 & 2-person family units, whether or not the individual(s) are over age 65. There is no geographic variation—the same thresholds are used for all 50 states.

In 2015, the poverty threshold was \$12,331 for a single adult under age 65 and \$11,367 for a single



adult age 65 and older. For a household of two adults and two children, the threshold was \$24,036. Households that have an income at or below 100% of the poverty threshold are counted as living in poverty.<sup>3</sup>

### ***The Federal Poverty Income Guideline***

The Department of Health and Human Services releases updated Federal Poverty Income Guidelines (FPIG) in January of each year. The guidelines are updated from the most recently published poverty thresholds. The FPIG vary by family size and by geography—there is one set of guidelines for the 48 contiguous states, one set for Alaska, and one set for Hawaii. In 2015, the FPIG was \$11,770 for a one person household and \$24,250 for a family of two adults and two children.<sup>4</sup>

### **Limitations of Poverty Measures**

Both the poverty threshold and the FPIG likely underestimate the number of people who face economic hardship. Although both measures are adjusted for inflation using the Consumer Price Index, they are based only on food costs, whereas today household expenses include a variety of other factors, such as housing, healthcare, and transportation. Therefore, these measures likely miscalculate the number of people struggling to meet their basic needs. The measures also fail to take into account geographical differences in costs such as food and housing prices. The most recent Center for Public Policy Priorities Family Budget Estimator calculates that in the Austin Metro Area, a family of four would need an income double the FPIG to make ends meet.<sup>5</sup>

In an effort to more accurately gauge economic hardship, the Census Bureau collects data on individuals and households with incomes at different percentages of the poverty thresholds, such as 150% and 200%. Different ratio of income-to-poverty levels are used by public, private, and nonprofit agencies to set program income eligibility requirements.

Given the limitations of the poverty threshold, the U.S. Census Bureau began developing a Supplemental Poverty Measure (SPM) in 2010. Where the current measures estimate the poverty rate by looking at a family’s or individual’s cash income, the new measure considers additional resources (e.g. Supplemental Nutritional Assistance Program (SNAP), housing subsidies, and utility assistance programs) and expenses (e.g. taxes, work-related expenses, and medical expenses).<sup>6</sup> Additionally, the SPM takes into account multiple basic necessities such as food, shelter, clothing, and utilities rather than food alone. The measure is also adjusted for geographic difference in the cost of housing, and broken down by renters, home owners with a mortgage, and home owners without a mortgage. The SPM is not intended to assess eligibility for certain programs, instead it is to serve as an additional indicator of economic well-being and to provide a deeper understanding of economic conditions and policy effects.<sup>7</sup>

The SPM estimates for the Austin-Round Rock Metro Area for 2015, the most recent year available, are shown in the table with poverty measure comparisons.

| <b>Comparison of Poverty Measures, 2015</b>                           |                   |                       |
|---|-------------------|-----------------------|
|   | <b>One Person</b> | <b>Family of Four</b> |
| Poverty Threshold   | \$12,082          | \$24,036              |
| Federal Poverty Income Guidelines                                     | \$11,770          | \$24,250              |
| <b>Supplemental Poverty Measure</b>                                   |                   |                       |
| Owner with mortgage   | \$13,010          | \$28,071              |
| Owner without mortgage  | \$10,786          | \$23,272              |
| Renter  | \$12,822          | \$27,667              |
| Created by: Travis County HHS, Research & Planning Division, 2017     |                   |                       |
| Source data: Census Bureau and U.S. Dept of Health and Human Services |                   |                       |

## The Data Source: American Community Survey 5-Year Estimates

As described above, this report utilizes the American Community Survey (ACS) 2011-2015 5-Year Estimates. The ACS is one of many surveys conducted by the U.S. Census Bureau. It is conducted continuously on a yearly basis, and includes questions about social, housing, and economic characteristics. ACS data sets are released as *period estimates* that represent the characteristics of the population and housing over a specific data collection period of 12, or 60 months. This differs from the decennial census, which is designed to measure characteristics during a narrow time period.<sup>8</sup>

The 2011-2015 5-Year Estimates were chosen because they are the most reliable and provide the largest sample size, allowing us to explore characteristics of smaller subpopulations with greater reliability. The 5-Year data sets are also unique in that they include data for small levels of geography (e.g. Zip Code Tabulation Areas and census tracts) and allow us to study poverty at the sub-county level. The 2006-2010 5-Year Estimates were used to look at trends over time. The main limitations with the 5-Year Estimates is timeliness, whereas the 1-Year Estimates provide the most current data available and a more nuanced look at trends over time.

Due to the differences stated above, poverty statistics from 5-Year Estimates and 1-Year Estimates differ. When referring to the 2011-2015 5-year data sets, the Travis County poverty rate is 16%, whereas the 2015 1-Year Estimates indicates the poverty rate is 13%. As a result, the poverty statistics in this report may vary from other Travis County HHS Research & Planning reports (such as the Travis County Snapshot) or other reports released by our partners in the community.

### ***Statistical Testing and Limitations of the ACS***

We tested all estimates presented in this supplement at a 90% confidence level for reliability. This test involves calculating the coefficient of variation (CV). The CV uses the margin of error, which gives an idea of the variability of an estimate, to measure the reliability of the estimate. To help interpret the estimates reliability, we use the following tiered reliability standards which are based on the value of the CV:

- Under 15.49%: Reliable
- 15.5% to 30.49%: Use with caution
- 30.5% and over: Unreliable

When estimates have a CV higher than 15.5% we used asterisks to note whether estimates should be used with caution (CV is 15.5% - 30.49%) or if the estimates are unreliable (CV is 30.5% or over.) Our decision to publish estimates that are not reliable was driven by the need to 1) provide building blocks representing small subsets of the population for future trend analysis and 2) as much as possible, represent the entirety and diversity of our community. In cases where estimates are not reliable, please draw conclusions with caution.

Any comparisons explicitly highlighted in the narrative text have also been tested for statistical significance and can be assumed to be statistically significant unless stated otherwise. Some notable exceptions where statistical significance was not found or not possible to determine have been footnoted. Testing was not conducted on every possible permutation of comparisons between data presented here, so inferences about statistics and trends should be drawn with caution.



## Definitions

### ***Individuals for Whom Poverty Status is Determined***

The U.S. Census Bureau collects and reports poverty data for “individuals for whom poverty status is determined.” Poverty status was determined for all people except institutionalized people, people in military group quarters, people in college dormitories, and unrelated individuals under 15 years old.<sup>9</sup>

### ***Poverty Status in the Past 12 Months***

Poverty status is determined according to the person’s total family income<sup>a</sup> in the 12 months prior to the date of the survey with the poverty threshold appropriate for that person’s family size and composition.<sup>10</sup> If a families’ total income is less than the dollar value of the appropriate threshold, then that family and every individual in it are considered to be in poverty. Similarly, if an unrelated individual’s total income is less than the appropriate threshold, then that individual is considered to be in poverty.<sup>11</sup>

### ***Poverty Status of Households in the Past 12 Months***

Poverty status of the household is determined by the poverty status of the householder. Households are classified as poor when the total income of the householder’s family in the last 12 months is below the appropriate poverty threshold.<sup>12</sup>

### ***Income to Poverty Threshold***

The ACS provides some data sets by the ratio of income to poverty threshold. The ratio of income to poverty is computed by dividing the total family income by the appropriate poverty threshold for that person’s family size and composition.<sup>13</sup>

For more on the American Community Survey, including links to detailed references, please see Appendix A: Methodology.

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<sup>a</sup> The Census Bureau determines family income by adding the incomes of all members 15 years old and over related to the householder and treating it as a single amount. “Total Income” is the sum of amounts reported separately for wage or salary income; net self-employment income; interest, dividends or net rental or royalty income or income from estates and trusts; Social Security or Railroad Retirement income; Supplemental Security Income (SSI); public assistance or welfare payments; retirement, survivor, or disability pensions; and all other income. Although family income statistics cover the past 12 months, the characteristics of individuals and the composition of families refer to the time of interview.

# Data Highlights

## How has poverty changed over time?

- As Travis County's total population has continued to grow, the number of individuals in poverty has increased from 156,270 in 2006-2010 to 180,220 in 2011-2015. However, the poverty rate in Travis County has remained stable at 16% in both time periods.

## How does Travis County compare to other communities and to the state and the nation?

- Travis County had a higher poverty rate than the United States but a lower poverty rate than Texas. Data on trends in poverty rates indicate that poverty in Texas and the United States increased, while the poverty rate in Travis County remained relatively stable.

## Who is most likely to live in poverty in Travis County?

- The relationship between poverty status and a range of demographic, social, and economic characteristics shows certain subpopulations have a higher poverty rate than the rate for Travis County (16%):
  - Females (17%)
  - Children 5 years and younger (23%), children 6-17 years (22%), and young adults age 18-24 (38%)
  - Black or African Americans (23%) and Hispanic or Latinos (26%)
  - Individuals with a disability (22%)
  - Family households with female householders, no husband present (30%) and Nonfamily households with female householders (19%)
  - Foreign born non-citizens (28%)
  - Individuals that speak Spanish and other languages, such as African languages, Arabic, Navajo, and others (28%)
  - Individuals with less than a high school diploma (33%)
  - Individuals that worked part-time or part-year (25%) and those that did not work (27%)

## Where is poverty prevalent in Travis County?

- The areas with the highest poverty rates fall along the I-35 corridor, east of I-35, and in a few areas in the western part of the county. When comparing trends over time, it appears that poverty is spreading out and increasing in some eastern and southern areas of the county, although for many areas the difference between the 2006-2010 and 2011-2015 estimates are not statistically significant.

# Geographic Comparisons

This section describes how Travis County compares to the United States, Texas, the most populous counties in Texas, and other central Texas counties. With the exception of El Paso County, the geographical areas mentioned in this section had an increase in both total population and the number of people living in poverty. However, an increase in the number of people living in poverty is not always associated with an increase in the poverty rate.

As the population in Travis County, Texas, and the U.S has increased, the number of people living in poverty has also increased. In 2011-2015 Travis County had a higher poverty rate (16.4%) than the United States (15.5%) but a lower poverty rate than Texas (17.3%). Between the two time periods of 2006-2010 and 2011-2015, the poverty rates in Texas and the United States increased, while the poverty rate in Travis County remained relatively stable.

| Poverty Status   |                    |                        |                    |                        |            |                |
|--|--------------------|------------------------|--------------------|------------------------|------------|----------------|
| Travis County, Texas, United States, 2006-2010 & 2011-2015 |                    |                        |                    |                        |            |                |
|  | 2006-2010 Estimate | 2006-2010 Poverty Rate | 2011-2015 Estimate | 2011-2015 Poverty Rate | Difference | Percent Change |
| Travis County  | 156,270            | 16.2%†                 | 180,220            | 16.4%†                 | 23,950     | 15%            |
| Texas  | 3,972,054          | 16.8%                  | 4,472,451          | 17.3%                  | 500,397    | 13%            |
| United States  | 40,917,513         | 13.8%                  | 47,749,043         | 15.5%                  | 6,831,530  | 17%            |

†The difference between the 2006-2010 & 2011-2015 poverty rate is not statistically significant

Created by: Travis County HHS, Research & Planning Division, 2017

Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, B17001

## Most Populous Texas Counties

The following table shows total population, poverty rates, and the median household income for the eight most populous counties in Texas. Travis County is the fifth most populous county in Texas and has one of the lowest poverty rates (16%), higher than only Collin County (8%) and Tarrant County (15%). Counties with the lowest poverty rates also have the highest median household incomes: Collin County (\$84,735), Travis County (\$61,451), and Tarrant County (\$58,711).

| Population, Poverty Rate, and Median Household Income |      |            |              |                         |
|---|------|------------|--------------|-------------------------|
| Most Populous Texas Counties, 2011-2015               |      |            |              |                         |
| County  | Rank | Population | Poverty Rate | Median Household Income |
| Bexar County  | 4    | 1,825,502  | 17%          | \$51,150                |
| Collin County   | 6    | 862,215    | 8%           | \$84,735                |
| Dallas County   | 2    | 2,485,003  | 19%          | \$50,270                |
| El Paso County  | 7    | 831,095    | 23%          | \$41,637                |
| Harris County   | 1    | 4,356,362  | 18%          | \$54,457                |
| Hidalgo County  | 8    | 819,217    | 34%          | \$34,782                |
| Tarrant County  | 3    | 1,914,526  | 15%          | \$58,711                |
| Travis County   | 5    | 1,121,645  | 16%          | \$61,451                |
| Texas   | n/a  | 26,538,614 | 17%          | \$53,207                |

Created by: Travis County HHS, Research & Planning Division, 2017

Source data: 2011-2015 American Community Survey 5-Year Estimates, B01001, B17001 and B19013

## Trends

From 2006-2010 to 2011-2015, the total population in Collin County and Travis County had a larger percent change than other populous counties, 17% and 14% respectively. El Paso County was the only county with a decrease in the poverty rate and in the number of individuals living in poverty. The poverty rates remained relatively stable in Bexar County, Hidalgo County, and Travis County. Meanwhile, the poverty rates increased in Collin County, Dallas County, Harris County, and Tarrant County. The median household income (as adjusted for inflation) increased in Travis County (5%) and El Paso County (5%), remained relatively stable in Bexar County (0.02%) and Hidalgo County (0.38%), and slightly decreased in all other counties.

### Population, Poverty Rate, and Median Household Income

Most Populous Texas Counties, 2006-2010 & 2011-2015

| County  | Total Population |                | Individuals in Poverty |                        |            |                | Median Household Income<br>(In 2015 inflation adjusted dollars) |                |
|---------|------------------|----------------|------------------------|------------------------|------------|----------------|---|----------------|
|         | Difference       | Percent Change | 2006-2010 Poverty Rate | 2011-2015 Poverty Rate | Difference | Percent Change | Difference  | Percent Change |
| Bexar   | 175,450          | 11%            | 16.9%†                 | 17.4%†                 | 40,590     | 15%            | \$11  | 0.02%          |
| Collin  | 123,470          | 17%            | 6.9%                   | 7.6%                   | 13,893     | 27%            | -\$2,769  | -3%            |
| Dallas  | 163,989          | 7%             | 17.6%                  | 19.3%                  | 70,779     | 18%            | -\$1,876  | -4%            |
| El Paso | 58,815           | 8%             | 25.6%                  | 22.8%                  | -8,726     | -4%            | \$2,145   | 5%             |
| Harris  | 405,363          | 10%            | 16.8%                  | 18.0%                  | 118,826    | 18%            | -\$1,460  | -3%            |
| Hidalgo | 82,244           | 11%            | 34.4%†                 | 34.2%†                 | 25,454     | 10%            | \$131   | 0.38%          |
| Tarrant | 171,226          | 10%            | 13.4%                  | 15.0%                  | 52,421     | 23%            | -\$1,404  | -2%            |
| Travis  | 141,933          | 14%            | 16.2%†                 | 16.4%†                 | 23,950     | 15%            | \$2,675   | 5%             |
| Texas   | 2,226,723        | 9%             | 16.8%                  | 17.3%                  | 500,397    | 13%            | \$756   | -1%            |

†The difference between the 2006-2010 & 2011-2015 poverty rates is not statistically significant

Created by: Travis County HHS, Research & Planning Division, 2017

Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, B01001, B17001 and B19013

## Central Texas Counties

The table below shows total population, poverty rate, and the median household income for the central Texas counties that make up the Austin-Round Rock MSA. Travis County's poverty rate (16.4%) is higher than Williamson County (7.4%) and lower than Caldwell County (19.3%) but the difference is not statistically significant from Bastrop County (14.3%) or Hays County (16.8%). Travis County has the second highest median household income (\$61,451), following Williamson County (\$73,750).

### Population, Poverty Rate, and Median Household Income

Central Texas Counties, 2011-2015

| County            | Population | Poverty Rate | Median Household Income<br>(In 2015 inflation adjusted dollars) |
|-------------------|------------|--------------|---|
| Bastrop County    | 76,948     | 14.3%†       | \$54,821  |
| Caldwell County   | 39,347     | 19.3%        | \$47,233  |
| Hays County       | 177,562    | 16.8%†       | \$58,583  |
| Travis County     | 1,121,645  | 16.4%        | \$61,451  |
| Williamson County | 473,592    | 7.4%         | \$73,750  |
| Texas             | 26,538,614 | 17.3%        | \$53,207  |

†The difference between this poverty rate and Travis County's poverty rate is not statistically significant

Created by: Travis County HHS, Research & Planning Division, 2017

Source data: 2011-2015 American Community Survey 5-Year Estimates, B01001, B17001 and B19013



## Trends

From 2006-2010 to 2011-2015, Travis County’s population increased by 14% (141,933 individuals). Of central Texas counties, Hays County (31,123 individuals) and Williamson County (81,877 individuals) had the largest percent change, both of which increased by 21%.

As total population increases, the number of people living in poverty increases. From 2006-2010 to 2011-2015, poverty increased by 15% (23,950 individuals) in Travis County, 27% (6,052 individuals) in Hays County, and 39% (9,865 individuals) in Williamson County. Poverty rates remained relatively stable although the poverty rate in Williamson County increased from 6.5% to 7.5%.

From 2006-2010 to 2011-2015, the median household income (when adjusted for inflation) increased in Travis County and Caldwell Counties by 5% and 4%, respectively. Meanwhile, the other three central Texas counties and the State of Texas each had a slight decrease in the median household income, dropping from 1% - 4%.

### Population, Poverty Rate, and Median Household Income Trends

Selected Central Texas Counties, 2006-2010 & 2011-2015

| County     | Total Population<br>Change between<br>2006-2010 & 2011-2015 |                | Population in Poverty<br>Change between 2006-2010 & 2011-2015 |                           |            |                | Median Household Income<br>(in 2015 inflation-adjusted dollars) |                |
|------------|---|----------------|---|---------------------------|------------|----------------|---|----------------|
|            | Difference  | Percent Change | 2006-2010<br>Poverty Rate                                     | 2011-2015<br>Poverty Rate | Difference | Percent Change | Difference  | Percent Change |
| Bastrop    | 4,656   | 6%             | 14.1%††   | 14.3%††                   | 794†       | 8%†            | -\$1,515  | -3%            |
| Caldwell   | 1,931   | 5%             | 19.6%††   | 19.3%††                   | 612†       | 9%†            | \$2,022   | 4%             |
| Hays       | 31,123  | 21%            | 16.4%††   | 16.9%††                   | 6,052      | 27%            | -\$2,670  | -4%            |
| Travis     | 141,933   | 14%            | 16.2%††   | 16.4%††                   | 23,950     | 15%            | \$2,675   | 5%             |
| Williamson | 81,877  | 21%            | 6.5%  | 7.5%                      | 9,865      | 39%            | -\$1,011  | -1%            |
| Texas      | 2,226,723   | 9%             | 16.8%   | 17.3%                     | 500,397    | 13%            | -\$756  | -1%            |

† The difference between 2006-2010 & 2011-2015 estimates is not statistically significant

† The difference between the 2006-2010 & 2011-2015 poverty rates is not statistically significant

Created by: Travis County HHS, Research & Planning Division, 2017

Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, B01001, B17001 and B19013

## Understanding the Numbers

### Poverty Estimates and Rates

This report often describes both the number of individuals living in poverty and the poverty rate. Poverty estimates (numbers) tell us how many people in a given group are living in poverty. Poverty rates help us make comparisons between geographies and population groups and understand what groups may be disproportionately represented among the population living in poverty.

American Community Survey data tables provide estimates for the number of individuals who are living in poverty, displayed according to various characteristics (i.e. age, family type, level of education) and geographies (i.e. cities, counties, block groups). The poverty rate for any given group (for example: children living in Austin, TX) is calculated by dividing the number of people in the group who live in poverty (children in Austin who live in households with incomes below the poverty threshold) by the total number of people in the group (all children in Austin). Thus, the poverty rate is the percentage of people living in poverty. In this report, poverty rate and “percent in poverty” are used interchangeably.

The three scenarios below demonstrate why it is important to consider both numbers and rates when using poverty data to answer a question or make a decision.

**High number, low rate:** Some groups make up a large portion of the overall population, but relatively few group members live in poverty. These groups may have a high number of people in living in poverty but a low poverty rate.

**Low number, high rate:** Some groups are small in overall size but have many members living in poverty. These groups have relatively small number of people in poverty but a high poverty rate.

**High number, high rate:** Some groups make up a large share of the county’s population and also have many members living in poverty. These groups will have both a high number of people living in poverty and a high poverty rates.

### Difference and Percent Change

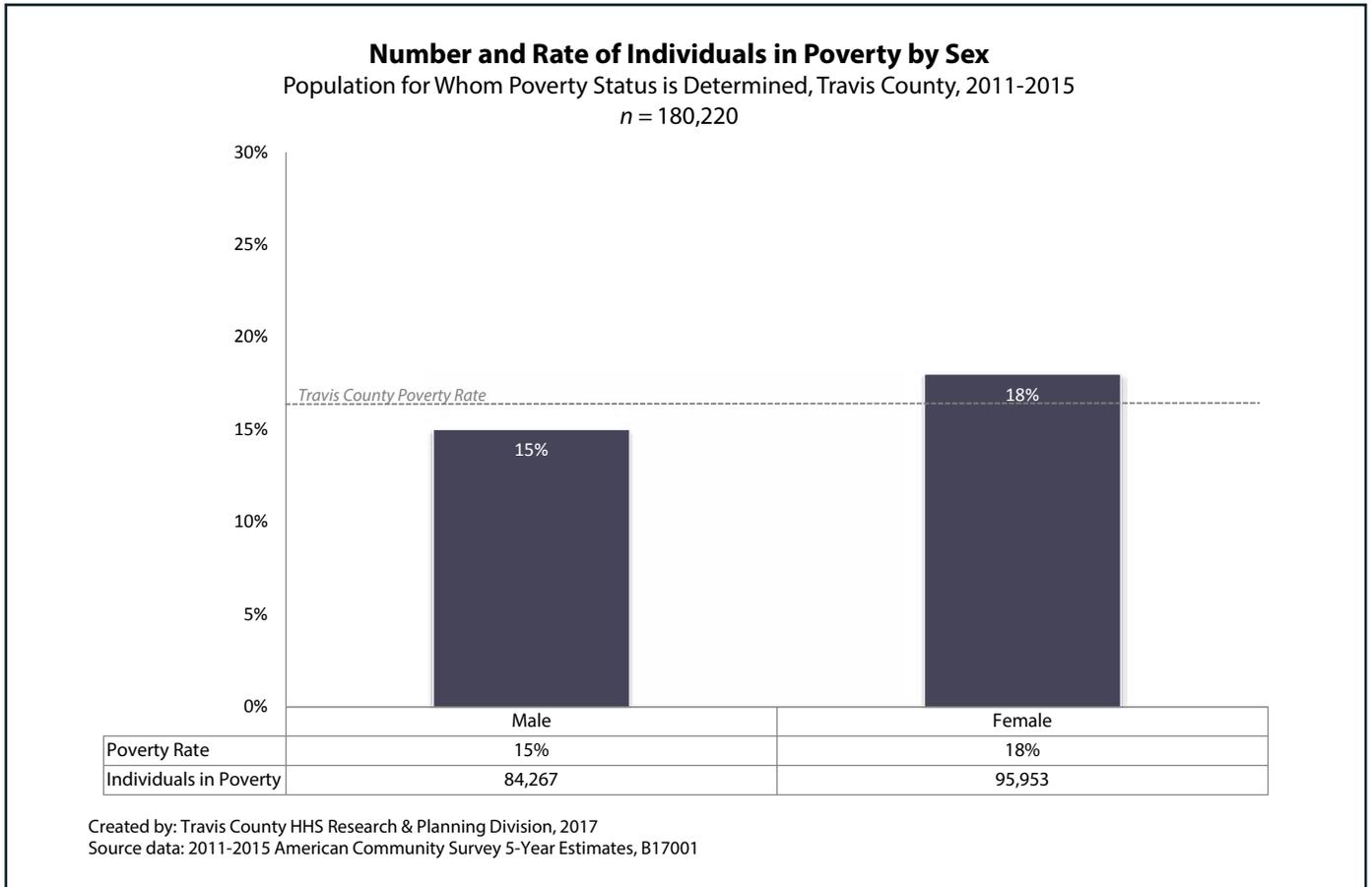
In addition to estimates and rates, we also include the difference and percent change to describe changes over time. The difference is calculated by subtracting the most recent estimate from the old estimate to show the difference between them. Percent change represents the relative change in size between populations across a time period. The percent change is similar to the difference however it is used to describe that change as a percent of the old value. Percent change is different than growth rate. We do not calculate growth rates in this report.

# Demographics

This section explores which demographic groups are most significantly represented among the Travis County population living in poverty. The section considers the number and rate of individuals living in poverty by sex, age, race and Hispanic origin, disability status, and veterans' status. Of the demographic characteristics explored females, children under age 18, young adults age 18-24, Black or African American and Hispanic or Latino individuals, and individuals with disabilities have higher rates of poverty than the overall Travis County rate of 16%.

## Sex

In Travis County, females have a higher poverty rate (18%) than both males (15%) and the county's overall poverty rate (16%).



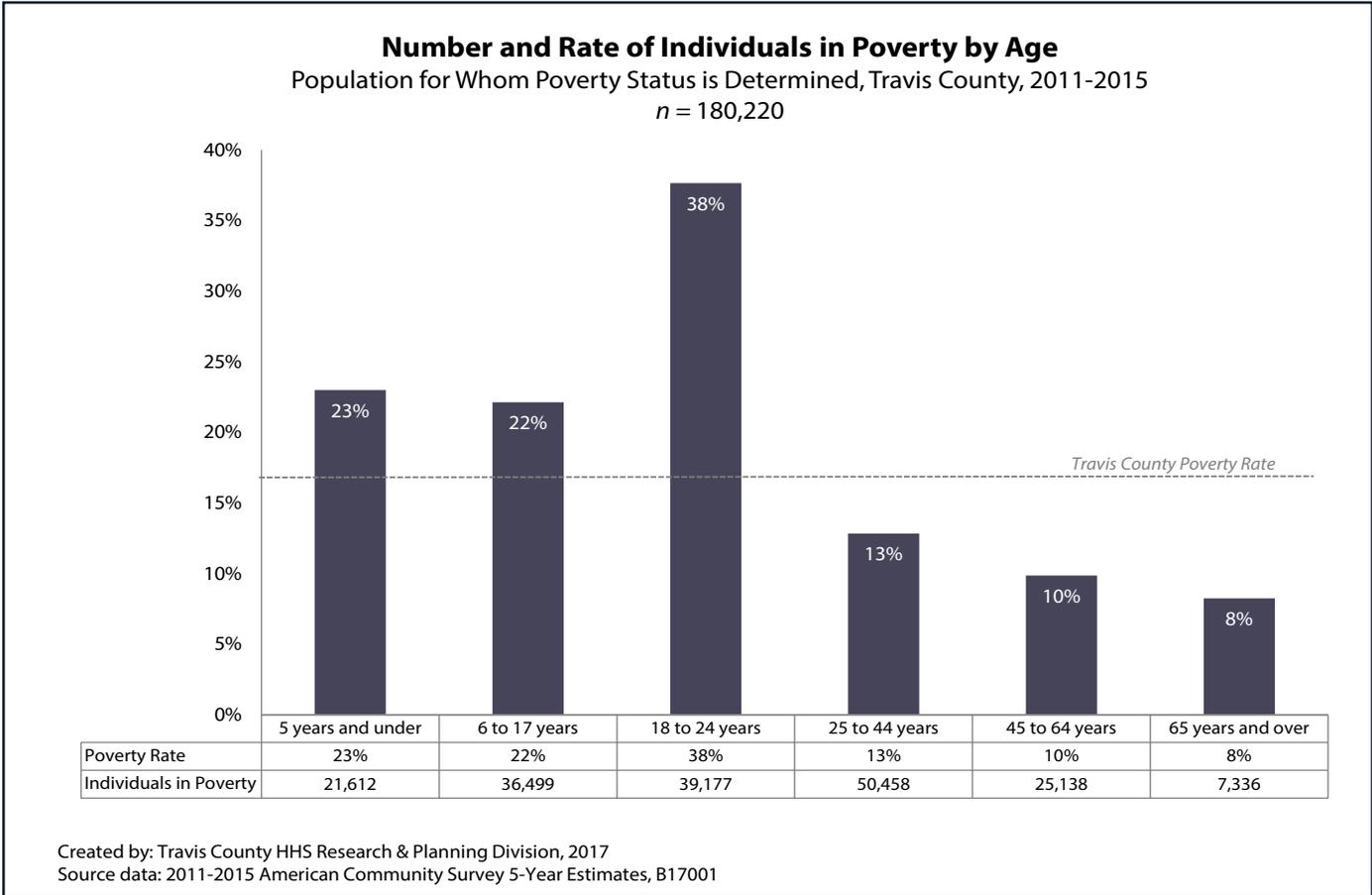
## Trends

The poverty rates for females (18%) and males (15%) remained stable between the two periods 2006-2010 and 2011-2015.

## Age

Of 180,220 individuals living below the poverty level in 2011-2015, 64% are working age adults (18 to 64 years old), 32% are children under 18 years old, and 4% are 65 years old or older.

Children and young adults have poverty rates higher than Travis County's overall poverty rate (16%). Young adults age 18 to 24 years old have the highest poverty rates (38%), possibly because 52% (62,097 individuals) of 18 to 24 year olds are enrolled in college or graduate school.<sup>14</sup> Children under 18 years old have the second highest poverty rate (23%). Children five years old and under have a slightly higher poverty rate (23%) than children between 6 and 17 years old (22%), although the difference is not statistically significant. Adults over 65 years old have the lowest poverty rates (8%).



## Trends

Between the two periods 2006-2010 and 2011-2015, the number of people living below poverty increased by 15% although the overall county poverty rate remained stable (16%). The following table describes trends by age group. The change in poverty rates by age group varied. Poverty rates increased for children under 18 years old, young adults 18 to 24 years old, and adults 45 to 64 years old.



## Poverty Status by Age

Population for Whom Poverty Status is Determined, 2006-2010 & 2011-2015

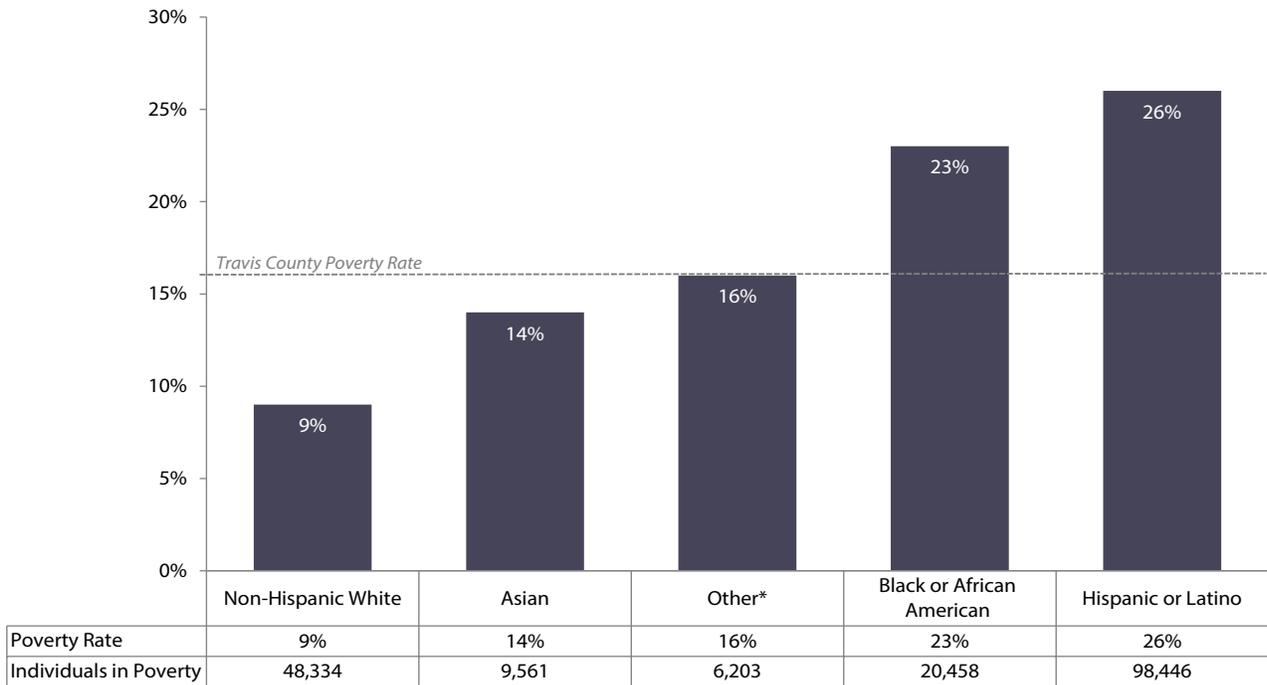
|                         | 2006-2010      |              | 2011-2015      |              | Difference    | Percent Change |
|-------------------------|----------------|--------------|----------------|--------------|---------------|----------------|
|                         | Estimate       | Poverty Rate | Estimate       | Poverty Rate |               |                |
| Under 18 years          | 49,666         | 21%          | 58,111         | 23%          | 8,445         | 17%            |
| 18 to 24 years          | 40,670†        | 36%          | 39,177†        | 38%          | -1,493†       | -4%†           |
| 25 to 44 years          | 42,361         | 13%          | 50,458         | 13%          | 8,097         | 19%            |
| 45 to 64 years          | 18,285         | 9%           | 25,138         | 10%          | 6,853         | 37%            |
| 65 years and older      | 5,288          | 8%           | 7,336          | 8%           | 2,048         | 39%            |
| <b>Total population</b> | <b>156,270</b> | <b>16%</b>   | <b>180,220</b> | <b>16%</b>   | <b>23,950</b> | <b>15%</b>     |

†The difference between the 2006-2010 and 2011-2015 estimates is not statistically significant  
 Created by: Travis County HHS, Research & Planning Division, 2017  
 Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, B17001

## Race and Hispanic Origin: Total Population

Poverty rates by race and Hispanic origin vary widely. Poverty rates are highest for residents who are Hispanic or Latino (26%) and Black or African American (23%). Poverty rates are lowest for residents who are Non-Hispanic White (9%) and Asian (14%). The largest numbers of Travis County residents living in poverty are Hispanics or Latinos and Non-Hispanic Whites, 98,446 and 48,334 respectively.

**Number and Rate of Individuals in Poverty by Race and Hispanic Origin**  
 Population for Whom Poverty Status is Determined, Travis County, 2011-2015



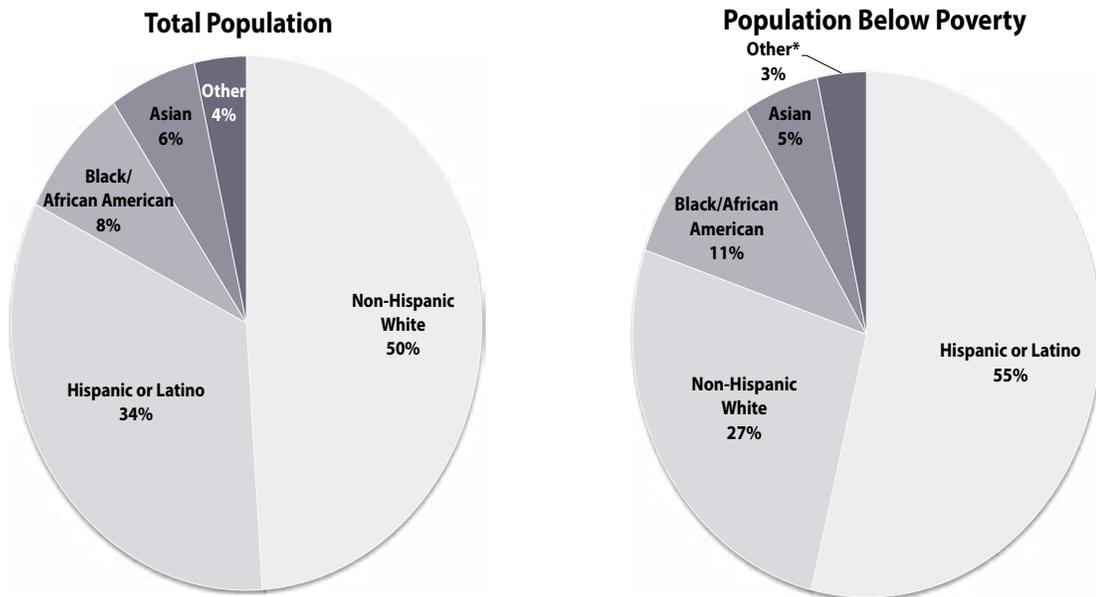
\*Use this estimate with caution: CV is 15.5% - 30.49%  
 Created by: Travis County HHS/VS, Research & Planning Division, 2017  
 Source data: 2011-2015 American Community Survey 5-year Estimates, Table B17001B, B17001C, B17001D, B17001E, B17001G, B17001H, B17001I

## Share of Poverty by Race and Hispanic Origin

The majority of residents who are living in poverty are Hispanic or Latino (55%), followed by Non-Hispanic White (27%), and Black or African American (11%). Poverty disproportionately impacts some Travis County populations. Most notably, Hispanic or Latinos comprise 34% of the total population yet they make up over one-half (55%) of the population in poverty. In comparison, Non-Hispanic Whites comprise 50% of the total population but only 27% of those in poverty.

### Total Population by Race and Hispanic Origin and Share of Poverty

Population for Whom Poverty Status is Determined, Travis County, 2011-2015



\*Use this estimate with caution: CV is 15.5%-30.49%

Note: The percentage totals don't equal 100% because the Census Bureau considers race and Hispanic origin as two separate questions and some individuals are counted as both Hispanic or Latino and a separate race (Black or African American, Asian, American Indian and Alaska Native, Native Hawaiian and Other Pacific Islander, and Two or More Races.)

Created by: Travis County HHS Research & Planning Division, 2017

Source data: 2011-2015 American Community Survey 5-Year Estimates, B17001, B17001B, B17001C, B17001D, B17001E, B17001G, B17001H, B17001I

## Trends

Between the time periods 2006-2010 and 2011-2015, the number of Hispanics or Latinos living in poverty increased by 26% (20,284 individuals), while the total Hispanic or Latino population only increased by 18% (56,059 individuals). In addition, there was a statistically significant change in the poverty rate for Hispanic and Latinos and Non-Hispanic Whites. The poverty rate for Hispanic or Latinos increased from 24.7% to 26.4% while the poverty rate for Non-Hispanic Whites decreased from 9.6% to 8.9%. Other populations by race did not have a statistically significant difference in the number of individuals living in poverty or in the poverty rate and are therefore not included in the following chart.

## Individuals in Poverty by Selected Race and Hispanic Origin

Population for Whom Poverty Status is Determined, 2006-2010 & 2011-2015

|                           | 2006-2010 Estimate | 2011-2015 Estimate | Difference | Percent Change |
|---------------------------|--------------------|--------------------|------------|----------------|
| <b>Hispanic or Latino</b> |                    |                    |            |                |
| Total Population          | 316,634            | 372,693            | 56,059     | 18%            |
| Individuals in Poverty    | 78,162             | 98,446             | 20,284     | 26%            |
| Poverty Rate              | 24.7%              | 26.4%              |            |                |
| <b>Non-Hispanic White</b> |                    |                    |            |                |
| Total Population          | 493,084            | 545,840            | 52,756     | 11%            |
| Individuals in Poverty    | 47,454†            | 48,334†            | 880†       | 2%†            |
| Poverty Rate              | 9.6%               | 8.9%               |            |                |
| <b>Total Population</b>   |                    |                    |            |                |
| Total Population          | 962,456            | 1,098,344          | 135,888    | 14%            |
| Individuals in Poverty    | 156,270            | 180,220            | 23,950     | 15%            |
| Poverty Rate              | 16.2%††            | 16.4%††            |            |                |

†The difference between the 2006-2010 and 2011-2015 estimates is not statistically significant

††The difference between the 2006-2010 and 2011-2015 poverty rates is not statistically significant

Created by: Travis County HHS, Research & Planning Division, 2017

Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, B17001, B17001H, B17001I

### Race and Hispanic Origin Definitions

The U.S. Census Bureau considers race and Hispanic origin as two separate and distinct concepts. The racial categories included in the American Community Survey (ACS) generally reflect a social definition of race recognized in the U.S. and not an attempt to define race biologically, anthropologically, or genetically.<sup>15</sup>

The Census Bureau has two questions about race and Hispanic origin. The first asks whether people are Hispanic, Latino, or Spanish origin. The second asks “What is this person’s race?” and includes a list of options with checkboxes and write-in spaces. People may select from the following categories: American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, Some Other Race, and White. Hispanics or Latinos may be of any race. Poverty tables are available for “Non-Hispanic Whites” but not for any other combination of race and Hispanic origin. Asian, Black and African American, and “Other” may include people who are also Hispanic or Latino.

For this report, we include the following race and Hispanic origin categories: Asian, Black or African American, Hispanic or Latino, Non-Hispanic White, and Other. We created the “Other” category by aggregating three categories that represent the smallest populations in Travis County: American Indian and Alaska Native, Native Hawaiian and Other Pacific Islander, and Two or More Races. These categories represent distinct and separate populations that we did not want to exclude; however, the estimates for these categories have significant reliability issues because of their small sample sizes.

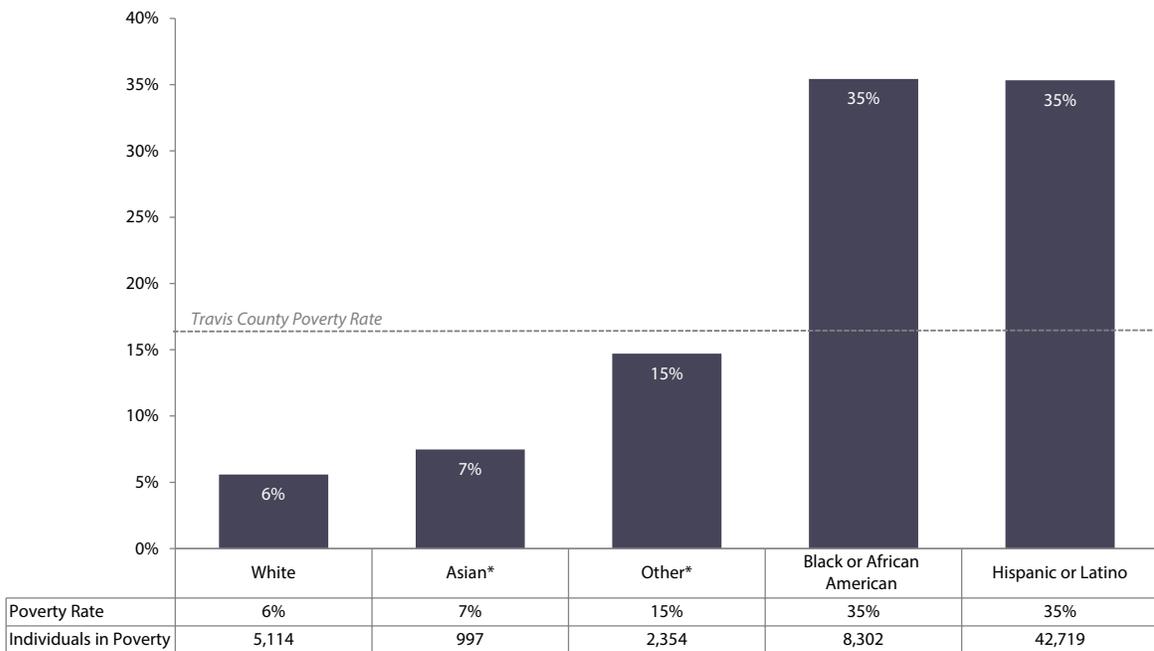
We didn’t include “Some Other Race” into this report. “Some Other Race” includes all responses not included in the other race categories, as well as people who reported their race as multiracial, mixed, interracial, or a Hispanic, Latino, or Spanish group. After research we discovered that “Some Other Race” largely represents a duplicate count with people who are Hispanic or Latino. We decided not to include this category because of duplication. However, by excluding Some Other Race there are a small group of individuals who are not represented in our analysis.

## Race and Ethnicity: Child Population

In Travis County, the greatest number of children who are living in poverty are Hispanic or Latino (42,719), Black or African American (8,302), and Non-Hispanic White (5,114). Similar to the total population by race and Hispanic origin, poverty rates are highest for children who are Hispanic or Latino (35%), and Black or African American (35%). Poverty rates are lowest for children who are Non-Hispanic White (6%) and Asian (7%).

### Number and Rate of Children Under 18 Years Old in Poverty by Race and Hispanic Origin

Population for Whom Poverty Status is Determined, Travis County, 2011-2015



\*Use this estimate with caution: CV is 15.5% - 30.49%

Created by: Travis County HHS Research & Planning Division, 2017

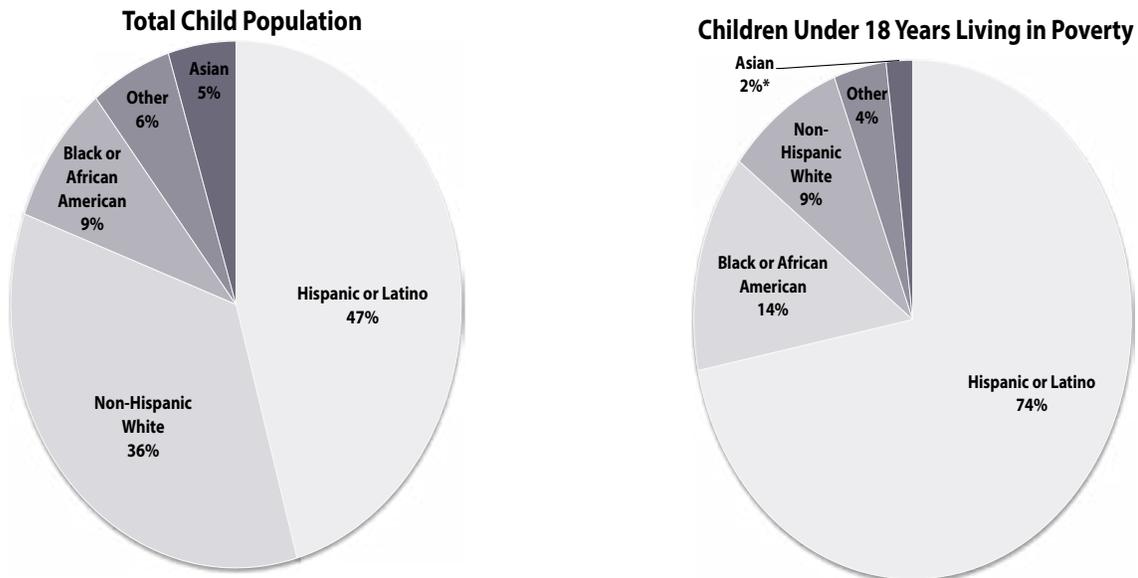
Source data: 2011-2015 American Community Survey 5-Year Estimates, B17001B, B17001C, B17001D, B17001E, B17001G, B17001H, B17001I

### Share of Poverty by Race and Hispanic Origin

In 2011-2015 the child poverty rate in Travis County was 23%, higher than the overall Travis County poverty rate (16%). Children who are Hispanic or Latino and Black or African American are disproportionately impacted by poverty. Hispanic or Latino children comprise 47% of the total child population yet they make up 74% of children living in poverty. Black or African American children comprise 9% of the total child population and 14% of children living in poverty. In comparison, Non-Hispanic White children comprise 36% of the total child population but only 9% of children living in poverty.

## Child Population by Race and Hispanic Origin and Share of Poverty

Population for Whom Poverty Status is Determined, Travis County, 2011-2015



\*Use this estimate with caution: CV is 15.5%-30.49%

Note: The percentage totals don't equal 100% because the Census Bureau considers race and Hispanic origin as two separate questions and some individuals are counted as both Hispanic or Latino and a separate race (Black or African American, Asian, American Indian and Alaska Native, Native Hawaiian and Other Pacific Islander, and Two or More Races.)

Created by: Travis County HHS Research & Planning Division, 2017

Source data: 2011-2015 American Community Survey 5-Year Estimates, B17001, B17001B, B17001C, B17001D, B17001E, B17001G, B17001H, B17001I

### Trends

Between the two time periods 2006-2010 and 2011-2015, the child poverty rate increased from 21% to 23%. During this time, the poverty rate for Asian children decreased from 10% to 7%. Poverty rates for other child populations by race and Hispanic origin did not have a statistically significant change. During the time periods 2006-2010 and 2011-2015, the total child population increased by 11%, while the number of all children living in poverty increased by 17%. The number of Hispanic or Latino children, and Non-Hispanic White children living in poverty increased by 20% and 19%, respectively. Other child populations living in poverty did not experience a statistically significant increase in the number living in poverty.

The following table (page 17) illustrates the intersection of poverty with age, gender, and race and Hispanic origin. Poverty disproportionately impacts some Travis County populations. Highlighted figures identify populations with poverty rates higher than 20%. Poverty rates for Travis County residents who are Black or African American (23%), and Hispanic or Latino (26%) are higher than the total Travis County poverty rate (16%). Children under 18 are disproportionately impacted by poverty, specifically children who are Black or African American, Hispanic or Latino. Young adults between 18-24 years old of all race and ethnicities have high poverty rates. Females generally have higher poverty rates than their male counterparts.

## Number and Percent of Individuals in Poverty by Sex, Age, and Race and Hispanic Origin

Population for Whom Poverty Status is Determined, 2011-2015

|                    |                    | Total     | Asian  | Black or African American | Hispanic or Latino | Non-Hispanic White | Other  |
|--------------------|--------------------|-----------|--------|---------------------------|--------------------|--------------------|--------|
| Total population   |                    | 1,098,344 | 66,857 | 90,447                    | 372,693            | 545,840            | 39,728 |
| Number in poverty  |                    | 180,220   | 9,561  | 20,458                    | 98,446             | 48,334             | 6,203  |
| Percent in poverty |                    | 16%       | 14%    | 23%                       | 26%                | 9%                 | 16%    |
| five and under     | Total population   | 92,766    | 4,732  | 7,529                     | 44,665             | 32,698             | 6,322* |
|                    | Number in poverty  | 21,612    | 412*   | 2,693                     | 16,197             | 1,796              | 1,194* |
|                    | Percent in poverty | 23%       | 9%*    | 36%                       | 36%                | 5%                 | 19%*   |
| six to 17          | Total population   | 164,875   | 8,608  | 15,908                    | 76,255             | 58,957             | 9,685* |
|                    | Number in poverty  | 36,499    | 585*   | 5,609                     | 26,522             | 3,318              | 1,160* |
|                    | Percent in poverty | 22%       | 7%*    | 35%                       | 35%                | 6%                 | 12%*   |
| 18-24 male         | Total population   | 53,190    | 4,277  | 4,368                     | 22,511             | 20,836             | 1,989* |
|                    | Number in poverty  | 17,975    | 2,468  | 1,031                     | 6,543              | 7,600              | 559*   |
|                    | Percent in poverty | 34%       | 58%    | 24%                       | 29%                | 36%                | 28%*   |
| 18-24 female       | Total population   | 50,896    | 4,031  | 5,032                     | 20,836             | 19,974             | 1,965* |
|                    | Number in poverty  | 21,202    | 2,400  | 1,762                     | 8,403              | 8,220              | 771*   |
|                    | Percent in poverty | 42%       | 60%    | 35%                       | 40%                | 41%                | 39%*   |
| 25-34 male         | Total population   | 111,757   | 7,967  | 7,502                     | 38,741             | 55,599             | 3,412* |
|                    | Number in poverty  | 12,430    | 636*   | 929*                      | 6,056              | 4,698              | 234**  |
|                    | Percent in poverty | 11%       | 8%*    | 12%*                      | 16%                | 8%                 | 7%**   |
| 25-34 female       | Total population   | 106,517   | 7,576  | 7,617                     | 35,238             | 53,716             | 4,020* |
|                    | Number in poverty  | 17,533    | 1,000  | 1,736                     | 9,252              | 5,054              | 711*   |
|                    | Percent in poverty | 16%       | 13%    | 23%                       | 26%                | 9%                 | 18%*   |
| 35-64 male         | Total population   | 216,795   | 12,604 | 16,864                    | 60,785             | 123,235            | 5,722* |
|                    | Number in poverty  | 20,562    | 705*   | 2,477                     | 9,724              | 7,343              | 529*   |
|                    | Percent in poverty | 9%        | 6%*    | 15%                       | 16%                | 6%                 | 9%*    |
| 35-64 female       | Total population   | 212,601   | 13,183 | 18,767                    | 58,662             | 118,580            | 5,376* |
|                    | Number in poverty  | 25,071    | 896    | 3,130                     | 12,980             | 7,367              | 888*   |
|                    | Percent in poverty | 12%       | 7%     | 17%                       | 22%                | 6%                 | 17%*   |
| 65+ male           | Total population   | 39,613    | 1,740  | 2,761                     | 6,402              | 28,197             | 482*   |
|                    | Number in poverty  | 2,871     | 273*   | 288*                      | 1,315              | 966                | 47**   |
|                    | Percent in poverty | 7%        | 16%*   | 10%*                      | 21%                | 3%                 | 10%**  |
| 65+ female         | Total population   | 49,334    | 2,139  | 4,099                     | 8,598              | 34,048             | 755*   |
|                    | Number in poverty  | 4,465     | 186*   | 803                       | 1,454              | 1,972              | 110**  |
|                    | Percent in poverty | 9%        | 9%*    | 20%                       | 17%                | 6%                 | 15%**  |

\*Use this estimate with caution: CV is 15.5% - 30.49%

\*\*This estimate is unreliable: CV is 30.5% or over

Highlighted figures identify populations with poverty rates 20% or higher

Note: The Total column includes estimates from Table B17001 and are not the sum of the race and ethnicity columns included in the table.

The Other category includes the following: American Indian and Alaska Native, Native Hawaiian and Other Pacific Islander, and Two or More Races

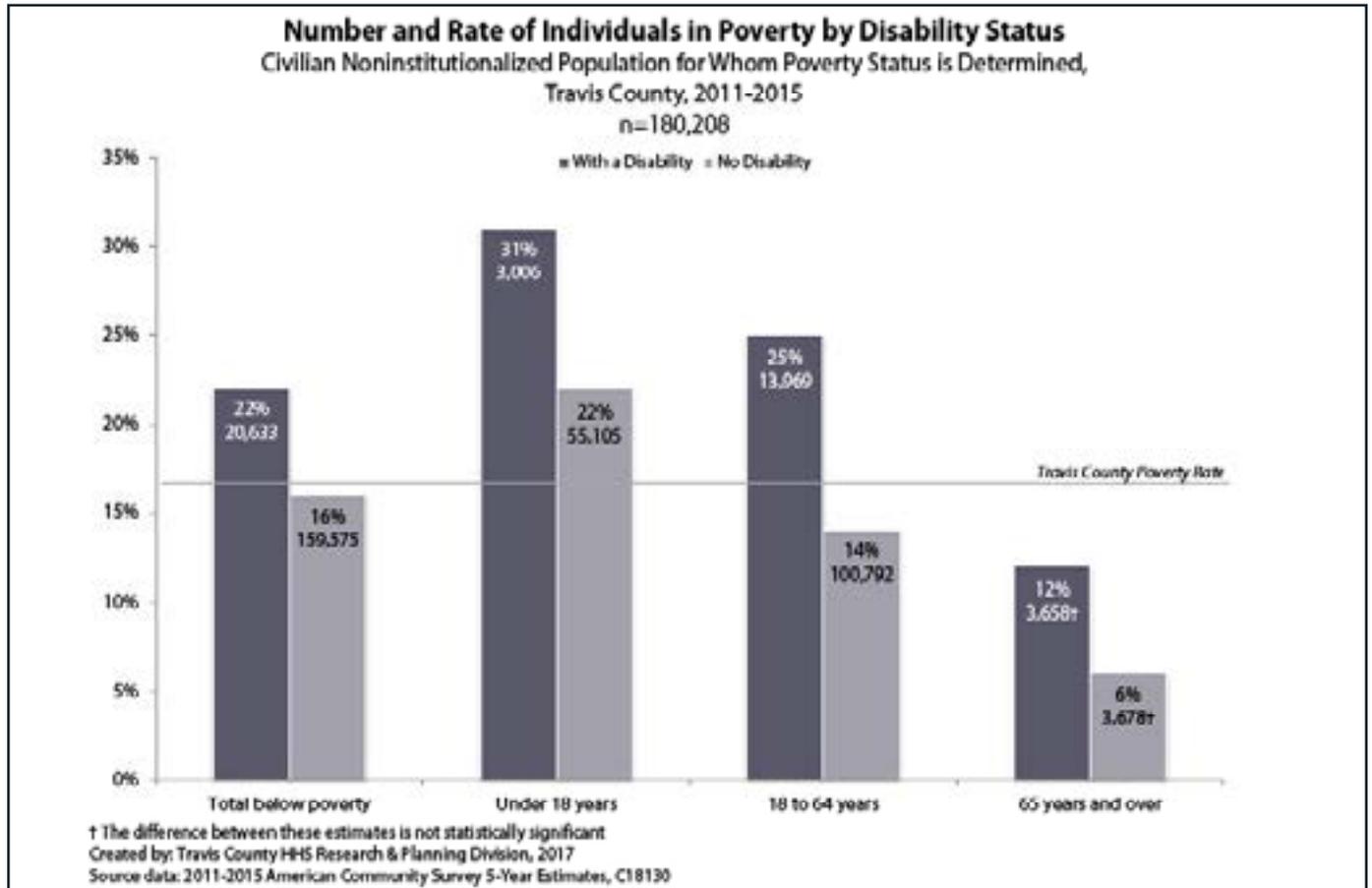
Created by: Travis County HHS, Research & Planning Division, 2017

Source data: 2011-2015 American Community Survey 5-Year Estimates, B17001, B17001B, B17001C, B17001D, B17001E, B17001G, B17001H, B17001I



## Disability

Disability status refers to an individual's limitation of activities and restrictions to full participation in school, at work, at home, or in the community. Data on disability status is derived from survey responses that indicate hearing difficulty, cognitive difficulty, ambulatory difficulty, self-care difficulty, and independent living difficulty.<sup>16</sup>



In Travis County, individuals with disabilities have disproportionately high poverty rates compared to individuals without a disability. Twenty-two percent of individuals with a disability have an income below the poverty level, compared to 16% of individuals without a disability. Children under age 18 with a disability have the highest rate of poverty (31%) while older adults age 65 and over without a disability have the lowest poverty rate (6%).

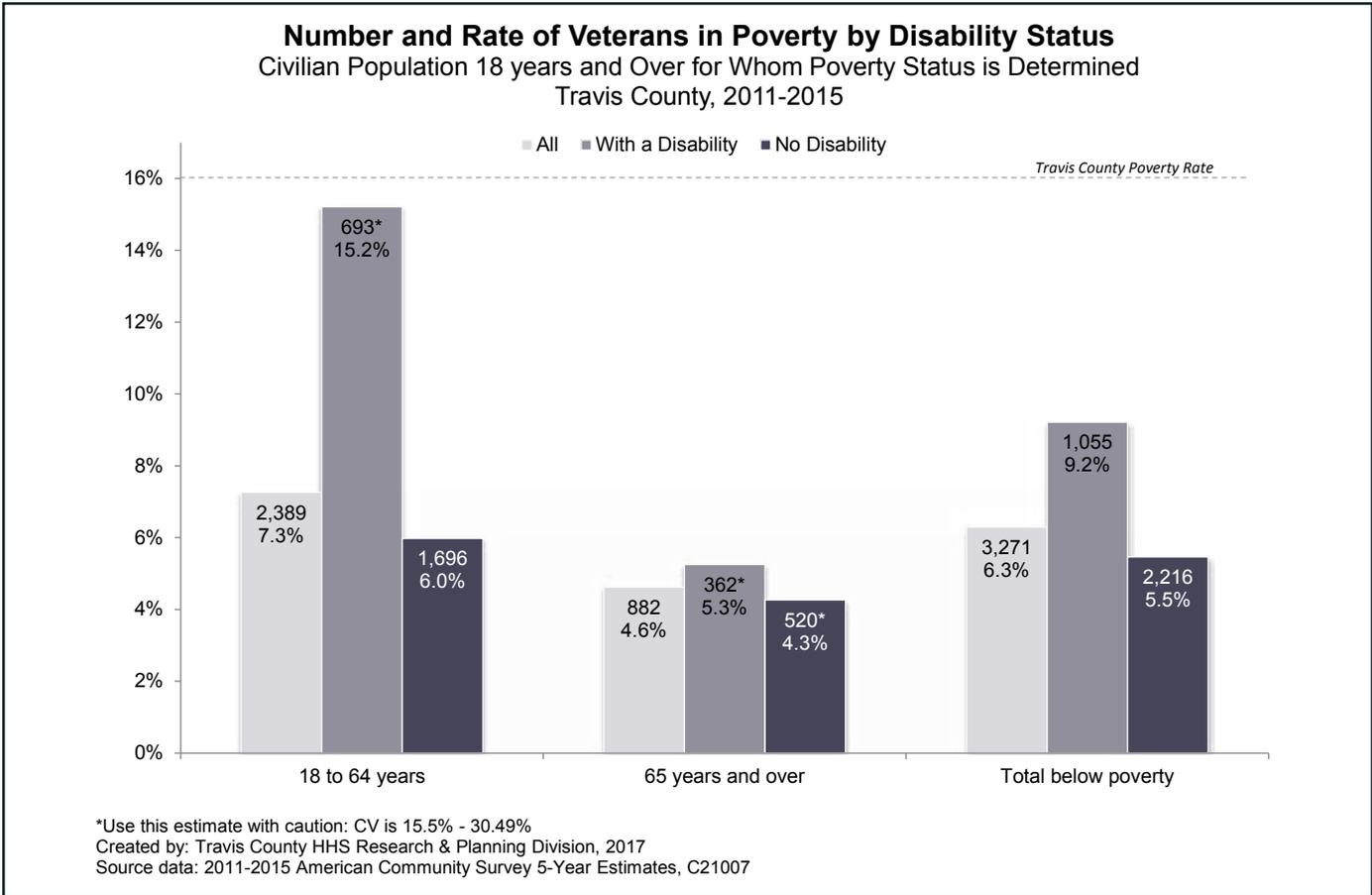
Trend analysis for individuals in poverty by disability status is not available because the American Community Survey changed the concept and definitions included in questions related to disability in the 2008 survey.

## Veterans

Veterans are men and women who have served, even for a short time, but are not currently serving on active duty in the U.S. Army, Navy, Air Force, Marine Corps, Coast Guard, or who served in the U.S. Merchant Marine during World War II. Individuals who served in the National Guard or Reserves are classified as veterans only if they were ever called or ordered to active duty, not counting the four to six

months for initial training or yearly summer camps. While it is possible for 17 year olds to be veterans of the Armed Forces, ACS data products are restricted to the population 18 years and older.<sup>17</sup>

The majority of the veteran population in Travis County is in the 18 to 64 year old age group (63%): approximately 32,912 of the estimated 52,002 veterans in the county. Six percent (3,271) of veterans have an income below the poverty level. Of veterans living in poverty, 73% (2,389) are 18 to 64 years old and 27% (882) are 65 years and over.



Veterans with a disability have higher rates of poverty than veterans with no disability. Veterans 65 and over have a lower poverty rate (5%) than veterans 18 to 64 years old (7%).

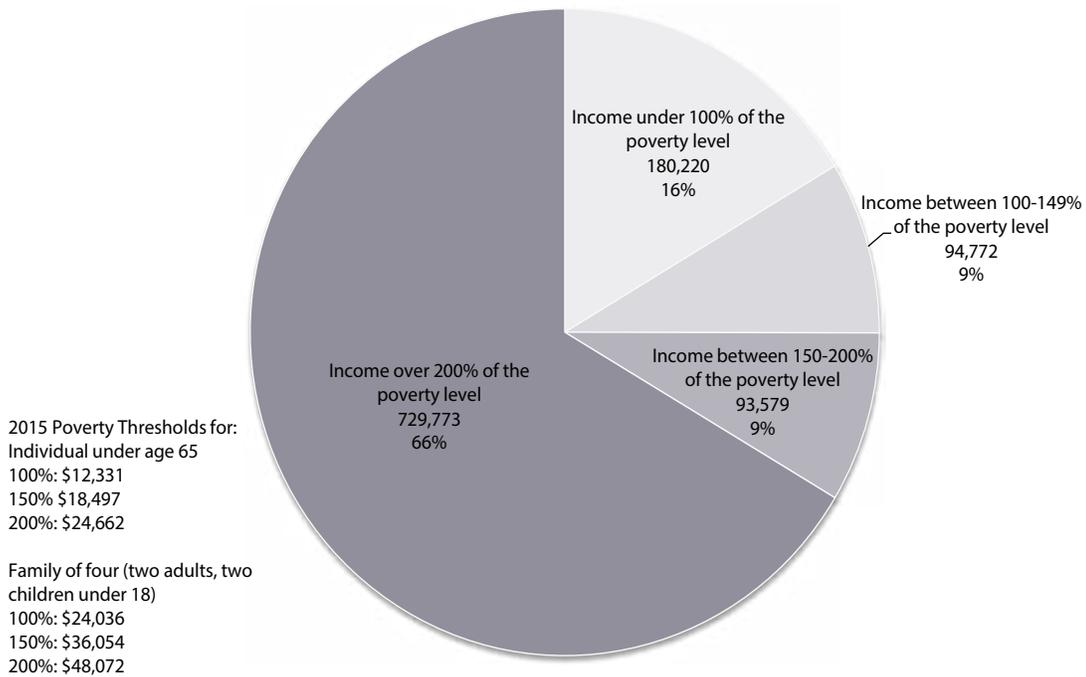
### Ratio of Income to Poverty

The ratio of income to poverty compares a family's total income with the poverty threshold. The ratio of income to poverty is derived by dividing the total household income by the corresponding poverty threshold. In 2011-2015, 16% (180,220 individuals) of Travis County residents had income below 100% of the poverty level: for an individual under age 65, his/her income for the last 12 months was \$12,331 or less and for a family of four with two children their income was \$24,036 or less. Thirty-four percent (368,571 individuals) have an income under 200% of the poverty level, which for an individual under age 65 was \$24,662 and for a family of four was \$48,072. Two-thirds (66% or 729,773) of Travis County residents have income over 200% of the poverty level.



### Ratio of Income to Poverty Level

Population for Whom Poverty Status is Determined, Travis County, 2011-2015  
n = 1,098,344



2015 Poverty Thresholds for:  
Individual under age 65  
100%: \$12,331  
150%: \$18,497  
200%: \$24,662

Family of four (two adults, two children under 18)  
100%: \$24,036  
150%: \$36,054  
200%: \$48,072

Created by: Travis County HHS Research & Planning Division, 2017  
Source data: 2011-2015 American Community Survey 5-Year Estimates, C17002

### Trends

The proportion of individuals within each ratio of income to poverty level in Travis County has remained steady over time. Just as in 2011-2015, 16% of the population had an income below 100% of the poverty level in 2006-2010. Similarly, the share of the population with income below 200% of poverty was 34% in both 2006-2010 and 2011-2015. However, the number of individuals at all levels of poverty has increased over time.

### Ratio of Income to Poverty Level Trends

Population for Whom Poverty Status is Determined, 2006-2010 & 2011-2015

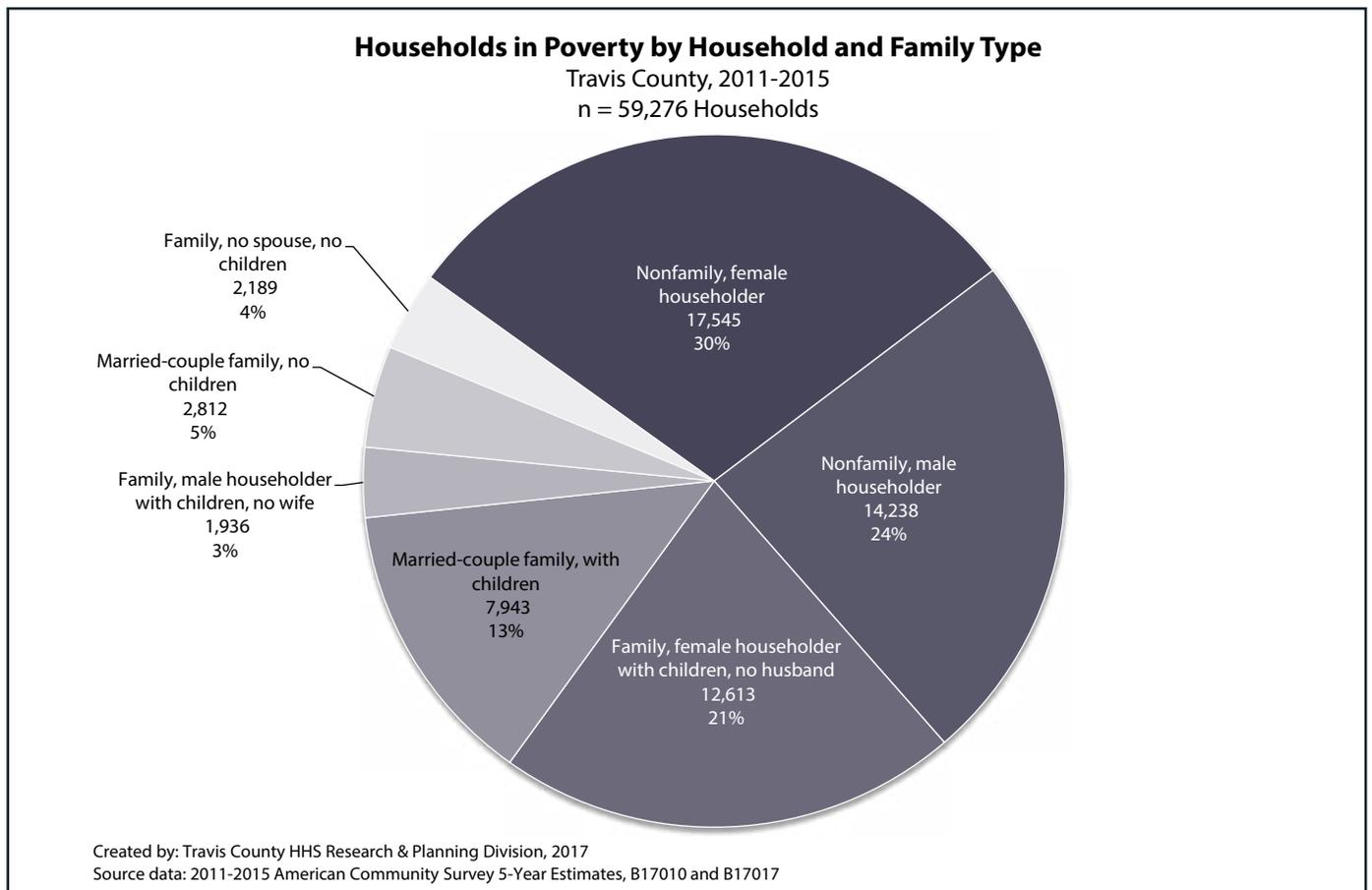
|                              | 2006-2010 |         | 2011-2015 |         | Difference | Percent Change |
|------------------------------|-----------|---------|-----------|---------|------------|----------------|
|                              | Estimate  | Percent | Estimate  | Percent |            |                |
| Income under 100% of poverty | 156,270   | 16%     | 180,220   | 16%     | 23,950     | 15%            |
| Income under 200% of poverty | 324,906   | 34%     | 368,571   | 34%     | 43,665     | 13%            |
| Income over 200% of poverty  | 637,550   | 66%     | 729,773   | 66%     | 92,223     | 14%            |

Created by: Travis County HHS, Research & Planning Division, 2017  
Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, C17002

# Households and Families

Much of this report explores poverty related to various individual characteristics. This section looks at poverty by household and family type. The Census Bureau defines a household as a set of individuals who live in one housing unit. The designated householder is generally the primary owner or renter. The householder is classified by sex of the householder and presence of relatives. Family households include individuals related to the householder by birth, marriage, or adoption. Nonfamily households include householders who live alone or with non-relatives only. There are several factors, including sex, having children, and having no spouse present that correlate with higher household poverty rates.

Of the 428,220 households in Travis County, an estimated 59,276, or 14%, have income below the poverty level. A little over one-half (54%) of households living in poverty are nonfamily households. While nonfamily female householders only comprise 21% of the total household population, they make up the largest share of households living in poverty (30%).



## Definition of Household and Family Type

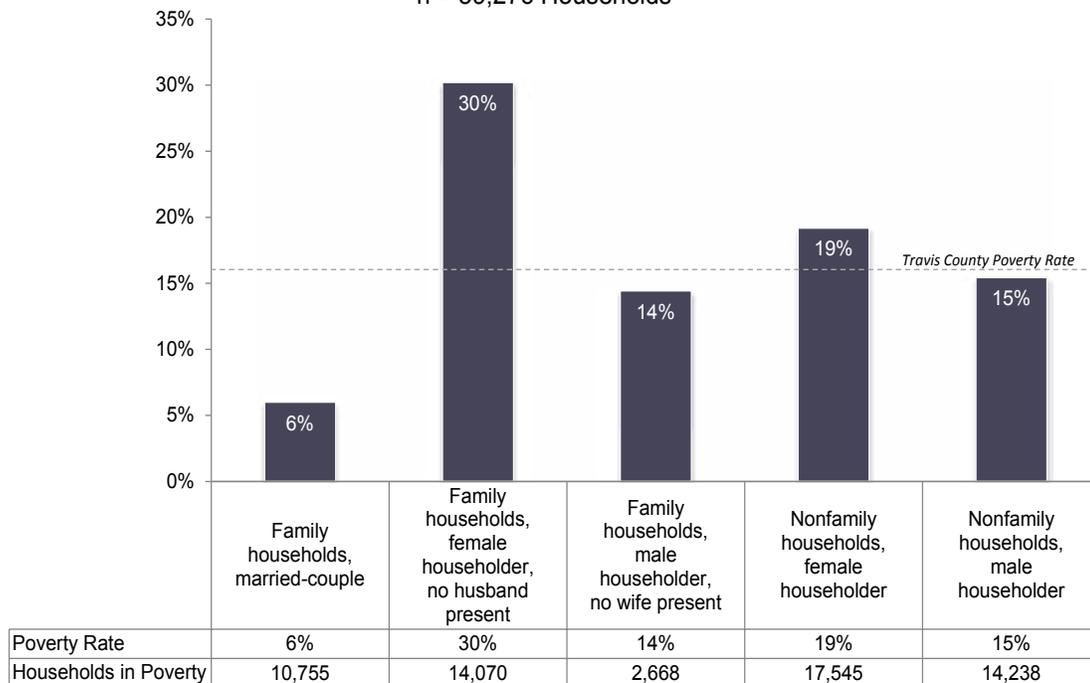
A household is defined as a set of individuals who live in one housing unit. A housing unit can be a house, apartment, mobile home, group of rooms, or a single room that is occupied as separate living quarters. Separate living quarters are those in which the occupants live separately from any other persons in a building and have direct access from the outside of the building or through a common hall. Occupants may be a single family, one person living alone, two or more families living together, or any other group of related or unrelated individuals who share living arrangements.

One person per household is designated as the householder. The designated householder is the person who is listed on the first line of the American Community Survey questionnaire and is generally the primary owner or renter of the housing unit. Households are classified by type according to sex of the householder and presence of relatives. Family households include one or more individuals related to the householder by birth, marriage, or adoption. The householder and all individuals in the household related to the householder are family members. Nonfamily households include householders who live alone or with non-relatives only. Spouse includes a person married to and living with the householder; husband or wife includes individuals in formal or common-law marriages. Beginning with 2013 data, married-couple, family, and nonfamily households include same-sex couples.<sup>18</sup>

Female householders have higher poverty rates when compared to male householders. Both family (30%) and nonfamily (19%) female householders have significantly higher poverty rates than family (14%) and nonfamily (15%) male householders. Married-couple households have the lowest poverty rate (6%) of all household types.

### Poverty Rate by Household and Family Type

Travis County, 2011-2015  
n = 59,276 Households



Created by: Travis County HHS Research & Planning Division, 2017  
Source data: 2011-2015 American Community Survey 5-Year Estimates, B17010, B17017

## Trends

Between 2006-2010 and 2011-2015, the poverty rate for female households with children, no husband present increased from 36% to 40%. While the number of female households with children, no husband present living in poverty increased by 11%, the total population of this household type did not change significantly. The number of married-couple, no children households living in poverty increased by 34%, which was a larger percent increase than the total married-couple family population (14%). However, the poverty rate for married-couple families remained the same (3%) in both time periods. Other household and family types living in poverty did not experience a statistically significant change; therefore they are not included in the following table.

### Change Over Time and Poverty Rate by Selected Household and Family Type

Travis County, 2006-2010 & 2011-2015

|   | 2006-2010 |              | 2011-2015 |              | Difference | Percent Change |
|---|-----------|--------------|-----------|--------------|------------|----------------|
|   | Estimate  | Poverty Rate | Estimate  | Poverty Rate |            |                |
| <b>Family, Female Householder with Children, No Husband</b> |           |              |           |              |            |                |
| Female Householders in Poverty                              | 11,383    | 36%          | 12,613    | 40%          | 1,230      | 11%            |
| Total Female Householder Population                         | 31,635†   |              | 31,594†   |              | 41         | -0.13%         |
| <b>Married-Couple Family, No Children</b>                   |           |              |           |              |            |                |
| Married-Couple Family in Poverty                            | 2,099     | 3%           | 2,812     | 3%           | 713        | 34%            |
| Total Married-Couple Family Population                      | 78,972    |              | 90,301    |              | 11,329     | 14%            |

†The difference between 2006-2010 & 2011-2015 estimates is not statistically significant

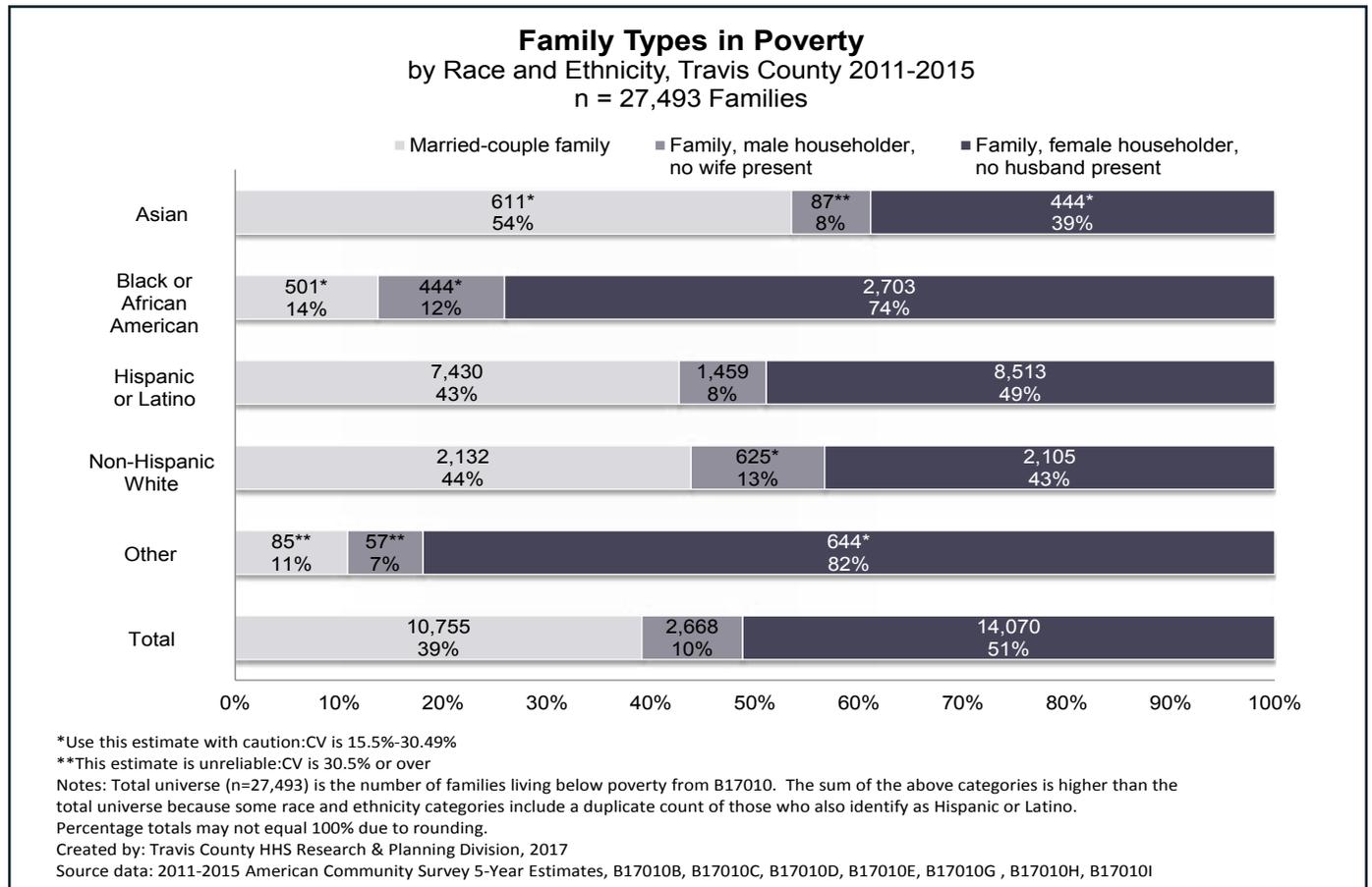
Created by: Travis County HHS/VS, Research & Planning Division, 2017

Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, B17017



## Family Type by Race and Ethnicity

When looking at poverty in family type alone, just over one-half (51%) of families with income below the poverty level are female householders, no husband present. In comparison, of Black or African American families in poverty, 74% of female householders, no husband present have incomes below the poverty level. Male householders, no wife present have the lowest percent of families with income below the poverty level (10%). This trend persists across all race and ethnicities.

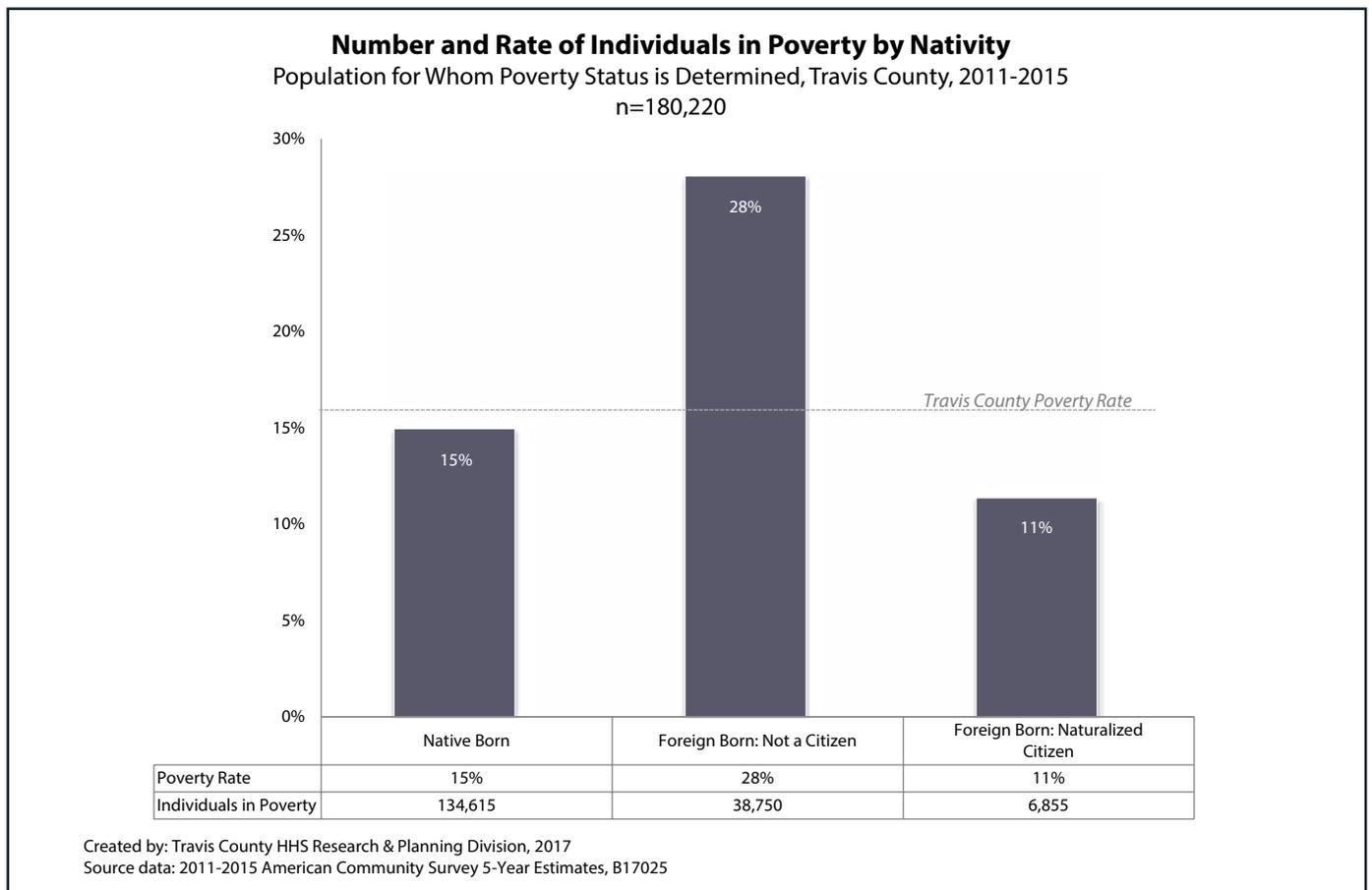


# Language and Nativity

This section looks at poverty by individuals' place of birth and language spoken at home. Foreign born non-citizens and Spanish speakers have the highest poverty rate, which is consistent with previous trends.

## Nativity

Nativity refers to whether an individual is native born (anyone who was a U.S citizen at birth including those born in the United States, U.S. territories, or born abroad to at least one U.S. citizen parent) or foreign born (not a U.S. citizen at birth).<sup>19</sup> The foreign born population is further differentiated by citizenship status. Foreign born non-citizens have the highest poverty rate (28%) while foreign born naturalized citizens have the lowest (11%). The native born poverty rate (15%) closely mirrors the overall poverty rate for Travis County (16%). This is unsurprising given that the native population is much larger than the foreign born population in Travis County.





## Trends

Between 2006-2010 and 2011-2015, the native born population increased 14%, while the number of native born individuals with income below the poverty level increased 16%. The foreign born naturalized population increased by 37% and the number below the poverty level increased by 63%. The foreign born non-citizen population increased by 6%, and while the number in poverty increased at a higher rate, it was not a statistically significant difference.

| Individuals by Nativity Trends   |                    |                    |            |                |
|--|--------------------|--------------------|------------|----------------|
| Population for Whom Poverty Status is Determined, Travis County, 2006-2010 & 2011-2015 |                    |                    |            |                |
|  | 2006-2010 Estimate | 2011-2015 Estimate | Difference | Percent Change |
| Native Born  | 788,759            | 900,058            | 111,299    | 14%            |
| Below Poverty Level  | 116,305            | 134,615            | 18,310     | 16%            |
| Foreign Born: Naturalized  | 43,850             | 60,276             | 16,426     | 37%            |
| Below Poverty Level  | 4,199              | 6,855              | 2,656      | 63%            |
| Foreign Born: Not a Citizen  | 129,847            | 138,007            | 8,160      | 6%             |
| Below Poverty Level  | 35,766†            | 38,750†            | 2,984†     | 8%†            |

†The difference between the 2006-2010 and 2011-2015 estimates is not statistically significant

Created by: Travis County HHS, Research & Planning Division, 2017

Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, B17025

## Language

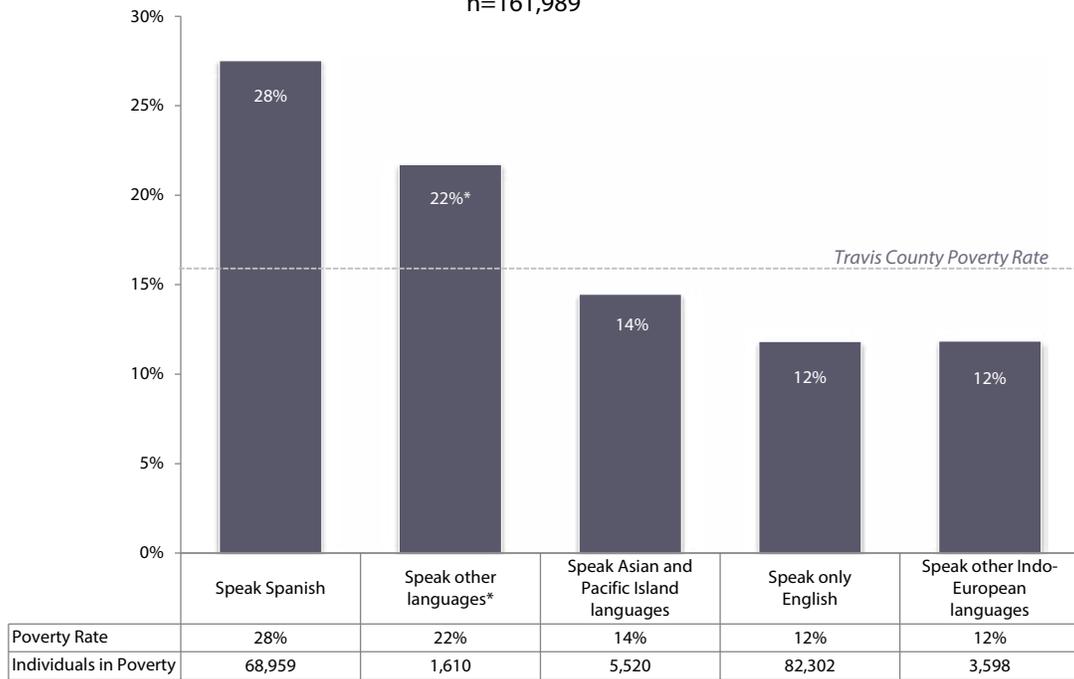
Residents of Travis County speak a variety of languages with the two most commonly spoken languages being English and Spanish. The majority of individuals living in poverty speak one or both of these languages. However, individuals who speak Spanish are disproportionately represented and have the highest poverty rate (28%) of any of the five language classification groups. Additionally, individuals that speak other languages<sup>b</sup> (22%<sup>c</sup>) and those who speak Asian and Pacific Island languages (14%) also have a higher rate of poverty than individuals that speak English (12%).<sup>20</sup>

<sup>b</sup> Other languages include Navajo, other Native North American languages, Hungarian, Arabic, Hebrew, African languages (Swahili, Somali, etc.) and other and unspecified languages such as Finnish, Syriac, and those not reported.

<sup>c</sup> Use this estimate with caution: CV is 15.5%-30.49%

## Number and Rate of Individuals in Poverty by Language Spoken at Home

Population 5 Years and Older for Whom Poverty Status is Determined,  
Travis County, 2011-2015  
n=161,989



\*Use this estimate with caution: CV is 15.5% - 30.49%

Created by: Travis County HHS Research & Planning Division, 2017

Source data: 2011-2015 American Community Survey 5-Year Estimates, B16009

### Trends

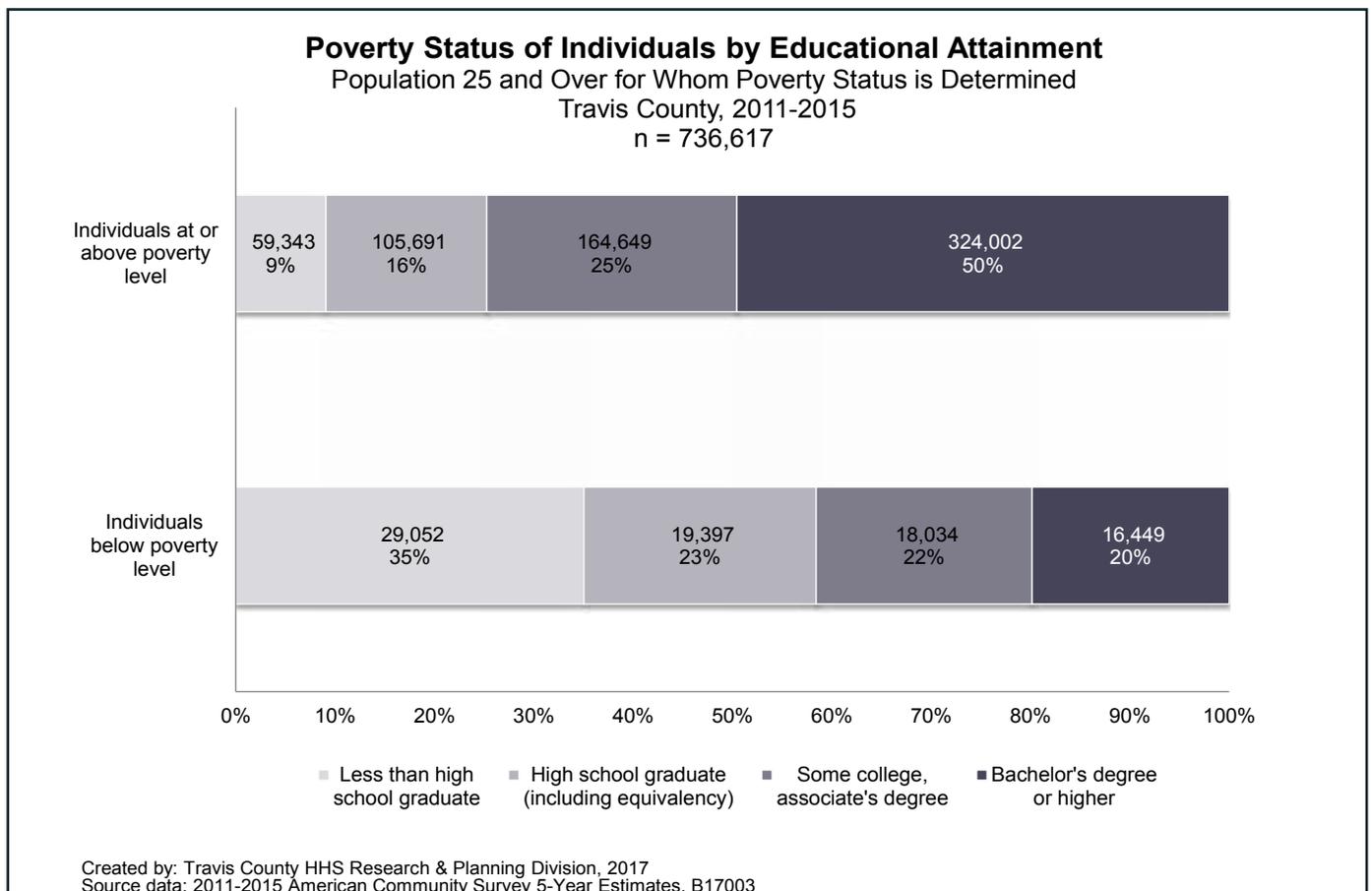
When comparing the 2006-2010 and the 2011-2015 data, the population of English speakers in Travis County increased 14% and similarly the population of Spanish speakers increased 15%. The number of individuals that speak English only living below poverty increased 12% and the number of individuals that speak Spanish below living poverty increased 26%.

# Education and Employment

The relationship between poverty status and education and employment shows that individuals in poverty are more likely to have lower educational attainment and less regular employment. This section provides information specific to educational attainment for individuals 25 years and older and work experience in the past 12 months for individuals 16 years and older.

## Educational Attainment

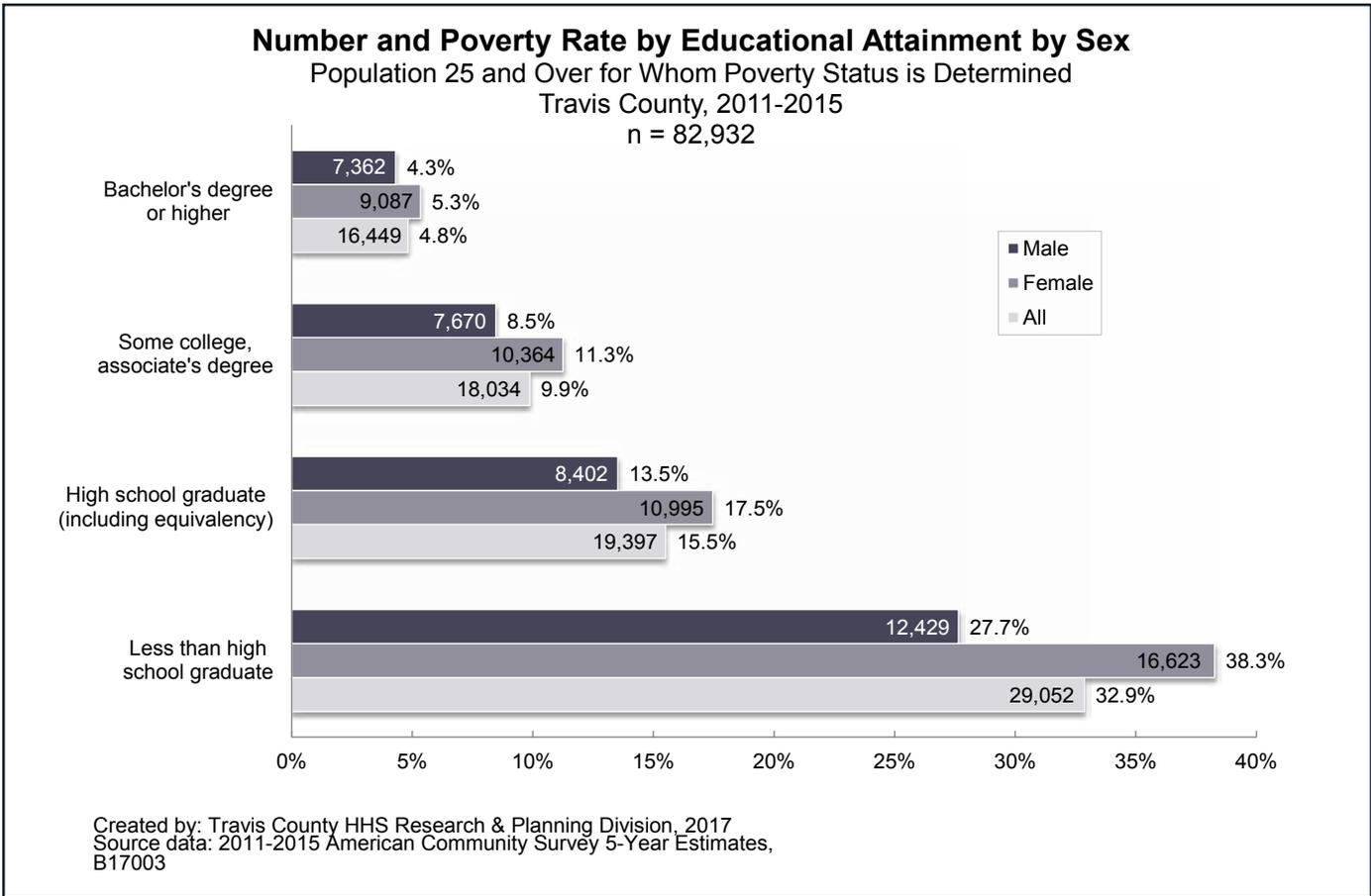
There is a strong correlation between education and poverty status. Individuals with lower educational attainment are more likely to have income below the poverty level. In Travis County, of residents with income below the poverty level: 35% have not graduated from high school, 23% have completed high school (or equivalency), while slightly less (22%), have some college or an associate's degree, and 20% have a bachelor's degree or higher. In contrast, amongst those with income at or above the poverty level: 50% have a bachelor's degree or higher, 25% have some college or an associate's degree, 16% have completed high school (or equivalency), and 9% have not graduated from high school.



As educational attainment increases, the percentage of individuals below the poverty level decreases.

Of all residents with less than a high school diploma, 33% have income below the poverty level. This percentage decreases as each subsequent level of educational attainment increases: 16% of those who graduated high school (or equivalency) have income below the poverty level, 10% with some college or an associate’s degree, and 5% with a bachelor’s degree or higher.

When looking at educational attainment by sex, females have a higher rate of poverty across each level of educational attainment than males. Of all female individuals who did not graduate high school 38% have income below the poverty level compared to 28% of males with the same level of education. Though not as large of a difference, this trend continues with each level of education, with the smallest difference between sexes seen in those with a bachelor’s degree or higher; 5% of females compared to 4% of males have income below the poverty level.



**Trends**

Between 2006-2010 and 2011-2015 the poverty rate for individuals with less than a high school diploma increased from 29% to 33%. The poverty rate for those with some college or an associate’s degree increased from 9% to 10%. During this same time period, the correlation between education and poverty status continues to show lower poverty rates as educational attainment increases. While there has been a significant growth in the number of individuals living in poverty across each level of educational attainment, this trend is accompanied by an increase in overall population within each level of educational attainment.

## Change Over Time in Population and Poverty Rate by Educational Attainment

Population 25 and Over for Whom Poverty Status is Determined, Travis County, 2006-2010 & 2011-2015

|   | 2006-2010 |              | 2011-2015 |              | Difference | Percent Change |
|---|-----------|--------------|-----------|--------------|------------|----------------|
|   | Estimate  | Poverty Rate | Estimate  | Poverty Rate |            |                |
| <b>Less than high school graduate</b>               |           |              |           |              |            |                |
| Individuals in Poverty                              | 24,601    | 29%          | 29,052    | 33%          | 4,451      | 18%            |
| Population of Education level                       | 83,559    |              | 88,395    |              | 4,836      | 6%             |
| <b>High school graduate (including equivalency)</b> |           |              |           |              |            |                |
| Individuals in Poverty                              | 15,578    | 14%†         | 19,397    | 16%†         | 3,819      | 25%            |
| Population of Education Level                       | 107,607   |              | 125,088   |              | 17,481     | 16%            |
| <b>Some college, associate's degree</b>             |           |              |           |              |            |                |
| Individuals in Poverty                              | 13,527    | 9%           | 18,034    | 10%          | 4,507      | 33%            |
| Population of Education Level                       | 155,835   |              | 182,683   |              | 26,848     | 17%            |
| <b>Bachelor's degree or higher</b>                  |           |              |           |              |            |                |
| Individuals in Poverty                              | 12,228    | 5%†          | 16,449    | 5%†          | 4,221      | 35%            |
| Population of Education Level                       | 269,439   |              | 340,451   |              | 71,012     | 26%            |

†The difference between the 2006-2010 & 2011-2015 poverty rates is not statistically significant

Created by: Travis County HHS, Research & Planning Division, 2017

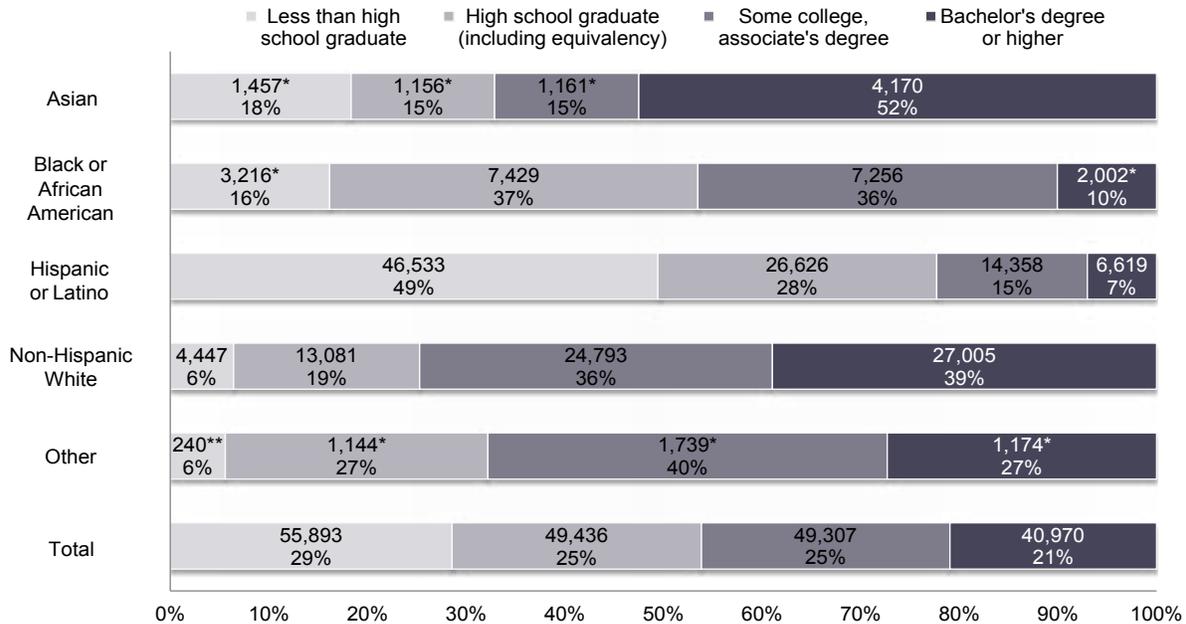
Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, B17003

### ***Educational Attainment and Race and Ethnicity***

While the proportion of all individuals at or below 200%<sup>d</sup> of poverty is somewhat evenly distributed across the four educational attainment levels, there is variation by race and ethnicity. Of all individuals living at or below 200% of the poverty level, 29% have not graduated from high school. In comparison almost one-half (49%) of Hispanic or Latino, and 6% of Non-Hispanic White individuals have not graduated from high school. Asian (52%) and Non-Hispanic White (39%) individuals have the highest percentage of those with a bachelor's degree or higher, while 21% of individuals overall have attained a bachelor's degree or higher. Hispanic or Latino (7%) individuals have the lowest percentage at or below 200% of the poverty level with a bachelor's degree or higher.

<sup>d</sup> This section considers percent of individuals living below 200% of the poverty threshold because of reliability issues with estimates under 100% of poverty in the Public Use Microdata Sample (PUMS) by education and race/ethnicity.

**Individuals at or Below 200% Poverty by Race and Ethnicity**  
by Educational Attainment  
Travis County, 2011-2015  
n = 195,606



\*Use this estimate with caution: CV is 15.5% - 30.49%

\*\*This estimate is unreliable: CV is 30.5% or over

Created by: Travis County HHS Research & Planning Division, 2017

Source data: 2011-2015 American Community Survey, 5-Year Public Use Microdata Sample (PUMS)

## Employment

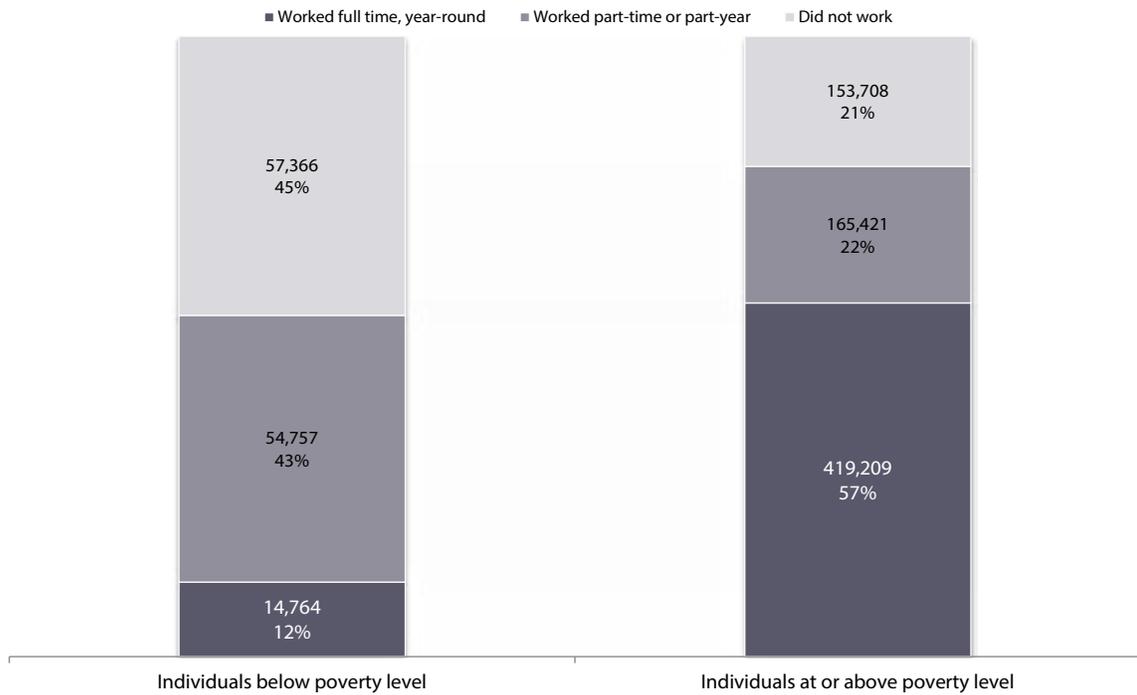
Employment information for this report comes from the American Community Survey's questions regarding individuals' work experience. Work experience describes a person's employment history in the past 12 months, including number of weeks worked in the past 12 months and usual hours worked per week.<sup>21</sup> This section provides a general view of the work experience over the last year of individuals 16 years and older in Travis County.

Responses to questions on work experience are characterized as: a) worked full time, year-round; b) part-time or part-year; and c) did not work. Over one-half (55%) of individuals age 16 and over below the poverty level worked either full time, year-round (12%) or part-time, part-year (43%) during the last twelve months. A higher proportion of individuals with income at or above the poverty level reported working in the past 12 months (79%) with over one-half (57%) reporting working full time, year-round. Among individuals below the poverty level, 45% did not work in the past 12 months, compared to 21% of those at or above the poverty level.



### Poverty Status by Work Experience

Population 16 and Over for Whom Poverty Status is Determined, Travis County, 2011-2015  
n=865,225

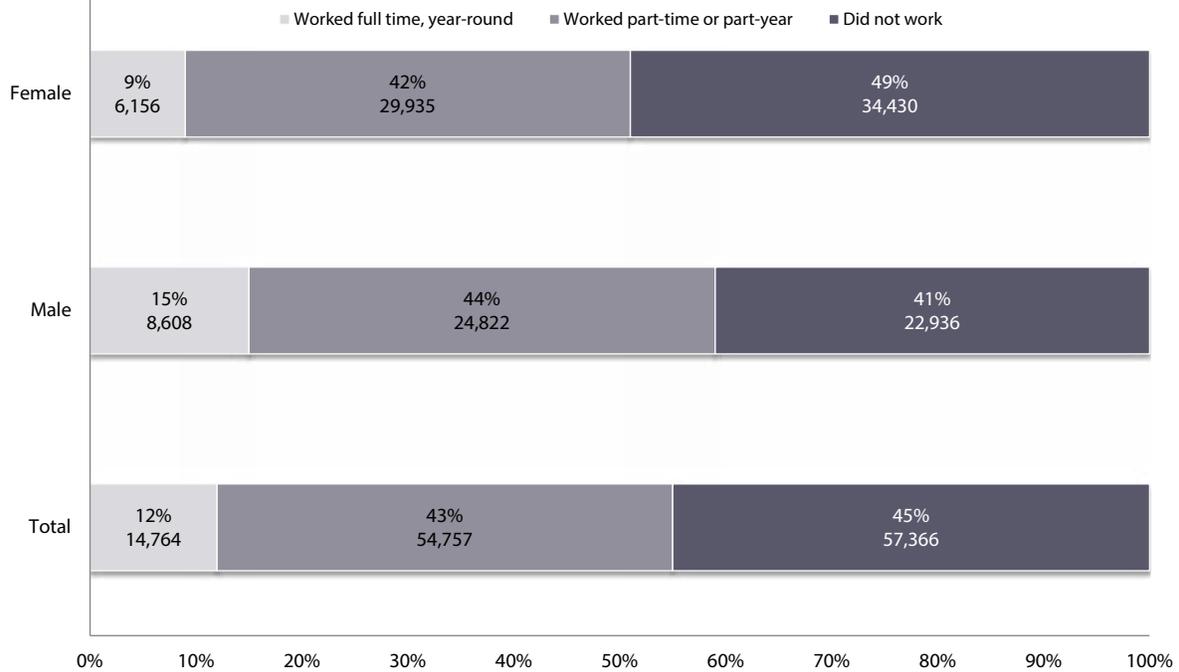


Created by: Travis County HHS Research & Planning Division, 2017  
Source data: 2011-2015 American Community Survey 5-Year Estimates, B17004

When examined by gender, males below the poverty level were more likely to work than their female counterparts; 15% of men below the poverty level worked full time, year-round compared to 9% of women. Likewise, 44% of men below the poverty level worked part-time or part-year compared to 42% of women, although this difference is not statistically significant. A higher percentage of women below the poverty level did not work (49%) in the last year compared to men (41%).

## Number and Percent of Individuals below the Poverty Level by Work Experience and Gender

Population 16 and Over for Whom Poverty Status is Determined, Travis County, 2011-2015  
n=126,886



Created by: Travis County HHS Research & Planning Division, 2017  
Source data: 2011-2015 American Community Survey 5-Year Estimates, B17004

### Trends

When comparing 2006-2010 and 2011-2015 data, the number of individuals below the poverty level increased within each work experience category; however the poverty rates changed slightly, if at all. There was an increase in the poverty rate for individuals who worked part-time or part-year from 23.8% to 24.9% and those who did not work from 26.9% to 27.1%. The decrease in the poverty rate for individuals who worked full time, year-round was not statistically significant.



**Poverty Status by Work Experience Trends**  
Population 16 and Over for Whom Poverty Status is Determined, Travis County, 2006-2010 & 2011-2015

|                                      | 2006-2010 |              | 2011-2015 |              | Difference | Percent Change |
|--------------------------------------|-----------|--------------|-----------|--------------|------------|----------------|
|                                      | Estimate  | Poverty Rate | Estimate  | Poverty Rate |            |                |
| <b>Worked full time, year-round</b>  |           |              |           |              |            |                |
| Individuals in Poverty               | 13,426†   | 4%††         | 14,764†   | 3%††         | 1,338      | 10%            |
| Total Population                     | 368,050   |              | 433,973   |              | 65,923     | 18%            |
| <b>Worked part-time or part-year</b> |           |              |           |              |            |                |
| Individuals in Poverty               | 50,815    | 24%          | 54,757    | 25%          | 3,942      | 8%             |
| Total Population                     | 213,861   |              | 220,178   |              | 6,317      | 3%             |
| <b>Did not work</b>                  |           |              |           |              |            |                |
| Individuals in Poverty               | 46,256    | 27%          | 57,366    | 27%          | 11,110     | 24%            |
| Total Population                     | 171,847   |              | 211,074   |              | 39,227     | -23%           |

†The difference between the 2006-2010 & 2011-2015 estimates is not statistically significant  
 ††The difference between the 2006-2010 & 2011-2015 poverty rates is not statistically significant  
 Created by: Travis County HHS, Research & Planning Division, 2017  
 Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, B17004

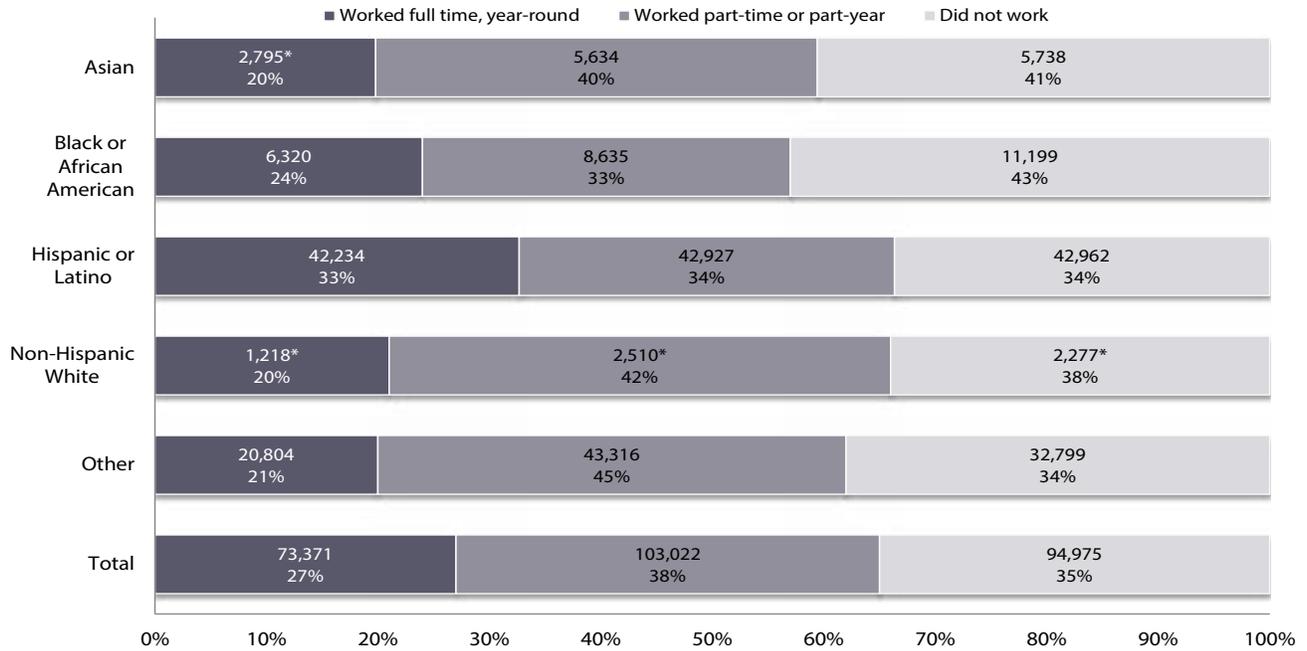
***Work Experience and Race and Ethnicity***

Among individuals with income at or below 200%<sup>e</sup> of the poverty level within all selected race and ethnicity categories, a majority worked either full time, year-round or part-time, part-year. Hispanic or Latino individuals had the highest rate of individuals who worked full time, year-round (33%). Asian and Other individuals had the lowest percentage of individuals working full time, year-round (20%<sup>f</sup>). Black or African American individuals had the highest percentage of individuals who did not work (43%), while Non-Hispanic White and Hispanic or Latino had the lowest percent of individuals who did not work (34%) with income at or below 200% poverty.

<sup>e</sup> This section considers percent of individuals living below 200% of the poverty threshold because of reliability issues with estimates under 100% of poverty in the Public Use Microdata Sample (PUMS) by employment and race/ethnicity.  
<sup>f</sup> Use this estimate with caution: CV is 15.1%-30%.

## Individuals at or below 200% Poverty by Work Experience by Race and Ethnicity

Population 16 and Over at or below 200% Poverty, Travis County, 2011-2015  
n=271,368



\*Use this estimate with caution: CV is 15.5%-30.49%

Note: Percentages do not add up to 100% due to rounding.

Created by: Travis County HHS Research & Planning Division, 2017

Source data: 2011-2015 American Community Survey, 5-year Public Use Microdata Sample (PUMS)

# Geographic Concentration of Poverty

The following maps display the geographic distribution of poverty. A reference map with cities, villages, and Census-Designated Places (CDP) in Travis County is included with a corresponding table highlighting total population, poverty by threshold, and median household income data. A state map shows poverty rates by county in Texas for 2006-2010 and 2011-2015. Travis County maps by Zip Code Tabulation Areas (ZCTAs) show the concentration of all individuals living below poverty, children under 18 years old living below poverty, and all individuals living below 200% of the poverty level. Travis County maps by census tracts display trends between 2006-2010 and 2011-2015 for those living below 200% of the poverty threshold.<sup>g,h</sup>

Although we can map the data at a more granular level there are still limitations. Since these estimates are based on samples, they all have a margin of error which increases as the population size decreases; therefore, a substantial number of these smaller geographic areas have high margins of error and are unreliable at a 90% confidence level. The geographic areas that are unreliable (CVs are greater than 30.5%) are noted in the map. Maps do not display the areas with estimates that have a CV between 15.5%-30.49% so we urge readers to use caution when analyzing the maps. We included tables with estimates by ZCTAs and census tracts in Appendix B for more information.

## Geographic Terms

The Census Bureau organizes geographic areas into statistical areas to tabulate and present census data. The following geographic areas are used for the maps included in this report.

**Zip Code Tabulation Areas (ZCTAs)** are approximate area representations of USPS five-digit ZIP Code service areas that the Census Bureau creates using whole blocks to present statistical data from censuses and surveys. The U.S. Census Bureau created the ZCTAs as a statistical geographic entity to overcome more frequent changes to ZIP code areas by the USPS. ZCTAs are relatively stable over time, whereas ZIP Code areas by the USPS change more frequently to support more efficient mail delivery. ZCTAs should not be used to identify the official USPS ZIP Code for mail delivery.

**Census tracts** are small, relatively permanent statistical subdivisions of a county or equivalent entity that are updated by local participants prior to each decennial census as part of the Census Bureau's Participant Statistical Areas Program. The primary purpose of census tracts is to provide a stable set of geographic units for the presentation of statistical data. Census tracts generally have a population size between 1,200 and 8,000 people. The size of census tracts varies widely depending on the density of settlement. Census tract boundaries are delineated with the intention of being maintained over a long period of time so that statistical comparisons can be made from census to census, however they are occasionally split due to population growth or merged due to population decline.

<sup>g</sup> Maps display 200% of poverty because it was more reliable than poverty data by census tract.

<sup>h</sup> We were unable to look at trends by ZCTAs because the data wasn't available. The 2006-2010 American Community Survey 5-Year estimates are not available from American FactFinder by zip code and block group. Datasets by ZCTAs and block group are available beginning in 2007-2011 but are not appropriate for comparisons in this report because of overlapping years.

## Cities, Villages, and Census-Designated Places (CDP) in Travis County

The following table displays total population, poverty thresholds, and Median Household Income for all Census recognized cities, villages, and Census-Designated Places (CDP) in Travis County.<sup>i</sup>

| Population, Poverty Threshold Rates & Median Household Income                    |                  |                                 |         |                                 |         |  |
|--|------------------|---------------------------------|---------|---------------------------------|---------|--|
| Cities, Villages, and Census- Designated Places (CDP) in Travis County 2011-2015 |                  |                                 |         |                                 |         |  |
| City or Village  | Total Population | Under 100% of Poverty Threshold |         | Under 200% of Poverty Threshold |         | Median Household Income (in 2015 inflation-adjusted dollars) |
|  |                  | Estimate                        | Percent | Estimate                        | Percent |  |
| Austin   | 887,061          | 156,161                         | 18%     | 309,688                         | 36%     | \$57,689   |
| Round Rock   | 109,690          | 10,932                          | 10%     | 28,675                          | 26%     | \$72,412   |
| Cedar Park   | 60,841           | 2,969                           | 5%      | 9,799                           | 16%     | \$82,311   |
| Pflugerville   | 53,847           | 4,315*                          | 8%*     | 10,453                          | 19%     | \$76,459   |
| Leander  | 32,051           | 1,441*                          | 5%*     | 6,446                           | 20%     | \$80,178   |
| Lakeway  | 13,212           | 480*                            | 4%*     | 1,360*                          | 10%*    | \$113,672  |
| Wells Branch CDP   | 12,014           | 1,547*                          | 13%*    | 3,812                           | 32%     | \$49,721   |
| Elgin  | 8,249            | 1,754*                          | 22%*    | 3,644*                          | 45%*    | \$50,369   |
| Hornsby Bend CDP   | 7,441            | 1,343**                         | 18%**   | 3,292*                          | 44%*    | \$49,077*  |
| Manor  | 6,435            | 1,396**                         | 22%**   | 2,661*                          | 41%*    | \$67,542*  |
| Lago Vista   | 6,443            | 507**                           | 8%**    | 1,246*                          | 19%*    | \$75,126   |
| Bee Cave   | 5,362            | 144**                           | 3%**    | 477*                            | 9%*     | \$121,708  |
| Shady Hollow CDP   | 5,187            | 138**                           | 3%**    | 625**                           | 12%**   | \$105,244  |
| Lost Creek CDP   | 4,570            | 265**                           | 6%**    | 498*                            | 11%*    | \$165,714  |
| West Lake Hills  | 3,262            | 198**                           | 6%**    | 236**                           | 7%**    | \$141,453  |
| Barton Creek CDP   | 3,373            | 94**                            | 3%**    | 165**                           | 5%**    | \$168,063*   |
| Hudson Bend CDP  | 2,823            | 178**                           | 6%**    | 339**                           | 12%**   | \$99,773   |
| The Hills Village  | 2,550            | 29**                            | 1%**    | 72**                            | 3%**    | \$125,357  |
| Jonestown  | 1,945            | 172**                           | 9%**    | 572*                            | 29%*    | \$58,355   |
| Rollingwood  | 1,603            | 41**                            | 3%**    | 170**                           | 11%**   | \$176,250  |
| Briarcliff Village   | 1,565            | 43**                            | 3%**    | 223*                            | 14%*    | \$87,411   |
| Garfield CDP   | 1,535*           | 404**                           | 26%**   | 723**                           | 47%**   | \$46,029*  |
| Manchaca CDP   | 1,427*           | 136**                           | 10%**   | 212**                           | 15%**   | \$82,328*  |
| Mustang Ridge  | 1,232            | 131**                           | 11%**   | 568*                            | 46%*    | \$51,917*  |
| Sunset Valley  | 821              | 12**                            | 1%**    | 19**                            | 2%**    | \$131,058  |
| Point Venture Village  | 809              | 59*                             | 7%*     | 124*                            | 15%*    | \$81,094   |
| San Leanna Village   | 599              | 41**                            | 7%**    | 74**                            | 12%**   | \$95,139   |
| Volente Village  | 677              | 71**                            | 10%**   | 110**                           | 16%**   | \$111,250*   |
| Webberville Village  | 661*             | 153**                           | 23%**   | 241**                           | 36%**   | \$53,750*  |
| Creedmoor  | 177*             | 31**                            | 18%**   | 65**                            | 37%**   | \$41,250   |

\*Use this estimate with caution: CV is 15.5% - 30.49%

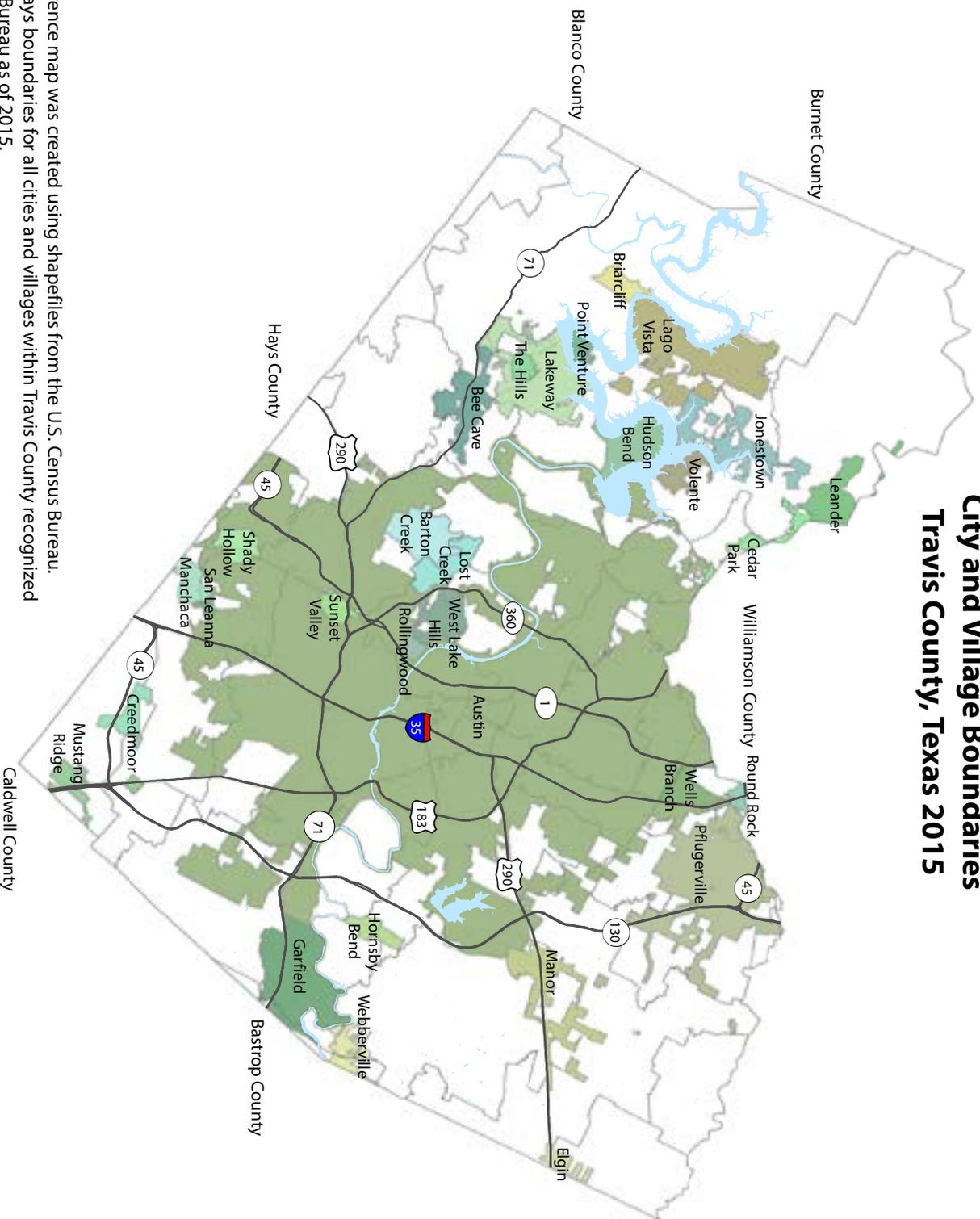
\*\*This estimate is unreliable: CV is 30.5% or over

Created by: Travis County HHS, Research & Planning Division, 2017

Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, B01001, B17001 and B19013

<sup>i</sup> The cities, villages, and Census-designated places (CDP) included in the following table are those recognized by the Census Bureau as fully or partially located in Travis County. Commonly recognized but not formally incorporated areas, including Del Valle and Oak Hill do not have census Bureau data available.

# City and Village Boundaries Travis County, Texas 2015



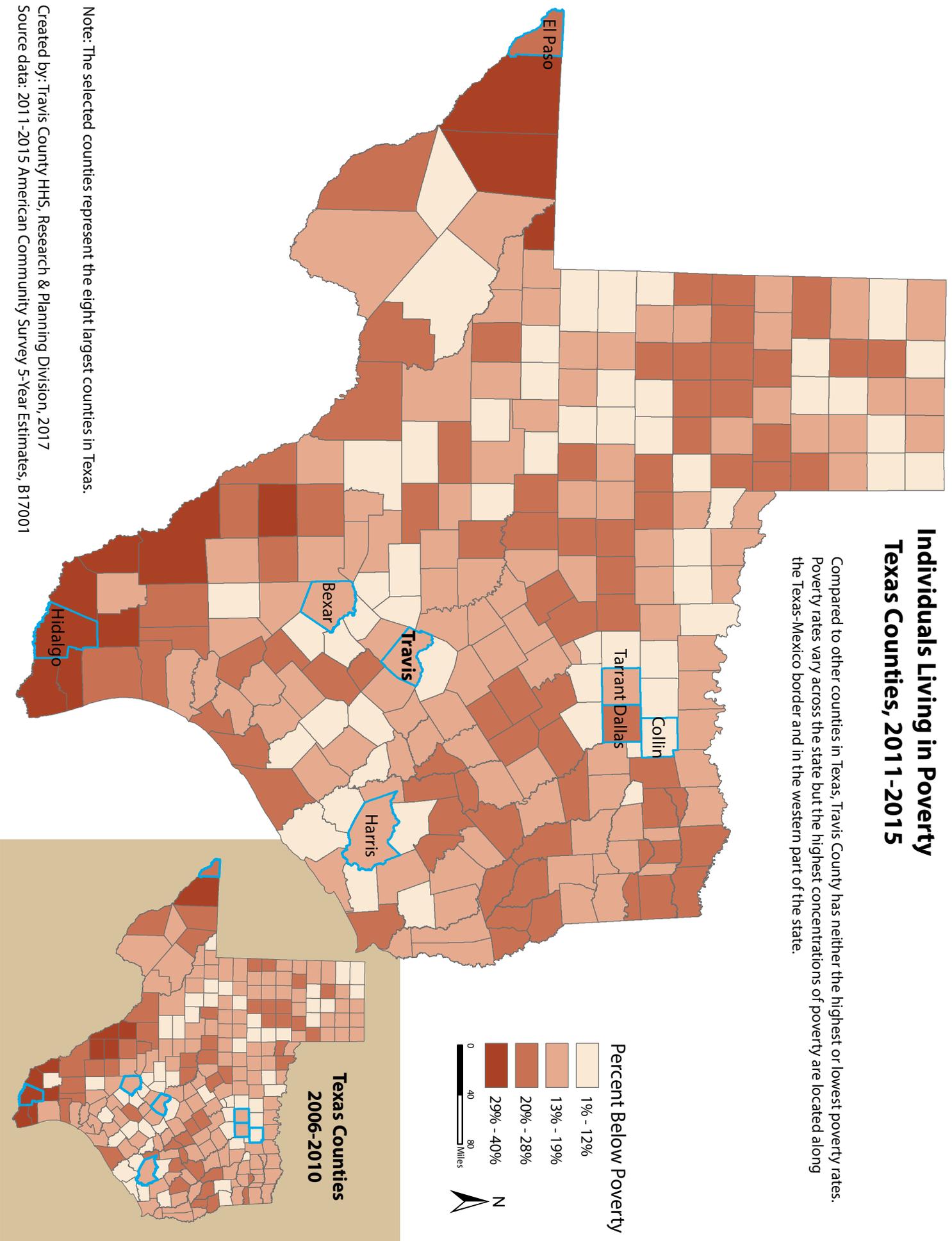
Note: This reference map was created using shapfiles from the U.S. Census Bureau. This map displays boundaries for all cities and villages within Travis County recognized by the Census Bureau as of 2015.

Created by Travis County HHS, Research & Planning Division, 2017



# Individuals Living in Poverty Texas Counties, 2011-2015

Compared to other counties in Texas, Travis County has neither the highest or lowest poverty rates. Poverty rates vary across the state but the highest concentrations of poverty are located along the Texas-Mexico border and in the western part of the state.

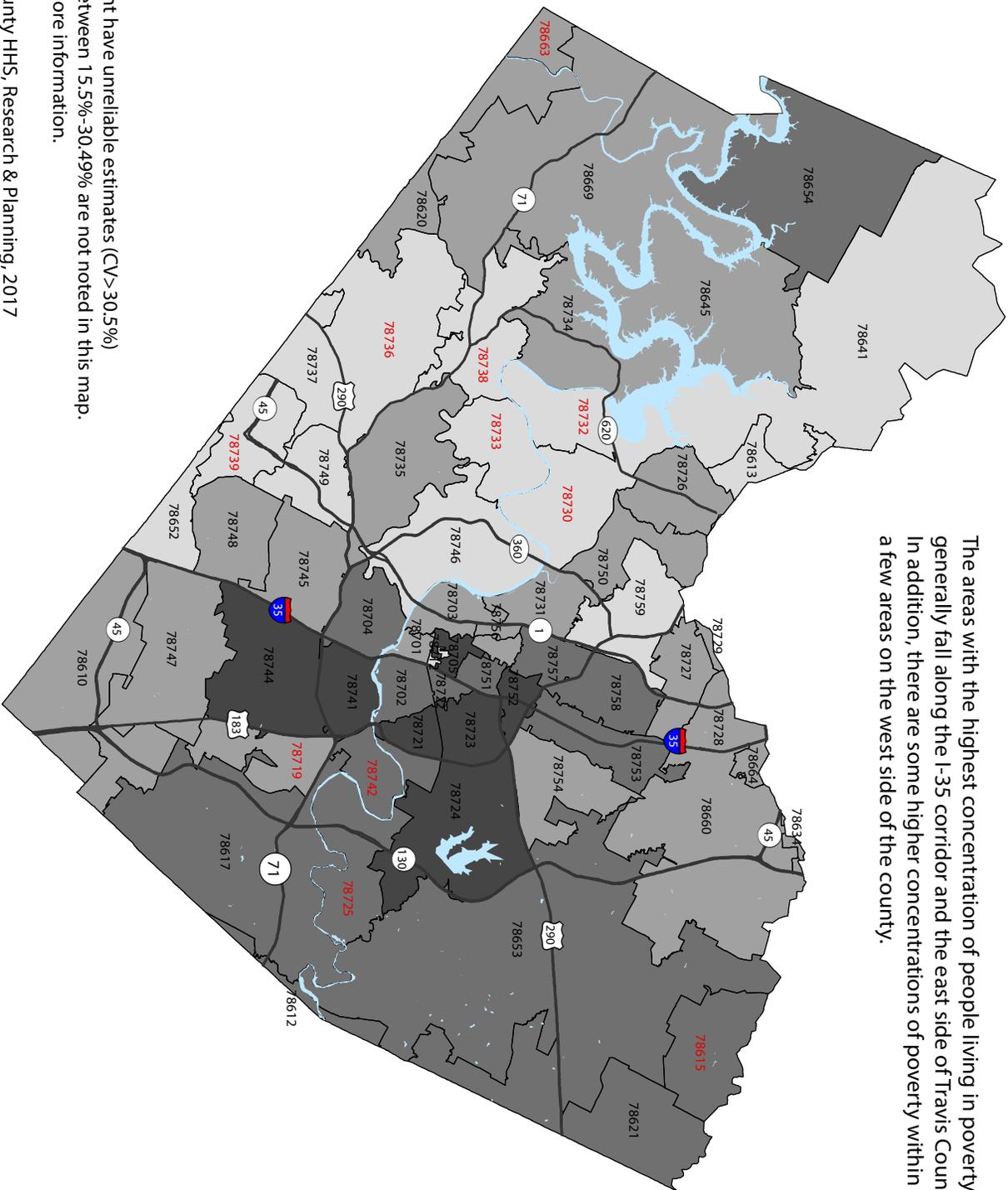


Note: The selected counties represent the eight largest counties in Texas.

Created by: Travis County HHS, Research & Planning Division, 2017  
 Source data: 2011-2015 American Community Survey 5-Year Estimates, B17001

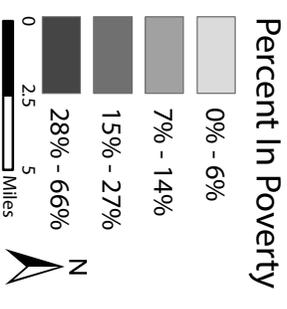
# Individuals Living In Poverty Zip Code Tabulation Areas (ZCTA), Travis County, 2011-2015

The areas with the highest concentration of people living in poverty generally fall along the I-35 corridor and the east side of Travis County. In addition, there are some higher concentrations of poverty within a few areas on the west side of the county.



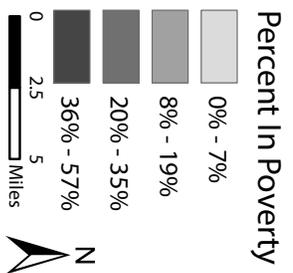
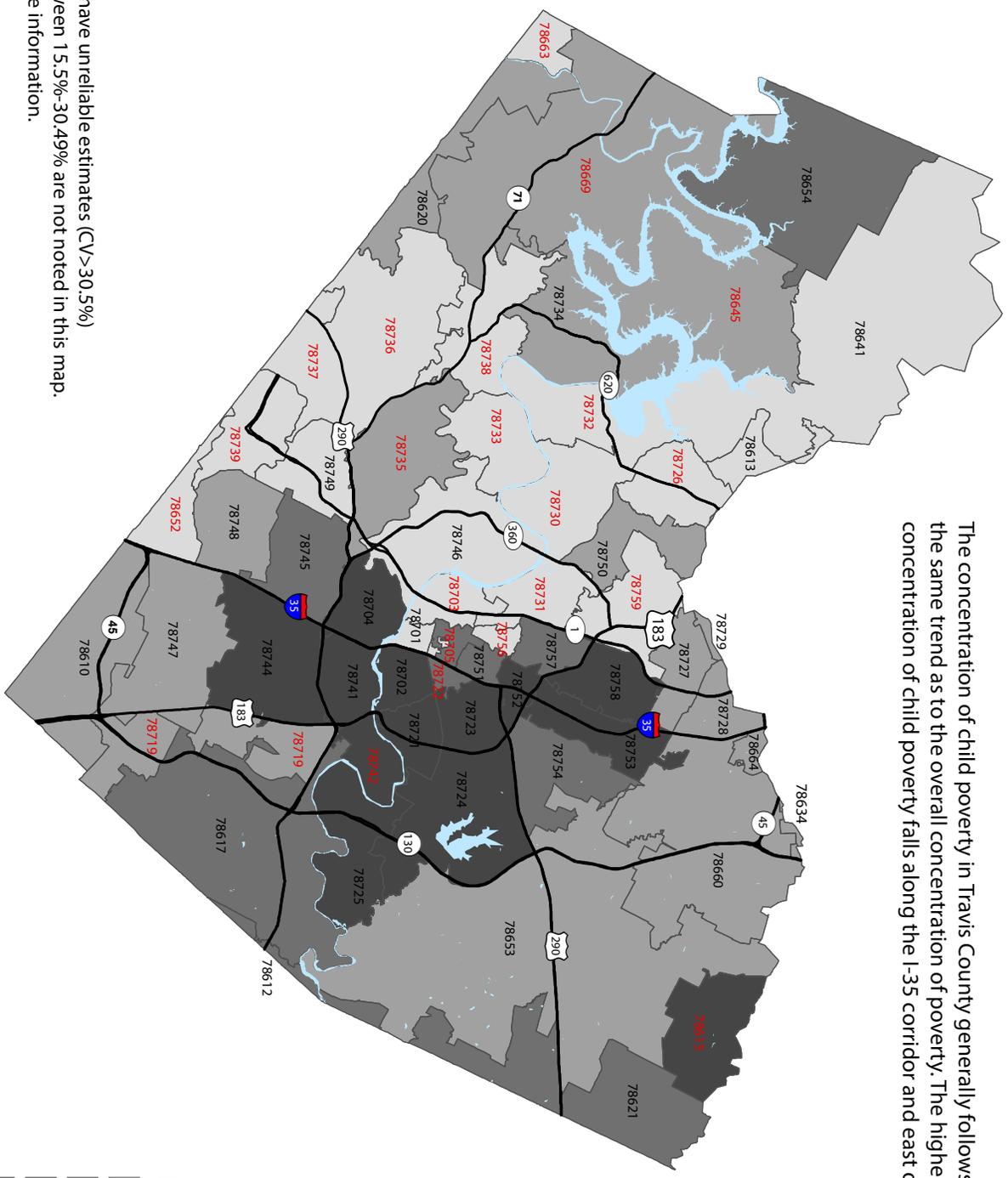
Note: ZCTAs in red font have unreliable estimates (CV>30.5%)  
 Estimates with CVs between 1.5,5%-30.49% are not noted in this map.  
 See Appendix B for more information.

Created by: Travis County HHS, Research & Planning, 2017  
 Source data: 2011-2015 American Community Survey 5-Year Estimates, B17001



# Children Under 18 Years Old Living In Poverty Zip Code Tabulation Areas (ZCTA), Travis County, 2011-2015

The concentration of child poverty in Travis County generally follows the same trend as to the overall concentration of poverty. The highest concentration of child poverty falls along the I-35 corridor and east of I-35.

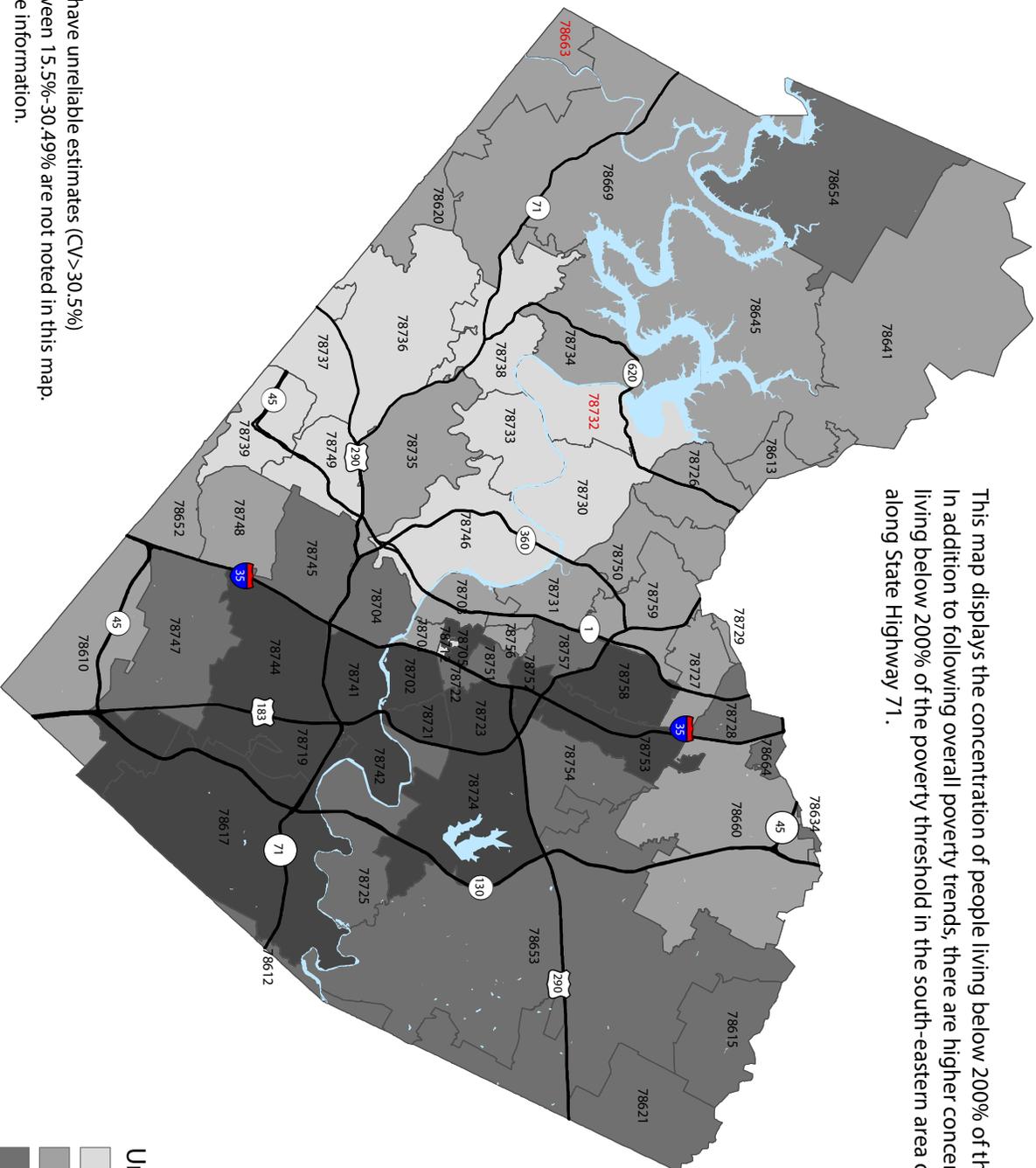


Note: ZCTAs in red font have unreliable estimates (CV>30.5%)  
 Estimates with CVs between 15.5%-30.49% are not noted in this map.  
 See Appendix B for more information.

Created by: Travis County HHS, Research & Planning, 2017  
 Source data: 2011-2015 American Community Survey 5-Year Estimates, B17001

# Individuals Living Under 200% of the Poverty Threshold Zip Code Tabulation Areas (ZCTA), Travis County, 2011-2015

This map displays the concentration of people living below 200% of the poverty threshold. In addition to following overall poverty trends, there are higher concentrations of people living below 200% of the poverty threshold in the south-eastern area of the county, along State Highway 71.



## Under 200% of Poverty

- 0% - 14%
- 15% - 27%
- 28% - 41%
- 42% - 77%

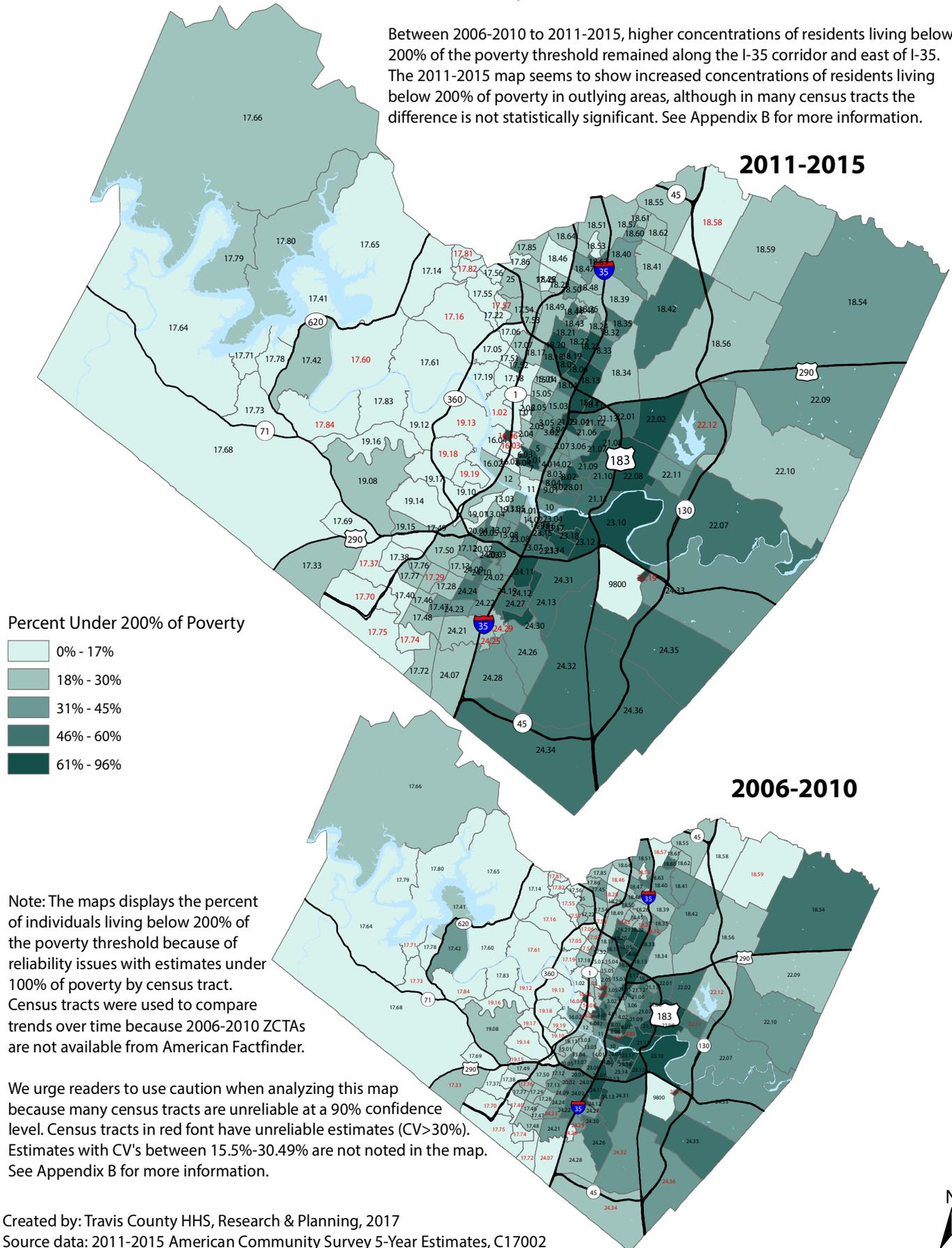


Note: ZCTAs in red font have unreliable estimates (CV>30.5%)  
 Estimates with CVs between 15.5%-30.49% are not noted in this map.  
 See Appendix B for more information.

Created by: Travis County HHS, Research & Planning, 2017  
 Source data: 2011-2015 American Community Survey 5-Year Estimates, C17002

# Percent of Individuals Living Under 200% of the Poverty Threshold Census Tracts, Travis County, 2006-2010 & 2011-2015

Between 2006-2010 to 2011-2015, higher concentrations of residents living below 200% of the poverty threshold remained along the I-35 corridor and east of I-35. The 2011-2015 map seems to show increased concentrations of residents living below 200% of poverty in outlying areas, although in many census tracts the difference is not statistically significant. See Appendix B for more information.



# Appendix A: Methodology

The U.S. Census Bureau's 2011-2015 American Community Survey 5-Year Estimates data set is the primary data source for this report. The 2006-2010 American Community Survey 5-year data sets are referenced for specific trend analyses.

## About the American Community Survey

The American Community Survey (ACS) is a nationwide survey that replaced the long form of the 10-year U.S. Census and collects information on an ongoing basis rather than once every ten years. The survey includes questions about demographic, housing, social and economic characteristics.<sup>22</sup> The ACS employs continuous data collection,<sup>j</sup> with annual results produced at the national, state, city, and county levels as well as smaller geographic areas with a population of 65,000 or greater.<sup>23</sup> In 2010, data based on 5-Year Estimates became available for many small areas (state, county, city, town, place, census tracts and block groups).<sup>24</sup>

ACS data was primarily retrieved from the American FactFinder. The American FactFinder is a website maintained by the U.S. Census Bureau that provides access to data from several surveys, one of which is the ACS. The American FactFinder is available at: <https://factfinder.census.gov/>. In some cases, data was retrieved from DataFerrett, which is described further under the section on Public Use Microdata Samples (PUMS).

### *ACS Methodology*

**Sample:** The American Community Survey is conducted every month on independent samples of housing unit<sup>k</sup> addresses (whether vacant or occupied) and persons in group quarters<sup>l</sup> facilities and produces annual or annual average estimates.<sup>25</sup> In the United States, over 17 million housing unit and approximately 1 million residents in group quarters facilities were selected for the 2011-2015 ACS.<sup>26</sup>

For Travis County, the original 2011-2015 (entire 60 month) sample of initial addresses selected was 46,275, and the final number of housing unit interviews (actual sample used to produce results) was 30,456. The group quarters population sample is not available at the county level, but for the entire state of Texas, the initial sample selected was 65,513 and the final number of group quarters person interviews was 52,919.<sup>27</sup>

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<sup>j</sup> The ACS collects survey information continuously nearly every day of the year and then aggregates the results over the specified time period, therefore the 5-Year Estimates describe the characteristics of the population over the full 5-Year period. The data collection is spread evenly across the entire period represented so as not to over-represent any particular month or year within the period. ACS estimates do not represent average characteristics over a single calendar year or multiple calendar years.

<sup>k</sup> A housing unit may be a house, an apartment, a mobile home, a group of rooms or a single room that is occupied (or, if vacant, intended for occupancy) as separate living quarters. Both occupied and vacant housing units are included in the housing unit inventory. Boats, recreational vehicles (RVs), vans, tents, railroad cars, and the like are included only if they are occupied as someone's current place of residence.

<sup>l</sup> A group quarters is a place where people live or stay in a group living arrangement that is owned or managed by an entity or organization providing housing and/or services for the residents. Group quarters include such places as college residence halls, residential treatment centers, skilled nursing facilities, group homes, military barracks, correctional facilities, workers' dormitories, and facilities for people experiencing homelessness.



**Data collection:** The ACS is conducted primarily through self-response. The ACS employs two distinct data collection methodologies: one for individuals residing in housing units and another for those residing in group quarters. The ACS currently uses four modes of data collection for housing units: the internet, a mailout/mailback, Computer-Assisted Telephone Interview (CATI) and a Computer Assisted Personal Interview (CAPI). The general timing of data collection is completed within three months. During month 1, addresses in the sample are sent an initial mailing package, containing information for completing the ACS questionnaire on-line. (Prior to 2013, addresses were sent questionnaires via U.S. Postal Service.) If, after two weeks, a sample address did not respond on-line, a second mailing package with a paper questionnaire was sent. During Month 2, all non-responding addresses with an available phone number are sent to CATI. During Month 3, a sample of mail non-responses without a phone number, CATI non-responses, and unmailable addresses are selected and sent to CAPI.<sup>28</sup> Two modes of data collection are used for Group Quarters. Group Quarters data collection is conducted by Field Representatives in two phases: 1) interviews with the Group Quarter facility contact person or the administrator and; 2) interviews with a sample of individuals from the facility.<sup>29</sup>

**Poverty universe:** Poverty status is determined for all people except institutionalized people, people in military group quarters, people in college dormitories, and unrelated individuals under 15 year old. (Income questions are asked of people age 15 and older so if someone under age 15 is not living with a family member the Census Bureau does not know their income.) Because people whose poverty status is undefined are excluded from Census Bureau poverty tabulations, the total population represented in poverty tables--the poverty universe--is slightly smaller than the overall population.<sup>30, 31</sup>

**Monetary values:** Monetary values for the ACS multiyear estimates are inflation-adjusted to the final year of the period. For example, the 2011-2015 ACS 5-Year Estimates are tabulated using 2015-adjusted dollars. These adjustments use the national Consumer Price Index (CPI) since the regional-based CPI is not available for the entire country.<sup>32</sup>

**Race and Hispanic Origin:** The data on race was derived from answers to the question on race that was asked of all people (Question 6 of the 2015 ACS). People may choose to report more than one race to indicate their racial mixture, such as "American Indian" and "White." People who identify their origin as Hispanic, Latino, or Spanish (Question 5 of the 2015 ACS) may be of any race.

For this report, we included the following race and Hispanic origin categories in our data analysis: Asian; Black or African American; Hispanic or Latino; Non-Hispanic White; American Indian and Alaska Native; Native Hawaiian and Other Pacific Islander; and Two or More Races. After considering which categories to include, we made the following decisions:

- The "Other" category was created by aggregating three categories that represent the smallest populations in Travis County: American Indian and Alaska Native, Native Hawaiian and Other Pacific Islander, and Two or More Races. We acknowledge that these categories represent distinct and separate populations. However, due to small sample sizes, the estimates for these categories have significant reliability issues. Rather than excluding these populations completely we aggregated them into a single category.
- We did not include "Some Other Race" into the report. "Some Other Race" includes all responses not included in the other race categories, as well as people who reported their race as multiracial, mixed, interracial, or a Hispanic, Latino, or Spanish group. After research we learned that "Some Other Race" overwhelmingly represents a duplicate count with people who are also Hispanic or Latino.



## Public Use Microdata Sample (PUMS)

For some data within this report, American FactFinder tables did not provide the specific variables needed in the data tables. In these cases, the data was created from Public Use Microdata Sample (PUMS) data. PUMS data provides a sample of household and individual responses from the ACS survey from which users can create their own tables with specific variables of interest that are not available in FactFinder data. PUMS data allows users to conduct a custom analysis of the ACS data using a sample of actual responses. Estimates generated with PUMS differ slightly from American Fact Finder estimates because PUMS files include only about two-thirds of the cases that were used to produce estimates on FactFinder. PUMS files also contain additional edits to protect respondents' privacy. PUMS data in this report was retrieved from DataFerrett, a tool developed by the Census Bureau staff for extracting data and producing data tables.<sup>33</sup> The PUMS files used for this report are 2011-2015 5-Year estimate responses.

| Travis County Public Use Microdata Areas |            |
|--|------------|
| 2000 PUMAs                               | 2010 PUMAs |
| 05301                                    | 05301      |
| 05302                                    | 05302      |
| 05303                                    | 05303      |
| 05304                                    | 05304      |
| 05401                                    | 05305      |
| 05402                                    | 05306      |
|  | 05307      |
|  | 05308      |
|  | 05309      |

PUMS data is available to analyze for the following geographies: the nation, each of the states, and areas known as Public Use Microdata Areas (PUMAs). PUMAs are defined as areas with 100,000 residents or more based on population reports from the 2000 and 2010 Census. The PUMAs that correspond with Travis County were used to pull the PUMS data for this report. The 2000 PUMAs are used for data up to 2011 and the 2010 PUMAs are used beginning in 2012.

The PUMS data in this report pertain to both the educational and employment share of individuals in poverty by race and ethnicity. The detail available through this data provides unduplicated

estimates when analyzing race and ethnicity. All selected race categories provided in PUMS data are Non-Hispanic.

## Maps

The maps in this report were created using ArcMap 10.4 GIS software. TIGER/Line shapefiles<sup>m</sup> used in the maps were downloaded from the US Census Bureau's website. The TIGER/Line shapefiles contain a standard geographic identifier for each entity that links to the geographic identifier in ACS data downloaded from American FactFinder.

The geographies used in this report are ZIP code tabulation areas (ZCTAs) and census tracts. ZCTAs are different from the U.S. Postal Service (USPS) ZIP codes used for mail service. ZCTAs are approximate area representations of USPS five-digit ZIP Code service areas that the Census Bureau creates using whole blocks to present statistical data from censuses and surveys. The U.S. Census Bureau created the ZCTAs as a statistical geographic entity to overcome more frequent changes to ZIP code areas by the USPS. ZCTAs are relatively stable over time, whereas ZIP Code areas by the USPS change more frequently to support more efficient mail delivery. ZCTAs should not be used to identify the official USPS ZIP Code for mail delivery.<sup>34</sup>

<sup>m</sup> A shapefile is a geospatial data format use in GIS software and generally represent things such as geographic boundaries, landmarks, and rivers.



Census tracts are small, relatively permanent statistical subdivisions of a county or equivalent entity that are updated by local participants prior to each decennial census as part of the Census Bureau's Participant Statistical Areas Program. The primary purpose of census tracts is to provide a stable set of geographic units for the presentation of statistical data. Census tracts generally have a population size between 1,200 and 8,000 people. The size of census tracts varies widely depending on the density of settlement. Census tract boundaries are delineated with the intention of being maintained over a long period of time so that statistical comparisons can be made from census to census, however they are occasionally split due to population growth or merged due to population decline.<sup>35</sup>

Census tracts were assigned to one of the four Travis County Precincts (See Appendix B). In most cases, census tracts boundaries were clearly within the boundaries of the respective precinct. When census tracts overlapped more than one precinct, we made assignments by visual identification of the land use density within the census tract and/or by calculating the sum of Texas Legislative Council block group totals within the census tract.

## Limitations

**Comparing 5-Year Estimates:** Due to significant changes in the 2008 ACS questionnaire, the Census Bureau recommends using caution when comparing the 2006-2010 5-Year Estimates with the 2011-2015 5-Year Estimates for the following sections included in this report:<sup>36</sup>

- **Race and Hispanic Origin:** the ACS questions on race and Hispanic origin was revised in 2008 to make it consistent with the 2010 Census race and Hispanic origin questions. The change in estimates from 2007 to 2008 may be due to factors such as questionnaire changes, population controls, and methodological changes to the population estimates.
- **Educational Attainment:** new questions were added to ask if respondents who received a high school diploma, GED, or equivalent also had completed any college credit. Due to the new questions, the number of high school graduates may have decreased relative to previous years because they are now being captured in the categories for some college credit but no degree.
- **Household/Family Type:** changes in the questionnaire format and data capture procedures between 2007 and 2008 ACS have resulted in changes in the number of reported same-sex spouses and hence the total number of same-sex unmarried partners in 2008.
- **Language Spoken at Home:** changes to collection of data on Hispanic origin may have affected some language characteristics. The observed increase in the native Hispanic population speaking English "very well" is larger than anticipated and should be interpreted with caution. In some cases the overall English language ability in the population may have been affected.
- **Work Experience:** The Census Bureau introduced an improved sequence of labor force questions in the 2008 ACS questionnaire and recommends using caution when making labor force data comparisons.

More information about comparing ACS data is available at: <https://www.census.gov/programs-surveys/acs/guidance/comparing-acs-data.html>.

**Sampling error:** Because the findings are based on a sample, rather than the entire population, the results would differ slightly if another sample were drawn or if the entire population were surveyed.



This reduces the reliability of the results. A certain amount of variability (called sampling error) is associated with any estimate based on a sample. In general, the larger the sample size, the smaller the sampling error.<sup>37</sup> For this report, the authors have attempted to minimize this variability by using the 5-Year Estimates data set (which provides a less timely, yet larger and thus more reliable sample) and by using the published margins of error to test all estimates and derived estimates for reliability at a 90% confidence level. Estimates with coefficients of variation<sup>n</sup> of more than 15.49% are footnoted to show which estimates should be used with caution (CVs between 15.5% and 30.49%) and which estimates are unreliable (CVs over 30.5%).

**Statistical Significance:** To test the true significance of a difference in estimates, for example between geographic areas or over time, a statistical test should be conducted. Where direct comparisons were made across time or geographies, the authors tested for statistical significance at a 90% confidence level. Note that testing was not conducted on every possible permutation of comparisons between visible figures in this report's charts and tables, so inferences about statistics and trends should be interpreted with caution. However, any comparisons explicitly highlighted in the narrative text can be assumed to be statistically significant. Some notable exceptions where statistical significance was not found or not possible to determine were also footnoted.

For more information and instruction on testing for reliability and statistical significance, as well as general guidance on how to use American Community Survey data, please see the Compass guides published by the U.S. Census Bureau: <https://www.census.gov/programs-surveys/acs/guidance/handbooks.html>

**Non-response error:** If certain individuals do not respond to the survey, the strength of the findings will be weakened. Additionally, those who respond to the survey may possess certain traits that skew the results differently than if everyone in the sample responds; this is known as selection bias. However, while surveys are often voluntary, response to the ACS is required by law (Title 18 United States Code, Section 3571 and Section 3559).<sup>38</sup> Thus, the response rate for the ACS is high (the 2011-2015 response rate in Texas was 94.3% for housing units and 94.3% for group quarters).<sup>39</sup>

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<sup>n</sup> Coefficient of variation is a measure used to discern the level of reliability of an estimate. It is calculated using the estimate and its standard error. For more information on calculating and using coefficients of variation, see: *A Compass for Understanding and Using American Community Survey Data: What State and Local Governments Need to Know*, available at <https://www.census.gov/programs-surveys/acs/guidance/handbooks.html>

# Appendix B: Map Tables

**Total Population and Poverty Subjects (1 of 2)**  
Zip Code Tabulation Areas (ZCTA) , Travis County, 2011-2015

| ZCTA  | Total Population | Total Population Below Poverty |              | Child Population Below Poverty |                    | Population under 200% of Poverty Level |                    |
|-------|------------------|--------------------------------|--------------|--------------------------------|--------------------|--|--------------------|
|       | Estimate         | Estimate                       | Poverty Rate | Estimate                       | Child Poverty Rate | Estimate                               | Below 200% Poverty |
| 78610 | 29,106           | 3,055                          | 10%          | 1,052                          | 14%                | 7,369                                  | 25%                |
| 78612 | 11,513           | 1,771                          | 15%          | 660                            | 23%                | 3,517                                  | 31%                |
| 78613 | 73,249           | 3,404                          | 5%           | 1,137                          | 5%                 | 11,580                                 | 16%                |
| 78615 | 1,041            | 173                            | 17%          | 67                             | 39%                | 387                                    | 37%                |
| 78617 | 23,147           | 5,190                          | 22%          | 2,470                          | 32%                | 12,465                                 | 54%                |
| 78620 | 14,429           | 1,352                          | 9%           | 497                            | 14%                | 2,534                                  | 18%                |
| 78621 | 21,530           | 3,706                          | 17%          | 1,780                          | 29%                | 7,442                                  | 35%                |
| 78634 | 26,662           | 1,778                          | 7%           | 653                            | 8%                 | 5,219                                  | 20%                |
| 78641 | 52,884           | 2,805                          | 5%           | 903                            | 6%                 | 10,844                                 | 21%                |
| 78645 | 10,233           | 1,009                          | 10%          | 191                            | 12%                | 2,438                                  | 24%                |
| 78652 | 5,267            | 264                            | 5%           | 30                             | 3%                 | 1,396                                  | 27%                |
| 78653 | 19,062           | 2,891                          | 15%          | 1,197                          | 19%                | 7,336                                  | 38%                |
| 78654 | 17,826           | 2,946                          | 17%          | 1,034                          | 28%                | 6,797                                  | 38%                |
| 78660 | 78,636           | 7,049                          | 9%           | 3,017                          | 13%                | 17,996                                 | 23%                |
| 78663 | 750              | 69                             | 9%           | 11                             | 7%                 | 151                                    | 20%                |
| 78664 | 59,229           | 6,676                          | 11%          | 2,926                          | 16%                | 17,812                                 | 30%                |
| 78669 | 9,206            | 798                            | 9%           | 195                            | 10%                | 2,045                                  | 22%                |
| 78701 | 6,911            | 788                            | 11%          | -                              | 0%                 | 1,291                                  | 19%                |
| 78702 | 21,002           | 5,730                          | 27%          | 1,933                          | 46%                | 9,991                                  | 48%                |
| 78703 | 20,456           | 1,403                          | 7%           | 107                            | 3%                 | 3,132                                  | 15%                |
| 78704 | 43,288           | 7,539                          | 17%          | 2,532                          | 37%                | 14,021                                 | 32%                |
| 78705 | 20,451           | 13,577                         | 66%          | 197                            | 35%                | 15,817                                 | 77%                |
| 78719 | 1,485            | 163                            | 11%          | 70                             | 18%                | 879                                    | 59%                |
| 78721 | 12,386           | 3,878                          | 31%          | 1,383                          | 45%                | 6,771                                  | 55%                |
| 78722 | 6,780            | 1,005                          | 15%          | 335                            | 33%                | 2,129                                  | 31%                |
| 78723 | 31,975           | 9,608                          | 30%          | 3,858                          | 48%                | 16,824                                 | 53%                |
| 78724 | 22,538           | 6,943                          | 31%          | 3,572                          | 43%                | 14,264                                 | 63%                |
| 78725 | 7,305            | 1,359                          | 19%          | 815                            | 41%                | 2,983                                  | 41%                |
| 78726 | 12,721           | 1,004                          | 8%           | 191                            | 6%                 | 2,542                                  | 20%                |
| 78727 | 29,328           | 2,137                          | 7%           | 679                            | 13%                | 6,104                                  | 21%                |

\*The child poverty rate was calculated by dividing the number of children below poverty by the total child population

Use estimates highlighted in yellow with caution: CV is 15.49%-30.49%

Estimates highlighted in red are unreliable: CV is 30.5% or over

Created by: Travis County HHS/VS, Research & Planning Division, 2017

Source data: 2011-2015 American Community Survey 5-Year Estimates, B01001, B17001 and B19013

**Total Population and Poverty Subjects (2 or 2)**  
Zip Code Tabulation Areas (ZCTA) , Travis County, 2011-2015

| ZCTA  | Total Population | Total Population Below Poverty |              | Child Population Below Poverty |                    | Population under 200% of Poverty Level |                    |
|-------|------------------|--------------------------------|--------------|--------------------------------|--------------------|--|--------------------|
|       | Estimate         | Estimate                       | Poverty Rate | Estimate                       | Child Poverty Rate | Estimate                               | Below 200% Poverty |
| 78727 | 29,328           | 2,137                          | 7%           | 679                            | 13%                | 6,104                                  | 21%                |
| 78728 | 21,012           | 2,295                          | 11%          | 721                            | 17%                | 6,114                                  | 29%                |
| 78729 | 29,195           | 2,267                          | 8%           | 480                            | 8%                 | 5,989                                  | 21%                |
| 78730 | 8,858            | 415                            | 5%           | 47                             | 2%                 | 919                                    | 10%                |
| 78731 | 26,514           | 2,446                          | 9%           | 324                            | 6%                 | 4,799                                  | 18%                |
| 78732 | 16,257           | 243                            | 1%           | -                              | 0%                 | 1,135                                  | 7%                 |
| 78733 | 8,930            | 199                            | 2%           | 35                             | 1%                 | 937                                    | 10%                |
| 78734 | 18,864           | 1,560                          | 8%           | 537                            | 11%                | 3,321                                  | 18%                |
| 78735 | 17,129           | 1,159                          | 7%           | 369                            | 9%                 | 2,841                                  | 17%                |
| 78736 | 7,515            | 271                            | 4%           | 31                             | 2%                 | 597                                    | 8%                 |
| 78737 | 14,510           | 459                            | 3%           | 35                             | 1%                 | 1,402                                  | 10%                |
| 78738 | 14,766           | 451                            | 3%           | 113                            | 2%                 | 1,025                                  | 7%                 |
| 78739 | 18,426           | 448                            | 2%           | 119                            | 2%                 | 845                                    | 5%                 |
| 78741 | 51,548           | 21,056                         | 41%          | 5,542                          | 57%                | 34,248                                 | 66%                |
| 78742 | 740              | 159                            | 21%          | 57                             | 47%                | 379                                    | 51%                |
| 78744 | 46,233           | 13,376                         | 29%          | 6,500                          | 44%                | 26,071                                 | 56%                |
| 78745 | 59,618           | 8,185                          | 14%          | 2,246                          | 21%                | 20,481                                 | 34%                |
| 78746 | 27,787           | 1,490                          | 5%           | 383                            | 5%                 | 3,039                                  | 11%                |
| 78747 | 18,250           | 1,465                          | 8%           | 565                            | 12%                | 5,817                                  | 32%                |
| 78748 | 46,494           | 3,882                          | 8%           | 984                            | 9%                 | 11,741                                 | 25%                |
| 78749 | 35,996           | 2,106                          | 6%           | 576                            | 7%                 | 4,894                                  | 14%                |
| 78750 | 28,491           | 2,438                          | 9%           | 581                            | 9%                 | 5,667                                  | 20%                |
| 78751 | 14,401           | 3,433                          | 24%          | 347                            | 24%                | 5,676                                  | 39%                |
| 78752 | 20,138           | 5,828                          | 29%          | 2,239                          | 44%                | 11,463                                 | 57%                |
| 78753 | 54,609           | 13,934                         | 26%          | 5,856                          | 41%                | 31,663                                 | 58%                |
| 78754 | 18,997           | 2,635                          | 14%          | 989                            | 21%                | 7,212                                  | 38%                |
| 78756 | 8,837            | 944                            | 11%          | 58                             | 4%                 | 1,922                                  | 22%                |
| 78757 | 22,950           | 3,769                          | 16%          | 1,191                          | 28%                | 6,426                                  | 28%                |
| 78758 | 46,637           | 10,990                         | 24%          | 4,387                          | 41%                | 22,681                                 | 49%                |
| 78759 | 40,391           | 2,545                          | 6%           | 354                            | 5%                 | 6,647                                  | 16%                |

\*The child poverty rate was calculated by dividing the number of children below poverty by the total child population

Use estimates highlighted in yellow with caution: CV is 15.49%-30.49%

Estimates highlighted in red are unreliable: CV is 30.5% or over

Created by: Travis County HHS/VS, Research & Planning Division, 2017

Source data: 2011-2015 American Community Survey 5-Year Estimates, B01001, B17001 and B19013

## Total Population and Population under 200% of the Poverty Threshold (1 of 5)

Census Tracts, Travis County, 2006-2010 & 2011-2015

| Precinct | Census Tract | 2006-2010 Population | 2011-2015 Population | Percent Change between 2006-2010 & 2011-2015 | 2006-2010 Under 200% Poverty |         | 2011-2015 Under 200% Poverty |         | Percent Change between 2006-2010 & 2011-2015 |
|----------|--------------|----------------------|----------------------|--|------------------------------|---------|------------------------------|---------|--|
|          |              |                      |                      |  | Estimate                     | Percent | Estimate                     | Percent |  |
| 1        | 3.06         | 1,230                | 3,586                | 192%   | 495                          | 40%     | 1,186                        | 33%     | 140%   |
| 1        | 3.07         | 1,566                | 2,009                | 28%  | 353                          | 23%     | 696                          | 35%     | Not Statistically Significant                |
| 1        | 4.01         | 2,936                | 3,275                | Not Statistically Significant                | 1,291                        | 44%     | 1,310                        | 40%     | Not Statistically Significant                |
| 1        | 4.02         | 2,200                | 3,046                | 38%  | 788                          | 36%     | 1,158                        | 38%     | Not Statistically Significant                |
| 1        | 8.02         | 3,038                | 3,494                | Not Statistically Significant                | 2,002                        | 66%     | 2,193                        | 63%     | Not Statistically Significant                |
| 1        | 8.03         | 1,880                | 2,218                | Not Statistically Significant                | 854                          | 45%     | 698                          | 31%     | Not Statistically Significant                |
| 1        | 8.04         | 2,646                | 2,295                | Not Statistically Significant                | 1,594                        | 60%     | 1,195                        | 52%     | Not Statistically Significant                |
| 1        | 9.01         | 1,438                | 1,922                | 34%  | 639                          | 44%     | 685                          | 36%     | Not Statistically Significant                |
| 1        | 15.03        | 3,996                | 3,987                | Not Statistically Significant                | 1,787                        | 45%     | 1,545                        | 39%     | Not Statistically Significant                |
| 1        | 18.04        | 5,995                | 7,599                | 27%  | 3,189                        | 53%     | 4,517                        | 59%     | Not Statistically Significant                |
| 1        | 18.06        | 5,725                | 6,648                | 16%  | 4,121                        | 72%     | 4,776                        | 72%     | Not Statistically Significant                |
| 1        | 18.11        | 3,103                | 3,561                | Not Statistically Significant                | 1,777                        | 57%     | 2,565                        | 72%     | Not Statistically Significant                |
| 1        | 18.12        | 7,461                | 8,045                | Not Statistically Significant                | 5,141                        | 69%     | 5,272                        | 66%     | Not Statistically Significant                |
| 1        | 18.13        | 6,154                | 7,424                | 21%  | 3,376                        | 55%     | 4,912                        | 66%     | 45%  |
| 1        | 18.23        | 5,958                | 7,643                | 28%  | 4,558                        | 77%     | 5,436                        | 71%     | Not Statistically Significant                |
| 1        | 18.32        | 2,698                | 3,012                | Not Statistically Significant                | 991                          | 37%     | 1,134                        | 38%     | Not Statistically Significant                |
| 1        | 18.33        | 7,574                | 8,410                | Not Statistically Significant                | 4,173                        | 55%     | 4,560                        | 54%     | Not Statistically Significant                |
| 1        | 18.34        | 7,335                | 8,552                | 17%  | 1,598                        | 22%     | 2,268                        | 27%     | Not Statistically Significant                |
| 1        | 18.35        | 4,858                | 5,982                | 23%  | 2,182                        | 45%     | 3,332                        | 56%     | Not Statistically Significant                |
| 1        | 18.39        | 6,113                | 6,746                | Not Statistically Significant                | 1,366                        | 22%     | 1,736                        | 26%     | Not Statistically Significant                |
| 1        | 18.4         | 7,686                | 10,251               | 33%  | 2,666                        | 35%     | 3,634                        | 35%     | Not Statistically Significant                |
| 1        | 18.41        | 16,091               | 17,755               | 10%  | 5,743                        | 36%     | 4,901                        | 28%     | Not Statistically Significant                |
| 1        | 18.47        | 6,894                | 7,059                | Not Statistically Significant                | 2,188                        | 32%     | 2,379                        | 34%     | Not Statistically Significant                |
| 1        | 18.48        | 4,601                | 5,001                | Not Statistically Significant                | 1,350                        | 29%     | 1,198                        | 24%     | Not Statistically Significant                |
| 1        | 18.54        | 4,363                | 5,197                | 19%  | 2,137                        | 49%     | 2,059                        | 40%     | Not Statistically Significant                |
| 1        | 18.55        | 11,607               | 14,578               | 26%  | 2,566                        | 22%     | 2,609                        | 18%     | Not Statistically Significant                |
| 1        | 18.56        | 4,421                | 7,043                | 59%  | 1,046                        | 24%     | 2,012                        | 29%     | 92%  |
| 1        | 18.58        | 12,960               | 18,935               | 46%  | 1,535                        | 12%     | 1,753                        | 9%      | Not Statistically Significant                |
| 1        | 18.59        | 1,106                | 1,769                | 60%  | 14                           | 1%      | 477                          | 27%     | 3307%  |
| 1        | 18.6         | 3,667                | 4,262                | 16%  | 1,775                        | 48%     | 1,639                        | 38%     | Not Statistically Significant                |
| 1        | 18.61        | 4,737                | 4,805                | Not Statistically Significant                | 1,186                        | 25%     | 921                          | 19%     | Not Statistically Significant                |
| 1        | 18.62        | 4,909                | 6,750                | 38%  | 1,242                        | 25%     | 1,883                        | 28%     | Not Statistically Significant                |
| 1        | 18.63        | 3,253                | 3,677                | Not Statistically Significant                | 1,716                        | 53%     | 1,841                        | 50%     | Not Statistically Significant                |
| 1        | 21.04        | 3,234                | 3,582                | Not Statistically Significant                | 1,000                        | 31%     | 1,708                        | 48%     | Not Statistically Significant                |
| 1        | 21.05        | 5,242                | 5,211                | Not Statistically Significant                | 3,909                        | 75%     | 3,431                        | 66%     | Not Statistically Significant                |
| 1        | 21.06        | 3,359                | 3,011                | Not Statistically Significant                | 1,383                        | 41%     | 1,320                        | 44%     | Not Statistically Significant                |
| 1        | 21.07        | 3,715                | 4,189                | Not Statistically Significant                | 1,817                        | 49%     | 2,704                        | 65%     | 49%  |
| 1        | 21.08        | 3,456                | 3,857                | Not Statistically Significant                | 1,976                        | 57%     | 2,141                        | 56%     | Not Statistically Significant                |
| 1        | 21.09        | 3,080                | 3,906                | 27%  | 1,542                        | 50%     | 1,983                        | 51%     | Not Statistically Significant                |
| 1        | 21.1         | 4,438                | 4,374                | Not Statistically Significant                | 3,135                        | 71%     | 2,439                        | 56%     | Not Statistically Significant                |
| 1        | 21.12        | 4,794                | 5,316                | Not Statistically Significant                | 2,787                        | 58%     | 3,145                        | 59%     | Not Statistically Significant                |
| 1        | 21.13        | 3,669                | 3,628                | Not Statistically Significant                | 1,410                        | 38%     | 1,417                        | 39%     | Not Statistically Significant                |
| 1        | 22.01        | 1,870                | 2,032                | Not Statistically Significant                | 968                          | 52%     | 971                          | 48%     | Not Statistically Significant                |
| 1        | 22.02        | 7,426                | 8,453                | Not Statistically Significant                | 4,426                        | 60%     | 5,890                        | 70%     | Not Statistically Significant                |
| 1        | 22.07        | 7,552                | 9,412                | 25%  | 2,747                        | 36%     | 4,424                        | 47%     | 61%  |
| 1        | 22.08        | 5,393                | 7,612                | 41%  | 3,397                        | 63%     | 5,039                        | 66%     | 48%  |
| 1        | 22.09        | 6,765                | 9,122                | 35%  | 1,940                        | 29%     | 3,934                        | 43%     | 103%   |
| 1        | 22.1         | 3,280                | 3,561                | Not Statistically Significant                | 1,361                        | 41%     | 1,069                        | 30%     | Not Statistically Significant                |
| 1        | 22.11        | 1,529                | 2,480                | 62%  | 790                          | 52%     | 917                          | 37%     | Not Statistically Significant                |
| 1        | 22.12        | 880                  | 828                  | Not Statistically Significant                | 245                          | 28%     | 336                          | 41%     | Not Statistically Significant                |

Use estimates highlighted in yellow with caution: CV is 15.49%-20.49%

Estimates highlighted in red are unreliable: CV is 30.5% or over

Created by: Travis County HHS/VS, Research & Planning Division, 2017

Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, C17002

## Total Population and Population under 200% of the Poverty Threshold (2 of 5)

Census Tracts, Travis County, 2006-2010 & 2011-2015

| Precinct | Census Tract | 2006-2010 Population | 2011-2015 Population | Percent Change between 2006-2010 & 2011-2015 | 2006-2010 Under 200% Poverty |         | 2011-2015 Under 200% Poverty |         | Percent Change between 2006-2010 & 2011-2015 |
|----------|--------------|----------------------|----------------------|--|------------------------------|---------|------------------------------|---------|--|
|          |              |                      |                      |  | Estimate                     | Percent | Estimate                     | Percent |  |
| 2        | 1.01         | 3,702                | 3,988                | Not Statistically Significant                | 830                          | 22%     | 635                          | 16%     | Not Statistically Significant                |
| 2        | 1.02         | 2,486                | 2,525                | Not Statistically Significant                | 137                          | 6%      | 204                          | 8%      | Not Statistically Significant                |
| 2        | 2.03         | 1,982                | 1,268                | Not Statistically Significant                | 1,446                        | 73%     | 564                          | 44%     | Not Statistically Significant                |
| 2        | 2.04         | 2,762                | 3,045                | Not Statistically Significant                | 662                          | 24%     | 901                          | 30%     | Not Statistically Significant                |
| 2        | 2.05         | 3,251                | 4,154                | 28%  | 1,302                        | 40%     | 1,336                        | 32%     | Not Statistically Significant                |
| 2        | 2.06         | 2,894                | 3,251                | Not Statistically Significant                | 351                          | 12%     | 509                          | 16%     | Not Statistically Significant                |
| 2        | 3.02         | 5,208                | 5,080                | Not Statistically Significant                | 1,941                        | 37%     | 1,932                        | 38%     | Not Statistically Significant                |
| 2        | 3.04         | 2,749                | 3,344                | 22%  | 1,371                        | 50%     | 1,548                        | 46%     | Not Statistically Significant                |
| 2        | 3.05         | 3,620                | 3,272                | Not Statistically Significant                | 1,480                        | 41%     | 1,177                        | 36%     | Not Statistically Significant                |
| 2        | 5            | 4,455                | 4,830                | Not Statistically Significant                | 2,449                        | 55%     | 2,361                        | 49%     | Not Statistically Significant                |
| 2        | 6.01         | 1,481                | 838                  | -43%   | 1,383                        | 93%     | 643                          | 77%     | -54%   |
| 2        | 6.03         | 5,907                | 6,745                | Not Statistically Significant                | 5,443                        | 92%     | 6,330                        | 94%     | Not Statistically Significant                |
| 2        | 6.04         | 3,257                | 4,460                | 37%  | 2,822                        | 87%     | 4,288                        | 96%     | 52%  |
| 2        | 12           | 3,520                | 4,877                | 39%  | 906                          | 26%     | 954                          | 20%     | Not Statistically Significant                |
| 2        | 15.01        | 4,983                | 5,251                | Not Statistically Significant                | 797                          | 16%     | 779                          | 15%     | Not Statistically Significant                |
| 2        | 15.04        | 4,083                | 4,878                | 19%  | 1,050                        | 26%     | 922                          | 19%     | Not Statistically Significant                |
| 2        | 15.05        | 4,154                | 4,239                | Not Statistically Significant                | 1,214                        | 29%     | 1,009                        | 24%     | Not Statistically Significant                |
| 2        | 16.02        | 3,180                | 3,376                | Not Statistically Significant                | 1,027                        | 32%     | 952                          | 28%     | Not Statistically Significant                |
| 2        | 16.03        | 4,455                | 4,821                | Not Statistically Significant                | 282                          | 6%      | 349                          | 7%      | Not Statistically Significant                |
| 2        | 16.04        | 4,043                | 4,181                | Not Statistically Significant                | 536                          | 13%     | 393                          | 9%      | Not Statistically Significant                |
| 2        | 16.05        | 3,671                | 3,719                | Not Statistically Significant                | 858                          | 23%     | 586                          | 16%     | Not Statistically Significant                |
| 2        | 16.06        | 2,020                | 96                   | -95%   | 1,857                        | 92%     | 69                           | 72%     | -96%   |
| 2        | 17.05        | 4,532                | 4,578                | Not Statistically Significant                | 407                          | 9%      | 328                          | 7%      | Not Statistically Significant                |
| 2        | 17.06        | 3,595                | 3,656                | Not Statistically Significant                | 367                          | 10%     | 373                          | 10%     | Not Statistically Significant                |
| 2        | 17.07        | 4,756                | 4,623                | Not Statistically Significant                | 994                          | 21%     | 979                          | 21%     | Not Statistically Significant                |
| 2        | 17.18        | 5,380                | 5,812                | Not Statistically Significant                | 948                          | 18%     | 944                          | 16%     | Not Statistically Significant                |
| 2        | 17.19        | 3,608                | 4,045                | 12%  | 85                           | 2%      | 294                          | 7%      | Not Statistically Significant                |
| 2        | 17.45        | 2,413                | 2,319                | Not Statistically Significant                | 725                          | 30%     | 482                          | 21%     | Not Statistically Significant                |
| 2        | 17.51        | 1,989                | 2,126                | Not Statistically Significant                | 171                          | 9%      | 184                          | 9%      | Not Statistically Significant                |
| 2        | 17.52        | 3,835                | 3,937                | Not Statistically Significant                | 1,702                        | 44%     | 2,266                        | 58%     | Not Statistically Significant                |
| 2        | 17.53        | 1,101                | 1,036                | Not Statistically Significant                | 159                          | 14%     | 199                          | 19%     | Not Statistically Significant                |
| 2        | 17.54        | 4,545                | 4,738                | Not Statistically Significant                | 1,066                        | 23%     | 1,016                        | 21%     | Not Statistically Significant                |
| 2        | 17.6         | 12,019               | 16,766               | 39%  | 999                          | 8%      | 1,167                        | 7%      | Not Statistically Significant                |
| 2        | 17.61        | 7,013                | 7,094                | Not Statistically Significant                | 327                          | 5%      | 887                          | 13%     | 171%   |
| 2        | 17.85        | 4,023                | 4,641                | 15%  | 794                          | 20%     | 949                          | 20%     | Not Statistically Significant                |
| 2        | 17.86        | 4,771                | 5,575                | 17%  | 983                          | 21%     | 906                          | 16%     | Not Statistically Significant                |
| 2        | 18.05        | 4,878                | 4,995                | Not Statistically Significant                | 3,162                        | 65%     | 3,488                        | 70%     | Not Statistically Significant                |
| 2        | 18.17        | 3,418                | 3,784                | Not Statistically Significant                | 917                          | 27%     | 971                          | 26%     | Not Statistically Significant                |
| 2        | 18.18        | 5,831                | 5,733                | Not Statistically Significant                | 3,048                        | 52%     | 3,030                        | 53%     | Not Statistically Significant                |
| 2        | 18.19        | 4,403                | 4,437                | Not Statistically Significant                | 2,623                        | 60%     | 3,164                        | 71%     | Not Statistically Significant                |
| 2        | 18.2         | 7,117                | 6,904                | Not Statistically Significant                | 4,679                        | 66%     | 4,288                        | 62%     | Not Statistically Significant                |
| 2        | 18.21        | 5,251                | 6,231                | 19%  | 2,860                        | 54%     | 3,173                        | 51%     | Not Statistically Significant                |
| 2        | 18.22        | 6,422                | 7,286                | Not Statistically Significant                | 4,181                        | 65%     | 4,358                        | 60%     | Not Statistically Significant                |
| 2        | 18.24        | 1,887                | 1,639                | Not Statistically Significant                | 627                          | 33%     | 602                          | 37%     | Not Statistically Significant                |
| 2        | 18.26        | 1,982                | 2,420                | 22%  | 478                          | 24%     | 641                          | 26%     | Not Statistically Significant                |
| 2        | 18.28        | 3,602                | 4,254                | 18%  | 495                          | 14%     | 499                          | 12%     | Not Statistically Significant                |
| 2        | 18.29        | 2,377                | 2,465                | Not Statistically Significant                | 700                          | 29%     | 598                          | 24%     | Not Statistically Significant                |
| 2        | 18.42        | 6,218                | 10,312               | 66%  | 2,415                        | 39%     | 5,022                        | 49%     | 108%   |
| 2        | 18.43        | 2,442                | 2,521                | Not Statistically Significant                | 515                          | 21%     | 815                          | 32%     | Not Statistically Significant                |
| 2        | 18.44        | 3,414                | 3,526                | Not Statistically Significant                | 867                          | 25%     | 1,689                        | 48%     | 95%  |

Use estimates highlighted in yellow with caution: CV is 15.49%-20.49%

Estimates highlighted in red are unreliable: CV is 30.5% or over

Created by: Travis County HHS/VS, Research & Planning Division, 2017

Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, C17002

## Total Population and Population under 200% of the Poverty Threshold (3 of 5)

Census Tracts, Travis County, 2006-2010 & 2011-2015

| Precinct | Census Tract | 2006-2010 Population | 2011-2015 Population | Percent Change between 2006-2010 & 2011-2015 | 2006-2010 Under 200% Poverty |         | 2011-2015 Under 200% Poverty |         | Percent Change between 2006-2010 & 2011-2015 |
|----------|--------------|----------------------|----------------------|--|------------------------------|---------|------------------------------|---------|--|
|          |              |                      |                      |  | Estimate                     | Percent | Estimate                     | Percent |  |
| 2        | 18.45        | 3,264                | 3,059                | Not Statistically Significant                | 827                          | 25%     | 899                          | 29%     | Not Statistically Significant                |
| 2        | 18.46        | 2,374                | 2,289                | Not Statistically Significant                | 202                          | 9%      | 232                          | 10%     | Not Statistically Significant                |
| 2        | 18.49        | 5,258                | 6,013                | Not Statistically Significant                | 1,317                        | 25%     | 1,499                        | 25%     | Not Statistically Significant                |
| 2        | 18.5         | 4,255                | 3,899                | Not Statistically Significant                | 1,578                        | 37%     | 1,317                        | 34%     | Not Statistically Significant                |
| 2        | 18.51        | 8,836                | 9,758                | Not Statistically Significant                | 2,706                        | 31%     | 2,549                        | 26%     | Not Statistically Significant                |
| 2        | 18.53        | 3,198                | 3,115                | Not Statistically Significant                | 387                          | 12%     | 494                          | 16%     | Not Statistically Significant                |
| 2        | 18.57        | 5,032                | 6,322                | 26%  | 689                          | 14%     | 1,648                        | 26%     | 139%   |
| 2        | 18.64        | 2,742                | 2,769                | Not Statistically Significant                | 772                          | 28%     | 802                          | 29%     | Not Statistically Significant                |
| 2        | 19.12        | 3,785                | 3,728                | Not Statistically Significant                | 346                          | 9%      | 459                          | 12%     | Not Statistically Significant                |
| 2        | 19.13        | 4,902                | 4,752                | Not Statistically Significant                | 351                          | 7%      | 463                          | 10%     | Not Statistically Significant                |
| 3        | 7            | 1,102                | 1,076                | Not Statistically Significant                | 542                          | 49%     | 311                          | 29%     | -43%   |
| 3        | 11           | 3,212                | 5,191                | 62%  | 986                          | 31%     | 809                          | 16%     | Not Statistically Significant                |
| 3        | 13.03        | 2,333                | 3,281                | 41%  | 522                          | 22%     | 574                          | 17%     | Not Statistically Significant                |
| 3        | 13.04        | 3,680                | 3,769                | Not Statistically Significant                | 935                          | 25%     | 785                          | 21%     | Not Statistically Significant                |
| 3        | 17.13        | 3,750                | 4,489                | 20%  | 1,400                        | 37%     | 1,287                        | 29%     | Not Statistically Significant                |
| 3        | 17.14        | 11,063               | 13,565               | 23%  | 867                          | 8%      | 2,282                        | 17%     | 163%   |
| 3        | 17.16        | 4,694                | 5,282                | 13%  | 264                          | 6%      | 555                          | 11%     | Not Statistically Significant                |
| 3        | 17.22        | 4,032                | 3,488                | -13%   | 924                          | 23%     | 666                          | 19%     | Not Statistically Significant                |
| 3        | 17.29        | 4,456                | 4,728                | Not Statistically Significant                | 765                          | 17%     | 847                          | 18%     | Not Statistically Significant                |
| 3        | 17.33        | 3,064                | 3,754                | 23%  | 522                          | 17%     | 706                          | 19%     | Not Statistically Significant                |
| 3        | 17.37        | 9,952                | 10,710               | 8%   | 922                          | 9%      | 646                          | 6%      | Not Statistically Significant                |
| 3        | 17.38        | 6,820                | 6,943                | Not Statistically Significant                | 706                          | 10%     | 1,014                        | 15%     | Not Statistically Significant                |
| 3        | 17.4         | 3,954                | 4,785                | 21%  | 553                          | 14%     | 798                          | 17%     | Not Statistically Significant                |
| 3        | 17.41        | 3,609                | 3,285                | Not Statistically Significant                | 823                          | 23%     | 385                          | 12%     | -53%   |
| 3        | 17.42        | 4,436                | 6,806                | 53%  | 1,431                        | 32%     | 1,752                        | 26%     | Not Statistically Significant                |
| 3        | 17.46        | 3,806                | 3,810                | Not Statistically Significant                | 967                          | 25%     | 1,018                        | 27%     | Not Statistically Significant                |
| 3        | 17.48        | 4,686                | 7,022                | 50%  | 1,239                        | 26%     | 1,698                        | 24%     | Not Statistically Significant                |
| 3        | 17.49        | 5,727                | 6,217                | Not Statistically Significant                | 1,518                        | 27%     | 920                          | 15%     | -39%   |
| 3        | 17.5         | 5,083                | 4,943                | Not Statistically Significant                | 1,424                        | 28%     | 1,174                        | 24%     | Not Statistically Significant                |
| 3        | 17.55        | 5,756                | 6,279                | 9%   | 256                          | 4%      | 249                          | 4%      | Not Statistically Significant                |
| 3        | 17.56        | 3,956                | 4,233                | Not Statistically Significant                | 597                          | 15%     | 504                          | 12%     | Not Statistically Significant                |
| 3        | 17.57        | 1,796                | 2,240                | 25%  | 377                          | 21%     | 356                          | 16%     | Not Statistically Significant                |
| 3        | 17.64        | 5,358                | 6,479                | 21%  | 643                          | 12%     | 865                          | 13%     | Not Statistically Significant                |
| 3        | 17.65        | 15,929               | 13,993               | -12%   | 2,030                        | 13%     | 1,576                        | 11%     | Not Statistically Significant                |
| 3        | 17.66        | 7,247                | 6,930                | Not Statistically Significant                | 1,737                        | 24%     | 2,019                        | 29%     | Not Statistically Significant                |
| 3        | 17.68        | 4,913                | 6,634                | 35%  | 744                          | 15%     | 704                          | 11%     | Not Statistically Significant                |
| 3        | 17.69        | 5,489                | 5,342                | Not Statistically Significant                | 702                          | 13%     | 573                          | 11%     | Not Statistically Significant                |
| 3        | 17.7         | 10,903               | 12,453               | 14%  | 289                          | 3%      | 536                          | 4%      | Not Statistically Significant                |
| 3        | 17.71        | 3,841                | 4,177                | Not Statistically Significant                | 302                          | 8%      | 572                          | 14%     | Not Statistically Significant                |
| 3        | 17.73        | 5,025                | 7,199                | 43%  | 418                          | 8%      | 506                          | 7%      | Not Statistically Significant                |
| 3        | 17.74        | 7,911                | 8,279                | Not Statistically Significant                | 518                          | 7%      | 967                          | 12%     | Not Statistically Significant                |
| 3        | 17.75        | 4,087                | 5,408                | 32%  | 463                          | 11%     | 410                          | 8%      | Not Statistically Significant                |
| 3        | 17.76        | 2,445                | 2,987                | 22%  | 445                          | 18%     | 705                          | 24%     | Not Statistically Significant                |
| 3        | 17.77        | 5,403                | 6,035                | Not Statistically Significant                | 1,245                        | 23%     | 1,200                        | 20%     | Not Statistically Significant                |
| 3        | 17.78        | 3,668                | 3,996                | Not Statistically Significant                | 537                          | 15%     | 572                          | 14%     | Not Statistically Significant                |
| 3        | 17.79        | 5,615                | 6,165                | 10%  | 697                          | 12%     | 1,142                        | 19%     | Not Statistically Significant                |
| 3        | 17.8         | 3,802                | 4,029                | Not Statistically Significant                | 598                          | 16%     | 1,047                        | 26%     | 75%  |
| 3        | 17.81        | 2,265                | 2,397                | Not Statistically Significant                | 90                           | 4%      | 193                          | 8%      | Not Statistically Significant                |
| 3        | 17.82        | 4,854                | 5,248                | Not Statistically Significant                | 568                          | 12%     | 766                          | 15%     | Not Statistically Significant                |
| 3        | 17.83        | 5,404                | 5,467                | Not Statistically Significant                | 545                          | 10%     | 693                          | 13%     | Not Statistically Significant                |

Use estimates highlighted in yellow with caution: CV is 15.49%-20.49%

Estimates highlighted in red are unreliable: CV is 30.5% or over

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## Total Population and Population under 200% of the Poverty Threshold (4 of 5)

Census Tracts, Travis County, 2006-2010 & 2011-2015

| Precinct | Census Tract | 2006-2010 Population | 2011-2015 Population | Percent Change between 2006-2010 & 2011-2015 | 2006-2010 Under 200% Poverty |         | 2011-2015 Under 200% Poverty |         | Percent Change between 2006-2010 & 2011-2015 |
|----------|--------------|----------------------|----------------------|--|------------------------------|---------|------------------------------|---------|--|
|          |              |                      |                      |  | Estimate                     | Percent | Estimate                     | Percent |  |
| 3        | 17.84        | 4,786                | 6,051                | 26%  | 276                          | 6%      | 351                          | 6%      | Not Statistically Significant                |
| 3        | 19.01        | 5,341                | 5,671                | Not Statistically Significant                | 963                          | 18%     | 646                          | 11%     | Not Statistically Significant                |
| 3        | 19.08        | 7,375                | 9,053                | 23%  | 2,005                        | 27%     | 1,840                        | 20%     | Not Statistically Significant                |
| 3        | 19.1         | 4,002                | 4,428                | Not Statistically Significant                | 345                          | 9%      | 485                          | 11%     | Not Statistically Significant                |
| 3        | 19.11        | 2,930                | 3,083                | Not Statistically Significant                | 713                          | 24%     | 550                          | 18%     | Not Statistically Significant                |
| 3        | 19.14        | 5,775                | 6,129                | Not Statistically Significant                | 368                          | 6%      | 393                          | 6%      | Not Statistically Significant                |
| 3        | 19.15        | 1,607                | 1,639                | Not Statistically Significant                | 230                          | 14%     | 478                          | 29%     | 108%   |
| 3        | 19.16        | 3,316                | 3,259                | Not Statistically Significant                | 432                          | 13%     | 226                          | 7%      | Not Statistically Significant                |
| 3        | 19.17        | 4,778                | 4,985                | Not Statistically Significant                | 50                           | 1%      | 607                          | 12%     | 1114%  |
| 3        | 19.18        | 2,519                | 2,441                | Not Statistically Significant                | 237                          | 9%      | 223                          | 9%      | Not Statistically Significant                |
| 3        | 19.19        | 3,719                | 3,921                | Not Statistically Significant                | 77                           | 2%      | 240                          | 6%      | Not Statistically Significant                |
| 3        | 24.07        | 3,707                | 5,896                | 59%  | 256                          | 7%      | 1,513                        | 26%     | 491%   |
| 3        | 25           | 4,908                | 5,611                | 14%  | 879                          | 18%     | 1,467                        | 26%     | 67%  |
| 4        | 8.01         | 1,312                | 1,568                | Not Statistically Significant                | 606                          | 46%     | 766                          | 49%     | Not Statistically Significant                |
| 4        | 9.02         | 5,227                | 5,031                | Not Statistically Significant                | 3,311                        | 63%     | 2,630                        | 52%     | Not Statistically Significant                |
| 4        | 10           | 4,020                | 3,659                | Not Statistically Significant                | 1,873                        | 47%     | 1,478                        | 40%     | Not Statistically Significant                |
| 4        | 13.05        | 4,905                | 5,739                | 17%  | 2,005                        | 41%     | 2,017                        | 35%     | Not Statistically Significant                |
| 4        | 13.07        | 3,768                | 3,719                | Not Statistically Significant                | 1,782                        | 47%     | 1,347                        | 36%     | Not Statistically Significant                |
| 4        | 13.08        | 2,676                | 2,779                | Not Statistically Significant                | 1,087                        | 41%     | 902                          | 32%     | Not Statistically Significant                |
| 4        | 14.01        | 2,600                | 3,159                | 22%  | 665                          | 26%     | 699                          | 22%     | Not Statistically Significant                |
| 4        | 14.02        | 2,685                | 2,804                | Not Statistically Significant                | 616                          | 23%     | 794                          | 28%     | Not Statistically Significant                |
| 4        | 14.03        | 1,360                | 1,577                | Not Statistically Significant                | 620                          | 46%     | 673                          | 43%     | Not Statistically Significant                |
| 4        | 17.12        | 3,670                | 4,729                | 29%  | 1,214                        | 33%     | 1,879                        | 40%     | Not Statistically Significant                |
| 4        | 17.28        | 6,517                | 7,242                | 11%  | 1,410                        | 22%     | 1,469                        | 20%     | Not Statistically Significant                |
| 4        | 17.47        | 5,312                | 5,613                | Not Statistically Significant                | 1,455                        | 27%     | 2,133                        | 38%     | Not Statistically Significant                |
| 4        | 17.72        | 2,479                | 3,705                | 49%  | 168                          | 7%      | 821                          | 22%     | 389%   |
| 4        | 20.02        | 2,842                | 3,079                | Not Statistically Significant                | 1,061                        | 37%     | 703                          | 23%     | Not Statistically Significant                |
| 4        | 20.03        | 3,815                | 4,109                | Not Statistically Significant                | 2,110                        | 55%     | 2,051                        | 50%     | Not Statistically Significant                |
| 4        | 20.04        | 2,244                | 2,264                | Not Statistically Significant                | 895                          | 40%     | 912                          | 40%     | Not Statistically Significant                |
| 4        | 20.05        | 4,467                | 4,782                | Not Statistically Significant                | 2,222                        | 50%     | 2,486                        | 52%     | Not Statistically Significant                |
| 4        | 21.11        | 4,339                | 5,418                | 25%  | 2,922                        | 67%     | 2,855                        | 53%     | Not Statistically Significant                |
| 4        | 23.04        | 4,239                | 3,243                | -23%   | 2,962                        | 70%     | 1,776                        | 55%     | -40%   |
| 4        | 23.07        | 5,020                | 5,572                | Not Statistically Significant                | 3,059                        | 61%     | 2,884                        | 52%     | Not Statistically Significant                |
| 4        | 23.08        | 4,473                | 5,298                | Not Statistically Significant                | 2,340                        | 52%     | 2,845                        | 54%     | Not Statistically Significant                |
| 4        | 23.1         | 2,324                | 3,447                | 48%  | 1,737                        | 75%     | 2,292                        | 66%     | Not Statistically Significant                |
| 4        | 23.12        | 6,102                | 7,413                | 21%  | 4,151                        | 68%     | 5,116                        | 69%     | Not Statistically Significant                |
| 4        | 23.13        | 4,117                | 4,883                | 19%  | 2,085                        | 51%     | 2,844                        | 58%     | Not Statistically Significant                |
| 4        | 23.14        | 4,858                | 4,844                | Not Statistically Significant                | 2,839                        | 58%     | 3,242                        | 67%     | Not Statistically Significant                |
| 4        | 23.15        | 3,212                | 3,053                | Not Statistically Significant                | 2,063                        | 64%     | 1,952                        | 64%     | Not Statistically Significant                |
| 4        | 23.16        | 5,659                | 5,379                | Not Statistically Significant                | 4,457                        | 79%     | 3,389                        | 63%     | Not Statistically Significant                |
| 4        | 23.17        | 4,802                | 5,150                | Not Statistically Significant                | 4,055                        | 84%     | 4,090                        | 79%     | Not Statistically Significant                |
| 4        | 23.18        | 5,571                | 10,290               | 85%  | 3,717                        | 67%     | 8,180                        | 79%     | 120%   |
| 4        | 23.19        | 148                  | 16                   | Not Statistically Significant                | 148                          | 100%    | 14                           | 88%     | Not Statistically Significant                |
| 4        | 24.02        | 5,958                | 7,324                | 23%  | 2,694                        | 45%     | 3,059                        | 42%     | Not Statistically Significant                |
| 4        | 24.03        | 3,326                | 2,575                | -23%   | 1,597                        | 48%     | 868                          | 34%     | Not Statistically Significant                |
| 4        | 24.09        | 3,406                | 3,501                | Not Statistically Significant                | 1,283                        | 38%     | 1,306                        | 37%     | Not Statistically Significant                |
| 4        | 24.1         | 3,878                | 4,132                | Not Statistically Significant                | 2,057                        | 53%     | 2,093                        | 51%     | Not Statistically Significant                |
| 4        | 24.11        | 6,076                | 6,849                | 13%  | 4,094                        | 67%     | 4,842                        | 71%     | Not Statistically Significant                |
| 4        | 24.12        | 5,162                | 6,082                | 18%  | 2,291                        | 44%     | 3,441                        | 57%     | 50%  |
| 4        | 24.13        | 4,715                | 4,916                | Not Statistically Significant                | 3,936                        | 83%     | 3,372                        | 69%     | Not Statistically Significant                |

Use estimates highlighted in yellow with caution: CV is 15.49%-20.49%

Estimates highlighted in red are unreliable: CV is 30.5% or over

Note: 9800 is not included in the table because it represents the Austin-Bergstrom International Airport

Created by: Travis County HHS/VS, Research & Planning Division, 2017

Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, C17002

## Total Population and Population under 200% of the Poverty Threshold (5 of 5)

Census Tracts, Travis County, 2006-2010 & 2011-2015

| Precinct | Census Tract | 2006-2010 Population | 2011-2015 Population | Percent Change between 2006-2010 & 2011-2015 | 2006-2010 Under 200% Poverty |         | 2011-2015 Under 200% Poverty |         | Percent Change between 2006-2010 & 2011-2015 |
|----------|--------------|----------------------|----------------------|--|------------------------------|---------|------------------------------|---------|--|
|          |              |                      |                      |  | Estimate                     | Percent | Estimate                     | Percent |  |
| 4        | 24.19        | 3,773                | 4,282                | Not Statistically Significant                | 2,346                        | 62%     | 2,490                        | 58%     | Not Statistically Significant                |
| 4        | 24.21        | 4,923                | 9,346                | 90%  | 1,874                        | 38%     | 2,278                        | 24%     | Not Statistically Significant                |
| 4        | 24.22        | 4,785                | 5,511                | Not Statistically Significant                | 2,553                        | 53%     | 2,391                        | 43%     | Not Statistically Significant                |
| 4        | 24.23        | 5,890                | 5,658                | Not Statistically Significant                | 1,834                        | 31%     | 1,973                        | 35%     | Not Statistically Significant                |
| 4        | 24.24        | 3,214                | 3,802                | 18%  | 1,288                        | 40%     | 1,711                        | 45%     | Not Statistically Significant                |
| 4        | 24.25        | 2,787                | 3,503                | 26%  | 436                          | 16%     | 940                          | 27%     | Not Statistically Significant                |
| 4        | 24.26        | 6,460                | 9,530                | 48%  | 3,273                        | 51%     | 3,007                        | 32%     | Not Statistically Significant                |
| 4        | 24.27        | 7,366                | 8,261                | Not Statistically Significant                | 3,307                        | 45%     | 4,257                        | 52%     | Not Statistically Significant                |
| 4        | 24.28        | 4,806                | 6,529                | 36%  | 1,195                        | 25%     | 2,027                        | 31%     | Not Statistically Significant                |
| 4        | 24.29        | 531                  | 762                  | 44%  | 214                          | 40%     | 174                          | 23%     | Not Statistically Significant                |
| 4        | 24.3         | 3,521                | 3,477                | Not Statistically Significant                | 1,813                        | 51%     | 2,249                        | 65%     | Not Statistically Significant                |
| 4        | 24.31        | 6,215                | 8,088                | 30%  | 3,482                        | 56%     | 3,848                        | 48%     | Not Statistically Significant                |
| 4        | 24.32        | 2,019                | 2,087                | Not Statistically Significant                | 889                          | 44%     | 1,101                        | 53%     | Not Statistically Significant                |
| 4        | 24.33        | 5,233                | 6,761                | 29%  | 1,915                        | 37%     | 3,010                        | 45%     | Not Statistically Significant                |
| 4        | 24.34        | 1,473                | 1,732                | Not Statistically Significant                | 331                          | 22%     | 964                          | 56%     | 191%   |
| 4        | 24.35        | 5,748                | 8,019                | 40%  | 2,710                        | 47%     | 3,809                        | 47%     | Not Statistically Significant                |
| 4        | 24.36        | 2,327                | 2,267                | Not Statistically Significant                | 1,032                        | 44%     | 1,258                        | 55%     | Not Statistically Significant                |

Use estimates highlighted in yellow with caution: CV is 15.49%-20.49%

Estimates highlighted in red are unreliable: CV is 30.5% or over

Note: 9800 is not included in the table because it represents the Austin-Bergstrom International Airport

Created by: Travis County HHS/VS, Research & Planning Division, 2017

Source data: 2006-2010 & 2011-2015 American Community Survey 5-Year Estimates, C17002

# Endnotes

1. Office of the Assistance Secretary for Planning and Evaluation, "Poverty Estimates, Trends, and Analysis," U.S. Department of Health and Human Services, <https://aspe.hhs.gov/poverty-estimates-trends-and-analysis>.
2. Office of the Assistance Secretary for Planning and Evaluation, "Frequently Asked Questions Related to the Poverty Guidelines and Poverty," U.S. Department of Health and Human Services, <https://aspe.hhs.gov/frequently-asked-questions-related-poverty-guidelines-and-poverty>.
3. U.S. Census Bureau, "Poverty Thresholds," 2017, <https://www.census.gov/data/tables/time-series/demo/income-poverty/historical-poverty-thresholds.html>.
4. Office of the Assistance Secretary for Planning and Evaluation, "Computations for the 2015 Annual Update of the HHS Poverty Guidelines for the 48 Contiguous States and the District of Columbia," U.S. Department of Health and Human Services, 2015, <https://aspe.hhs.gov/computations-2015-poverty-guidelines>.
5. Center for Public Policy Priorities, "Better Texas Family Budgets," <http://familybudgets.org/>.
6. Trudi Renwick and Liana Fox, "The Supplemental Poverty Measure: 2015," U.S. Census Bureau, 2016, page 4, <https://www.census.gov/content/dam/Census/library/publications/2016/demo/p60-258.pdf>.
7. Trudi Renwick and Liana Fox, "The Supplemental Poverty Measure: 2015," U.S. Census Bureau, 2016, <https://www.census.gov/content/dam/Census/library/publications/2016/demo/p60-258.pdf>.
8. A Compass for Understanding and Using American Community Survey Data: What State and Local Governments Need to Know, U.S. Census Bureau, February 2009.
9. American Community Survey and Puerto Rico Community Survey 2015 Subject Definitions, pg. 106.
10. American Community Survey and Puerto Rico Community Survey 2015 Subject Definitions, pg. 80-83.
11. American Community Survey and Puerto Rico Community Survey 2015 Subject Definitions, pg. 105-106.
12. American Community Survey and Puerto Rico Community Survey 2015 Subject Definitions, pg. 107.
13. How the Census Bureau Measures Poverty, United States Census Bureau, last revised April 19, 2016, <https://www.census.gov/topics/income-poverty/poverty/guidance/poverty-measures.html>.
14. U.S. Census Bureau, 2015 American Community Survey 5-Year Estimates, B14004, <http://factfinder.census.gov>.
15. American Community Survey/Puerto Rico Community Survey 2015 Subject Definitions, pg. 58-59.
16. American Community Survey/Puerto Rico Community Survey 2015 Subject Definitions, pg. 109.
17. American Community Survey and Puerto Rico Community Survey 2015 Subject Definitions, pg. 123-124.
18. American Community Survey/Puerto Rico Community Survey 2015 Subject Definitions, pg. 104.
19. American Community Survey/Puerto Rico Community Survey 2015 Subject Definitions, pg. 128.
20. American Community Survey/Puerto Rico Community Survey 2015 Subject Definitions, pg. 141.
21. American Community Survey/Puerto Rico Community Survey 2015 Subject Definitions, pg. 75-80.
22. Copies of the ACS questionnaires and instruction guides from 1996 to the present can be found at: <https://www.census.gov/programs-surveys/acs/methodology/questionnaire-archive.html>.

23. A Compass for Understanding and Using American Community Survey Data: What State and Local Governments Need to Know, U.S. Census Bureau, February 2009.
24. More information on the ACS multi-year estimates can be found at: <https://www.census.gov/programs-surveys/acs/guidance/estimates.html>.
25. American Community Survey/Puerto Rico Community Survey 2015 Subject Definitions, pg. 7-8.
26. U.S. Census Bureau, 2015 American Community Survey 5-Year Estimates, B98001 & B98002, <http://factfinder.census.gov>.
27. Ibid.
28. American Community Survey Multiyear Accuracy of the Data (5-Year 2011-2015), available at: [https://www2.census.gov/programs-surveys/acs/tech\\_docs/accuracy/MultiyearACSAccuracyofData2015.pdf](https://www2.census.gov/programs-surveys/acs/tech_docs/accuracy/MultiyearACSAccuracyofData2015.pdf).
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30. How the Census Bureau Measures Poverty, available at <https://www.census.gov/topics/income-poverty/poverty/guidance/poverty-measures.html>.
31. American Community Survey/Puerto Rico Community Survey 2015 Subject Definitions, pg. 106.
32. American Community Survey Multiyear Accuracy of the Data (5-Year 2011-2015), available at: [https://www2.census.gov/programs-surveys/acs/tech\\_docs/accuracy/MultiyearACSAccuracyofData2015.pdf](https://www2.census.gov/programs-surveys/acs/tech_docs/accuracy/MultiyearACSAccuracyofData2015.pdf).
33. U.S. Census Bureau, A Compass for Understanding and Using American Community Survey Data: What PUMS Data Users Need to Know, U.S. Government Printing Office, Washington, DC, 2009. Available at: <https://www.census.gov/content/dam/Census/library/publications/2009/acs/ACSPUMS.pdf>.
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35. Ibid.
36. Comparing 2006-2010 ACS 5-Year and 2011-2015 ACS 5-Year, last revised March 21, 2017, <https://www.census.gov/programs-surveys/acs/guidance/comparing-acs-data/2015/5-Year-comparison.html>.
37. U.S. Census Bureau, A Compass for Understanding and Using American Community Survey Data: What State and Local Governments Need to Know, U.S. Government Printing Office, Washington, DC, 2009. Available at: <https://www.census.gov/programs-surveys/acs/guidance/handbooks.html>.
38. Do I have to respond to the American Community Survey (ACS?), available at: <https://www.census.gov/programs-surveys/acs/respond/faqs.html>.
39. U.S. Census Bureau, 2015 American Community Survey 5-Year Estimates, B98021 & B98022, <http://factfinder.census.gov>.



## Travis County Commissioners Court Agenda Request

**Meeting Date:**

July 18, 2017

**Prepared By/Phone Number:**

Juanita Jackson/854-4467

**Elected/Appointed Official/Dept. Head:**

Sherri E. Fleming,  
County Executive for Health and Human Services, Veterans Service Office and AgriLife  
Extension

**Commissioners Court Sponsor:** Comm. Margaret Gomez and Comm. Brigid Shea

**AGENDA LANGUAGE:**

Receive briefing on the 2017 Community Advancement Network Dashboard Report.

**BACKGROUND/SUMMARY OF REQUEST AND ATTACHMENTS:**

See attachment report and presentation

**STAFF RECOMMENDATIONS:**

No recommendation

**ISSUES AND OPPORTUNITIES:**

See attachment report and presentation

**FISCAL IMPACT AND SOURCE OF FUNDING:**

No Fiscal Impact

**REQUIRED AUTHORIZATIONS:**

Commissioner Brigid Shea, Precinct 2  
Commissioner Margaret Gomez, Precinct 4  
Prema Gregerson, County Attorney, VII  
Jessica Rio, County Executive – PBO  
Bonnie Floyd, CPM, Travis County Purchasing Agent  
Aerin Toussaint, Budget Analyst I, PBO  
Nicki Riley, CPA, Travis County Auditor  
Sherri Fleming, County Executive - HHS

AGENDA REQUEST DEADLINE: All agenda requests and supporting materials must be submitted as a pdf to the County Judge's office, [agenda@co.travis.tx.us](mailto:agenda@co.travis.tx.us) by **Tuesdays at 5:00 p.m.** for the next week's meeting.



# KEY SOCIOECONOMIC INDICATORS

FOR GREATER AUSTIN  
AND TRAVIS COUNTY

Presentation to  
Travis County  
Commissioners Court  
July 18, 2017

# CAN PARTNER ORGANIZATIONS

## **Government**

- City of Austin
- City of Pflugerville
- Travis County

## **Health**

- Central Health
- Integral Care
- Seton Healthcare Family
- St. David's Foundation

## **K to 12 Education**

- Austin ISD
- Del Valle ISD
- Manor ISD

## **Higher Education**

- Austin Community College
- Huston-Tillotson University
- St. Edward's University
- University of Texas at Austin

# CAN PARTNER ORGANIZATIONS

## **Community Collaborations**

- Community Justice Council
- Interfaith Action of Central Texas
- One Voice Central Texas
- United Way for Greater Austin

## **Transportation**

- Capital Metro

## **Economic Development**

- Greater Austin Chamber of Commerce
- Greater Austin Asian Chamber of Commerce
- Greater Austin Black Chamber of Commerce
- Greater Austin Hispanic Chamber of Commerce

## **Workforce Development**

- Goodwill Industries of Central Texas
- Workforce Solutions – Capital Area

# DASHBOARD STEERING COMMITTEE

The CAN Dashboard indicators, goals and targets are adopted by the CAN Board of Directors, with recommendations from a Dashboard Steering Committee, which reviews the data each year and makes recommendations for improvements.

For more information, visit  
[www.dashboard.canatx.org](http://www.dashboard.canatx.org)



KEY SOCIOECONOMIC INDICATORS  
FOR GREATER AUSTIN AND TRAVIS COUNTY

# DEMOGRAPHICS

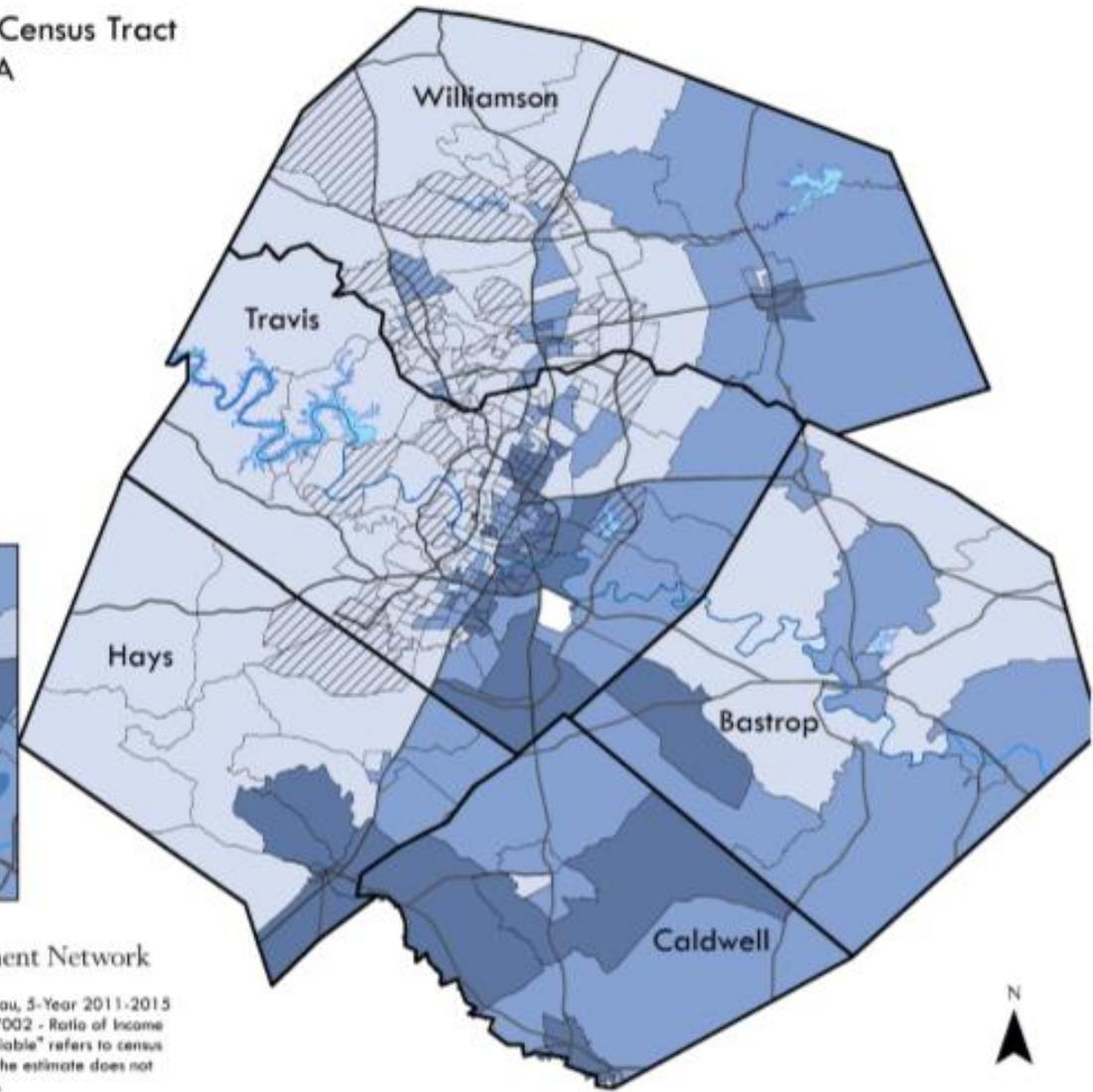
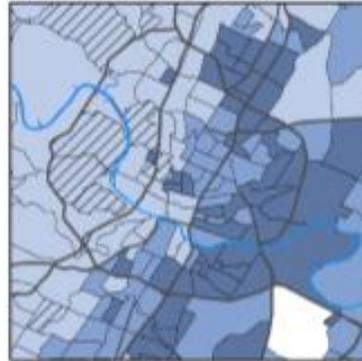
- The Austin MSA topped 2 million for the first time in 2015
- Travis County accounts for 1.2 million
- About 30% of all people in the five-county MSA are “low-income”
  - \$48,000 for a family of four with two adults
  - \$38,000 for a family with two children and one adult.
- 30% to 50% of all people in medium blue areas earn less than this amount
- More than half of all people in darkest blue areas earn less than this amount

Percent Low Income by Census Tract  
2011-2015, Austin MSA

Population Living  
Below 200% of the  
Federal Poverty Level



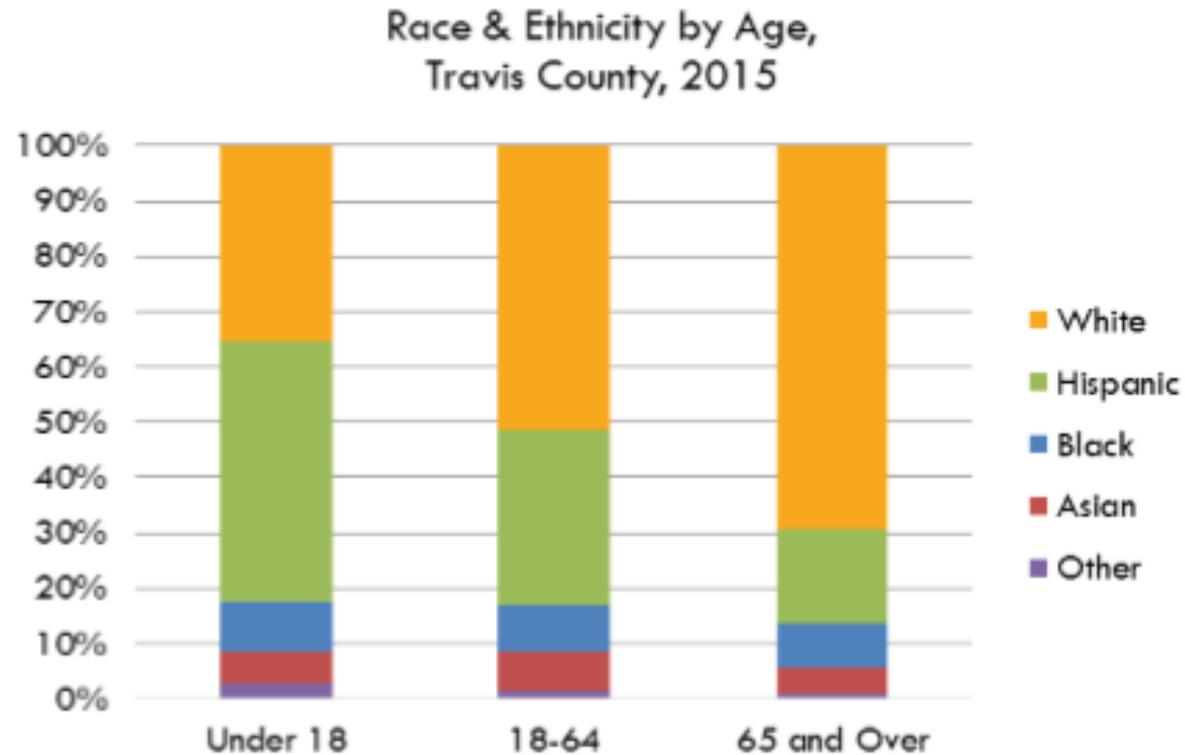
Inset: Central Travis County



 Community Advancement Network

Map produced by CAN from U.S. Census Bureau, 5-Year 2011-2015 American Community Survey data, (Table C17002 - Ratio of Income to Poverty Level in the Past 12 Months). "Unreliable" refers to census tracts in which the Coefficient of Variation of the estimate does not meet or least the Medium Reliability standard.

# DEMOGRAPHICS



- No majority
  - 49% White
  - 34% Hispanic
  - 9% Black
  - 6% Asian
- Older population is 70% White
- 47% of children are Hispanic

Source: American Community Survey 1-Year Estimates

## WE ARE SAFE, JUST & ENGAGED



- We are free from abuse, neglect, crime, violence, and injustice.
- We respect and value diversity and inclusion.
- We are civically engaged and use our talents, passions and interests to improve the community.

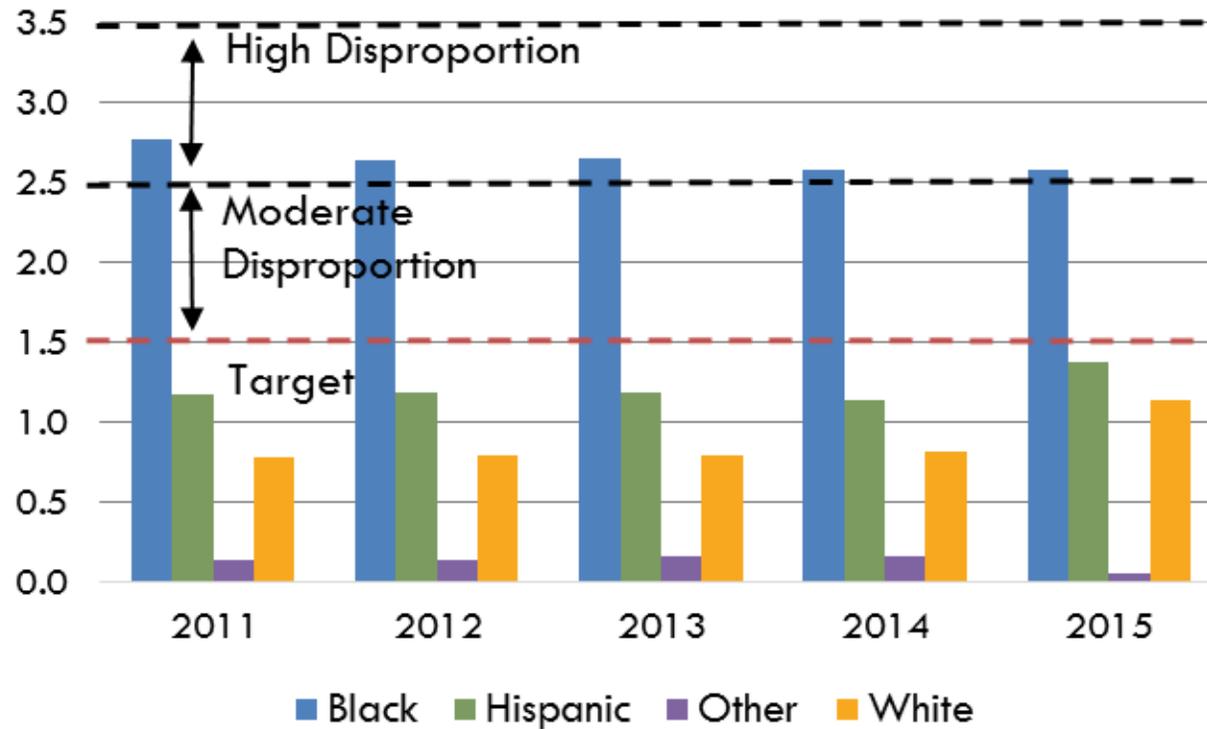
|   | 5 YEAR BASELINE                                    | MOST RECENT  | TARGET                 | 5 YEAR TREND   | ON TRACK TO TARGET?   |
|---|--|--|------------------------|--|---|
| Crime rate per 100,000 people                                     | 4,885<br>in 2011                                   | 3,677<br>in 2015                                   | 1%<br>annual reduction | <br>BETTER    |    |
| Proportionality of jail bookings across all races and ethnicities | disproportionality ratio of 2.8 for Blacks in 2011 | disproportionality ratio of 2.6 for Blacks in 2015 | Proportionate by 2020  | <br>UNCHANGED |    |
| Percent voter turnout:  |  |  |                        | <br>BETTER  |  |
| Presidential elections  | 58% in 2012  | 61% in 2016  | 65% in 2020            |  |   |
| Gubernatorial elections   | 36% in 2010  | 37% in 2014  | 40% in 2018            |  |   |



# Jail bookings are **NOT PROPORTIONATE** for all races and ethnicities



### Disproportionality Ratios for Bookings into the Travis County Jail



Source: American Community Survey 1-Year Estimates  
and Travis County Sheriff's Office

*21%* of people booked into  
Travis County jail are Black, but only  
*8%* of Travis County's adult  
population is Black

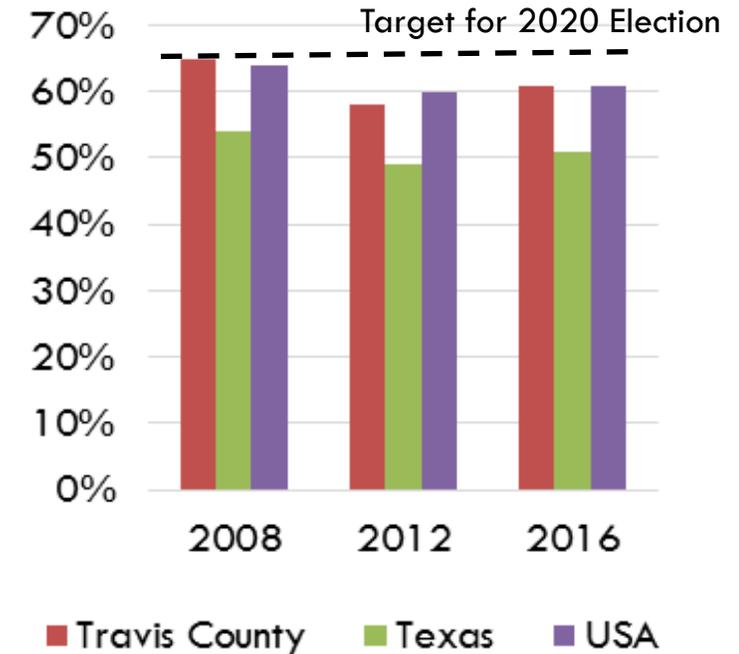


61% of the Travis County citizen voting age population  
VOTED in 2016



- Young people and people of color are *least* likely to vote
- People who are White and older are *most* likely to vote
- 2016 voter turnout was higher than the last presidential election, but not as high as in 2008
- There was a 67% increase in the number of Hispanics in Travis County who voted

Percent of Citizen Adult Population Participating in the 2016 Presidential Election



Source: Travis County Elections Division and American Community Survey

## OUR BASIC NEEDS ARE MET



- We live in a community where the basic needs of all are met.
- We live in affordable and stable housing with access to open space and public amenities.
- We have safe, affordable, accessible, and reliable transportation.

|   | 5 YEAR BASELINE | MOST RECENT   | TARGET        | 5 YEAR TREND | ON TRACK TO TARGET? |
|---|-----------------|---------------|---------------|--------------|---------------------|
| Percent who live in poverty                               | 19% in 2011     | 13% in 2015   | 10% in 2020   | BETTER       |                     |
| Percent of residents who live in food insecure households | 16% in 2010     | 17% in 2014   | 15% in 2020   | WORSE        |                     |
| Percent of households that are housing cost-burdened      | 39% in 2011     | 36% in 2015   | 33% in 2020   | BETTER       |                     |
| Percent who drive alone to work                           | 71% in 2011     | 74% in 2015   | 70% in 2016   | WORSE        |                     |
| Number of people identified as homeless on a given day    | 2,090 in 2013   | 2,036 in 2017 | 1,000 in 2020 | UNCHANGED    |                     |

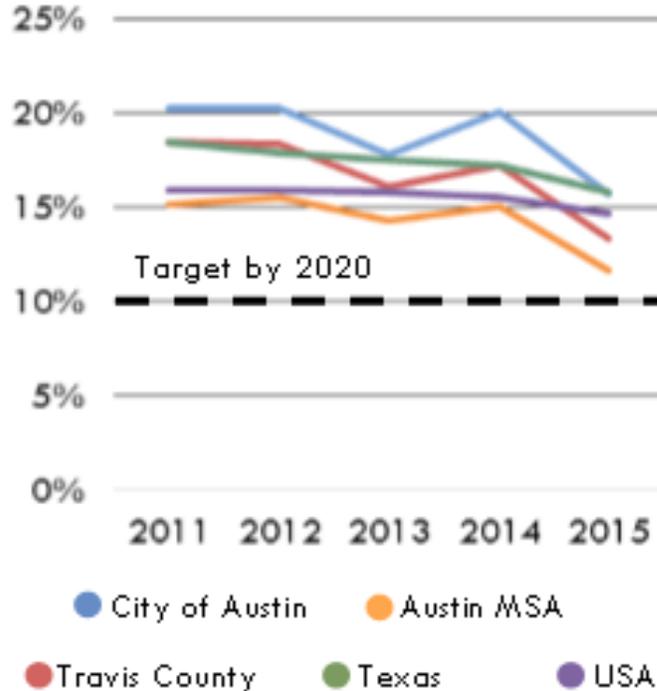


13% of Travis County residents

LIVE IN POVERTY



Percent Living Below 100% of the Federal Poverty Threshold



Source: American Community Survey  
1-Year Estimates

- The percent of those who live below the federal poverty level has declined.
- Disparities by race & ethnicity persist, especially for children. More than one-third of Black and Hispanic children live in poverty.

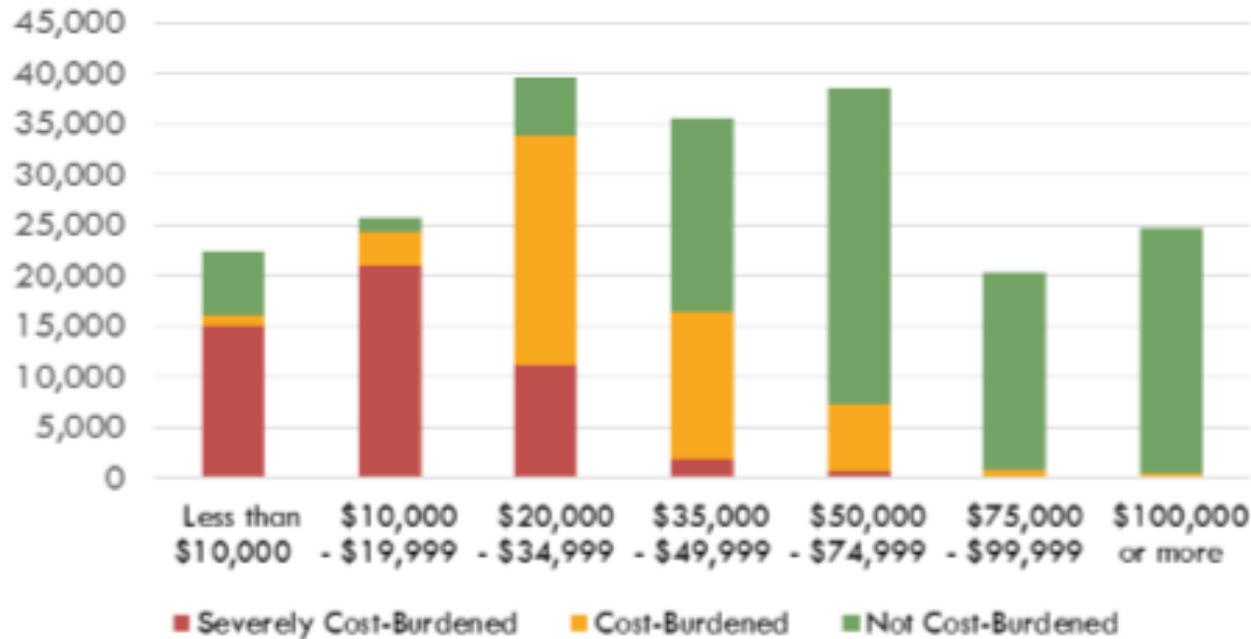




# 36% of Travis County households ARE HOUSING COST BURDENED



Travis County Renter Households, 2015



“Cost-burdened” households pay more than 30% of total income for housing.

“Severely cost-burdened households pay more than half of total income for housing.

- The percent who are housing cost burdened has declined.
- Renters are most likely to be cost burdened.
- 83% of renter households earning less than \$35K/yr are housing cost-burdened.

## WE ARE HEALTHY



- We live, work, learn, and play in accessible, safe, clean, and healthy physical environments.
- We have access to goods & services to achieve and maintain optimal physical & emotional well-being.

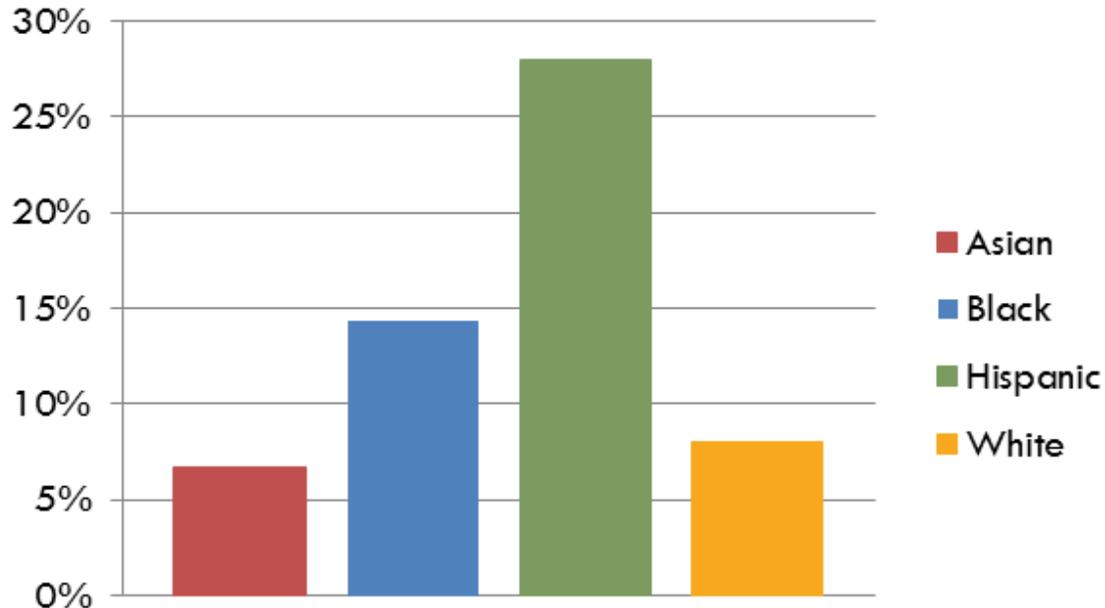
|  | 5 YEAR<br>BASELINE | MOST<br>RECENT | TARGET         | 5 YEAR<br>TREND | ON TRACK<br>TO TARGET? |
|--|--------------------|----------------|----------------|-----------------|------------------------|
| Percent under the age of 65 who have no health insurance | 21%<br>in 2011     | 16%<br>in 2015 | 15%<br>in 2020 | BETTER          | Y                      |
| Percent of adults who report poor mental health          | 17%<br>in 2011     | 19%<br>in 2015 | 15%<br>in 2020 | WORSE           | N                      |
| Percent of adults who are obese                          | 19%<br>in 2011     | 24%<br>in 2015 | 19%<br>in 2020 | WORSE           | N                      |
| Percent of adults who smoke                              | 16%<br>in 2011     | 14%<br>in 2015 | 10%<br>in 2020 | BETTER          | N                      |
| Number of days with good air quality                     | 225<br>in 2011     | 239<br>in 2015 | 249<br>in 2020 | BETTER          | Y                      |



16% of people under the age of 65 in Travis County  
HAVE NO HEALTH INSURANCE



Percent of Travis County Residents Under Age 65 Who are Uninsured by Race and Ethnicity



Source: 2015 American Community Survey  
1-Year Estimates

- The percent of Travis County residents under the age of 65 who are UNINSURED decreased from 21% in 2011 to 16% in 2015
- Blacks are twice as likely as Whites to be uninsured.
- Hispanics are four times as likely as Whites to have no health insurance.
- 31% of people who are low-income have no health insurance.

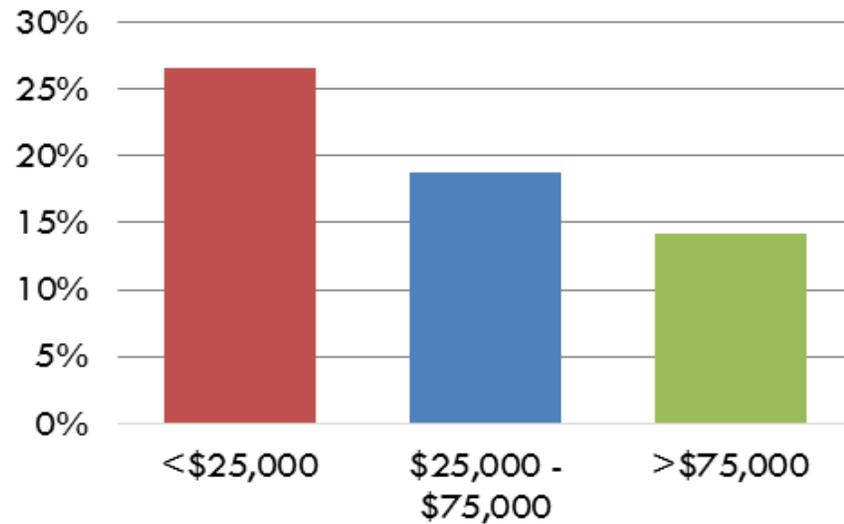


19% of Travis County adults

REPORT POOR MENTAL HEALTH



Poor Mental Health by Income, Travis County, 2011-2015



Source: Texas Behavioral Risk Factor Surveillance System and Austin Public Health

- The percent of Travis County adults reporting poor mental health has increased slightly since 2011.
- More than one-fourth of all people earning less than \$25,000 a year report poor mental health
- Almost one-fourth of Black adults also report poor mental health.

## WE ACHIEVE OUR FULL POTENTIAL



- We have the education, skills and opportunities to achieve our full potential and lead meaningful, joyful lives.
- We have adequate income, resources, and supports to live independent lives.

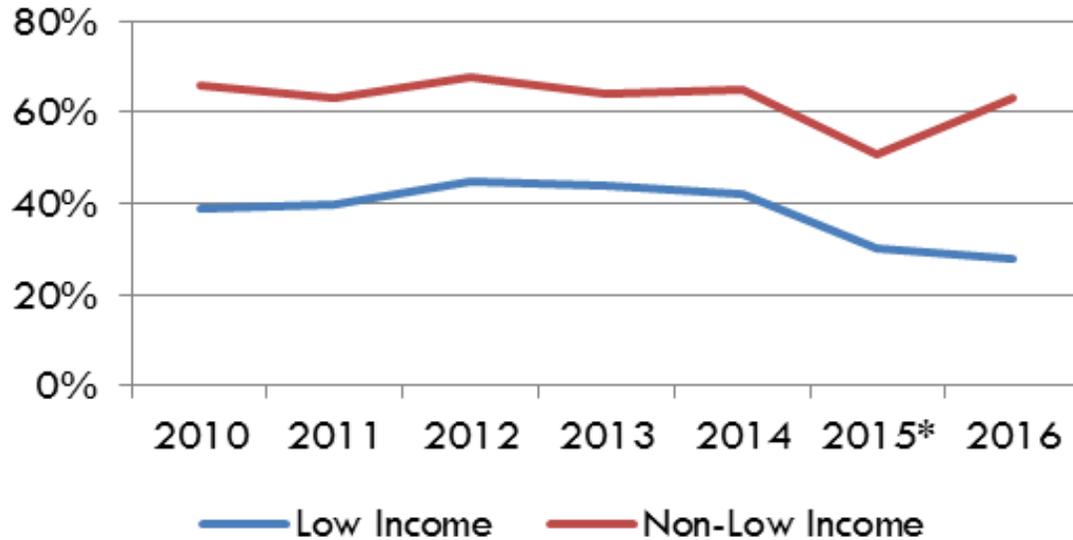
|   | 5 YEAR BASELINE      | MOST RECENT          | TARGET               | 5 YEAR TREND | ON TRACK TO TARGET? |
|---|----------------------|----------------------|----------------------|--------------|---------------------|
| Percent of kindergarteners who are school ready   | 51% in 2012          | 46% in 2016          | 70% by 2018          | WORSE        | N                   |
| Percent of students who graduate from high school in four years                                 | 85%<br>Class of 2011 | 91%<br>Class of 2015 | 95%<br>Class of 2020 | BETTER       | Y                   |
| Percent of HS graduates who earn a post-secondary degree within 6 years of enrolling in college | 47%<br>Class of 2005 | 49%<br>Class of 2009 | 55%<br>Class of 2014 | BETTER       | N                   |
| Annual unemployment rate  | 5.5%<br>in 2012      | 3.1%<br>in 2016      | 3.5%<br>in 2020      | BETTER       | Y                   |



46% of kindergarteners  
ARE SCHOOL READY



Central Texas Kindergarteners who are School Ready



Source: E<sup>3</sup> Alliance

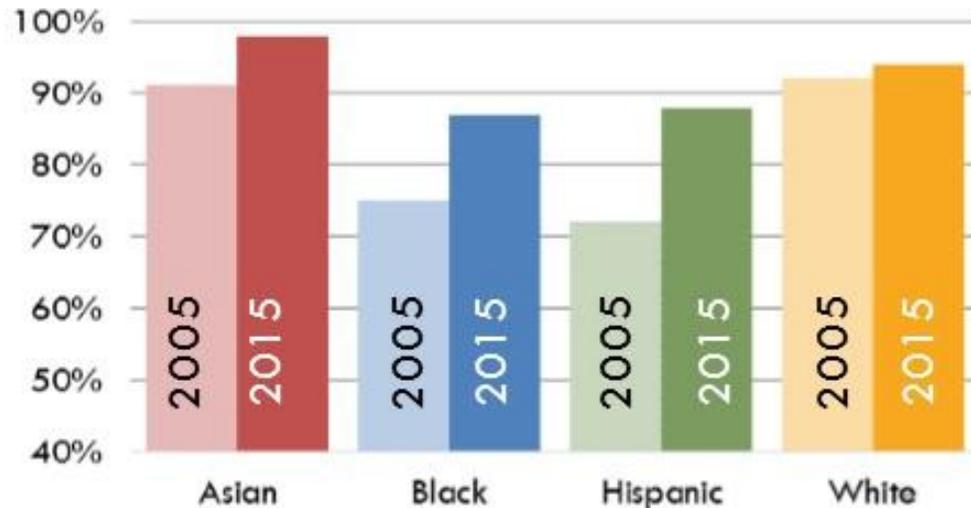
- Less than half of Central Texas kindergarteners are school-ready.
- This rate has fallen over the past five years.
- 28% of low-income children were school-ready in 2016, compared to 63% of moderate and high-income children



91% of Central Texas students  
GRADUATE FROM HIGH SCHOOL



Four-Year High School Graduation Rate,  
Central Texas



Source: E<sup>3</sup> Alliance

- Graduation rates have improved for all groups, and the gaps by race and ethnicity have narrowed.

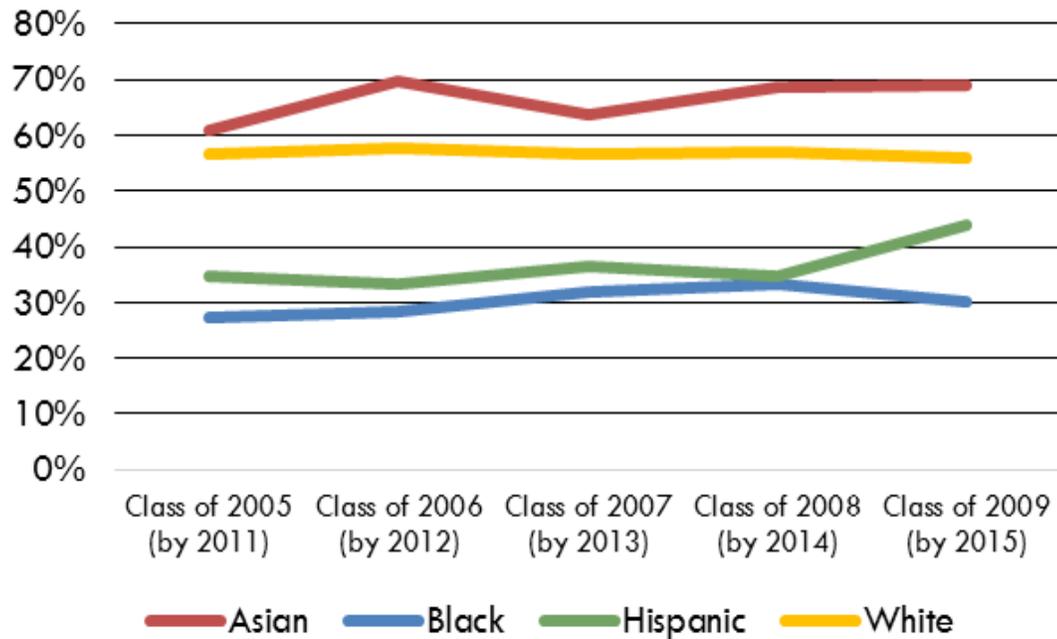




49% of Central Texas college students  
EARN A DEGREE WITHIN 6 YEARS



Central Texas HS Graduates Who Earn a Post-Secondary Credential Within Six Years



Source: E<sup>3</sup> Alliance

- Less than half of Central Texas High School graduates who enroll in a Texas college earn a degree or credential within 6 years.
- Hispanic and Black students achieve this college success measure at lower rates than Asian and White students.
- Over the past five years, Hispanic students' college success rate has improved by more than 20%.

# WHAT STANDS OUT FOR YOU?

1. What data point causes you the most concern?
2. What is already being done to impact this?
3. What more do you think our community can do?



KEY SOCIOECONOMIC INDICATORS  
FOR GREATER AUSTIN AND TRAVIS COUNTY

# SCHEDULE A PRESENTATION

contact

Raul Alvarez

[ralvarez@canatx.org](mailto:ralvarez@canatx.org)

or

Mary Dodd

[mary.dodd@austinisd.org](mailto:mary.dodd@austinisd.org)

visit

[www.dashboard.canatx.org](http://www.dashboard.canatx.org)



KEY SOCIOECONOMIC INDICATORS  
FOR GREATER AUSTIN AND TRAVIS COUNTY



## Travis County Commissioners Court Voting Session Agenda Request

**Meeting Date:** July 18, 2017

**Agenda Language:**

Consider and take appropriate action on budget amendments, transfers and discussion items.

**Prepared By/Phone Number:** Yolanda Aleman / (512)854-9106

**Elected/Appointed Official or Department Head:** Jessica Rio, County Executive,  
Planning and Budget

**Commissioners Court Sponsor(s):** Commissioner Margaret Gómez

**Background/Summary of Request and Attachments:**

N/A

**Staff Recommendations:**

Please see attached documentation.

**Issues and Opportunities:**

Please see attached documentation

**Fiscal Impact and Source of Funding:**

Please see attached documentation.

**Required Authorizations:**

Jessica Rio, Planning and Budget Office, (512)854-9106  
Joe Hon, County Judge's Office, (512)854-9555

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.

# BUDGET AMENDMENTS AND TRANSFERS

FY 2017

7/18/2017

## AMENDMENTS

| <u>BA#</u> | <u>IO/WBS</u>       | <u>FUND</u> | <u>COST CENTER</u> | <u>COMMITMENT</u> | <u>Dept.</u> | <u>Line Item</u>             | <u>Increase</u> | <u>Decrease</u> | <u>Pg #</u> |
|------------|---------------------|-------------|--------------------|-------------------|--------------|------------------------------|-----------------|-----------------|-------------|
| A1         |                     | 0109        | 198000             | 580010            | Reserves     | Fund 0109 Allocated Reserves |                 | \$2,600,000.00  | 1           |
|            | PKCN1490<br>0000708 | 0109        | 149022             | 522030            | TNR          | Cap Outlay Mtrl-Impvts Other | \$2,600,000.00  |                 |             |

# PLANNING AND BUDGET OFFICE

TRAVIS COUNTY, TEXAS



700 Lavaca, Ste. 1560  
P.O. Box 1748  
Austin, Texas 78767

## MEMORANDUM

**TO:** Members of Commissioners Court

**FROM:** Aerin-Renee Pfaffenberger, Senior Planning and Budget Analyst

**DATE:** July 18, 2017

**RE:** Transportation and Natural Resources Request to use Allocated Reserve for Arkansas Bend Park Capital Improvement Project

The Transportation and Natural Resources Department (TNR) is requesting a transfer of \$2,600,000 from the LCRA/Travis County Parks CIP Fund (0109) Allocated Reserve to the Fund's operating budget to fund construction work for capital improvements to Arkansas Bend Park. The funding is needed to cover a budget shortfall for the 2011 voter approved bond project. The LCRA has approved the use of these funds.

Voters approved \$9,443,500 in debt funding for the design and construction, but after the design was complete, the total buildout cost was estimated to be \$14,369,497, with contingency of \$841,003. In order to complete the project as originally designed, the Department has obtained Commissioners Court approval to use a total of \$2,667,000 of available savings from other bond projects, and has applied for grant funding. Together with this previously identified funding, the funds in the Allocated Reserve will help the Department make up the shortfall of \$5,767,000 and complete the project as originally intended. The table below lists the project cost and funding sources.

|   |                     |
|---|---------------------|
| Project Cost  | 14,369,497          |
| Contingency   | 841,003             |
| <b>Total</b>  | <b>\$15,210,500</b> |
| <b>Funding Sources:</b>                                   |                     |
| 2011 Voter Approved Bonds                                 | 9,443,500           |
| Approved savings from other bond projects                 | 2,667,000           |
| Texas Parks and Wildlife Grant                            | 500,000             |
| Travis County/LCRA CIP Escrow Account (Allocated Reserve) | 2,600,000*          |
| <b>Total</b>  | <b>\$15,210,500</b> |

*\*Funding proposed with this request*

Documentation from TNR supporting the transfer is attached. PBO recommends approval of this budget amendment.

**cc:** Steven Manilla, County Executive, Transportation and Natural Resources  
Jessica Rio, County Executive, Planning and Budget Office  
Charles Bergh, Sydnia Crosbie, Mitchell Goertz, Isabelle Lopez, Dan Perry, TNR  
Travis Gatlin, PBO

**TRANSPORTATION AND NATURAL RESOURCES**

STEVEN M. MANILLA, P.E., COUNTY EXECUTIVE



700 Lavaca Street-5<sup>th</sup> Floor  
Travis County Administration Building  
PO Box 1748  
Austin, Texas 78767  
Phone: (512) 854-9383  
Fax: (512) 854-4697

June 29, 2017

**MEMORANDUM**

**TO:** Jessica Rio, County Executive, Planning and Budget Office

**FROM:**  Steven M. Manilla, P.E., County Executive

**SUBJECT:** Place item on Commissioners' Court Agenda to transfer funds from the LCRA/Travis County Parks CIP Allocated Reserve-SAP Fund Number 0109

**Proposed Motion:** Approve transfer of \$2,600,000 from the LCRA/Travis County Parks CIP allocated reserve cost center 198000000, general ledger account number 580010, to funds center 1490220109, general ledger account number 522030.

**Background Fund Summary and Staff Recommendation:** Staff recommends utilizing LCRA authorized funding from the LCRA/Travis County CIP Account to help fund the Arkansas Bend Park Capital Improvement Project.

In 2011, Travis County voters approved \$9,443,500 in bond funding for the design and construction of capital improvements at Arkansas Bend Park. The improvements include, but are not limited to:

- An additional boat ramp, courtesy dock and expanded trailer parking with lighting
- Improved and additional roadways
- Playgrounds
- Gated improved campsite area (including some screened shelters)
- Restroom facilities (restrooms with showers in the camping areas)
- Special event/meeting facility
- Shade structures
- Hike, bike and ADA trails systems
- Two camp host sites
- Maintenance facility
- Public water and wastewater system
- Park Ranger residence

After the design process, the total buildout cost was estimated at \$14,369,497. The County recently awarded a contract for construction for the basic amenities, but is requiring additional funding to complete the project as fully designed.

**Budgetary and Fiscal Impact:** In addition to the requested \$2.6 million transfer from the LCRA/ Travis County Parks CIP fund, the County is transferring approximately \$2,667,000 from past bond rollover funds and has applied for a \$500,000 boat ramp grant through Texas Parks and Wildlife. The total funding for the park improvement will be \$15,210,500, leaving \$841,003 in contingency for other unforeseen costs relating to this project.



**Required Authorizations: Planning and Budget**

**Exhibits: LCRA approval letter.**

MG:SMM:mg

cc: Aerin Pfaffenberger, Planning and Budget Office  
Charles Bergh, Parks Division Director  
Dan Perry, West District Park Manager  
Sydnia Crosbie, TNR Financial Services  
Mitchell Goertz, TNR Financial Services



**TO:** Charles Bergh, Parks Director

**FROM:** John Miri, Chief Administrative Officer

**DATE:** June 16, 2017

**SUBJECT:** LCRA/Travis County Parks—Arkansas Bend Capital Improvement Project

LCRA approves the use of \$2.6 million from the LCRA-Travis County Parks Capital Improvements Account for a portion of Travis County's Arkansas Bend Park Capital Improvement Project described in your March 15, 2017 letter to LCRA attached as Exhibit A.



Transportation and Natural Resources  
STEVEN M. MANILLA, P.E., COUNTY EXECUTIVE MANAGER  
700 Lavaca Street-5<sup>th</sup> Floor  
Travis County Administration Building  
PO Box 1748  
Austin, Texas 78767  
Phone: (512) 854-9383  
Fax: (512) 854-4697

**EXHIBIT A**

March 15, 2017

Randall Kopfer  
Real Estate Representative, Sr.  
Lower Colorado River Authority  
3700 Lake Austin Blvd.  
Austin, Texas 78703

**Subject: LCRA/Travis County Parks – Arkansas Bend Capital Improvement Project**

Dear Mr. Kopfer:

We are requesting that the LCRA authorize Travis County to utilize \$2.6 million in funding from the LCRA/Travis County CIP Account to help fund the Arkansas Bend Park Capital Improvement Project.

In 2011, Travis County voters approved \$9,443,500 in bond funding for the design and construction of capital improvements at Arkansas Bend Park. The improvements include, but are not limited to:

- An additional boat ramp, courtesy dock and expanded trailer parking with lighting,
- Improved and additional roadways,
- Playgrounds,
- Gated improved campsite area (including some screened shelters),
- Restroom facilities (restrooms with showers in the camping areas),
- Special event/meeting facility,
- Shade structures,
- Hike, bike and ADA trails systems,
- Two camp host sites,
- Maintenance facility,
- Public water and wastewater systems and a
- Park Ranger residence.

After the design process, the total buildout cost was estimated at \$14,369,497.

The County recently awarded a contract for construction for the basic amenities, but is requiring additional funding to complete the project as fully designed.

LCRA Arkansas Bend Park Project 2017

In order to fund the project as a whole, the County is transferring approximately \$2,667,000 from past bond rollover funds and has applied for a \$500,000 boat ramp grant through Texas Parks and Wildlife.

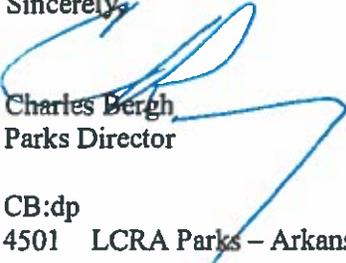
Travis County Parks is also requesting to utilize approximately \$2.6 million from the Travis County/LCRA CIP escrow account for parks to make up the difference and complete the project.

|  |              |
|--|--------------|
| Project Cost (Includes consultants, design and construction)     | \$14,369,497 |
| Funding Sources  |              |
| 2011 Travis County Voter Approved Bond Funds                     | \$9,443,500  |
| Transfers for past Park Bond Rollover                            | \$2,667,000  |
| Texas Parks and Wildlife Boat Ramp Grant                         | \$500,000    |
| Travis County/LCRA CIP Escrow Account                            | \$2,600,000  |
| Total Funding  | \$15,210,500 |
| Contingency for other unforeseen costs relating to this project. | \$841,003    |

**The total amount of this request is approximately \$2.6 million.**

We appreciate your review and consideration of this request. If you have any questions, please feel free to contact me at 854-9408.

Sincerely,

  
Charles Bergh  
Parks Director

CB:dp

4501 LCRA Parks – Arkansas Bend Park Improvement Project Request

xc: Steve Brown, LCRA, Manager, Real Estate Services  
Cynthia McDonald, Chief Deputy  
Donna Williams, Financial Services  
Tawana Gardner, Financial Services  
Mitch Goertz, Financial Services  
Robert Armistead, Assistant Park Director  
Dan Perry, Parks District Manager  
Morgan Skidmore, North Shore Parks Supervisor

I approve this Funding Request:



Signature: John Mici

Printed Name: John Mici

Title: Chief Administrative officer

Organization: Lower Colorado River Authority

Date: 20-June-2017

# Header Information for Entry Doc Number

# 400015312

|               |           |             |           |                   |             |             |                      |
|---------------|-----------|-------------|-----------|-------------------|-------------|-------------|----------------------|
| Doc. Number   | 400015312 | Doc. Status | Preposted | FM Area           | 1000        | Period      | <input type="text"/> |
| Budget. Cate. | Payment   | Doc. Year   | 2017      | Doc. Date         | Jul 5, 2017 |             |                      |
| Value Type    | Budget    | Version     | 0         | Doc. Type         | TRAN        |             |                      |
| Budget Type   | 1         | Fiscal Year | 2017      | Year. Cash. Eff   |             |             |                      |
| Process UI    | TRAN      | Process     | SEND      | Original. Applic. | BWB         | Doc. Family |                      |

## Additional Data

|              |         |               |             |               |          |
|--------------|---------|---------------|-------------|---------------|----------|
| Creator      | GOERTZM | Creation Date | Jul 5, 2017 | Creation Time | 11:12:24 |
| Resp. Person |         | Year Cohort   |             | Public Law    |          |
| Header Text  |         | Legislation   |             |               |          |

TextName

## Lines

**Total Document**  USD

| Line   | Fund | Budget Period | Funds Center | Comm. Item | Func Area | Grant        | Funded Program     | Local Amount | Text Line                              |
|--------|------|---------------|--------------|------------|-----------|--------------|--------------------|--------------|--|
| 000001 | 0109 |               | 1980000000   | 580010     | 1120      | NOT-RELEVANT | NON-FUNDED-PROGRAM | -2,600,000   | LCRA CIP Transfer - Arkansas Bend Park |
| 000002 | 0109 |               | 1490220109   | 522030     | 1630      | NOT-RELEVANT | PKCN14900000708    | 2,600,000    | LCRA CIP Transfer - Arkansas Bend Park |

**Allocated Reserve Status (580010)**

| <b>Amount</b> | <b>Dept Transferred Into</b> | <b>Date</b> | <b>Explanation</b>                             |
|---------------|------------------------------|-------------|--|
| 13,009,741    |                              |             | Beginning Balance                              |
| 66,977        | Various                      | 10/14/16    | Liquidated Purchase Orders                     |
| 37,186        | Various                      | 10/17/16    | Liquidated Purchase Orders                     |
| (80,171)      | Purchasing                   | 10/18/16    | Create HUB Specialist position                 |
| (9,429)       | HHSVS, JP                    | 10/26/16    | Temporary Pay Increases Approved 10/25/2016    |
| (1,759)       | Cons. Pct. 1                 | 11/1/16     | Intern Position                                |
| 743           | Various                      | 11/2/16     | Liquidated Purchase Orders                     |
| 98,859        | Various                      | 11/14/16    | Liquidated Purchase Orders                     |
| (12,398)      | Auditor's Office             | 11/15/16    | SAP ERP Contractual Software Maintenance       |
| 81,740        | Various                      | 11/16/16    | Liquidated Purchase Orders                     |
| (24,000)      | Sheriff's Office             | 11/22/16    | Estray Supplies & Combustible Evidence Cage    |
| (14,508)      | Purchasing                   | 11/29/16    | E-Signature Software                           |
| 77,458        | Various                      | 12/8/16     | Liquidated Purchase Orders                     |
| (100,000)     | District Attorney            | 12/6/16     | Additional DNA Costs                           |
| (100,492)     | Sheriff's Office             | 12/13/16    | Gault Bldg. East Screening Station             |
| (561)         | JP Pct. 1                    | 12/13/16    | Correction to JP Visiting Judge Budget         |
| (1,491)       | JP Pct. 2                    | 12/13/16    | Correction to JP Visiting Judge Budget         |
| (561)         | JP Pct. 3                    | 12/13/16    | Correction to JP Visiting Judge Budget         |
| (561)         | JP Pct. 4                    | 12/13/16    | Correction to JP Visiting Judge Budget         |
| (1,319)       | JP Pct. 5                    | 12/13/16    | Correction to JP Visiting Judge Budget         |
| (46,658)      | Emergency Services           | 12/20/16    | Emergency Services 2016 Grant Reimbursement    |
| (34,002)      | TNR                          | 12/27/16    | 2017 CAPCOG LiDAR Agreement                    |
| (24,887)      | General Administration       | 12/29/16    | Legislative Assistance for IGR                 |
| (10,073)      | Sheriff's Office             | 1/3/17      | Court Security Officer for the 427th Court     |
| 601,780       | Various                      | 1/18/17     | Liquidated Purchase Orders                     |
| (2,633)       | Emergency Services           | 1/9/17      | Emergency Services Executive Reallocation Cost |
| (8,974)       | Various                      | 2/7/17      | Technical Correction Liquidated Purchase Order |
| 59,279        | Various                      | 2/14/17     | Liquidated Purchase Orders                     |
| 73,651        | Various                      | 2/22/17     | Liquidated Purchase Orders                     |
| (419,812)     | Justice Planning             | 3/7/17      | CAPDS DNA Contract                             |
| 77,068        | Various                      | 3/10/17     | Liquidated Purchase Orders                     |
| (285,750)     | ITS                          | 3/21/17     | Payment Card Industry Data Security Standards  |
| 107,735       | Various                      | 3/22/17     | Liquidated Purchase Orders                     |
| (8,469)       | Civil Service                | 3/21/17     | Create transition position                     |
| (98,000)      | County Attorney              | 4/4/17      | Outside counsel for Real Estate                |
| (25,000)      | County Attorney              | 4/4/17      | Outside counsel for Redistricting              |
| (52,792)      | Constable 4                  | 4/4/17      | Constable 4 Midyear FTE Security & Vests       |
| 81,124        | Various                      | 3/22/17     | Liquidated Purchase Orders                     |
| 3,735         | County Clerk                 | 4/11/17     | R/O Election Revenue Certification             |
| 8,995         | Various                      | 4/11/17     | Liquidated Purchase Orders                     |
| (15,910)      | ITS                          | 4/11/17     | ITS Internship Program                         |
| (23,444)      | Purchasing                   | 4/25/17     | E-Signature Software                           |
| 147,780       | Various                      | 5/4/17      | Liquidated Purchase Orders                     |
| (175,000)     | DA                           | 5/16/17     | Additional DNA Costs                           |
| 27,395        | Various                      | 5/22/17     | Liquidated Purchase Orders                     |
| (25,000)      | Purchasing                   | 5/23/17     | HUB Consultant                                 |
| (50,000)      | Sheriff's Office             | 5/23/17     | Law Enforcement Equipment                      |
| 241           | Various                      | 6/12/17     | Correction to three BAs                        |
| (30,000)      | DA                           | 7/6/17      | Outside Counsel for DA case                    |

| Amount            | Dept Transferred Into  | Date    | Explanation                |
|-------------------|------------------------|---------|----------------------------|
| 79,750            | Various                | 7/10/17 | Liquidated Purchase Orders |
| <b>12,957,583</b> | <b>Current Balance</b> |         |                            |

| Amount | Dept Transferred Into | Date | Explanation |
|--------|-----------------------|------|-------------|
|--------|-----------------------|------|-------------|

**Possible Future Expenses Against Allocated Reserve Previously Identified:**

|  | Department         | Explanation   |
|--|--------------------|---|
| (200,000)  | Civil Court        | Legally Mandated Fees                                 |
| (37,602)   | Auditor            | SAP ERP Contractual Software Maintenance Increases    |
| (175,000)  | Criminal Courts    | Legally Mandated Fees                                 |
| (24,927)   | TCSO               | Bailiff Transition from Criminal Courts to TCSO       |
| (100,000)  | District Clerk     | Grand Juror Fees                                      |
| (100,000)  | Tax Office         | Interest for Tax Refund Settlements                   |
| (850,000)  | HHS                | Permission to Use General Fund - CEAP Grant           |
| (250,000)  | TBD                | County Executive, Admin Operations                    |
| (28,242)   | HRMD               | Internship Resources                                  |
| (214,250)  | ITS                | PCI Data Security                                     |
| (6,428)  | ITS                | Internship Resources                                  |
| (100,000)  | ITS                | Body Cameras  |
| (425,000)  | Juvenile Probation | Juvenile Placement                                    |
| (147,629)  | PBO                | Comptroller Capital Credits - Economic Development    |
| (90,000)   | Purchasing         | Transition Positions                                  |
| (30,000)   | Purchasing         | Purchasing Agent Search Firm                          |
| (35,009)   | CARS               | Legal Research Materials                              |
| (800,000)  | TCSO               | Inmate Operating Costs                                |
| (265,000)  | Tax Office         | Tax Office Strategic Needs                            |
| (100,000)  | TNR                | Central Texas Regional Groundwater Availability Study |
| (119,830)  | TNR                | Geospatial Data Acquisition LiDAR                     |
| (100,000)  | ES                 | Emergency Response                                    |
| (300,000)  | TCSO               | Justice Center Security                               |
| (370,000)  | VAROUS             | Overtime and Temporary Employees                      |
| (62,000)   | ES                 | ESD #4  |
| <b>(4,930,917) Total Possible Future Expenses (Earmarks)</b> |                    |   |

**8,026,666 Remaining Allocated Reserve Balance After Possible Future Expenditures**

**Capital Acquisition Resources Account Reserve Status (580070)**

**CAR RESERVE TRANSFERS**

| <b>Amount</b>                              | <b>Dept Transferred Into</b> | <b>Date</b> | <b>Explanation</b>                                     |
|--|------------------------------|-------------|--|
| \$4,529,952                                |                              |             | Beginning Balance                                      |
| (32,743)                                   | TNR                          | 11/3/16     | Total Losses - Vehicles                                |
| (104,002)                                  | PBO                          | 11/15/16    | Mod. 7 Additional Consulting Services                  |
| (235,000)                                  | FMD                          | 12/13/16    | Gault Bldg. East Screening Station                     |
| (125,000)                                  | ITS                          | 1/24/17     | GAATN extension to Federal Courthouse                  |
| (46,800)                                   | TNR                          | 2/7/17      | Replacement Vehicle Cons. Pct. 3                       |
| (145,891)                                  | PBO                          | 5/23/17     | AECOM Mod 8  |
| 1,440,678                                  | N/A                          | 5/30/17     | Proceeds from sale of land                             |
| (154,164)                                  | PBO                          | 6/20/17     | Contracted Services Palm School Survey and Master Plan |
| <b>\$5,127,030 Current Reserve Balance</b> |                              |             |  |

*Possible Future Expenses Against CAR Reserves Previously Identified:*

| <b>Amount</b>        | <b>Dept</b>   | <b>Explanation</b>                 |
|----------------------|---|------------------------------------|
| (\$97,898)           | County Atty   | Archiving Software                 |
| (\$100,000)          | EMS   | STAR Flight Maintenance            |
| (\$100,000)          | ITS   | Storage                            |
| (\$2,799,678)        | PBO   | Budget Rule #9/Courthouse Planning |
| (\$250,000)          | TCSO  | Scheduling Software                |
| (\$300,000)          | TCSO  | Investment Grade Audit             |
| (\$30,000)           | TCSO  | Telemedicine Cart                  |
| (\$175,000)          | TAX Office  | Voter Registration Software        |
| (\$200,000)          | TNR   | Failing Vehicles                   |
| (\$50,000)           | TNR   | ADA Upgrades and New Sidewalks     |
| (\$312,828)          | TNR   | Work Order System Additional Funds |
| <b>(\$4,415,404)</b> | <b>Total Possible Future Expenses (Earmarks)</b>                        |                                    |
| <b>\$711,626</b>     | <b>Remaining CAR Reserve Balance After Possible Future Expenditures</b> |                                    |

**Reserve for Emergencies and Contingencies Status (580120)**

| Amount                                   | Dept Transferred Into | Date | Explanation       |
|--|-----------------------|------|-------------------|
| 5,000,000                                |                       |      | Beginning Balance |
| <b>5,000,000 Current Reserve Balance</b> |                       |      |                   |

**Smart Building Maintenance Reserve Status (580240)**

| Amount                                 | Dept Transferred Into | Date | Explanation       |
|--|-----------------------|------|-------------------|
| 476,905                                |                       |      | Beginning Balance |
| <b>476,905 Current Reserve Balance</b> |                       |      |                   |

**Reserve for Replacement of Integrated Justice Systems Status (580160)**

| Amount                                   | Dept Transferred Into | Date     | Explanation                          |
|--|-----------------------|----------|--------------------------------------|
| 4,200,000                                |                       |          | Beginning Balance                    |
| (52,503)                                 | ITS                   | 11/29/16 | E-Signature Software                 |
| (153,410)                                | ITS                   | 2/7/17   | LE and Defense Portals               |
| (315,474)                                | ITS                   | 6/27/17  | OnBase tools to enhance transparency |
| <b>3,678,613 Current Reserve Balance</b> |                       |          |                                      |

**Reserve for State Funding Cuts Status (580310)**

| Amount                                   | Dept Transferred Into | Date | Explanation       |
|--|-----------------------|------|-------------------|
| 1,000,000                                |                       |      | Beginning Balance |
| <b>1,000,000 Current Reserve Balance</b> |                       |      |                   |

**Reserve for Interlocal Agreements Status (580200)**

| Amount                                 | Dept Transferred Into | Date     | Explanation                               |
|--|-----------------------|----------|---|
| 3,530,978                              |                       |          | Beginning Balance                         |
| (195,391)                              | HHS                   | 10/18/16 | City of Austin Animal Services Interlocal |
| (593,138)                              | HHS                   | 10/18/16 | City of Austin Public Health Interlocal   |
| (1,494,271)                            | Emergency Services    | 11/22/16 | Regional Radio System Interlocal          |
| (588,563)                              | Emergency Services    | 11/29/16 | CTECC Interlocal                          |
| (529,627)                              | Emergency Services    | 1/24/17  | EMS Interlocal                            |
| <b>129,988 Current Reserve Balance</b> |                       |          |   |

**Annualization Reserve (580200)**

| Amount                                   | Dept Transferred Into | Date | Explanation       |
|--|-----------------------|------|-------------------|
| 1,058,644                                |                       |      | Beginning Balance |
| <b>1,058,644 Current Reserve Balance</b> |                       |      |                   |

***Sheriff's Office Overtime Reserve Status (580330)***

| <b>Amount</b>                          | <b>Dept Transferred Into</b> | <b>Date</b> | <b>Explanation</b> |
|--|------------------------------|-------------|--------------------|
| 736,310                                |                              |             | Beginning Balance  |
| <b>736,310 Current Reserve Balance</b> |                              |             |                    |

***Unallocated Reserve Status (580015)***

| <b>Amount</b>                             | <b>Dept Transferred Into</b> | <b>Date</b> | <b>Explanation</b>                       |
|---|------------------------------|-------------|--|
| 71,358,039                                |                              |             | Beginning Balance                        |
| (4,837,480)                               | TNR                          | 12/13/16    | HMAC                                     |
| (3,984,579)                               | TNR                          | 12/13/16    | Replacement Vehicles and Heavy Equipment |
| (535,000)                                 | Medical Examiner             | 12/13/16    | ME CT Scanner                            |
| 4,837,480                                 | TNR                          | 5/22/17     | Return HMAC Reimb Res.                   |
| 3,984,579                                 | TNR                          | 6/13/17     | Return vehicle Reimb Res.                |
| <b>70,823,039 Current Reserve Balance</b> |                              |             |  |



## Travis County Commissioners Court Voting Session Agenda Request

**Meeting Date:** July 18, 2017

**Agenda Language:**

Consider the adoption of an order authorizing the defeasance of Travis County, Texas, Certificates of Obligation, Taxable Series 2011 (Limited Tax); Authorizing the execution and delivery of an escrow agreement; and Enacting other provisions relating thereto.

**Prepared By/Phone Number:** Travis R. Gatlin, 512-854-9065

**Elected/Appointed Official or Department Head:** Jessica Rio, County Executive, Planning and Budget

**Commissioners Court Sponsor(s):** Commissioner Margaret Gómez

**Background/Summary of Request and Attachments:**

On July 11, 2017, the County announced its agreement with Lincoln Property Company and Phoenix Property Company to develop the County-owned property at 308 Guadalupe Street via a ground lease. This agreement will yield nearly \$430 million in lease payments for the County over the next 99 years. The property was purchased with proceeds from the issuance of Certificates of Obligation, Taxable Series 2011 (Limited Tax). Based on consultation with our bond counsel, the Planning and Budget Office recommends a defeasance of the outstanding debt from this issuance.

**Staff Recommendations:**

The Planning and Budget Office recommends approval of the item.

**Issues and Opportunities:**

Travis County will receive an initial \$13.4 million payment at closing that covers the cost of the defeasance. Any additional funds remaining after the defeasance and related transactional costs will be recommended per Budget Rule #9 to be reserved in a manner that lessens the impact of the future Civil and Family Courts Complex.

**Fiscal Impact and Source of Funding:**

See attached order prepared by the County's bond counsel.

**Required Authorizations:**

**Jessica Rio, Planning and Budget Office, (512) 854-9106**

**Joe Hon, County Judge's Office, (512) 854-1123**

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.

AN ORDER AUTHORIZING THE DEFEASANCE OF TRAVIS COUNTY, TEXAS, CERTIFICATES OF OBLIGATION, TAXABLE SERIES 2011 (LIMITED TAX); AUTHORIZING THE EXECUTION AND DELIVERY OF AN ESCROW AGREEMENT; AND ENACTING OTHER PROVISIONS RELATING THERETO

WHEREAS, Travis County, Texas (the "County"), has previously issued and there are now outstanding the County's Certificates of Obligation, Taxable Series 2011 (Limited Tax) (the "Certificates"); and

WHEREAS, pursuant to Sections 1207.061 and 1207.062 Texas Government Code, as amended, the County is authorized to defease all outstanding maturities of the Certificates to their maturity date, as described on Schedule I hereto, by depositing lawfully available funds of the County with an entity qualified under Section 1207.061 to act as escrow agent; and

WHEREAS, as an entity qualified under Section 1207.061 to act as escrow agent for the Certificates, the County desires to appoint The Bank of New York Mellon Trust Company, N.A., as escrow agent (the "Escrow Agent"), pursuant to the terms of an escrow agreement (the "Escrow Agreement"); and

WHEREAS, Section 1207.033 Texas Government Code, as amended, provides that, by depositing lawfully available funds in an amount sufficient to provide for the payment of principal of and interest on the Certificates with the Escrow Agent for deposit to an escrow fund (the "Escrow Fund") held pursuant to the Escrow Agreement, such deposit, which is irrevocable, shall constitute the making of firm banking and financial arrangements for the discharge and final payment of the Certificates; and

WHEREAS, upon the deposit of the lawfully available funds, and any Federal Securities, as referenced in the Escrow Agreement (the "Federal Securities") purchased therewith, to the Escrow Fund, the Certificates shall no longer be regarded as being outstanding, except for the purpose of being paid pursuant to such Escrow Agreement, and the pledges, liens, trusts and all other covenants, provisions, terms and conditions of the order authorizing the issuance of the Certificates, shall be, with respect to the Certificates, discharged, terminated and defeased; and

WHEREAS, the meeting at which this Order is considered is open to the public as required by law, and public notice of the time, place, and purpose of said meeting was given as required by Chapter 551, Texas Government Code, as amended; and

WHEREAS, it is hereby found and determined that the defeasance contemplated in this Order is in the public interest and constitutes a valid public purpose; NOW, THEREFORE,

BE IT ORDERED, ADJUDGED AND DECREED BY THE COMMISSIONERS COURT OF TRAVIS COUNTY, TEXAS:

Section 1. Lawfully available funds of the County in the approximate amount of \$11,100,000, and any Federal Securities purchased therewith, shall be transferred to the Escrow Agent and irrevocably deposited to the Escrow Fund to provide for the defeasance and final payment when due of the principal of and interest on the Certificates, as more specifically

identified in Schedule I. Upon such deposit to the Escrow Fund, the Certificates shall no longer be regarded as being outstanding, except for the purpose of being paid, and the pledges, liens, trusts and all other covenants, provisions, terms and conditions of the orders authorizing the issuance of the Certificates, shall be, with respect to the Certificates, discharged, terminated and defeased. The final amount of lawfully available funds of the County to be applied to the defeasance of the Certificates shall be determined jointly by the County Budget Director and the County's Financial Advisor.

Section 2. The Bank of New York Mellon Trust Company, N.A., is hereby appointed as Escrow Agent for the Certificates to act pursuant to the terms of an Escrow Agreement, between the County and the Escrow Agent, the form, terms and provisions of such Escrow Agreement presented at this meeting being hereby authorized and approved. The County Judge is hereby authorized and directed to execute and deliver the Escrow Agreement with such changes as she may approve.

Section 3. The Certificates shall be paid on their stated maturity dates. Notice of Defeasance shall be given to the owners of the Certificates in the manner specified in the respective order authorizing the issuance thereof and filed in accordance with United States Securities Exchange Commission Rule 15c2-12.

Section 4. The appropriate officers of the County and the County's Financial Advisor are hereby authorized and directed to make necessary arrangements for the purchase of the Federal Securities referenced in the Escrow Agreement, as may be necessary for the Escrow Fund, and the application for the acquisition of the Federal Securities is hereby approved and ratified. The use of Grant Thornton, Certified Public Accountants, as verification agent with respect to the defeasance is hereby approved and authorized.

Section 5. The County's Financial Advisor, Bond Counsel and staff are hereby authorized and directed to take any and all action and execute such certificates, instruction letters or agreements as may be required to carry out the purposes of this Order. The County Judge is hereby authorized and directed to approve payment of costs associated with the defeasance upon presentation of invoices for such costs.

PASSED AND APPROVED THIS 18<sup>th</sup> DAY OF AUGUST, 2017.

SCHEDULE I

Certificates

Travis County  
Certificates of Obligation  
Taxable Series 2011 (Limited Tax)

| <u>Maturity Date</u> | <u>Interest Rate</u> | <u>Par Amount</u> |
|----------------------|----------------------|-------------------|
| 03/01/2018           | 3.500%               | 2,360,000         |
| 03/01/2019           | 3.850%               | 2,450,000         |
| 03/01/2020           | 4.060%               | 2,550,000         |
| 03/01/2021           | 4.210%               | <u>2,655,000</u>  |
|                      |                      | 10,015,000        |



## Travis County Commissioners Court Voting Session Agenda Request

**Meeting Date:** July 18, 2017

**Agenda Language:**

Consider and take appropriate action on the following items for Human Resources Management Department: Proposed Routine Amendments

**Prepared By/Phone Number:** Gloria Villarreal / 854-9166

**Elected/Appointed Official or Department Head:** Tracey Calloway, Director of HRMD

**Commissioners Court Sponsor(s):** Commissioner Margaret Gomez

**Background/Summary of Request and Attachments:**

Attached are Personnel Amendments for Commissioners Court approval.

**A. Routine Personnel Actions – Pages 2 – 8**

**B. Non-Routine Personnel Actions – Pages 9-11**

**Constable Pct 1** requests an ad hoc step increase for Constable Deputy Sr, PG 62, step 5 to Constable Deputy Sr, PG 62, step 7. Travis County Code § 14.111 – 14.120 covers salary actions for POPS and does not specifically address ad-hoc step increases. HRMD does not support this action. PBO has confirmed sufficient funds.

If you have any questions or comments, please contact Todd L. Osburn at 854-2744.

**Staff Recommendations:** N/A

**Issues and Opportunities:** N/A

**Fiscal Impact and Source of Funding:** None.

**Required Authorizations:**

Todd Osburn, Human Resources Management Department, 854-2744

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.



# HRMD

*Human Resources Management Department*

700 Lavaca Street, 4<sup>th</sup> Floor

• P.O. Box 1748

• Austin, Texas 78767

• (512) 854-9165 / FAX (512) 854-9757

**July 18, 2017**

**ITEM # :**

**DATE:** July 07, 2017

**TO:** Sarah Eckhardt, County Judge  
Jeffrey W. Travillion Sr, Commissioner, Precinct 1  
Brigid Shea, Commissioner, Precinct 2  
Gerald Daugherty, Commissioner, Precinct 3  
Margaret Gomez, Commissioner, Precinct 4

**FROM:** Tracey Calloway, Director of HRMD

**SUBJECT:** Weekly Personnel Amendments

Attached are Personnel Amendments for Commissioners Court approval.

**A. Routine Personnel Actions – Pages 2 – 8**

**B. Non-Routine Personnel Actions – Pages 9-11**

**Constable Pct 1** requests an ad hoc step increase for Constable Deputy Sr, PG 62, step 5 to Constable Deputy Sr, PG 62, step 7. Travis County Code § 14.111 – 14.120 covers salary actions for POPS and does not specifically address ad-hoc step increases. HRMD does not support this action. PBO has confirmed sufficient funds.

If you have any questions or comments, please contact Todd L. Osburn at 854-2744.

Attachments

cc: Planning and Budget Department  
County Auditor  
County Auditor-Payroll (Certified copy)  
County Clerk (Certified copy)

| <b>WEEKLY PERSONNEL AMENDMENTS - ROUTINE</b> |                                  |                              |                               |   |                                       |   |
|--|----------------------------------|------------------------------|-------------------------------|---|---------------------------------------|---|
| <b>Action Type Description</b>               | <b>Action Reason Description</b> | <b>Action Effective Date</b> | <b>Current Personnel Area</b> | <b>CURRENT Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary/Rate Amt</b> | <b>New Personnel Area</b>             | <b>NEW Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary/Rate Amt</b>       |
| New Hire                                     | New Hire                         | 07/17/2017                   | N/A                           | N/A   | 3500 - Sheriff                        | 30001954 / Registered Nurse Charge Nurse / 1 - Regular / 02 - Full Time Non-Exempt / GRD23 / 00 / \$70,158.40     |
| New Hire                                     | New Hire                         | 07/16/2017                   | N/A                           | N/A   | 3500 - Sheriff                        | 30002409 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50                 |
| New Hire                                     | New Hire                         | 07/05/2017                   | N/A                           | N/A   | 1850 - Health and Human Sv and Vet Sv | 30005436 / Social Services Program Administrator / 1 - Regular / 01 - Full Time Exempt / GRD22 / 00 / \$58,425.00 |
| New Hire                                     | New Hire                         | 07/17/2017                   | N/A                           | N/A   | 3100 - County Attorney                | 30050203 / Office Specialist / 1 - Regular / 02 - Full Time Non-Exempt / GRD12 / 00 / \$28,262.42                 |
| New Hire                                     | New Hire                         | 07/17/2017                   | N/A                           | N/A   | 3150 - County Clerk                   | 30054234 / Court Clerk I / 1 - Regular / 02 - Full Time Non-Exempt / GRD14 / 00 / \$33,000.00                     |

| <b>WEEKLY PERSONNEL AMENDMENTS - ROUTINE</b> |                                  |                              |                               |   |                                   |   |
|--|----------------------------------|------------------------------|-------------------------------|---|-----------------------------------|---|
| <b>Action Type Description</b>               | <b>Action Reason Description</b> | <b>Action Effective Date</b> | <b>Current Personnel Area</b> | <b>CURRENT Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary/Rate Amt</b> | <b>New Personnel Area</b>         | <b>NEW Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary/Rate Amt</b> |
| New Hire                                     | New Hire                         | 07/16/2017                   | N/A                           | N/A   | 3500 - Sheriff                    | 30002145 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50           |
| New Hire                                     | New Hire                         | 07/16/2017                   | N/A                           | N/A   | 3500 - Sheriff                    | 30002840 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50           |
| New Hire                                     | New Hire                         | 07/16/2017                   | N/A                           | N/A   | 3500 - Sheriff                    | 30002963 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50           |
| New Hire                                     | New Hire                         | 07/03/2017                   | N/A                           | N/A   | 3415 - Justice of the Peace Pct 4 | 30001699 / Court Clerk I / 1 - Regular / 02 - Full Time Non-Exempt / GRD14 / 00 / \$32,345.46               |
| Mobility                                     | Benefit Eligibility Change       | 04/01/2017                   | 3500 - Sheriff                | 30055326 / Administrative Assoc / 8 - Retiree Temp / 06 - Hourly - No Bnf / GRD17 / 00 / \$21.33                | 3500 - Sheriff                    | 30055326 / Administrative Assoc / 8 - Temporary / 05 - Hourly - Retmt / GRD17 / 00 / \$21.33                |

| <b>WEEKLY PERSONNEL AMENDMENTS - ROUTINE</b> |                                  |                              |                               |   |                           |   |
|--|----------------------------------|------------------------------|-------------------------------|---|---------------------------|---|
| <b>Action Type Description</b>               | <b>Action Reason Description</b> | <b>Action Effective Date</b> | <b>Current Personnel Area</b> | <b>CURRENT Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary/Rate Amt</b> | <b>New Personnel Area</b> | <b>NEW Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary/Rate Amt</b> |
| Mobility                                     | Benefit Eligibility Change       | 04/01/2017                   | 3500 - Sheriff                | 30055327 / Administrative Assoc / 2 - Temporary / 06 - Hourly - No Bnf / GRD17 / 00 / \$21.33                   | 3500 - Sheriff            | 30055327 / Administrative Assoc / 2 - Temporary / 05 - Hourly - Retmt / GRD17 / 00 / \$21.33                |
| Mobility                                     | Benefit Eligibility Change       | 04/01/2017                   | 3500 - Sheriff                | 30055328 / Administrative Assoc / 8 - Retiree Temp / 06 - Hourly - No Bnf / GRD17 / 00 / \$21.33                | 3500 - Sheriff            | 30055328 / Administrative Assoc / 8 - Retiree Temp / 05 - Hourly - Retmt / GRD17 / 00 / \$21.33             |
| Mobility                                     | Benefit Eligibility Change       | 04/01/2017                   | 3500 - Sheriff                | 30055329 / Administrative Assoc / 8 - Retiree Temp / 06 - Hourly - No Bnf / GRD17 / 00 / \$21.33                | 3500 - Sheriff            | 30055329 / Administrative Assoc / 8 - Retiree Temp / 05 - Hourly - Retmt / GRD17 / 00 / \$21.33             |
| Mobility                                     | Career Ladder                    | 06/26/2017                   | 3500 - Sheriff                | 30002207 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50               | 3500 - Sheriff            | 30002207 / Corrections Officer / 1 - Regular / 02 - Full Time Non-Exempt / GRD81 / 01 / \$44,368.27         |
| Mobility                                     | Career Ladder                    | 07/03/2017                   | 3500 - Sheriff                | 30002211 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50               | 3500 - Sheriff            | 30002211 / Corrections Officer / 1 - Regular / 02 - Full Time Non-Exempt / GRD81 / 01 / \$44,368.27         |

| <b>WEEKLY PERSONNEL AMENDMENTS - ROUTINE</b> |                                  |                              |                               |   |                           |   |
|--|----------------------------------|------------------------------|-------------------------------|---|---------------------------|---|
| <b>Action Type Description</b>               | <b>Action Reason Description</b> | <b>Action Effective Date</b> | <b>Current Personnel Area</b> | <b>CURRENT Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary/Rate Amt</b> | <b>New Personnel Area</b> | <b>NEW Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary/Rate Amt</b> |
| Mobility                                     | Career Ladder                    | 07/03/2017                   | 3500 - Sheriff                | 30002212 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50               | 3500 - Sheriff            | 30002212 / Corrections Officer / 1 - Regular / 02 - Full Time Non-Exempt / GRD81 / 01 / \$44,368.27         |
| Mobility                                     | Career Ladder                    | 07/04/2017                   | 3500 - Sheriff                | 30002251 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50               | 3500 - Sheriff            | 30002251 / Corrections Officer / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50         |
| Mobility                                     | Career Ladder                    | 07/26/2017                   | 3500 - Sheriff                | 30002297 / Corrections Officer / 1 - Regular / 02 - Full Time Non-Exempt / GRD81 / 01 / \$44,368.27             | 3500 - Sheriff            | 30002297 / Corrections Officer Sr / 1 - Regular / 02 - Full Time Non-Exempt / GRD83 / 01 / \$48,226.26      |
| Mobility                                     | Career Ladder                    | 07/23/2017                   | 3500 - Sheriff                | 30002308 / Corrections Officer / 1 - Regular / 02 - Full Time Non-Exempt / GRD81 / 01 / \$44,368.27             | 3500 - Sheriff            | 30002308 / Corrections Officer Sr / 1 - Regular / 02 - Full Time Non-Exempt / GRD83 / 01 / \$48,226.26      |
| Mobility                                     | Career Ladder                    | 07/11/2017                   | 3500 - Sheriff                | 30002326 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50               | 3500 - Sheriff            | 30002326 / Corrections Officer / 1 - Regular / 02 - Full Time Non-Exempt / GRD81 / 01 / \$44,368.27         |

| <b>WEEKLY PERSONNEL AMENDMENTS - ROUTINE</b> |                                  |                              |                               |   |                           |   |
|--|----------------------------------|------------------------------|-------------------------------|---|---------------------------|---|
| <b>Action Type Description</b>               | <b>Action Reason Description</b> | <b>Action Effective Date</b> | <b>Current Personnel Area</b> | <b>CURRENT Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary/Rate Amt</b> | <b>New Personnel Area</b> | <b>NEW Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary/Rate Amt</b> |
| Mobility                                     | Career Ladder                    | 07/28/2017                   | 3500 - Sheriff                | 30002349 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50               | 3500 - Sheriff            | 30002349 / Corrections Officer / 1 - Regular / 02 - Full Time Non-Exempt / GRD81 / 01 / \$44,368.27         |
| Mobility                                     | Career Ladder                    | 06/15/2017                   | 3500 - Sheriff                | 30002621 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50               | 3500 - Sheriff            | 30002621 / Corrections Officer / 1 - Regular / 02 - Full Time Non-Exempt / GRD81 / 01 / \$44,368.27         |
| Mobility                                     | Career Ladder                    | 05/01/2017                   | 3500 - Sheriff                | 30002650 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50               | 3500 - Sheriff            | 30002650 / Corrections Officer / 1 - Regular / 02 - Full Time Non-Exempt / GRD81 / 01 / \$44,368.27         |
| Mobility                                     | Career Ladder                    | 07/25/2017                   | 3500 - Sheriff                | 30002851 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50               | 3500 - Sheriff            | 30002851 / Corrections Officer / 1 - Regular / 02 - Full Time Non-Exempt / GRD81 / 01 / \$44,368.27         |
| Mobility                                     | Career Ladder                    | 07/01/2017                   | 3500 - Sheriff                | 30003007 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50               | 3500 - Sheriff            | 30003007 / Corrections Officer / 1 - Regular / 02 - Full Time Non-Exempt / GRD81 / 01 / \$44,368.27         |

| <b>WEEKLY PERSONNEL AMENDMENTS - ROUTINE</b> |                                  |                              |                               |   |                                    |   |
|--|----------------------------------|------------------------------|-------------------------------|---|------------------------------------|---|
| <b>Action Type Description</b>               | <b>Action Reason Description</b> | <b>Action Effective Date</b> | <b>Current Personnel Area</b> | <b>CURRENT Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary/Rate Amt</b> | <b>New Personnel Area</b>          | <b>NEW Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary/Rate Amt</b> |
| Mobility                                     | Career Ladder                    | 07/01/2017                   | 3500 - Sheriff                | 30005918 / Corrections Cadet / 1 - Regular / 02 - Full Time Non-Exempt / GRD80 / 01 / \$38,919.50               | 3500 - Sheriff                     | 30005918 / Corrections Officer / 1 - Regular / 02 - Full Time Non-Exempt / GRD81 / 01 / \$44,368.27         |
| Mobility                                     | Promotion                        | 07/01/2017                   | 3200 - District Clerk         | 30001093 / Court Clerk I / 1 - Regular / 02 - Full Time Non-Exempt / GRD14 / 00 / \$33,902.98                   | 3200 - District Clerk              | 30001080 / Court Clerk II / 1 - Regular / 02 - Full Time Non-Exempt / GRD16 / 00 / \$37,024.00              |
| Mobility                                     | Promotion                        | 08/01/2017                   | 3500 - Sheriff                | 30003302 / Administrative Asst II / 1 - Regular / 02 - Full Time Non-Exempt / GRD15 / 00 / \$48,602.06          | 3500 - Sheriff                     | 30001939 / Off-Duty Facilitator / 1 - Regular / 02 - Full Time Non-Exempt / GRD17 / 00 / \$49,518.98        |
| Mobility                                     | Promotion                        | 07/01/2017                   | 3600 - Pretrial Services      | 30003883 / Pretrial Officer Sr / 1 - Regular / 02 - Full Time Non-Exempt / GRD20 / 00 / \$62,564.96             | 3600 - Pretrial Services           | 30003906 / Pretrial Mgr / 1 - Regular / 01 - Full Time Exempt / GRD22 / 00 / \$65,693.20                    |
| Mobility                                     | Second Job                       | 06/06/2017                   | N/A                           | N/A   | 1550 - Counseling and Education Sv | 30003863 / Counselor / 2 - Temporary / 05 - Hourly - Retmt / GRD17 / 00 / \$19.05                           |

| <b>WEEKLY PERSONNEL AMENDMENTS - ROUTINE</b> |                                  |                              |                               |   |                           |   |
|--|----------------------------------|------------------------------|-------------------------------|---|---------------------------|---|
| <b>Action Type Description</b>               | <b>Action Reason Description</b> | <b>Action Effective Date</b> | <b>Current Personnel Area</b> | <b>CURRENT Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary/Rate Amt</b> | <b>New Personnel Area</b> | <b>NEW Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary/Rate Amt</b> |
| Mobility                                     | Temporary to Regular             | 08/01/2017                   | 3350 - Probate Court          | 30056127 / Law Clerk I / 2 - Temporary / 05 - Hourly - Retmt / GRD15 / 00 / \$16.64                             | 3350 - Probate Court      | 30001600 / Law Clerk II / 1 - Regular / 01 - Full Time Exempt / GRD19 / 00 / \$59,999.00                    |
| Mobility                                     | Temporary to Regular             | 07/01/2017                   | 3650 – Juvenile Probation     | 30004464 / Cook / 2 – Temporary / 05 – Hourly - Retmt / GRD09 / 00 / \$13.00                                    | 3650 – Juvenile Probation | 30004464 / Cook / 1 - Regular / 02 - Full Time Non-Exempt / GRD09 / 00 / \$27,040.00                        |
| Mobility                                     | Voluntary Reassignment           | 07/09/2017                   | 3500 - Sheriff                | 30003388 / Law Enforcement Sheriff Deputy / 1 - Regular / 02 - Full Time Non-Exempt / GRD72 / 02 / \$53,347.84  | 3500 - Sheriff            | 30002722 / Corrections Officer Sr / 1 - Regular / 02 - Full Time Non-Exempt / GRD83 / 02 / \$49,452.83      |
| Salary Change                                | Salary/Hourly Rate Change        | 06/16/2017                   | 3600 - Pretrial Services      | 30003920 / Pretrial Mgr / 1 - Regular / 01 - Full Time Exempt / GRD22 / 00 / \$63,506.39                        | 3600 - Pretrial Services  | GRD22 / 00 / \$69,857.03  |

| <b>NON-ROUTINE ACTIONS</b> |   |                        |   |  |
|----------------------------|---|------------------------|---|--|
| <b>Dept. (From)</b>        | <b>Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary</b>  | <b>Dept. (To)</b>      | <b>Position / Position Title / Employee Group / Employee Subgroup / Grade / Level / Salary</b>  | <b>Comments</b>  |
| 3450 – Constable Pct 1     | 30001739 / Deputy Constable Sr / 1 - Regular / 01 - Full Time Exempt / GRD62 / 05 / \$58,455.28 | 3450 – Constable Pct 1 | 30001739 / Deputy Constable Sr / 1 - Regular / 01 - Full Time Exempt / GRD62 / 07 / \$61,611.47 | Travis County Code § 14.111 – 14.120 covers salary actions for POPS and does not specifically address ad-hoc step increases. |

**BY ORDER OF THE COMMISSIONERS COURT, THE PRECEDING PERSONNEL AMENDMENTS ARE APPROVED.**

\_\_\_\_\_  
**Sarah Eckhardt, County Judge**

\_\_\_\_\_  
**Jeffrey W. Travillion Sr, Commissioner, Pct. 1**

\_\_\_\_\_  
**Brigid Shea, Commissioner, Pct. 2**

\_\_\_\_\_  
**Gerald Daugherty, Commissioner, Pct. 3**

\_\_\_\_\_  
**Margaret Gomez, Commissioner, Pct. 4**



# HRMD *Human Resources Management Department*

700 Lavaca Street, 4th Floor • P.O. Box 1748 • Austin, Texas 78767 • (512) 854-9165 / FAX(512) 854-4203

## MEMORANDUM

DATE: July 7, 2017

TO: Sarah Eckhardt, County Judge  
Jeffrey Travillion, Commissioner, Precinct 1  
Brigid Shea, Commissioner, Precinct 2  
Gerald Daugherty, Commissioner, Precinct 3  
Margaret Gomez, Commissioner, Precinct 4

FROM: Tracey Calloway, Director of HRMD

SUBJECT: Constable, Precinct 1 - Non-Routine Salary Action, Position 30001739

HRMD requests Commissioners Court to discuss and consider the following action.

### **Constable, Precinct 1's Office Request:**

Constable Precinct 1's Office requests approval to pay a salary of \$61,611.47 to one Deputy Constable Senior. This would place the employee at Step 7 in Pay Grade 62 of the POPS Scale. The incumbent currently earns \$58,455.28 and is located at Step 5 in Pay Grade 62 of the POPS Scale. The proposed increase is \$3,156.19; which translates to 5.3 percent. The pay action would apply to the following position:

| <b><u>From</u></b>  | <b><u>To</u></b>    |
|---------------------|---------------------|
| Position #30001739  | Position #30001739  |
| Deputy Constable Sr | Deputy Constable Sr |
| PG 62 – Step 5      | PG 62 – Step 7      |
| \$58,455.28         | \$61,611.47         |

### **Policy:**

Travis County Code §14.111 – 14.120 covers salary actions for POPS and does not specifically address ad-hoc step increases.

### **Issues:**

Although not contained in Travis County code, the long-standing practice of Travis County is that POPS employees advance one step on their POPS service date on an annual basis, provided Commissioners Court approves funding for the increases during

the budget process. It is not expected that POPS employees will receive multiple-step increases.

In this case, Constable 1 has requested a two-step increase for a POPS employee who he believes is assuming additional responsibilities and he would like to retain. Since Court approved funding for step increases in FY 2017, this employee moved from Step 4 to Step 5 on his POPS anniversary date of April 1, 2017. Constable 1 is asking to move the employee two additional steps to Step 7.

Constable 1 has funding within his department budget to fund the proposed increase.

**Recommendation:**

HRMD does not recommend that Commissioners Court approve the pay adjustment associated with a move to Step 7. While acknowledging that elected officials do have considerable flexibility in managing salaries within their budget allocations, the current system of movement along the POPS scale maintains continuity and helps to ensure that all employees on the POPS scale are treated fairly and consistently. Each exception granted to this practice weakens the integrity of the system and encourages further requests for exceptions.



## Travis County Commissioners Court Voting Session Agenda Request

**Meeting Date:** July 18, 2017

**Agenda Language:** Consider and take appropriate action on the adoption of four (4) plan changes to the Travis County Deferred Compensation 457 Plan as recommended by the Deferred Compensation Committee at its May 8, 2017 quarterly meeting.

**Prepared By/Phone Number:** Paul B. Matthews CPA, CFA and Chairman of the Travis County Deferred Compensation Committee (512) 854-9234

**Elected/Appointed Official or Department Head:** Tracey Calloway, Director of HRMD

**Commissioners Court Sponsor(s):** Judge Sarah Eckhardt

### Background/Summary of Request and Attachments:

At the end of January 2017, Travis County entered into a new contract with Empower Retirement for record-keeping services for employee assets held in the County's deferred compensation 457 plan. Consequently, the record keeping fees assessed to plan participants declined from 28 basis points to 14 basis points. In light of this change, the Travis County Deferred Compensation Committee reviewed options to realign plan revenue with the lower plan costs. The committee is recommending several investment-option share class changes to take advantage of the savings generated by the new contract, the replacement of a plan investment option, and 2 other administrative changes.

### Staff Recommendations:

The Deferred Compensation Committee unanimously recommended the adoption of four plan changes at its May 8, 2017 committee meeting.

These changes include:

1. The replacement of the Hartford Small Company (IHSXX) fund with the Delaware Small Cap Core fund (DCCIX).
2. Changing share classes on the following funds:

| Fund                             | Current Ticker | Expense Ratio | New Ticker | Expense Ratio |
|----------------------------------|----------------|---------------|------------|---------------|
| Metropolitan West Total Return   | MWTRX          | 0.67%         | MWTSX      | 0.38%         |
| American Funds Europacific Gr    | REREX          | 0.85%         | RERFX      | 0.54%         |
| American Funds Capital World G&I | RWIEX          | 0.80%         | RWIFX      | 0.50%         |

The 3 share class changes result in reduced participant investment expenses and a decrease plan revenue from 35 bps (basis points) to 5 bps for the American Fund options and 0 bps for Metropolitan West option.

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.

3. Amend the Empower contract to move to an average daily balance for the purpose of calculating the quarterly record keeping fee.
4. Amend the plan's Adoption Agreement to allow the cashing out active employees balances in the plan where the employee:
  - a. Has made no contribution to the plan during the prior 24-month period;
  - b. Has a plan balance of less than \$1,000.

**Issues and Opportunities:**

Adopting these recommendations will improve the overall fund offerings within the menu of options available to Travis County employees. In addition, based on employee account balances in the three funds where share class changes are recommended (total fund balances were approximately \$6.77 million as of March 31<sup>st</sup>), Travis County employees would save an estimated \$20,000 per year in investment-related fees if this change is adopted.

**Fiscal Impact and Source of Funding:**

The adoption of these four recommendations will not impact the Travis County budget. However, adopting these recommendations should reduce administrative fees charged to Travis County employees who are participants in the County's deferred compensation 457 plan.

**Required Authorizations:**

Paul B. Matthews CPA, CFA Travis County Sheriff's Office and Chairman of the Travis County Deferred Compensation Committee  
Shannon Steele, Travis County HRMD Benefits Manager and Vice-Chairman of the Travis County Deferred Compensation Committee  
Barbara Wilson, Travis County Attorney's Office

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.



# HRMD

## *Human Resources Management Department*

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700 Lavaca Street, 4th Floor • P.O. Box 1748 • Austin, Texas 78767 • (512) 854-9165 / FAX(512) 854-4203

### MEMORANDUM

DATE: June 2017

TO: Sarah Eckhardt, County Judge  
Jeff Travillion, Commissioner, Precinct 1  
Brigid Shea, Commissioner, Precinct 2  
Gerald Daugherty, Commissioner, Precinct 3  
Margaret Gomez, Commissioner, Precinct 4

FROM: Deferred Compensation Oversight Committee

SUBJECT: Summary of Committee Recommendations

#### **Background:**

Commissioners Court created the Deferred Compensation Oversight Committee ("Committee") on October 9, 2007. Since that time the Committee has developed an investment policy that was approved by the Commissioners Court in 2008 before the issuance of an RFP for third party administration and recordkeeping. As a result of this solicitation, on August 26, 2008 the Court approved Great-West Retirement Services as the plan administrator. At the end of December 2008, Great-West Life and Insurance Annuity Company (Great-West') became the record keeper for the Travis County, Texas 457 (b) Deferred Compensation Plan ("Plan"). At that time, the provisions in the Plan remained the same but new documents were approved. In December 2014, Great West was re-branded as "Empower Retirement Services." A recordkeeping RFP was conducted in 2016, and Empower was retained through the competitive bidding process.

#### **Summary of Plan**

As of March 31, 2017, the Plan has approximately 2,600 participants and \$79 million in assets. The Plan's assets are invested in 27 separate investment options. Approximately 25% of active Travis County employees currently participate in the Plan.

#### **Issues and Opportunities:**

##### **I. Plan Investment Options**

The Deferred Compensation Oversight Committee met in its quarterly session with its consultants, Al DiCristofaro and Tony Kay of AndCo, on May 8, 2017 to review the Plan's operations and investment options. As a result of that meeting and upon

the recommendation of the Plan’s consultants, the Deferred Compensation Oversight Committee unanimously approved a motion to replace the following investment option as a result of the option’s failure to meet the Plan’s IPS criteria:

| <b>Name</b>                    | <b>Reason for Failure</b>             | <b>Assets</b> | <b>Recommendation</b>                        |
|--------------------------------|---------------------------------------|---------------|--|
| Hartford Small Company (IHSSX) | Management Change; 3 Year performance | \$1.5 million | Replace with Delaware Small Cap Core (DCCIX) |

Upon the Court’s approval, the recordkeeper, Empower, will provide the 30-day notice of the change to impacted participants. Upon recommendation from the Plan’s consultant, assets in Hartford Small Company will be “mapped” to Delaware Small Cap Core, a comparable small cap equity fund.

Proposed Motion:

- A. Approve Committee recommendation to eliminate Hartford Small Company Fund and map those assets to Delaware Small Cap Core Fund.

## II. Share Class Changes

The new recordkeeping contract with Empower resulted in reduced fees for recordkeeping and education services, from 0.28% to 0.14% annually. The new pricing became effective on 1/30/2017. The current investment menu options are generating revenue in excess of the new required cost structure. Reducing the plan revenue is accomplished by changing the share class for several of the Plan’s investment options thereby lowering the investment costs for participants invested in the effected options. Upon the recommendation of the Plan’s consultants, the Deferred Compensation Oversight Committee unanimously approved a motion to map the following funds to reduce fund revenue:

| <b>Map From:</b>                            | <b>Revenue</b> | <b>Map to:</b>                              | <b>Revenue</b> |
|---|----------------|---|----------------|
| American Funds Capital World (RWIEX)        | 0.35%          | American Funds Capital World (RWIFX)        | 0.05%          |
| American Funds EuroPacific (REREX)          | 0.35%          | American Funds EuroPacific (RERFX)          | 0.05%          |
| Metropolitan West Total Return Bond (MWTRX) | 0.35%          | Metropolitan West Total Return Bond (MWTSX) | 0.00%          |

Mapping to the above funds would result in plan revenue of approximately 0.16% vs. the “target” of 0.14%. Contractually, any revenue from the funds that is generated in

excess of the required revenue must be returned to the Plan at least quarterly under the new contract with Empower. By law, that excess revenue may be used to pay direct plan expenses or must be returned to the plan's participants. It is the intent of the Committee to assess the revenue generated vs. the revenue required at least annually and to make recommendations to the Court regarding its sufficiency.

Proposed Motion:

- B. Approve Committee recommendation to map American Funds Capital World (RWIEX) to American Funds Capital World (RWIFX), American Funds EuroPacific (REREX) to American Funds EuroPacific (RERFX), and Metropolitan West Total Return Bond (MWTRX) to Metropolitan West Total Return Bond (MWT SX).

### III. Recordkeeping Fee Calculation

Currently, the recordkeeper assesses their fee based on the average of the Deferred Compensation Plan's beginning and ending quarterly market values. For example, if on January 1, 2017, the total Plan's total market value was \$70 million and on March 31, 2017 the total Plan's market value was \$80 million, the recordkeeping fee would be assessed on an average of \$75 million in Plan assets. At the May 8, 2017 Committee meeting, Empower requested moving to average daily balance for recordkeeping fee calculation. This method represents a more accurate value of the Plan's assets. Pricing in this manner eliminates payment fluctuations generated by significant market movements at the end or beginning of a fiscal quarter. Upon the recommendation of the Plan's consultants, the Deferred Compensation Oversight Committee unanimously approved a motion to move to average daily balance for recordkeeping fee calculation.

Proposed Motion:

- C. Provide authorization to amend Empower's contract to move to average daily balance for recordkeeping fee calculation.

### IV. Small Plan Balances for Current Employees

The Committee recommended and the Court approved a "clean-up" of small plan balances (i.e., under \$1,000) belonging to separated employees as part of the 2016 RFP process. That prior de minimis process improved the Plan's pricing since the recordkeeper would no longer need to provide services for participants who are no longer employed by the County. A similar situation currently exists for active employees who have not contributed to the Plan for the last 24 months AND have a balance under \$1,000. Currently, plan rules do not allow for those employees to be "cashed out" of the Plan. The Committee recommends that the Plan rules be amended to allow Empower to cash out small balances of active employees.

Proposed Motion:

- D. Provide authorization to the County Attorney to engage Empower to amend the Plan's Adoption Agreement to allow cashing out active employee balances in the Plan where:
- i. No contribution has been made for the prior 24-month period;
  - ii. The plan balance is less than \$1,000
- and to subsequently authorize Empower to begin a clean-up of impacted accounts in accordance with prevailing laws and regulations governing such actions.



June 30, 2017

Travis County, Texas  
Judge Sarah Eckhardt  
700 Lavaca Street  
Austin, TX 78701

RE: Travis County, Texas 457 Deferred Compensation Plan  
98734-01

Dear Judge Sarah Eckhardt:

The following is a confirmation of the investment option transaction you have authorized us to perform, and events related to successful completion of this transaction. For Fund Additions, prospectuses can be found by going to [www.gwrs.com](http://www.gwrs.com), click on Plan Sponsors, click on Fund Documents and click on Available Fund Prospectuses.

## 1. Fund Additions

The following investment options will be added to the Plan September 19, 2017.

| <b>Fund Name</b>                               | <b>Ticker Symbol or Identifier</b> |
|--|------------------------------------|
| Delaware Small Cap Core Institutional          | (DCCIX)                            |
| American Funds Capital World Growth/Income R5  | (RWIFX)                            |
| American Funds EuroPacific Growth R5           | (RERFX)                            |
| Metropolitan West Total Return Bond Plan Class | (MWTSX)                            |

## 2. Fund Deletions

The following investment options will be deleted from the Plan under the terms outlined below:

| <b>Fund Name</b>       | <b>Ticker Symbol or Identifier</b> |
|------------------------|------------------------------------|
| Hartford Small Company | (IHSXX)                            |

8515 E. Orchard Road  
Greenwood Village, CO 80111  
800.695.4952  
[empower-retirement.com](http://empower-retirement.com)

Securities offered through GWFS Equities, Inc. and/or other broker dealers.

Insurance products offered by Great-West Life & Annuity Insurance Company, Corporate Office: Greenwood Village, CO; or in New York, by Great-West Life & Annuity Insurance Company of New York, Home Office: White Plains, NY.

|   |         |
|---|---------|
| American Funds Capital World Growth/Income R4 | (RWIEX) |
| American Funds EuroPacific Growth R4          | (REREX) |
| Metropolitan West Total Return Bond M         | (MWTRX) |

### 3. Confirmation of Fund Mapping

Funds will be mapped as follows:

| <b><u>Current Fund to be Eliminated (Name &amp; Identifier)</u></b> | <b><u>Replacement Fund (Name &amp; Identifier)</u></b> |
|---|--|
| Hartford Small Company (IHSXX)                                      | Delaware Small Cap Core (DCCIX)                        |
| American Funds Capital World G/I R4 (RWIEX)                         | American Funds Capital World G/I R5 (RWIFX)            |
| American Funds EuroPacific Gr R4 (REREX)                            | American Funds EuroPacific Gr R5 (RERFX)               |
| Metropolitan West Total Return Bond M (MWTRX)                       | Met West Total Ret Bond Plan (MWTSX)                   |

Participants will have until market close on September 19, 2017 to transfer funds in a closing fund to another fund. Balances remaining in the closing fund(s) noted above will be transferred to the replacement fund(s) noted above at the close of business on September 19, 2017.

### 4. Quiet Period

There will be a brief quiet period from September 19, 2017(after market close) through September 20, 2017. If the number of funds being eliminated is more than 5 or your plan is mapping into target date funds based on date of birth, the entire plan will be closed during the quiet period. If the number of funds is 5 or fewer and the target date funds are not being utilized to map into, only those funds will experience the quiet period and the remaining funds will remain open. No transactions will be permitted in the plan during the quiet period. This includes contribution/payroll files submitted on these days. Any payrolls received during the quiet period will be pending until after the transition takes place, at which time the payroll will be allocated on the next business day.

### 5. Communication to Participants

The above changes are being communicated to participants in the following manner:

Participant letter will be mailed

### 6. Ability to Perform

Empower will take all reasonable steps necessary to execute the above transactions on the dates and terms specified. However, the Plan acknowledges that Empower's ability to perform may be affected by the policies or restrictions imposed by the underlying investment providers and/or market conditions beyond our control. In the event Empower is not able to execute any of the transactions on the dates specified above due to circumstances beyond its control, the Plan acknowledges that Empower will complete the authorized transaction(s) or remaining transaction(s) on the earliest business day it is reasonably able to do so.

In the event Empower fails to execute any or all of the transactions due to its own actions, participant accounts will be adjusted as if the transaction had occurred in accordance with these instructions.

**7. Fund Company Limitations**

Empower and the Plan are not aware of any limitations imposed by the fund companies with respect to the dollar value or other provisions of the intended transaction. To the extent any limitations or restrictions are imposed, the provisions of the above paragraph (6) will apply.

**8. Final Instructions**

This letter supersedes any previous authorization or instruction. **In order for the above transactions to be executed on the dates specified above, it is imperative that the authorization below be properly executed and returned to Empower no later than July 21, 2017 (60 days prior to the fund elimination/addition date).** Failure to do so will result in the delay of these transactions.

If you have any questions regarding the above specifications or attachments, please call. We thank you for this opportunity to be of service.

Sincerely,

Jenai Humiston  
Client Service Manager

**Authorization**

On behalf of the Travis County, Texas 457 Deferred Compensation Plan I hereby approve the above transactions and events as specified herein:

\_\_\_\_\_  
Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**Attachment B**

**NEGOTIATED CHANGES TO PART III REQUIREMENTS BASED ON PROPOSAL AND BEST AND FINAL OFFER  
(FEE SCHEDULES)**

**1.0 FEE SCHEDULE FOR CORE SERVICES:**

**1.1 Basic Annual Administration Fee.**

Empower will be entitled to the following annual administration fee. This fee is used, in whole or in part, for administrative services (including reporting and testing and annual unit valuation) provided by Empower as described in the Agreement. During the first year of the Agreement, the annual fee will be payable on a quarterly basis, based on the average daily balance of Plan assets during the assessment period.

0.12% or 12 basis points per year.

Empower and Plan Sponsor agree that fees are to be paid, to the extent possible, from fees Empower, and/or one or more of its affiliates, receives from mutual fund families and other investment providers for providing certain administrative or other services as described in Investment Options ("Revenue").

The 0.12% annual rate is calculated quarterly at 0.03% on the average total Participant account balances for the calendar quarter (including Participant accounts invested in the self-directed brokerage option, if any) and determined by the following formula:

Total Participant account balances on the first day of the calendar quarter (or the first day of the Agreement Term if later) plus total Participant account balances on the last day of the calendar quarter (or the last day of the Agreement Term if earlier) divided by 2. Partial quarters at the beginning and ending of the Agreement Term are calculated on a pro-rata basis. In this formula, Participant account balances include beneficiaries and alternate payees.

This rate continues in all subsequent years of the Agreement and each exercised option for extension of the Agreement unless Plan Sponsor exercises its annual option to pay on the basis of the number of Participant accounts.

**1.2 Participant Education:**

During the first year of the Agreement, Empower will provide 24 hours per month of education and outreach services as described in Part 1 of the Agreement, including support for employee enrollment and education meetings, and will provide all necessary employee education and communications materials, including education and planning tools through the Internet.

During the first year of the Agreement, Empower will be entitled to the following fee for education and training and the annual fee will be payable on a quarterly basis, based on the average daily balance of Plan assets during the assessment period.

00.02% or 2 basis points per year.

Empower and Plan Sponsor agree that fees are to be paid, to the extent possible, from fees Empower, and/or one or more of its affiliates, receives from mutual fund families and other investment providers for providing certain administrative or other services as described in Investment Options ("Revenue").

The 0.02% annual rate is calculated quarterly at 0.005% on the average total Participant account balances for the calendar quarter (including Participant accounts invested in the self-directed brokerage option, if any) and determined by the following formula:

Total Participant account balances on the first day of the calendar quarter (or the first day of the Agreement Term if later) plus total Participant account balances on the last day of the calendar quarter (or the last day of the Agreement Term if earlier) divided by 2. Partial quarters at the beginning and ending of the Agreement Term are calculated on a pro-rata basis. In this formula, Participant account balances include beneficiaries and alternate payees.

This level of services and rate continues in all subsequent years of the Agreement and each exercised option for extension of the Agreement unless Plan Sponsor exercises its annual option to change the level of service or pay on the basis of the number of Participant accounts.

### 1.3 Reconciliation of Revenue:

Within sixty (60) calendar days after the end of each calendar quarter, Empower shall prepare a reconciliation of the Revenue received and the Recordkeeping and Communication Fee.

During the first year, if Revenue exceeds 0.035% quarterly, Empower will pay the excess to the Plan within sixty (60) calendar days after the end of each calendar quarter. Empower shall place these assets in an unallocated trust assets account to be used for Plan purposes as set forth in the Plan document and invested in a single investment option selected by Plan Sponsor.

The level of education and outreach services, rate and type of compensation shall continue at these levels until Plan Sponsor notifies Empower pursuant to Part I section 1 that a different level, or type of compensation are to apply. The rates shall be as applicable to the level and type of compensation selected.

1.3.1 Trustee/Custodian Services: Trustee and custodian services are provided by Wells Fargo Bank, N.A. The compensation received by Wells Fargo Bank, N.A. for trustee/custodian services is reflected in the Plan's Fee. If amended, additional fees may be reflected in the trust or custodial agreement between Wells Fargo Bank, N.A. and the Plan Sponsor.

1.3.2 Plan Document: If applicable, preparation of Empower sample plan document, including amendments.

No additional fee.

**2.0 FEE SCHEDULE FOR PARTICIPANTS FROM THEIR ACCOUNT BALANCES FOR INDIVIDUAL SPECIFIC SERVICES**

|           |  |  |
|-----------|--|--|
| <b>A.</b> | <b><u>Loans:</u></b>   |  |
|           | <b>Origination Fee</b>   | <b>\$50</b>  |
|           | <b>Annual Maintenance Fee</b>  | <b>\$25 (deducted from participant's account in 4 equal quarterly installments)</b>  |
| <b>B.</b> | <b><u>Distributions:</u></b>   |  |
|           | <b>Distribution</b>  | <b>None</b>  |
|           | <b>Expedited Delivery</b>  | <b>ACH-\$15 per distribution</b>   |
|           | <b>Overnight Delivery</b>  | <b>\$25 per distribution</b>   |
|           | <b>Wire Transfers</b>  | <b>\$40 per distribution</b>   |
| <b>C.</b> | <b><u>DRO Qualification Fee (QDRO review &amp; processing)</u></b>                           | <p><b>\$250 per QDRO reviewed &amp; processed; deducted as specified in the approved order: from participant's account balance or alternate payee's account or lump sum distribution, as applicable</b></p> <p>The qualification fee is charged one-half (\$125.00) against the Alternate Payee's share account and one-half (\$125.00) against the Participant's remaining account. If the Alternate Payee is awarded 100% of the Participant's account balance as of the date, the entire processing fee shall be charged to the Alternate Payee's account/share. If there are not sufficient funds in either party's account to pay that party's share of the fee, the difference is charged to the other person.</p> |
| <b>D.</b> | <b><u>Self-Directed Brokerage Fee</u></b>  |  |
|           | <b>Annualized Fee from each Participant using the Self-Directed Brokerage ("SDB") option</b> | <p><b>\$60 per Participant using SDB (deducted from participant's account in 4 equal quarterly installments of \$15)</b></p> <p><b>Plus any fees charged by SDB provider, any management or other fees specific to each investment option selected</b></p>   |

**3.0 CREDITS OR INTEREST EARNED**

Any credits or interest earned on Plan assets awaiting investment or pending distribution is aggregated with other credits or interest earned by Empower affiliates and used to defray aggregate expenses for maintenance of bank accounts.

Empower and its affiliates will not retain credits or interest in excess of these maintenance expenses.

**3.1 Credits and/or interest are earned from the use of:**

- 3.1.1 uninvested contributions received too late in the day or in a manner in which the contribution lacks sufficient information that prevents Empower from appropriately processing the contribution because the contribution lacks sufficient information to be invested same-day and**

- 3.1.2 proceeds from Investment option redemptions where Plan distribution checks have not been presented for payment by Participants.
- 3.2 Credits and/or interest begin to accrue on
  - 3.2.1 contributions, on the date such amounts are deposited into the bank account and end on the date such amounts are invested pursuant to Participant instructions and
  - 3.2.2 distributions, on the date the check is written or on the wire date, as applicable and end on the date the check is presented for payment or when the wire clears against the account, as applicable.

Earnings of credits and/or interest are at the rate the bank provides from time to time.

Plan Sponsor may direct Empower in writing to assess a mutually agreeable per Participant fee, asset fee, or combination fee to Participants account balances. Such fee(s) may be deposited into an unallocated trust assets account to be used for plan purposes as set forth in the plan document and as directed in writing by the Plan Sponsor. These assets may be invested in a single investment option and such fees may be adjusted annually as specified by Plan Sponsor, if applicable.

## 1.0 FEE SCHEDULE FOR CORE SERVICES:

### 1.1 Basic Annual Administration Fee.

Empower will be entitled to the following annual administration fee. This fee is used, in whole or in part, for administrative services (including reporting and testing and annual unit valuation) provided by Empower as described in the Agreement. During the first year of the Agreement, the annual fee will be payable on a quarterly basis, based on the average daily balance of Plan assets during the assessment period.

0.12% or 12 basis points per year.

Empower and Plan Sponsor agree that fees are to be paid, to the extent possible, from fees Empower, and/or one or more of its affiliates, receives from mutual fund families and other investment providers for providing certain administrative or other services as described in Investment Options (“Revenue”).

The 0.12% annual rate is calculated quarterly at 0.03% on the average daily balance of all total Participant account balances, excluding loan balances, for the calendar quarter (including Participant accounts invested in the self-directed brokerage option, if any) and determined by the following formula:

Total Participant account balances, excluding loan balances, on ~~the each first~~ day of the calendar quarter (or the first day of the Agreement Term if later) are added together plus total Participant account balances on the last day of the calendar quarter (or the last day of the Agreement Term if earlier) and divided by the number of days in that calendar quarter<sup>2</sup>. Partial quarters at the beginning and ending of the Agreement Term are calculated on the average daily balance of the total Participant accounts, excluding loan balances, during the applicable period ~~pro-rata basis~~. In this formula, Participant account balances include beneficiaries and alternate payees.

This rate continues in all subsequent years of the Agreement and each exercised option for extension of the Agreement unless Plan Sponsor exercises its annual option to pay on the basis of the number of Participant accounts.

### 1.2 Participant Education:

During the first year of the Agreement, Empower will provide 24 hours per month of education and outreach services as described in Part 1 of the Agreement, including support for employee enrollment and education meetings, and will provide all necessary employee education and communications materials, including education and planning tools through the Internet.

During the first year of the Agreement, Empower will be entitled to the following fee for education and training and the annual fee will be payable on a quarterly basis, based on the average daily balance of Plan assets during the assessment period.

00.02% or 2 basis points per year.

Empower and Plan Sponsor agree that fees are to be paid, to the extent possible, from fees Empower, and/or one or more of its affiliates, receives from mutual fund families and other investment providers for providing certain administrative or other services as described in Investment Options (“Revenue”).

The 0.02% annual rate is calculated quarterly at 0.005% on the average daily balances of all total Participant account balances, excluding loan balances, for the calendar quarter (including Participant accounts invested in the self-directed brokerage option, if any) and determined by the following formula:

Total Participant account balances, excluding loan balances, on each the first day of the calendar quarter (or the first day of the Agreement Term if later) are added together and plus total Participant account balances on the last day of the calendar quarter (or the last day of the Agreement Term if earlier) divided by the number of days in that calendar quarter<sup>2</sup>. Partial quarters at the beginning and ending of the Agreement Term are calculated on the average daily balance of the total Participant accounts, excluding loan balances, during the applicable period<sup>a</sup> ~~pro-rata basis~~. In this formula, Participant account balances include beneficiaries and alternate payees.

This level of services and rate continues in all subsequent years of the Agreement and each exercised option for extension of the Agreement unless Plan Sponsor exercises its annual option to change the level of service or pay on the basis of the number of Participant accounts.

**ADOPTION AGREEMENT**

**GREAT-WEST RETIREMENT SERVICES®**

**SECTION 457(b)**

**ELIGIBLE DEFERRED COMPENSATION PLAN**

**FOR GOVERNMENTAL EMPLOYERS**

Adopted By: Travis County  
Employer

Travis County Texas 457(b) Deferred Compensation Plan  
Plan Name

**GREAT-WEST RETIREMENT SERVICES  
SECTION 457(b)  
ELIGIBLE DEFERRED COMPENSATION PLAN  
FOR GOVERNMENTAL EMPLOYERS**

The Employer named below is a governmental "eligible employer" within the meaning of Code § 457(e)(1)(A) and hereby amends and restates a deferred compensation plan for eligible Employees as provided in this Adoption Agreement and the accompanying 2008 Great-West Retirement Services Section 457(b) Eligible Deferred Compensation Plan sample Basic Plan Document.

**A. EMPLOYER INFORMATION.**

1. EMPLOYER'S NAME AND ADDRESS:

Travis County  
PO Box 1748  
Austin, Texas 78767

2. TELEPHONE NUMBER: (512) 854-4821

3. TAX ID NUMBER: 74 6000 192

4. NAME OF PLAN: Travis County, Texas 457(b) Deferred Compensation Plan

5. NAME OF PLAN ADMINISTRATOR (the Employer unless another person(s) is appointed as set forth in Section 11.02 of the Plan):

Travis County, Texas

**B. EFFECTIVE DATE.** (Check box 1 OR box 2 and fill in the blank(s).)

1.  This is a new Plan having an effective date of the date the Employer executes this Adoption Agreement or, if later: \_\_\_\_\_.

2.  This is an amended and restated Plan.

The effective date of the original Plan was September 15, 1980.

The effective date of the amended and restated Plan is the later of January 30, 2009 or the date the Employer executes this Adoption Agreement.

**C. CUSTODY OF ASSETS.** (Check each box that applies.)

Internal Revenue Code ("Code") § 457(g) shall be satisfied by setting aside Plan assets for the exclusive benefit of Participants and Beneficiaries, as follows:

1.  in a Trust pursuant to the provisions of Article VII of the Plan. The Employer, or certain employees (or holders of certain positions with Employer) as named in this Adoption Agreement shall be the Trustee. *Note: if the Employer is the Trustee, it is the responsibility of the Employer to determine that it has the authority under applicable law to act as Trustee.*
2.  in a Trust pursuant to a separate written trust agreement entered into between the Employer and the bank or trust company named in this Adoption Agreement.
3.  in one or more annuity contracts meeting the requirements of Code § 401(f).
4.  in a custodial account meeting the requirements of Code § 401(f), pursuant to a separate written agreement with the Custodian named in this Adoption Agreement.

**D. ELIGIBLE EMPLOYEES.** (Check each box that applies.)

"Employee" shall mean:

1.  any full-time employee
2.  any permanent part-time employee
3.  any seasonal, temporary or similar part-time employee
4.  any elected or appointed official
5.  any independent contractor
6.  other employees: \_\_\_\_\_

who performs services for and receives any type of compensation from the Employer (or any agency, department, subdivision or instrumentality of the Employer) for whom services are rendered. If Box D.4. is not checked, elected or appointed officials will not be treated as Employees and will not be eligible to participate in the Plan, without regard to whether they are treated as common-law employees or independent contractors for other purposes.

The following are the additional requirements or limitations, if any, for one or more of the specified class(es) of employees to be eligible to participate in the Plan:

\_\_\_\_\_

\_\_\_\_\_

**E. PARTICIPANT LOANS.** *(Check Box 1 OR Box 2.)*

1.  The Administrator MAY direct the Trustee to make Participant loans in accordance with Article IV of the Plan.
2.  The Administrator MAY NOT direct the Trustee to make Participant loans in accordance with Article IV of the Plan.

**F. DISTRIBUTION OF SMALL ACCOUNT BALANCES.** *(Check Box 1, 2 OR 3.)*

1.  The Administrator SHALL direct the Trustee to distribute account balances of \$1,000 or less meeting the requirements of Section 5.03(b) of the Plan without Participant consent.
2.  The Administrator SHALL direct the Trustee to distribute account balances in excess of \$1,000 but not exceeding \$5,000 meeting the requirements of Section 5.03(b) of the Plan without Participant consent.
3.  The Administrator SHALL NOT direct the Trustee distribute any account balances without Participant consent.

**G. IN-SERVICE DE MINIMIS DISTRIBUTIONS.** *(Check Box 1, 2 OR 3.)*

1.  The Administrator SHALL direct the Trustee to distribute account balances of \$1,000 or less meeting the requirements of Section 5.04(b) of the Plan without Participant consent.
2.  The Administrator SHALL direct the Trustee to distribute account balances in excess of \$1,000 but not exceeding \$5,000 meeting the requirements of Section 5.04(b) of the Plan without Participant consent.
3.  The Administrator SHALL NOT direct the Trustee to distribute any account balances without Participant consent.
4.  In-Service Distribution of De Minimis Accounts provisions under Section 5.04(a) and 5.04(b) of the Plan SHALL NOT apply.

**H. ROLLOVERS.** *(Check each box that applies.)*

1.  Rollovers from eligible Code § 457(b) plans SHALL BE allowed pursuant to Section 6.01 of the Plan.
2.  Rollovers from plans qualified under Code §§ 401(a), 401(k), 403(a) and 403(b) SHALL BE allowed pursuant to Section 6.01 of the Plan.
3.  Rollovers from Individual Retirement Accounts and Annuities described in Code §§ 408(a) and (b) SHALL BE allowed pursuant to Section 6.01 of the Plan.

**I. QUALIFIED DOMESTIC RELATIONS ORDERS.** *(Check Box 1 OR Box 2.)*

1.  The Plan SHALL accept qualified domestic relations orders as provided in Section 15.02 of the Plan.
2.  The Plan SHALL NOT accept qualified domestic relations orders as provided in Section 15.02 of the Plan.

**J. FICA REPLACEMENT ("3121") PLAN.**

Check each box that applies if this Plan is a retirement system providing FICA replacement retirement benefits pursuant to regulations under Code § 3121(b)(7)(F).

1. Eligible Employees *(check each box that applies):*
  - a.  full-time employees and/or
  - b.  part-time employees, and complete the following.
2. Contributions *(check each box that applies and fill in the appropriate blank):*
  - a.  The Employer shall make an annual contribution to each Participant's account equal to \_\_\_\_\_ percent of such Participant's Compensation.
  - b.  Each Participant is required to make an annual contribution of \_\_\_\_\_ percent of Compensation.

*(Note: The total percentage of a and b must equal at least 7.5%.)*

In the event that this Plan is a retirement system providing FICA replacement retirement benefits as described above, all references in the Plan Document to in-service distributions for De Minimis accounts, age 70 ½ and Unforeseeable Emergency distributions shall be null and void. In addition, Permissive Service Credit Transfers in Section 6.04 may not be made prior to Severance of Employment.

**K. NONELECTIVE EMPLOYER CONTRIBUTIONS.** *(Note any Employer contribution will reduce, dollar for dollar, the amount a Participant can contribute.)  
(Check Box 1 OR Box 2 AND complete Box 3.)*

1.  The Employer will not make any nonelective employer contributions to the Plan.
2.  The Employer will make nonelective employer contributions to the Plan. Such contributions are vested at 100% upon contribution to the plan for the following Eligible Employees: *(Complete Box a, b or c.)*
  - a.  all Eligible Employees checked in Section D. above and for the following amount \$ \_\_\_\_\_.

b.  only the following class(es) of Eligible Employees and amounts set forth below:

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c.  to Eligible Employees selected by the employer in its sole discretion and in such amounts as the Employer may determine at its sole discretion.

3. Employees designated as eligible to receive nonelective employer contributions  are  are not required to complete enrollment forms in order to receive such contributions.

**L. DEEMED TRADITIONAL IRA. (Check Box 1 OR Box 2.)**

1.  The deemed traditional IRA provisions of Article IX of the Plan SHALL apply, effective \_\_\_\_\_ . The trustee or custodian of the deemed traditional IRA shall be *(insert name of bank, trust company or approved non-bank custodian)*: \_\_\_\_\_

2.  The deemed traditional IRA provisions of Article IX of the Plan SHALL NOT apply.

**M. DEEMED ROTH IRA. (Check Box 1 OR Box 2.)**

1.  The deemed Roth IRA provisions of Article X of the Plan SHALL apply, effective \_\_\_\_\_ . The trustee or custodian of the deemed Roth IRA shall be *(insert name of bank, trust company or approved non-bank custodian)*: \_\_\_\_\_

2.  The deemed Roth IRA provisions of Article X of the Plan SHALL NOT apply.

**N. AUTOMATIC ENROLLMENT. (Check Box 1 OR Box 2.)**

1.  Effective for Plan Years beginning on and after \_\_\_\_\_, this Plan will use an automatic enrollment feature.

2.  This Plan will NOT use automatic enrollment.

This Adoption Agreement to the sample Basic Plan Document attached hereto is duly executed on behalf of the Employer by the undersigned authorized signors.

The Employer further understands and acknowledges that:

- The sample Basic Plan Document including this Adoption Agreement is a sample provided as a courtesy to the Employer and has not been approved by the Internal Revenue Service. Obtaining such approval, if desired by the Employer, is solely the responsibility of the Employer.
- Great-West Retirement Services is not a party to the Plan and shall not be responsible for any tax or legal aspects of the Plan. The Employer assumes responsibility for these matters.
- Employer has counseled to the extent necessary, with its own legal and tax advisors.
- Great-West Retirement Services will send courtesy amendments for changes in applicable law to Employer's adopting this sample Basic Plan Document until a restated sample Basic Plan Document is made available. We will cease providing amendments to prior versions of the sample Basic Plan Document and only those Employers adopting the restated sample Basic Plan Document will receive sample amendments.

**EMPLOYER'S AUTHORIZED SIGNORS:**

TRAVIS COUNTY, Texas  
By: Samuel T. Biscoe By: \_\_\_\_\_  
Title: Samuel T. Biscoe Title: \_\_\_\_\_  
County Judge  
Date: 12.24.08 Date: \_\_\_\_\_

**CUSTODIAN**

**[Complete this section only if box C.4. was checked.]**

Employer has elected to meet the trust requirement of Code § 457(g) by setting Plan assets aside for the exclusive benefit of Participants and Beneficiaries in a custodial account meeting the requirements of Code § 401(f) and satisfying Code §457(g)(3). The bank or trust company custodian named below shall be the "deemed trustee" of Plan assets held pursuant to the custodial agreement. *Note: for a list of entities qualified to act as a custodian for this purpose, please refer to IRS Announcement 2007-47, or its successor.*

A. Effective January 30, 2009, the following named bank or trust company is hereby appointed as custodian of all or a portion of the assets of the Employer's § 457(b) Deferred Compensation Plan:

Wells Fargo Bank, N.A.

B. INDIVIDUAL(S) AUTHORIZED TO ISSUE INSTRUCTIONS TO CUSTODIAN/TRUSTEE:  
The following representatives are authorized to sign all documents on behalf of the Plan Administrator after approval by Commissioners Court at a duly called meeting.

Samuel T. Biscoe

Ron Davis

Sarah Eckhardt

Karen Huber

Margaret Gomez

The following representatives are authorized to sign only documents related to approval of distributions and approval of rollover requests that are in compliance with the law and the Plan Document on behalf of the Plan Administrator:

Charles Vaughn

Gregory Jacobs

This appointment is duly signed on behalf of the Employer and the Custodian.

EMPLOYER  
TRAVIS County, Texas

By: Samuel T. Biscoe  
[Signature] Samuel T. Biscoe

County Judge  
[Title]

12-24-08  
[Date]

CUSTODIAN Wells Fargo Bank, N.A.

By: Andrea Attish  
[Signature]

Assistant Vice President  
[Title]

1/22/09  
[Date]

**GREAT-WEST RETIREMENT SERVICES®**

**SECTION 457(b)**

**ELIGIBLE DEFERRED COMPENSATION PLAN**

**FOR GOVERNMENTAL EMPLOYERS**

**BASIC PLAN DOCUMENT**

**INTRODUCTION TO GREAT-WEST  
SECTION 457(b) ELIGIBLE DEFERRED COMPENSATION PLAN  
FOR GOVERNMENTAL EMPLOYERS**

The attached sample Basic Plan Document may be used together with the related Adoption Agreement by eligible governmental employers and their counsel as a model in preparing a deferred compensation plan document intended to satisfy § 457(b) of the Internal Revenue Code of 1986, as amended. In general, under a § 457(b) plan, which is also referred to as an “eligible deferred compensation plan,” a participant may defer amounts of compensation (and income earned on those deferrals) and avoid federal income taxation until those amounts are paid to the participant.

The following types of governmental entities may establish eligible § 457(b) plans:

1. The 50 states of the United States and the District of Columbia;
2. A political subdivision of a state (for example, a county or municipality); and
3. Any agency or instrumentality of a state or a political subdivision of a state.

This sample Basic Plan Document contains provisions that may be included in an eligible governmental deferred compensation plan. No local, state or federal government has passed on the legal sufficiency (including the conformity with § 457) of this sample Basic Plan Document. It was prepared for your convenience and is not intended to provide you with legal or accounting advice, nor should it be implemented without regard to your particular needs or any applicable laws of your state or local jurisdiction. Great-West Retirement Services, a unit of Great-West Life & Annuity Insurance Company, nor any of its affiliated companies, (collectively referred to herein as “Great-West”) assumes any liability to any person or entity with respect to the adequacy of this document for any purpose, or with respect to any tax, accounting or legal ramifications arising from its use. You and your counsel should review and, where appropriate, modify the provisions to meet your particular needs and applicable local laws. Alterations to the Adoption Agreement are permissible, but any such alteration that requires a Plan amendment must be set forth in a separate amendment attached to the front of the plan document.

Great-West is not a party to any plan which you may adopt, and Great-West has no responsibility, accountability, or liability to you, any employer, any participant or any beneficiary with regard to the operation or adequacy of this sample plan document, any § 457(b) plan prepared from this sample Basic Plan Document or any future amendments made to this sample Basic Plan Document including amendments to satisfy any changes in applicable law. You should consult with your legal counsel prior to adopting any plan document.

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## SECTION 457(b) ELIGIBLE DEFERRED COMPENSATION PLAN

### INTRODUCTION

In accordance with the provisions of § 457 of the Internal Revenue Code of 1986, as amended, the Employer named in the Adoption Agreement hereby establishes this § 457(b) Eligible Deferred Compensation Plan, hereinafter referred to as the "Plan." Nothing contained in this Plan shall be deemed to constitute an employment agreement between any Participant and Employer, and nothing contained herein shall be deemed to give a Participant any right to be retained in the employ of Employer.

### I. DEFINITIONS

- 1.01 "Account Balance." The bookkeeping account maintained with respect to each Participant which reflects the value of the deferred Compensation credited to the Participant, including the Participant's Annual Deferrals, the earnings or loss of the Fund (net of Fund expenses) allocable to the Participant, any transfers for the Participant's benefit and any distribution made to the Participant or the Participant's Beneficiary. If a Participant has more than one Beneficiary at the time of the Participant's death, then each Beneficiary's share of the Account Balance shall be treated as a separate account for each Beneficiary. The Account Balance includes any account established under Article VI for rollover contributions and plan-to-plan transfers made for a Participant, the account established for a Beneficiary after a Participant's death, and any account or accounts established for an Alternate Payee (as defined in Code § 414(p)(8)).
- 1.02 "Administrator." Administrator means the person, persons or entity appointed by the Employer to administer the Plan as set forth in the Adoption Agreement. Administrator shall not include the recordkeeper or any company which issues policies, contracts or investment media to the Plan in respect of a Participant.
- 1.03 "Adoption Agreement." Adoption Agreement means the agreement which, together with this sample Basic Plan Document, constitutes the Plan.
- 1.04 "Alternate Payee." Alternate Payee means the spouse, former spouse, child or other dependent of a Participant who has acquired an interest in the Participant's account pursuant to a Qualified Domestic Relations Order (QDRO) pursuant to Section 15.02. Alternate Payees shall be treated as Beneficiaries for all purposes under the Plan except that Alternate Payees shall be allowed to request a distribution of all or a portion of their account balance at any time, subject to the terms of the QDRO.
- 1.05 "Annual Deferral." The amount of Compensation deferred in any taxable year. Any Voluntary Employee Contribution to a deemed IRA under Article IX or X shall not be considered an annual deferral.

- 1.06 **“Beneficiary.”** The designated person (or, if none, the Participant’s surviving spouse, if any, and then the Participant’s estate) who is entitled to receive benefits under the Plan after the death of a Participant.
- 1.07 **“Code.”** The sections of the Internal Revenue Code of 1986, as now in effect or as hereafter amended or recodified. References herein to specific section numbers of the Code shall be deemed to include Treasury regulations and Internal Revenue Service guidance thereunder as in effect now, as amended or recodified in corresponding provisions of any future United States internal revenue law.
- 1.08 **“Compensation.”** All cash compensation for services to the Employer, including salary, wages, fees, commissions, bonuses and overtime pay, that is includible in the Employee’s gross income for the calendar year, plus amounts that would be cash compensation for services to the Employer includible in the Employee’s gross income for the calendar year but for a compensation reduction election under Code §§ 125, 132(f), 401(k), 403(b), or 457(b) (including an election to defer compensation under Article III). To the extent permitted by Treasury regulations or other similar guidance (including, without limitation, the requirements contained in Treasury Regulations §§ 1.457-4(d)(1) and 1.415-2(e)(3)(i)), accrued bona fide sick, vacation or other leave pay so long as the Participant would have been able to use the leave if employment had continued and it is paid within the longer of two and one-half (2 ½) months after the Participant’s severance from employment or the end of the calendar year in which the Participant severs employment with the Employer.

For Plan Years after December 31, 2008, to the extent permitted by the applicable Code provisions and Treasury regulations, Compensation shall include pay received by a Participant from the Employer while performing Qualified Military Service but only to the extent the pay does not exceed the amounts the Participant would have received if the Participant had continued to perform services for the employer rather than entering Qualified Military Service.

- 1.09 **“Custodian.”** The bank, trust company or other person, if any, selected by the Employer in the Adoption Agreement and who is authorized to hold Plan assets in a custodial account in accordance with regulations issued by the Secretary of the Treasury pursuant to Code § 401(f).
- 1.10 **“Employee.”** Each natural person (individual) who is employed by the Employer, either as a common law employee or an independent contractor, including elected or appointed individuals, as selected in the Adoption Agreement. Any employee who is included in a unit of employees covered by a collective bargaining agreement that does not specifically provide for participation in the Plan shall be excluded.
- 1.11 **“Employer.”** The eligible governmental entity sponsoring the Plan as named in the Adoption Agreement.

- 1.12 **"Includible Compensation."** An employee's actual wages in Box 1 of Form W-2 for a year for services to the Employer, but subject to a maximum of \$200,000 (or such higher maximum as may apply under Code § 401(a)(17)) and increased (up to the applicable limit contained in Code § 401(a)(17)) by any compensation reduction election under Code §§ 125, 132(f), 401(k), 403(b), or 457(b) (including an election to defer Compensation under Article III).
- 1.13 **"Nonelective Employer Contribution."** Nonelective Employer Contribution is a contribution made by an Employer for the Participant with respect to which the Participant does not have the choice to receive the contribution in cash or property. Such term may also include an employer matching contribution.
- 1.14 **"Normal Retirement Age."** Normal Retirement Age means age 70½, unless the Participant has elected an alternate Normal Retirement Age and delivered such election to the Administrator prior to beginning Special § 457 Catch-up contributions as described in Section 3.03 of the Plan. Once a Participant has begun making Special § 457 Catch-up contributions, his Normal Retirement Age may not be changed.

For Participants eligible to receive benefits under the Employer's basic defined benefit pension plan or a money purchase pension plan (herein collectively referred to as "pension plan"), a Participant's alternate Normal Retirement Age may not be earlier than the earliest date the Participant has the right to retire and receive immediate retirement benefits under such pension plan, without actuarial or similar reduction because of retirement before some later specified age, and the date selected may not be later than age 70 ½.

If the Participant is not eligible to receive benefits under a basic defined benefit pension plan or money purchase pension plan, the Participant's alternate Normal Retirement Age may not be earlier than age 65 nor later than age 70 ½.

A special rule shall apply to qualified police or firefighters under the Plan, if any. Any qualified police or firefighter, as defined under Code § 415(b)(2)(H)(ii)(I), who is participating in the Plan may choose a Normal Retirement Age that is not earlier than age 40 nor later than age 70 ½.

- 1.15 **"Participant."** An individual who is currently deferring Compensation, or who has previously deferred Compensation under the Plan by salary reduction or received a Non-elective Employer Contribution and who has not received a distribution of his or her entire benefit under the Plan. Except for purposes of Articles II, IV, IX, X and XII, the term "Participant" shall include a former Participant. The Administrator, if he or she is otherwise eligible, may participate in the Plan.
- 1.16 **"Participation Agreement."** The agreement entered into and filed by an Employee with the Employer pursuant to Article II, in which the Employee elects to become a Plan Participant.

- 1.17 **“Plan.”** The Plan named in the Adoption Agreement and consisting of the Adoption Agreement and this Sample Basic Plan Document.
- 1.18 **“Plan Year.”** The calendar year.
- 1.19 **“Qualified Military Service.”** Any service in the uniformed service (as defined in Chapter 43 of Title 38 of the United States Code as in effect as of December 12, 1994) by any individual if such individual is entitled to reemployment rights under such Chapter with respect to such service.
- 1.20 **“Severance from Employment.”** The date the Employee dies, retires, or otherwise has a severance from employment with the Employer, as determined by the Administrator (and taking into account guidance issued under the Code). An Employee whose employment is interrupted by Qualified Military Service under Code § 414(u) shall be deemed severed from employment until such time as he or she is reemployed following the term of duty. Effective for Plan Years after December 31, 2008, if a Participant called to Qualified Military Service receives a distribution from the Plan due to severance, the Participant’s deferrals to the Plan shall be suspended for six-months following the date of the distribution. All other Participants shall be deemed to have severed employment with the Employer for purposes of this Plan when both parties consider the employment relationship to have terminated and neither party anticipates any future employment of the Participant by the Employer.
- In the case of a Participant who is an independent contractor, Severance from Employment shall be deemed to have occurred when the Participant’s contract for services has completely expired and terminated, there is no foreseeable possibility that the Employer shall renew the contract or enter into a new contract for services to be performed by the Participant, and it is not anticipated that the Participant shall become an Employee of the Employer.
- 1.21 **“Trust or Custodial Agreement.”** The written agreement (or declaration) made by and between the Employer and the Trustee under which the Trust Fund is maintained. Custodial accounts and annuity contracts described in Code § 401(f) may be treated as trusts under the rules described in Treasury Regulation § 1.457-8(a)(3).
- 1.22 **“Trust Fund.”** The trust fund created under and subject to the Trust Agreement or Custodial Agreement, as selected in the Adoption Agreement.
- 1.23 **“Trustee.”** The Trustee duly appointed and currently serving under the Trust Agreement if selected in the Adoption Agreement.
- 1.24 **“Valuation Date.”** Each business day.

## **II. PARTICIPATION AND CONTRIBUTIONS**

- 2.01 **Eligibility.** Individuals performing services for the Employer, as selected in the Adoption Agreement, shall be eligible to participate in the Plan upon becoming employed by the Employer unless specifically restricted in the Adoption Agreement.
- 2.02 **Election Required for Participation.** An Employee may elect to become a Participant by executing a Participation Agreement to defer a portion of his or her Compensation (and have that amount contributed as an Annual Deferral on his or her behalf) and filing it in good order with the Administrator. In entering into the Participation Agreement, the Participant elects to participate in this Plan and consents to the deferral by the Employer of the amount specified in the Participation Agreement from the Participant's gross compensation for each payroll period and agrees to be bound by all the terms and conditions of the Plan. Such deferral shall continue in effect until modified, disallowed or revoked in accordance with the terms of this Plan or until the Participant ceases employment with the Employer.

Any prior Employee who was a Participant in the Plan and is rehired by Employer may resume participation in the Plan by entering into a Participation Agreement so long as any distributions being taken from this Plan are terminated prior to the resumption of deferrals under the Plan.

The Employer retains the right to establish minimum deferral amounts per payroll period, and to change such minimums from time to time and to limit the number and/or timing of enrollments into the Plan in the Participation Agreement. No adjustment in future deferrals shall be made if a periodic deferral is missed or is less than the amount elected. The Employer or Administrator shall have the right to modify or disallow the periodic deferral of Compensation elected by the Participant:

- (a) in excess of the limitations stated in Article III;
- (b) in excess of the Participant's net Compensation for any payroll period;
- (c) upon any change in the length of the payroll period utilized by Employer. In such case the periodic deferral shall be adjusted so that approximately the same percentage of pay shall be deferred on an annual basis;
- (d) in order to round periodic deferrals to the nearest whole dollar amount;
- (e) to reduce the future deferrals in the event that the amount actually deferred for any payroll period exceeds, for any reason whatsoever, the amount elected by the Participant. In the alternative, such amount of excess deferral may be refunded to the Participant; or
- (f) if the deferral elected for any payroll period is less than the minimum amount specified by the Employer or Administrator.

The participation election, or such other form as approved by the Administrator, shall include the Employee's designation of investment funds. Any such election shall remain in effect until a new election is filed. A change in the investment direction shall take effect as of the date provided by the Administrator on a uniform basis for all Employees.

The Participant may also designate a Beneficiary(ies) to receive any amounts that may be distributed in the event of death of the Participant prior to the complete distribution of benefits. A Participant may change the designated Beneficiary(ies) at any time by filing such change with the Administrator in a manner approved by the Administrator. A change in the Beneficiary designation shall take effect when the election is accepted by the Administrator. If no such designation is in effect on the Participant's death, or to the extent that there is no Beneficiary who is treated as surviving the Participant by 30 days, the Beneficiary shall be the Participant's surviving spouse, if any, or if none, the Participant's estate.

2.03 Nonelective Employer Contributions. If selected in the Adoption Agreement, the Employer may make nonelective contributions to the Plan in the amounts and to the Employees designated under the Adoption Agreement.

2.04 Commencement of Participation.

- (a) Voluntary Enrollment. An Employee shall become a Participant as soon as administratively practicable following the date the Employee files a participation election pursuant to Section 2.02. Such election shall become effective no earlier than the calendar month following the month in which the election is made. A new Employee may defer compensation payable in the calendar month during which the Participant first becomes an Employee if an agreement providing for the deferral is entered into on or before the first day on which the Participant performs services for the Employer.
- (b) Automatic Enrollment. Notwithstanding Section 2.01 and Section 2.02, to the extent permitted by applicable law, the Administrator may establish procedures whereby, as a term or condition of employment, each employee is deemed to have elected to participate in the Plan and consents to the deferral by the Employer of a specified amount (or a uniform percentage of Compensation for an Eligible Automatic Contribution Arrangement intended to satisfy Code § 414(w)) for any payroll period for which a Participation Agreement is not in effect. If such procedures are in place, a Participant may elect a different deferral amount per payroll period, including zero, by entering into a Participation Agreement. This last sentence is not applicable to Participants in a Plan that is a retirement system providing FICA replacement retirement benefits pursuant to regulations under Code § 3121(b)(7)(F).

For Eligible Automatic Contribution Arrangements intended to satisfy Code § 414(w), in addition to the above, the Administrator shall provide to each

Participant affected by this Section 2.04(b) with an annual notice that satisfies the requirements contained in Code § 414(w) and any applicable guidance issued thereunder. These requirements include:

- (1) A description of the Participant's rights and obligations under the automatic arrangement that is sufficiently accurate to apprise the Participant of such rights and obligations;
- (2) Satisfying the requirements for notice contained in Treasury Regulation § 1.401(k)-3(d)(2)(ii);
- (3) Describing the level of elective contributions that will be made on the Participant's behalf in the event the Participant does not make an affirmative election;
- (4) Describing the Participant's rights to not have automatic elective contributions made to the Plan on the Participant's behalf;
- (5) Describing how contributions will be invested absent the Participant's affirmative investment election;
- (6) Describing the Participant's right to make a permissive withdrawal of the automatic elective contributions and the applicable rules governing such withdrawals; and
- (7) Providing the notice to Participants within a reasonable period of time prior to each Plan Year (or in the year the Employee first becomes eligible to enroll in the Plan, within a reasonable period of time prior to becoming an Eligible Employee).

For automatic enrollment arrangements not intending to satisfy Code § 414(w), the Employer must satisfy the requirements of IRS Revenue Ruling 2000-33.

**2.05 Information Provided by the Participant.** Each Employee enrolling in the Plan should provide to the Administrator at the time of initial enrollment, and later if there are any changes, any information necessary or advisable, in the sole discretion of the Administrator, for the Administrator to administer the plan, including, without limitation, whether the Employee is a participant in any other eligible plan under Code § 457(b).

**2.06 Contributions Made Promptly.** Annual Deferrals by the Participant under the Plan shall be transferred to the Trust Fund within a period that is not longer than is reasonable for the proper administration of the Participant's Account Balance in a manner consistent with the requirements contained in Treasury Regulation § 1.457-8(a)(2)(ii). For this purpose, Annual Deferrals shall be treated as contributed within a period that is not longer than is reasonable for the proper administration if the contribution is made to the

Trust Fund within 15 business days following the end of the month in which the amount would otherwise have been paid to the Participant.

- 2.07 **Amendment of Annual Deferrals Election.** Subject to other provisions of the Plan, a Participant may at any time revise his or her participation election, including a change of the amount of his or her Annual Deferrals. Unless the election specifies a later effective date, a change in the amount of the Annual Deferrals shall take effect as of the first day of the next following month or as soon as administratively practicable if later. A revocation of deferrals shall take effect as soon as administratively practicable under the Employer's payroll system. Notwithstanding the above, if a negative election procedure has been implemented pursuant to Section 2.04(b), a Participant may enter into or modify a Participation Agreement at any time to provide for no deferral; provided that Participants in a Plan that is a retirement system providing FICA replacement retirement benefits pursuant to regulations under Code § 3121(b)(7)(F) are not permitted to modify their Participation Agreement to provide for no deferrals or to revoke their Participation Agreement.
- 2.08 **Leave of Absence.** Unless an election is otherwise revised, if a Participant is absent from work by leave of absence, Annual Deferrals under the Plan shall continue to the extent that Compensation continues.
- 2.09 **Disability.** A disabled Participant may elect to make Annual Deferrals during any portion of the period of his or her disability to the extent that he or she has actual Compensation (not imputed Compensation and not disability benefits) from which to make contributions to the Plan and has not had a Severance from Employment.
- 2.10 **Revocation of Deferrals.** In addition to a Participant's ability to change or revoke an election as described in Section 2.07, a Participant's request for a distribution in the event of an Unforeseeable Emergency as defined in Section 5.05(b) shall in addition be treated as a request for revocation of deferrals as of a date determined by the Administrator for the period of time determined under Section 5.05(f). Revocation of deferrals is not a distributable event, however, and the Participant's Account may only be distributed as provided in Article V.
- 2.11 **Re-Enrollment.** A Participant who revokes the Participation Agreement may again become a Participant at the times and in the manner authorized by the Administrator, by entering into a new Participation Agreement to defer Compensation payable no earlier than the first payroll period after the first day of the month after such new Participation Agreement is entered into by the Participant and accepted by the Administrator.

### **III. LIMITATIONS ON AMOUNTS DEFERRED**

- 3.01 **Basic Annual Limitation Effective for Calendar Years On and After January 1, 2002.** The maximum amount of the Annual Deferral under the Plan for any calendar year shall not exceed the lesser of (i) the Applicable Dollar Amount or (ii) the Participant's

Includible Compensation for the calendar year. The Applicable Dollar Amount is the amount established under Code § 457(e)(15) applicable as follows:

\$11,000 for 2002;  
\$12,000 for 2003;  
\$13,000 for 2004;  
\$14,000 for 2005; and  
\$15,000 for 2006 and thereafter.

After 2006, the Applicable Dollar Amount is adjusted for cost-of-living under Code § 457(e)(15)(B). The Annual Deferral amount does not include any rollover amounts received by the Plan under Treasury Regulation § 1.457-10(e).

3.02 Age 50 Catch-up Annual Deferral Contributions. A Participant who will attain age 50 or older by the end of the calendar year is permitted to elect an additional amount of Annual Deferrals, up to the maximum age 50 catch-up Annual Deferrals for the year. The maximum dollar amount of the age 50 catch-up Annual Deferrals for a year is as follows:

\$1,000 for 2002;  
\$2,000 for 2003;  
\$3,000 for 2004;  
\$4,000 for 2005;  
\$5,000 for 2006 and thereafter. After 2006, the \$5,000 amount is adjusted for cost-of-living under Code § 414(v)(2)(C). Age 50 catch-up contributions are subject to the requirements of Code § 414(v).

3.03 Special § 457 Catch-up Limitations for Calendar Years Beginning On and After January 1, 2002. If the applicable year is one of a Participant's last three calendar years ending before the year in which the participant attains Normal Retirement Age and the amount determined under this Section exceeds the amount computed under Sections 3.01 and 3.02, then the Annual Deferral limit under this Section shall be the lesser of:

- (a) an amount equal to two times the Section 3.01 applicable dollar limit for such year; or
- (b) the sum of:
  - (1) An amount equal to the aggregate Section 3.01 limit for the current year plus each prior calendar year beginning after December 31, 2001, during which the Participant was an Employee under the Plan, minus the aggregate amount of Compensation that the Participant deferred under the Plan during such years, plus
  - (2) An amount equal to the aggregate limit referred to in Code § 457(b)(2) for each prior calendar year beginning after December 31, 1978, and before January 1, 2002, during which the Participant was an Employee (determined without regard to Sections 3.02 and 3.03), minus the aggregate contributions to Pre-2002 Coordination Plans for such years.

However, in no event can the deferred amount be more than the Participant's Compensation for such years unless the Employer is making non-elective Employer contributions.

**3.04 Coordination of Age 50 Catch-up with Special § 457 Catch-up.** The Age 50 Catch-up does not apply for any taxable year for which a higher limitation applies under the Special § 457 Catch-up described in Section 3.03. A Participant who is eligible for the Age 50 Catch-up for a Plan Year and for whom the Plan Year is also one of the Participant's last three taxable years ending before the Participant attains Normal Retirement Age is eligible for the larger of:

- (a) The basic annual limitation described in Section 3.01 and the Age 50 Catch-up described in Section 3.02, or
- (b) The basic annual limitation described in Section 3.01 and the Special § 457 Catch-up described in Section 3.03.

**3.05 Special Rules.** For purposes of this Article III, the following rules shall apply:

- (a) **Participant Covered By More Than One Eligible Plan.** If the Participant is or has been a participant in one or more other eligible plans within the meaning of Code § 457(b), then this Plan and all such other plans shall be considered as one plan for purposes of applying the foregoing limitations of this Article III. For this purpose, the Administrator shall take into account any other such eligible plan maintained by the Employer and shall also take into account any other such eligible plan for which the Administrator receives from the Participant sufficient information concerning his or her participation in such other plan.
- (b) **Pre-Participation Years.** In applying Section 3.03, a year shall be taken into account only if the Participant was eligible to participate in the Plan during all or a portion of the year and Compensation deferred, if any, under the Plan during the year was subject to the Basic Annual Limitation described in Section 3.01 or any other plan ceiling required by Code § 457(b).
- (c) **Pre-2002 Coordination Years.** For purposes of Section 3.03(b)(2), "contributions to Pre-2002 Coordination Plans" means any employer contribution, salary reduction or elective contribution under any other eligible Code § 457(b) plan, or a salary reduction or elective contribution under any Code § 401(k) qualified cash or deferred arrangement, Code § 402(h)(1)(B) simplified employee pension (SARSEP), Code § 403(b) annuity contract, and Code § 408(p) simple retirement account, or under any plan for which a deduction is allowed because of a contribution to an organization described in Code § 501(c)(18), including plans, arrangements or accounts maintained by the Employer or any employer for whom the Participant performed services. However, the contributions for any calendar year are only taken into account for purposes of Section 3.03(b)(2) to the extent

that the total of such contributions does not exceed the aggregate limit referred to in Code § 457(b)(2) for that year.

- (d) **Disregard Excess Deferral.** For purposes of Sections 3.01, 3.02 and 3.03, an individual is treated as not having deferred Compensation under a plan for a prior taxable year to the extent Excess Deferrals under the plan are distributed, as described in Section 3.06. To the extent that the combined deferrals for pre-2002 years exceeded the maximum deferral limitations, the amount is treated as an Excess Deferral for those prior years.
- 3.06 **Correction of Excess Deferrals.** If the Annual Deferral on behalf of a Participant for any calendar year exceeds the limitations described above, or the Annual Deferral on behalf of a Participant for any calendar year exceeds the limitations described above when combined with other amounts deferred by the Participant under another eligible deferred compensation plan under Code § 457(b) for which the Participant provides information that is accepted by the Administrator, then the Annual Deferral, to the extent in excess of the applicable limitation (adjusted for any income or loss in value, if any, allocable thereto in accordance with applicable guidance), shall be distributed to the Participant.
- 3.07 **Protection of Persons Who Serve in a Uniformed Service.** An Employee whose employment is interrupted by qualified military service under Code § 414(u) may elect to make additional Annual Deferrals upon resumption of employment with the Employer equal to the maximum Annual Deferrals that the Employee could have elected during that period if the Employee's employment with the Employer had continued (at the same level of Compensation) without the interruption or leave, reduced by the Annual Deferrals, if any, actually made for the Employee during the period of the interruption or leave. This right applies for five years following the resumption of employment (or, if sooner, for a period equal to three times the period of the interruption or leave).

#### IV. LOANS

- 4.01 **Loans.** If so specified in the Adoption Agreement, a Participant who is an Employee may apply for and receive a loan from his or her Account Balance as provided in this Article IV or pursuant to a loan policy executed by the Plan Administrator. Any such loan may not be for an amount less than the minimum amount specified by the Administrator. If not specified by the Administrator, the minimum loan amount shall be \$1,000.

Except as modified by the loan policy adopted by the Plan Administrator from time to time, the following rules shall apply to loans under the Plan. Any loans that are issued under the Plan shall be administered in a manner consistent with the requirements contained in Code § 72(p), Treasury Regulation § 1.72(p)-1 and any other applicable guidance issued thereunder.

- 4.02 **Maximum Loan Amount.** No loan to a Participant hereunder may exceed the lesser of:

- (a) \$50,000, reduced by the greater of (i) the outstanding balance on any loan from the Plan to the Participant on the date the loan is made or (ii) the highest outstanding balance on loans from the Plan to the Participant during the one-year period ending on the day before the date the loan is approved by the Administrator (not taking into account any payments made during such one-year period), or
- (b) one-half of the value of the Participant's vested Account Balance.

For purposes of this Section 4.02, any loan from any other plan maintained by the Employer shall be treated as if it were a loan made from this Plan, and the Participant's vested interest under any such other plan shall be considered a vested interest under this Plan; provided, however, that the provisions of this paragraph shall not be applied so as to allow the amount of a loan under this Section 4.02 to exceed the amount that would otherwise be permitted in the absence of this paragraph.

**4.03 Loan Provisions.** The terms of the loan shall:

- (a) require level amortization with payments not less frequently than quarterly throughout the repayment period, except that alternative arrangements for repayment may apply in the event that the borrower is on a bona fide unpaid leave of absence for a period not to exceed one year for leaves other than a qualified military leave within the meaning of Code § 414(u) or for the duration of an interruption of employment which is due to qualified military service;
- (b) require that the loan be repaid within five years unless the Participant certifies in writing to the Administrator that the loan is to be used to acquire any dwelling unit which within a reasonable time is to be used (determined at the time the loan is made) as a principal residence of the Participant; and
- (c) provide for a reasonable rate of interest to be fixed by the Administrator from time to time. The Administrator shall not discriminate among Participants in the matter of interest rates, but loans granted at different times may bear different interest rates based upon prevailing rates at the time.

A loan to a Participant shall be considered a directed investment option for such Participant's account balance.

**4.04 Security for Loan; Default.**

- (a) **Security.** Any loan to a Participant under the Plan shall be secured by the pledge of the portion of the Participant's interest in the Plan invested in such loan.
- (b) **Default.** In the event that a Participant fails to make a loan payment under this Article IV by the end of the calendar quarter following the calendar quarter in

which such payment was due, a default on the loan shall occur. In the event of such default;

- (1) all remaining payments on the loan shall be immediately due and payable;
- (2) interest will continue to accrue on the unpaid balance until the loan is repaid in full; and
- (3) the Participant shall be permanently ineligible for any future loans from the Plan unless, in the Administrator's sole discretion, the Participant is deemed to be credit worthy and agrees to repay the loan through payroll deduction.

In the case of any default on a loan to a Participant, the Administrator shall apply the portion of the Participant's interest in the Plan held as security for the loan in satisfaction of the loan on the date of Severance from Employment. In addition, the Administrator shall take any legal action it shall consider necessary or appropriate to enforce collection of the unpaid loan, with the costs of any legal proceeding or collection to be charged to the Account Balance of the Participant.

Notwithstanding anything elsewhere in the Plan to the contrary, in the event a loan is outstanding hereunder on the date of a Participant's death, his or her estate shall be his or her Beneficiary as to the portion of his or her interest in the Plan invested in such loan (with the Beneficiary or Beneficiaries as to the remainder of his or her interest in the Plan to be determined in accordance with otherwise applicable provisions of the Plan).

- 4.05 **Repayment.** The Participant shall be required, as a condition to receiving a loan, to enter into an irrevocable agreement authorizing Employer to make payroll deductions from his or her Compensation so long as the Participant is an Employee and to transfer such payroll deduction amounts to the Trustee in payment of such loan plus interest. Repayments of a loan shall be made by payroll deduction of equal amounts (comprised of both principal and interest) from each paycheck, with the first such deduction to be made as soon as practicable after the loan funds are disbursed; provided, however, a Participant may prepay the entire outstanding balance of his loan at any time; and provided, further, that if any payroll deductions cannot be made in full because a Participant is on an unpaid leave of absence or is no longer employed by the Employer or the Participant's paycheck is insufficient for any other reason, the Participant shall pay directly to the Plan the full amount that would have been deducted from the Participant's paycheck, with such payment to be made by the last business day of the calendar month in which the amount would have been deducted. Loan repayments are, at the Employer's election, suspended for Qualified Military Service as permitted by Code § 414(u)(4).

## **V. BENEFIT DISTRIBUTIONS**

5.01 **Distributions from the Trust.** The payment of benefits from the Trust in accordance with the terms of the Plan may be made by the Trustee, or by any custodian or other person so authorized by the Employer to make such distribution. Neither the Administrator, the Trustee, the Custodian nor any other person shall be liable with respect to any distribution from the Trust made at the direction of the Employer or a person authorized by the Employer to give disbursement direction.

5.02 **Conditions for Distributions.**

- (a) **§ 457(b) Deferred Compensation.** Payments from a Participant's § 457(b) Deferred Compensation account shall not be made to the Participant or Beneficiary earlier than:
- (1) the Participant's Severance from Employment or death pursuant to Sections 5.03 and 5.06;
  - (2) the Participant's account meets all of the requirements for an in-service de minimis distribution pursuant to Section 5.04(a) and/or (b);
  - (3) the Participant incurs an approved Unforeseeable Emergency pursuant to Section 5.05;
  - (4) the Participant at anytime elects to receive a distribution of all or any portion of the amount of rollover contributions held in the separate rollover account(s) pursuant to Section 5.04(c);
  - (5) the calendar year in which an in-service Participant attains age 70 ½ pursuant to Section 5.04(d); or
  - (6) Plan termination under Section 13.01.
- (b) **Latest Distribution Date.** To comply with Code § 401(a)(9) and the Treasury regulations issued thereunder, in no event, shall any distribution to a Participant under this Article V begin later than the April 1 of the year following the calendar year in which the participant attains age 70 ½ or April 1 of the year following the year in which the Participant retires or otherwise has a Severance from Employment, whichever is later. If the Participant delays the distribution due in the calendar year he turns age 70 ½ or severs employment, as applicable, to the following calendar year, a second required minimum distribution must be taken by the end of that calendar year. Such distributions must be made in accordance with Section 5.06.

**5.03 Severance from Employment for Any Reason, Including Retirement.**

- (a) Subject to Section 5.03(b), distributions to a Participant shall commence following Severance from Employment, on the regular distribution commencement date (as the Employer or Administrator may establish from time-to-time) elected by the Participant, in a form and manner determined pursuant to Sections 5.07, 5.08 and 5.09. If the Participant does not elect otherwise, the distribution shall be paid commencing on the Participant's Required Beginning Date under a payment method meeting the requirements of Code § 401(a)(9) and the regulations thereunder.
- (b) If, in the Adoption Agreement, the Plan elected mandatory distributions of Account Balances of \$1,000 or less and if the Participant does not elect to have such distribution paid directly to an eligible retirement plan specified by the Participant in a direct rollover or to receive the distribution directly, then the Administrator will pay the distribution in a lump sum to the Participant at the Participant's last known mailing address.

If, in the Adoption Agreement, the Plan elected mandatory distributions of amounts greater than \$1,000 but not greater than \$5,000, and if the Participant does not elect to have such distribution paid directly to an eligible retirement plan specified by the Participant in a direct rollover or to receive the distribution directly, then the Administrator will pay the distribution amount in excess of \$1,000 in a direct rollover to an individual retirement plan designated by the plan administrator.

**5.04 In-Service Distributions.**

- (a) Voluntary In-Service Distribution of De Minimis Accounts. A Participant who is an active Employee may elect to receive a distribution of the total amount payable to the Participant under the Plan if the following requirements are met:
  - (1) the portion of the total amount payable to the Participant under the Plan does not exceed an amount specified from time to time by the Administrator (not in excess of \$5,000 or other applicable limit under the Code);
  - (2) the Participant has not previously received an in-service distribution of the total amount payable to the Participant under the Plan; and
  - (3) no amount has been deferred under the Plan with respect to the Participant during the two-year period ending on the date of the in-service distribution.
- (b) Involuntary In-Service Distribution of De Minimis Accounts. If so elected in the Adoption Agreement, the Administrator shall distribute the total amount payable

under the Plan to a Participant who is an active Employee if the following requirements are met:

- (1) the Participant has not previously received an in-service distribution of the total amount payable to the Participant under the Plan;
- (2) no amount has been deferred under the Plan with respect to the Participant during the two-year period ending on the date of the in-service distribution; and
- (3) the total Account Balance amount payable to the Participant under the Plan, does not exceed the amount selected in the Adoption Agreement.

If, in the Adoption Agreement, the Plan elected mandatory distributions of \$1,000 or less, and if the Participant does not elect to have such distribution paid directly to an eligible retirement plan specified by the Participant in a direct rollover or to receive the distribution directly, then the Administrator will pay the distribution in a lump sum to the Participant at the Participant's last known mailing address.

If, in the Adoption Agreement, the Plan elected mandatory distributions greater than \$1,000 but not greater than \$5,000, and if the Participant does not elect to have such distribution paid directly to an eligible retirement plan specified by the Participant in a direct rollover or to receive the distribution directly, then the Administrator will pay the distribution in a direct rollover to an individual retirement plan designated by the plan administrator.

- (c) **Rollovers.** If a Participant has a separate account attributable to rollover contributions to the Plan, the Participant may, at any time, elect to receive a distribution of all or any portion of the amount held in the rollover account(s).
- (d) **Calendar Year Participant Attains Age 70 ½.** The Participant may request an in-service distribution in the calendar year the Participant will/has attained age 70 ½ or older, but only if such Participant revokes all deferrals of Compensation into the Plan prior to beginning distributions.
- (e) **FICA Replacement Plan Exception.** As indicated in the Adoption Agreement, Participants in a Plan intended to qualify as a retirement system providing FICA replacement retirement benefits pursuant to regulations under Code § 3121(b)(7)(F) are not eligible for In-Service De Minimis or in-service age 70 ½ distributions prior to severance.

**5.05. Unforeseeable Emergency Distributions.**

- (a) **Distribution.** If the Participant has an Unforeseeable Emergency before retirement or other Severance from Employment, the Participant may elect to receive a lump sum distribution equal to the amount requested or, if less, the

maximum amount determined by the Administrator to be permitted to be distributed under this Section 5.05 and Treasury Regulation § 1.457-6(c).

- (b) **Unforeseeable Emergency Defined.** An Unforeseeable Emergency is defined as a severe financial hardship of the Participant resulting from: an illness or accident of the Participant, the Participant's spouse, dependent (as defined by the 457 Treasury regulations) or primary Beneficiary; loss of the Participant's property due to casualty (including the need to rebuild a home following damage to a home not otherwise covered by homeowner's insurance, e.g., as a result of a natural disaster); the need to pay for the funeral expenses of the Participant's spouse, dependent (as defined by the 457 Treasury regulations) or primary Beneficiary; or other similar extraordinary and unforeseeable circumstances arising as a result of events beyond the control of the Participant or as otherwise defined in the 457 Treasury regulations. For example, the imminent foreclosure of or eviction from the Participant's primary residence may constitute an Unforeseeable Emergency. In addition, the need to pay for medical expenses, including non-refundable deductibles, as well as for the cost of prescription drug medication, may constitute an Unforeseeable Emergency. Except as otherwise specifically provided in this Section 5.05, neither the purchase of a home nor the payment of college tuition is an Unforeseeable Emergency.
- (c) **Unforeseeable Emergency Distribution Standard.** A distribution on account of Unforeseeable Emergency may not be made to the extent that such emergency is or may be relieved through reimbursement or compensation from insurance or otherwise, by liquidation of the Participant's assets, to the extent the liquidation of such assets would not itself cause severe financial hardship or by cessation of deferrals under the Plan.
- (d) **Distribution Necessary to Satisfy Emergency Need.** Distributions because of an Unforeseeable Emergency may not exceed the amount reasonably necessary to satisfy the emergency need (which may include any amounts necessary to pay any federal, state or local income taxes or penalties reasonably anticipated to result from the distribution).
- (e) The Administrator shall have the right to request and review all pertinent information necessary to assure that Unforeseeable Emergency withdrawal requests are consistent with the provisions of Code § 457.
- (f) The Employer or Administrator may suspend the Participant's salary deferral election during the pendency of the Participant's request for an Unforeseeable Emergency distribution. Payment of an Unforeseeable Emergency distribution shall result in mandatory suspension of deferrals for a minimum of six months from the date of payment (or such other period as mandated in applicable Treasury regulations).

- (g) As indicated in the Adoption Agreement, Participants in a Plan that is a retirement system providing FICA replacement retirement benefits pursuant to regulations under Code § 3121(b)(7)(F) are not eligible for Unforeseeable Emergency distributions.

5.06 **Death Benefit Distributions.** Upon receipt of satisfactory proof of the Participant's death, the Participant's remaining Account Balance shall be paid under a method satisfying the required minimum distribution rules of Code § 401(a)(9) and the Treasury regulations thereunder. In the case of a Participant who dies while performing Qualified Military Service under Code § 414(u), the Beneficiaries of the Participant shall, to the extent required by Code § 401(a)(37), be entitled to any additional benefits (other than benefit accruals relating to the period of Qualified Military Service) that would be provided under the Plan had the Participant resumed and then terminated employment on account of death.

- (a) **Death of Participant Before Participant's Required Beginning Date.** If the Participant dies before the required beginning date, the Participant's entire interest will be distributed, or begin to be distributed, no later than as follows:

- (1) If the Participant's surviving spouse is the Participant's sole designated Beneficiary, then, except as provided in Section 5.06(e) and unless the surviving spouse elects the five-year rule, distributions to the surviving spouse will begin by December 31 of the calendar year immediately following the calendar year in which the Participant died or by December 31 of the calendar year in which the Participant would have attained age 70½, if later.

A Beneficiary is deemed to elect the five-year rule if distributions do not begin by the required beginning date provided in this Section.

- (2) If the Participant's surviving spouse is not the Participant's sole designated Beneficiary, then, unless the Beneficiary elects the five-year rule, distributions to the designated Beneficiary will begin by December 31 of the calendar year immediately following the calendar year in which the Participant died.

A Beneficiary is deemed to elect the five-year rule if distributions do not begin by the required beginning date provided in this Section.

- (3) If there is no designated Beneficiary as of September 30 of the year following the year of the Participant's death, the Participant's entire interest will be distributed by December 31 of the calendar year containing the fifth anniversary of the Participant's death.

- (4) If the Participant's surviving spouse is the Participant's sole designated Beneficiary and the surviving spouse dies after the Participant but before

distributions to the surviving spouse begin, this Section 5.06(a), other than Section 5.06(a)(1), will apply as if the surviving spouse were the Participant.

For purposes of this Section 5.06(a) and Section 5.06(e) unless Section 5.06(a)(4) applies, distributions are considered to begin on the Participant's required beginning date. If Section 5.06(a)(4) applies, distributions are considered to begin on the date distributions are required to begin to the surviving spouse under Section 5.06(a)(1). If distributions under an annuity purchased from an insurance company irrevocably commence to the Participant before the Participant's required beginning date (or to the Participant's surviving spouse before the date distributions are required to begin to the surviving spouse under Section 5.06(a)(1)), the date distributions are considered to begin is the date distributions actually commence.

- (b) Forms of Distribution. Unless the Participant's interest is distributed in the form of an annuity purchased from an insurance company or in a single sum on or before the required beginning date, as of the first distribution calendar year distributions will be made in accordance with Section 5.06. If the Participant's interest is distributed in the form of an annuity purchased from an insurance company, distributions thereunder will be made in accordance with the requirements of Code § 401(a)(9) and the Treasury regulations.
- (c) Amount of Required Minimum Distribution for Each Distribution Calendar Year During the Participant's Lifetime. During the Participant's lifetime, the minimum amount that will be distributed for each distribution calendar year is the lesser of:
- (1) the quotient obtained by dividing the Participant's Account Balance by the distribution period in the Uniform Lifetime Table set forth in Treasury Regulation § 1.401(a)(9)-9, using the Participant's age as of the Participant's birthday in the distribution calendar year; or
  - (2) if the Participant's sole designated Beneficiary for the distribution calendar year is the Participant's spouse, the quotient obtained by dividing the Participant's Account Balance by the number in the Joint and Last Survivor Table set forth in Treasury Regulation § 1.401(a)(9)-9, using the Participant's and spouse's attained ages as of the Participant's and spouse's birthdays in the distribution calendar year.
- (d) Lifetime Required Minimum Distributions Continue Through Year of Participant's Death. Required minimum distributions will be determined under Sections 5.06(d) and (e) beginning with the first distribution calendar year and up to and including the distribution calendar year that includes the Participant's date of death.

- (e) Amount of Required Minimum Distribution Where Death Occurs On or After Participant's Required Beginning Date.
- (1) Participant Survived by Designated Beneficiary. If the Participant dies on or after the Participant's required beginning date and there is a designated Beneficiary, the minimum amount that will be distributed for each distribution calendar year after the year of the Participant's death is the quotient obtained by dividing the Participant's Account Balance by the longer of the remaining life expectancy of the Participant or the remaining life expectancy of the Participant's designated Beneficiary, determined as follows:
- (i) The Participant's remaining life expectancy is calculated using the age of the Participant in the year of death, reduced by one for each subsequent year.
  - (ii) If the Participant's surviving spouse is the Participant's sole designated Beneficiary, the remaining life expectancy of the surviving spouse is calculated for each distribution calendar year after the year of the Participant's death using the surviving spouse's age as of the spouse's birthday in that year. For distribution calendar years after the year of the surviving spouse's death, the remaining life expectancy of the surviving spouse is calculated using the age of the surviving spouse as of the spouse's birthday in the calendar year of the spouse's death, reduced by one for each subsequent calendar year.
  - (iii) If the Participant's surviving spouse is not the Participant's sole designated Beneficiary, the designated Beneficiary's remaining life expectancy is calculated using the age of the Beneficiary in the year following the year of the Participant's death, reduced by one for each subsequent year.
- (2) No Designated Beneficiary. If the Participant dies on or after the date distributions begin and there is no designated Beneficiary as of September 30 of the calendar year following the calendar year of the Participant's death, the minimum amount that will be distributed for each distribution calendar year after the year of the Participant's death is the quotient obtained by dividing the Participant's Account Balance by the Participant's remaining life expectancy calculated using the age of the Participant in the year of death, reduced by one for each subsequent year.
- (f) Amount of Required Minimum Distribution Where Death Occurs Before Participant's Required Beginning Date.

- (1) **Participant Survived by Designated Beneficiary.** If the Participant dies before the required beginning date and there is a designated Beneficiary, the minimum amount that will be distributed for each distribution calendar year after the calendar year of the Participant's death is the quotient obtained by dividing the Participant's Account Balance by the remaining life expectancy of the Participant's designated Beneficiary, determined as provided in Section 5.06(e).
  - (2) **No Designated Beneficiary.** If the Participant dies before the required beginning date and there is no designated Beneficiary as of September 30 of the calendar year following the calendar year of the Participant's death, distribution of the Participant's entire interest will be completed by December 31 of the calendar year containing the fifth anniversary of the Participant's death.
  - (3) **Death of Surviving Spouse Before Distributions to Surviving Spouse Are Required to Begin.** If the Participant dies before the required beginning date, the Participant's surviving spouse is the Participant's sole designated Beneficiary, and the surviving spouse dies before distributions are required to begin to the surviving spouse under Section 5.06(a)(1), this Section 5.06(f)(3) will apply as if the surviving spouse were the Participant.
- (g) **Designated Beneficiary.** The individual who is designated as the Beneficiary under the Plan and is the designated Beneficiary under Code § 401(a)(9) and Treasury Regulation § 1.401(a)(9)-1, Q&A-4.
  - (h) **Distribution Calendar Year.** A calendar year for which a minimum distribution is required. For distributions beginning before the Participant's death, the first distribution calendar year is the calendar year immediately preceding the calendar year which contains the Participant's required beginning date. For distributions beginning after the Participant's death, the first distribution calendar year is the calendar year in which distributions are required to begin under Section 5.06(a). The required minimum distribution for the Participant's first distribution calendar year will be made on or before the Participant's required beginning date. The required minimum distribution for other distribution calendar years, including the required minimum distribution for the distribution calendar year in which the Participant's required beginning date occurs, will be made on or before December 31 of that distribution calendar year.
  - (i) **Life Expectancy.** Life expectancy as computed by use of the Single Life Table in Treasury Regulation § 1.401(a)(9)-9.
  - (j) **Participant's Account Balance.** The Account Balance as of the last valuation date in the calendar year immediately preceding the distribution calendar year (valuation calendar year) increased by the amount of any contributions made and

allocated or forfeitures allocated to the Account Balance as of dates in the valuation calendar year after the valuation date and decreased by distributions made in the valuation calendar year after the valuation date. The Account Balance for the valuation calendar year includes any amounts rolled over or transferred to the Plan either in the valuation calendar year or in the distribution calendar year if distributed or transferred in the valuation calendar year.

- (k) **Required Beginning Date.** The date specified under Code § 401(a)(9) when distributions are required to begin, which, for a Participant, is April 1 following the year the Participant attains age 70 ½ or retires and severs service with the Employer, whichever is later.

5.07 **Payment Options.** A payee's election of a payment option must be made at least 30 days prior to the date that the payment of benefits is to commence or such earlier date as may be permitted by the Plan. If a timely election of a payment option is not made, benefits shall be paid in accordance with Section 5.08. Subject to applicable law and the other provisions of this Plan, distributions may be made in accordance with one of the following payment options.

- (a) A single lump-sum payment of the entire Account Balance;
- (b) Installment payments for a period of years (payable on a monthly, quarterly, semi-annual, or annual basis) which extends no longer than the life expectancy of the payee as permitted under Code § 401(a)(9) using the Tables in Treasury Regulation § 1.401(a)(9)-9. The Account Balance for this calculation (other than the final installment payment) is the Account Balance as of the end of the year prior to the year for which the distribution is being calculated;
- (c) Partial lump-sum payment of a designated amount, with the balance payable in installment payments for a period of years, as described in subsection (b);
- (d) Annuity payments (payable on a monthly, quarterly or annual basis) for the lifetime of the payee or for the lifetimes of the payee and Beneficiary in compliance with Code § 401(a)(9);
- (e) Such other forms of installment payments as may be approved by the Employer consistent with the requirements of Code § 401(a)(9); or
- (f) A Participant who is an eligible retired public safety officer, as defined under Code § 402(l)(4)(B), may elect to have distributions made directly to an insurer to pay qualified health insurance premiums for coverage for the eligible retired public safety officer, his/her spouse and dependents, by an accident or health insurance plan or qualified long-term care insurance contract as defined in Code § 7703B(b). Any elections and distributions under this Section 5.07(f) shall be made in a manner consistent with the requirements and limits contained in Code § 402(l) and any applicable guidance issued thereunder.

5.08 **Default Distribution Option.** In the absence of an effective election by the Participant as to the commencement and/or form of benefits, distributions shall be made in accordance with the applicable requirements of Code §§ 401(a)(9) and 457(d), and final Treasury regulations thereunder. In the absence of an effective election by the Beneficiary or Alternate Payee as to the commencement and/or form of benefits, distribution shall be made in a lump sum.

5.09 **Limitations on Distribution Options.** Notwithstanding any other provision of this Article V, Plan distributions shall satisfy the requirements of this Section 5.09.

- (a) No distribution option may be selected by a payee under this Article V unless it satisfies the applicable requirements of Code §§ 401(a)(9) and 457(d), and final Treasury regulations thereunder.
- (b) The terms of this Article V shall be construed in accordance with all applicable Code sections.

5.10 **Eligible Rollover Distributions.**

- (a) **General.** Notwithstanding any provision of the Plan to the contrary that would otherwise limit an election under this Section, a Participant, the surviving spouse of a Participant (or a Participant's former spouse who is the Alternate Payee under a qualified domestic relations order as defined in Code § 414(p)) (herein collectively called "distributee") may elect, at the time and in the manner prescribed by the Plan Administrator, to have any portion of an eligible rollover distribution paid directly to an eligible retirement plan in a direct rollover. A non-spousal Beneficiary may elect, at the time and in the manner prescribed by the Plan Administrator, to have any portion of an eligible rollover distribution paid in a direct rollover to an inherited IRA referred to in Code § 402(c)(11).
- (b) **Definitions.** For purposes of this Section, the following definitions shall apply.
  - (1) **Eligible Rollover Distribution.** An eligible rollover distribution is any distribution of all or any portion of the balance to the credit of the distributee or the non-spousal Beneficiary, except that an eligible rollover distribution does not include: any distribution that is one of a series of substantially equal periodic payments (not less frequently than annually) made for the life (or life expectancy) of the distributee or the joint lives (or joint life expectancies) of the distributee and the distributee's designated Beneficiary, or for a specified period of 10 years or more; any distribution to the extent such distribution is required under Code § 401(a)(9); any deemed distribution under the provisions of Code § 72(p); the portion of any distribution that is not includable in gross income; any distribution of excess deferrals; and any distribution on account of an Unforeseeable Emergency.

- (2) **Eligible Retirement Plan.** An eligible retirement plan is any plan described in Code § 402(c)(8). An eligible retirement plan is described as an individual retirement account described in Code § 408(a), an individual retirement annuity described in Code § 408(b), a Roth IRA\* described in Code § 408A, an annuity plan described in Code § 403(a), a qualified trust described in Code § 401(a) (including § 401(k)), a tax-sheltered annuity described in Code § 403(b) or another eligible deferred compensation plan described in Code § 457(b) that accepts the distributee's eligible rollover distribution. \*Effective for distributions made on/after January 1, 2008, an eligible retirement plan includes a Roth IRA described in Code § 408A.
- (3) **Distributee.** A distributee includes an Employee or former Employee, the Employee's or former Employee's surviving spouse and the Employee's or former Employee's spouse or former spouse who is the Alternate Payee under a qualified domestic relations order, as defined in Code § 414(p), are distributees with regard to the interest of the spouse or former spouse.
- (4) **Direct Rollover.** A direct rollover is a payment by the Plan to the eligible retirement plan specified by the distributee or to the inherited IRA specified by the non-spousal Beneficiary.

- 5.11 **Elections.** Elections under this Section shall be made in such form and manner as the Administrator may specify from time to time. To the extent permitted by and in accordance with the Code, any irrevocable elections as to the form or timing of distributions executed prior to January 1, 2002, are hereby revoked.
- 5.12 **Practices and Procedures.** The Employer or Plan Administrator may adopt practices and procedures applicable to existing and new distribution elections.
- 5.13 **Taxation of Distributions.** To the extent required by law, income and other taxes shall be withheld from each benefit payment and payments shall be reported to the appropriate governmental agency or agencies.

## **VI. ROLLOVERS AND TRANSFERS**

### **6.01 Eligible Rollover Contributions to the Plan.**

- (a) If so specified in the Adoption Agreement, and only to the extent so specified, a Participant who is an Employee and who is entitled to receive an eligible rollover distribution from another eligible retirement plan may request to have all or a portion of the eligible rollover distribution paid to the Plan. The Administrator may require such documentation from the distributing plan as it deems necessary to effectuate the rollover in accordance with Code § 402 and to confirm that such plan is an eligible retirement plan within the meaning of Code § 402(c)(8)(B).

- (b) For purposes of Section 6.01(a), an eligible rollover distribution means any distribution of all or any portion of a Participant's benefit under another eligible retirement plan, as defined in Section 5.10(b)(2), except that an eligible rollover distribution does not include:
- (1) any installment payment for a period of 10 years or more,
  - (2) any distribution made as a result of an unforeseeable emergency or other distribution which is made upon hardship of the employee,
  - (3) any deemed distribution under the provisions of Code § 72(p),
  - (4) the portion of any distribution that is not includable in gross income,
  - (5) any distribution of excess deferrals or
  - (6) for any other distribution, the portion, if any, of the distribution that is a required minimum distribution under Code § 401(a)(9).
- (c) The Plan shall establish and maintain for the Participant a separate account for any eligible rollover distribution paid to the Plan from any eligible retirement plan that is not an eligible governmental plan under Code § 457(b). In addition, the Plan shall establish and maintain for the Participant a separate account for any eligible rollover distribution paid to the Plan from any eligible governmental plan under Code § 457(b).

**6.02 Plan-to-Plan Transfers to the Plan.** At the direction of the Employer, the Administrator may permit a class of Participants who are participants in another eligible governmental plan under Code § 457(b) to transfer assets to the Plan as provided in this Section 6.02. Such a transfer is permitted only if the other plan provides for the direct transfer of each Participant's interest therein to the Plan. The Administrator may require in its sole discretion that the transfer be in cash or other property acceptable to the Administrator. The Administrator may require such documentation from the other plan as it deems necessary to effectuate the transfer in accordance with Code § 457(e)(10) and Treasury Regulation § 1.457-10(b) and to confirm that the other plan is an eligible governmental plan as defined in Treasury Regulation § 1.457-2(f). The amount so transferred shall be credited to the Participant's Account Balance and shall be held, accounted for, administered and otherwise treated in the same manner as an Annual Deferral by the Participant under the Plan, except that the transferred amount shall not be considered an Annual Deferral under the Plan in determining the maximum deferral under Article III.

**6.03 Plan-to-Plan Transfers from the Plan.**

- (a) At the direction of the Employer, the Administrator may permit a class of Participants and Beneficiaries to elect to have all or any portion of their Account

Balance transferred to another eligible governmental plan within the meaning of Code § 457(b) and Treasury Regulation § 1.457-2(f). An in-service transfer is permitted under this Section only if the Participant is transferring to another eligible governmental plan maintained by Employer. In all other circumstances, a transfer is permitted under this Section 6.03(a) for a Participant only if the Participant has had a Severance from Employment with the Employer and is an Employee of the entity that maintains the other eligible governmental plan. Further, a transfer is permitted under this Section 6.03(a) only if the other eligible governmental plan provides for the acceptance of plan-to-plan transfers with respect to the Participants and Beneficiaries and for each Participant and Beneficiary to have an amount deferred under the other plan immediately after the transfer at least equal to the amount transferred.

- (b) Upon the transfer of assets under this Section 6.03, the Plan's liability to pay benefits to the Participant or Beneficiary under this Plan shall be discharged to the extent of the amount so transferred for the Participant or Beneficiary. The Administrator may require such documentation from the receiving plan as it deems appropriate or necessary to comply with this Section 6.03 (for example, to confirm that the receiving plan is an eligible governmental plan under paragraph (a) of this Section 6.03 and to assure that the transfer is permitted under the receiving plan) or to effectuate the transfer pursuant to Treasury Regulation § 1.457-10(b).

#### 6.04 Permissive Service Credit Transfers.

- (a) If a Participant is also a participant in a tax-qualified defined benefit governmental plan (as defined in Code § 414(d)) that provides for the acceptance of plan-to-plan transfers with respect to the Participant, then the Participant may elect to have any portion of the Participant's Account Balance transferred to the defined benefit governmental plan. A transfer under this Section 6.04(a) may be made before the Participant has had a Severance from Employment.
- (b) A transfer may be made under Section 6.04(a) if the transfer is either for the purchase of permissive service credit (as defined in Code § 415(n)(3)(A)) under the receiving defined benefit governmental plan or a repayment to which Code § 415 does not apply by reason of Code § 415(k)(3) or as otherwise allowed by the Internal Revenue Service.
- (c) As indicated in the Adoption Agreement, Participants in a Plan that is a retirement system providing FICA replacement retirement benefits pursuant to regulations under Code § 3121(b)(7)(F) are not eligible for permissive service credit transfers prior to a Severance of Employment.

## **VII. CREATION OF TRUST AND TRUST FUND**

7.01 **Trust Fund.** All amounts of Annual Deferrals, all property and rights purchased with such amounts and all income attributable to such amounts, property or rights shall be held and invested in the Trust Fund in accordance with this Plan and the Trust Agreement. Notwithstanding the preceding sentence, the assets held for the Plan pursuant to this Section shall not include any assets of a deemed IRA pursuant to Articles IX and X. The Trust Fund, and any sub trust established under the Plan, shall be established pursuant to a written agreement that constitutes a valid trust under applicable state law. The Trustee shall ensure that all investments, amounts, property and rights held under the Trust Fund are held for the exclusive benefit of Participants and their Beneficiaries. The Trust Fund shall be held in trust pursuant to the Trust Agreement for the exclusive benefit of Participants and their Beneficiaries and defraying reasonable expenses of the Plan and of the Trust Fund. It shall be impossible, prior to the satisfaction of all liabilities with respect to Participants and their Beneficiaries, for any part of the assets and income of the Trust Fund to be used for, or diverted to, purposes other than for the exclusive benefit of Participants and their Beneficiaries.

The trust requirement of Code § 457(g) may be satisfied by a trust agreement, a custodial agreement or the annuity contract, if any. The trust requirement shall be satisfied in the manner specified in the Adoption Agreement. If so elected in the Adoption Agreement, the Employer or certain Employees of (or holders of certain positions with) the Employer shall be named as Trustee in the Adoption Agreement and Plan assets shall be set aside in trust pursuant to this Article VII.

If the Employer does not elect to self-trustee the Plan, the Employer must elect one of the following options in the Adoption Agreement:

- (a) Plan assets will be set aside in trust pursuant to a separate written trust agreement entered into between the Employer and the bank or trust company named as Trustee named in the Adoption Agreement. The Employer shall enter into a separate written trust agreement with the Trustee.
- (b) Plan assets shall be set aside in one or more annuity contracts issued by an insurance company qualified to do business in the state where the contract is issued. The owner of the annuity contract is the "deemed trustee" of the assets invested under the contract for purposes of Code § 457(g).
- (c) Plan assets shall be set aside in one or more custodial accounts described in Code § 401(f) with the bank or trust company named in the Adoption Agreement as Custodian and "deemed trustee" for purposes of Code § 457(g). The Employer shall enter into a separate written custodial agreement with the Custodian.

7.02 **Establishment of Trust.** The Employer or named Employees of Employer (or certain holders of positions with the Employer) named in the Adoption Agreement shall serve as under a Trust hereby created to hold all of the assets of the Plan for the exclusive benefit of Participants and Beneficiaries. The Trust shall consist of all contributions made under

the Plan and the investment earnings thereon. All contributions and the earnings thereon less payments made under the terms of the Plan, including fees and expenses, shall constitute the Trust. Except to the extent that the Employer enters into a separate written trust agreement with a bank or trust company Trustee, the assets in Trust shall be administered as provided in this sample Basic Plan Document.

- 7.03 **Appointment and Termination of Trustee.** A Trustee may be named by the Employer and may be a Participant. The Trustee shall remain in office at the will of the Employer and may be removed from office at any time by the Employer, with or without cause. Such removal shall be effective upon delivery of written notice to the Trustee or at such later time as may be designated in such notice; provided that any such notice of removal shall take effect no sooner than 30 days and no later than 60 days after the delivery thereof, unless such 30 or 60 day period shall be waived. The Trustee may resign at any time upon giving written notice to the Employer or at such later time as may be designated in the notice of resignation; provided that (a) any such notice of resignation shall take effect no sooner than 30 days and no later than 60 days after the delivery thereof, unless such 30 day or 60 day period shall be waived and (b) upon such resignation or removal the Employer shall have the power and the duty to designate and appoint a successor Trustee, and the actual appointment of a successor Trustee is a condition that must be fulfilled before the resignation or removal of the Trustee shall become effective.

Upon appointment, the successor Trustee shall have all the rights, powers, privileges, liabilities and duties of the predecessor Trustee. The Trustee so resigned or removed shall take any and all action necessary to vest the rights, powers, privileges, liabilities and duties of the Administrator in his, her or its successor.

- 7.04 **Acceptance.** By signing the Adoption Agreement the Trustee accepts the Trust created under the Plan and agrees to perform the obligations imposed.
- 7.05 **Control of Plan Assets.** The assets of the Trust or evidence of ownership shall be held by the Trustee, under the terms of the Plan and under either this Article VII or under the separate written trust agreement with a bank or trust company. If the assets represent amounts transferred from a former plan, the Trustee shall not be responsible for the propriety of any investment under the former plan.
- 7.06 **General Duties of the Trustee.** The Employer or named individuals in the employ of the Employer named as Trustee(s) in the Adoption Agreement shall be responsible for the administration of investments held in the Plan. The Trustee's duties shall include:
- (a) receiving contributions under the terms of the Plan;
  - (b) making distributions from Plan assets held in Trust in accordance with written instructions received from an authorized representative of the Employer;
  - (c) keeping accurate records reflecting its administration of the Trust assets and making such records available to the Employer for review and audit. Within 90

days after each Plan Year, and within 90 days after its removal or resignation, the Trustee shall file with the Employer an accounting of its administration of the Trust assets during such year or from the end of the preceding Plan Year to the date of removal or resignation. Such accounting shall include a statement of cash receipts and disbursements since the date of its last accounting and shall contain an asset list showing the fair market value of investments held in the Trust as of the end of the Plan Year.

The value of marketable investments shall be determined using the most recent price quoted on a national securities exchange or over the counter market. The value of non-marketable investments shall be determined in the sole judgment of the Trustee which determination shall be binding and conclusive. The value of investments in securities or obligations of the Employer in which there is no market shall be determined in the sole judgment of the Employer and the Trustee shall have no responsibility with respect to the valuation of such assets. The Employer shall review the Trustee's accounting and notify the Trustee in the event of its disapproval of the report within 90 days, providing the Trustee with a written description of the items in question. The Trustee shall have 60 days to provide the Employer with a written explanation of the items in question; and

- (d) employing such agents, attorneys or other professionals as the Trustee may deem necessary or advisable in the performance of its duties.

The Trustee's duties shall be limited to those described above. The Employer shall be responsible for any other administrative duties required under the Plan or by applicable law.

7.07 Investment Powers of the Trustee. The Trustee shall implement an investment program based on the Employer's investment objectives. If either the Employer or the Participant fails to issue investment directions as provided in Sections 8.01 and 8.02, the Trustee shall have authority to invest the Trust assets in its sole discretion. In addition to powers given by law, the Trustee may:

- (a) invest the Trust assets in any form of property, including common and preferred stocks, exchange and trade put and call options, bonds, money market instruments, mutual funds (including Trust assets for which the Trustee or its affiliates serve as investment advisor), Treasury bills, deposits at reasonable rates of interest at banking institutions including but not limited to savings accounts and certificates of deposit, and other forms of securities or investment of any kind, class, or character whatsoever, or in any other property, real or personal, having a ready market;
- (b) invest and reinvest all or any part of the Trust assets in any insurance policies or other contracts with insurance companies including but not limited to individual or group annuity, deposit administration, and guaranteed interest contracts. Such contracts shall be held in the name of the Trustee;

- (c) transfer any assets of the Trust to any group or common, collective or commingled fund that is maintained by a bank or other institution that is established to permit the pooling of assets of separate Trusts so long as such fund is available to § 457(b) plans;
- (d) hold cash un-invested and deposit same with any banking or savings institution at reasonable interest;
- (e) deposit fees earned from revenue sharing, 12(b)(1) fees, any investment gains and any otherwise unallocated trust assets into an account to be invested in any employer-directed investment option available under the Plan;
- (f) join in or oppose the reorganization, recapitalization, consolidation, sale or merger of corporations or properties, including those in which it is interested as a Trustee, upon such terms as it deems wise;
- (g) hold investments in nominee or bearer form;
- (h) to vote or refrain from voting any stocks, bonds, or other securities held in the Trust, to exercise any other right appurtenant to any securities or other property held in the Trust, to vote or refrain from voting proxies;
- (i) exercise all ownership rights with respect to assets held in the Trust; and
- (j) do any and all other acts that may be deemed necessary in the performance of the Trustee's duties hereunder.

**7.08 Trustee Fees and Expenses.** All reasonable costs, charges and expenses incurred by the Trustee in connection with the administration of the Trust assets (including fees for legal services rendered to the Trustee) may be paid by the Employer, but if not paid by the Employer when due, shall be paid from the Trust. Such reasonable compensation to a bank or trust company Trustee as may be agreed upon from time to time between the Employer and the Trustee may be paid by the Employer, but if not paid by the Employer when due, shall be paid by the Trust. The Trustee shall have the right to liquidate Trust assets to cover its fees. Notwithstanding the foregoing, no compensation other than reimbursement for expenses shall be paid to a Trustee who is the Employer or a full-time Employee. In the event any part of the Trust assets become subject to tax, all taxes incurred shall be paid from the Trust unless the Administrator advises the Trustee not to pay such tax. If pursuant to 7.07(e) an account holding un-invested trust assets is in existence at anytime during the Plan Year, all amounts in the account shall be first used to offset any plan expenses and any amounts remaining shall be allocated to Participant's accounts no later than the end of the Plan Year.

**7.09 Exclusive Benefit Rules.** No part of the Trust assets shall be used for, or diverted to, purposes other than for the exclusive benefit of Participants, former Participants with an

interest in the Plan and the Beneficiary or Beneficiaries of a deceased Participant having an interest in the Trust assets at the death of the Participant.

- 7.10 **Trustee Actions.** Every action taken by the Trustee shall be presumed to be a fair and reasonable exercise of the authority vested in or the duties imposed upon him, her or it. The Trustee shall be deemed to have exercised reasonable care, diligence and prudence and to have acted impartially as to all persons interested, unless the contrary be proven by affirmative evidence. The Trustee shall not be liable for amounts of Compensation deferred by Participants or for other amounts payable under the Plan.
- 7.11 **Delegation.** Subject to any applicable laws and any approvals required by the Employer, the Trustee may delegate any or all powers and duties hereunder to another person, persons or entity and may pay reasonable compensation for such services as an administrative expense of the Plan to the extent such compensation is not otherwise paid.
- 7.12 **Division of Duties and Indemnification.**
- (a) The Trustee shall have the authority and discretion to manage and govern the Trust assets to the extent provided in this instrument, but does not guarantee the Trust in any manner against investment loss or depreciation in asset value or guarantee the adequacy of the Trust assets to meet and discharge all or any liabilities of the Plan.
  - (b) The Trustee shall not be liable for the making, retention or sale of any investment or reinvestment made by it, as herein provided, or for any loss to, or diminution of the Trust assets or for any other loss or damage which may result from the discharge of its duties hereunder except to the extent it is judicially determined that the Trustee has failed to exercise the care, skill, prudence and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of a like character with like aims.
  - (c) The Employer warrants that all directions issued to the Trustee by it or the Administrator shall be in accordance with the terms of the Plan and not contrary to the provisions of the Code.
  - (d) The Trustee shall not be answerable for any action taken pursuant to any direction, consent, certificate or other paper or document on the belief that the same is genuine and signed by the proper person. All directions by the Employer or the Administrator shall be in writing from the authorized individual or individuals named in the Adoption Agreement.
  - (e) The duties and obligations of the Trustee shall be limited to those expressly imposed upon it by this instrument or subsequently agreed upon by the parties. Responsibility for administrative duties required under the Plan or applicable law

not expressly imposed upon or agreed to by the Trustee shall rest solely with the Employer.

- (f) The Trustee shall be indemnified and held harmless by the Employer from and against any and all liability to which the Trustee may be subjected, including all expenses reasonably incurred in its defense, for any action or failure to act resulting from compliance with the instructions of the Employer, the Employees or agents of the Employer, the Administrator, or any other fiduciary to the Plan, and for any liability arising from the actions or inactions of any predecessor Trustee, custodian or other fiduciaries of the Plan.
- (g) The Trustee shall not be responsible in any way for the application of any payments it is directed to make or for the adequacy of the Trust assets to meet and discharge any and all liabilities under the Plan.

## **VIII. INVESTMENTS**

- 8.01 **Investment Options.** Employer shall have the sole discretion to select one or more investment options to be offered under the Plan. These investment options may include specified life insurance policies, annuity contracts or investment media issued by an insurance company. It shall be the sole responsibility of the Employer to ensure that all investment options offered under the Plan are appropriate and in compliance with any and all state laws pertaining to such investments.
- 8.02 **Participant Investment Direction.** If the Employer chooses to designate one or more investment options in which Participants may direct investment of their Account(s), Participants shall have the option to direct the investment of their Account(s) from among the investment options designated by the Employer. The Participant's right to transfer among or out of any such investment options shall be subject to any timing or other restrictions imposed upon Participants by the providers of the investment options chosen by the Participant, including, but not limited to market-timing restrictions, excessive trading restrictions and redemption fees. The Trustee or Custodian, as applicable, shall hold title to such investment options. A Participant's right to direct the investment of Account balances shall apply only to making selections among the options made available under the Plan and only to the extent specified by the Employer pursuant to uniform rules. The terms of this paragraph, including any trading restrictions or fees, shall also apply to Beneficiary and Alternate Payee accounts.
- (a) Each Participant shall designate on the form prescribed by the Administrator the one or more investment options in which he or she wishes to have his Account invested and may change such investment directions in accordance with and at the time or times specified under uniform rules established by the Administrator or the investment provider, as applicable. The Participant's Account shall be debited or credited as appropriate to reflect all gains or losses on such investments. If a Participant has the right to direct the investment of his Account but does not

provide such direction pursuant to uniform rules established by Employer, the Participant's Account shall be invested in the investment option selected by the Plan.

- (b) Neither the Employer, the Administrator, the Trustee, the Custodian nor any other person shall be liable for any loss incurred by virtue of following the Participant's directions or by reason of any reasonable administrative delay in implementing such directions.
- (c) The Employer may from time to time change the investment options made available under the Plan pursuant to uniform rules established by the Administrator. If the Employer eliminates an investment option, all Participants who had chosen that investment option shall select another option. If the Participant does not select a new option, money remaining in the eliminated investment option shall be reinvested at the direction of the Employer. The Participants shall have no right to require the Employer to select or retain any investment option. Any change with respect to investment options made by the Employer or a Participant, however, shall be subject to the terms and conditions (including any rules or procedural requirements) of the affected investment options.

**8.03 Employer Investment Direction.**

- (a) To the extent the Employer chooses not to allow Participant direction of the investment of his or her Account, the Employer shall have the right to direct the Trustee or Custodian with respect to investments of the Trust assets, may appoint an investment manager to direct investments or may give the Trustee sole investment management responsibility. The Employer or investment manager shall make any investment directive in writing. Such instructions regarding the delegation of investment responsibility shall remain in force until revoked or amended in writing. The Trustee shall not be responsible for the propriety of any investment made at the direction of the Employer or an investment manager and shall not be required to consult with or advise the Employer regarding the investment quality of any directed investment held hereunder. In the absence of such written directive, the Trustee shall automatically invest the available cash in its discretion in an appropriate interim investment until specific investment directions are received.
- (b) If the Employer fails to direct the investment of Trust assets or name an investment manager and the Trustee or Custodian do not have investment authority, the Administrator shall have full investment authority.

**8.04 Participant Accounts.** The Administrator shall maintain or cause to be maintained one or more individual accounts for each Participant. Such accounts shall include separate accounts, as necessary, for Code § 457(b) Deferred Compensation, Code § 457(b) rollovers, IRA rollovers, other qualified plan and Code § 403(b) plan rollovers and such

other accounts as may be appropriate from time to time for plan administration. At regular intervals established by the Administrator, each Participant's account(s) shall be credited with the amount of any Deferred Compensation paid into the Trust; debited with any applicable administrative or investment expense, including, but not limited to, fees charged to Participants, allocated on a reasonable and consistent basis; credited or debited with investment gain or loss, as appropriate; and debited with the amount of any distribution. Each Participant shall be notified in writing of the balance in his Account at least once a year.

#### **IX. DEEMED TRADITIONAL IRAs**

- 9.01 **Applicability and Effective Date.** This Article shall apply if elected by the Employer in the Adoption Agreement and shall be effective for Plan Years beginning after the date specified in the Adoption Agreement.
- 9.02 **Deemed Traditional IRAs.** Each Participant ("IRA Owner") may make Voluntary Employee Contributions to the IRA Owner's deemed traditional IRA under the Plan, which will be treated as a traditional IRA. The Plan shall establish a separate trust, custodial account or annuity contract for the designated traditional IRA contributions of each IRA Owner and any earnings properly allocable to the contributions, and shall maintain separate recordkeeping with respect to each such traditional IRA.
- 9.03 **Reporting Duties.** The Trustee shall be subject to the reporting requirements of Code § 408(i) with respect to all traditional IRAs that are established and maintained under the Plan.
- 9.04 **Voluntary Employee Contributions.** For purposes of this Article, a "Voluntary Employee Contribution" means any contribution (other than a mandatory contribution within the meaning of Code § 411(c)(2)) that is made by the IRA Owner and for which the IRA Owner has designated, at or prior to the time of making the contribution, as a contribution to which this Article applies.
- 9.05 **Traditional IRA Assets.** Traditional IRAs established pursuant to this Article shall be held in a trust, custodial account or an annuity contract separate from the trust, custodial account or annuity contract established under the Plan to hold contributions other than deemed traditional IRA contributions and shall satisfy the applicable requirements of Code § 408, which requirements are set forth in Section 9.06. If the traditional IRA is a trust or custodial account, the trustee or custodian must be either a bank or non-bank custodian approved by the IRS (including governmental units as permitted under Treasury Regulation § 1.408-2(e)(8)).
- 9.06 **Traditional IRA Requirements.** This Section 9.06 shall apply to any traditional IRA established under the Plan.

- (a) **Exclusive Benefit; Nonforfeitability; Nontransferability.** Any IRA under the Plan shall be established for the exclusive benefit of the applicable IRA Owner and his or her Beneficiaries. Contributions to a traditional IRA under the Plan are nonforfeitable and may not be assigned, transferred, or pledged as security or used as collateral for a loan.
- (b) **Contributions.** Voluntary Employee Contributions shall be in cash. Except in the case of a qualified rollover contribution, no Voluntary Employee Contribution under this Article will be accepted unless the total of such contributions to all the IRA Owner's traditional IRAs for a taxable year does not exceed the lesser of the applicable amount (as defined below), or the amount of compensation includible in the IRA Owner's gross income for the taxable year. A "qualified rollover contribution" is a rollover contribution that meets the requirements of Code § 408(d)(3).

The applicable amount is determined under (1) or (2) below:

- (1) If the IRA Owner is under age 50, the applicable amount is \$3,000 for any taxable year beginning in 2003 through 2004, \$4,000 for any taxable year beginning in 2005 through 2007 and \$5,000 for any taxable year beginning in 2008 and years thereafter.
- (2) If the IRA Owner is age 50 or older, the applicable amount is \$3,500 for any taxable year beginning in 2003 through 2004, \$4,500 for any taxable year beginning in 2005, \$5,000 for any taxable year beginning in 2006 through 2007 and \$6,000 for any taxable year beginning in 2008 and years thereafter.
- (3) After 2008, the limits in paragraphs (1) and (2) above will be adjusted by the Secretary of the Treasury for cost-of-living increases under Code § 219(b)(5)(C).
- (4) "Compensation" for purposes of this Section 9.06(b) is defined as wages, salaries, professional fees, or other amounts derived from or received for personal services actually rendered (including, but not limited to commissions paid salesmen, compensation for services on the basis of a percentage of profits, commissions on insurance premiums, tips, and bonuses) and includes earned income, as defined in Code § 401(c)(2) (reduced by the deduction a self-employed IRA Owner takes for contributions made to a self-employed retirement plan). For purposes of this definition, Code § 401(c)(2) shall be applied as if the term trade or business for purposes of Code § 1402 included service described in Code § 1402(c)(6). Compensation does not include amounts derived from or received as earnings or profits from property (including but not limited to interest and dividends) or amounts not includible in gross income. Compensation also does not include any amount received as a pension or

annuity or as deferred compensation. The term "compensation" shall include any amount includible in the IRA Owner's gross income under Code § 71 with respect to a divorce or separation instrument described in subparagraph (A) of Code § 71(b)(2). In the case of a married IRA Owner filing a joint return, the greater compensation of his or her spouse is treated as his or her own compensation, but only to the extent that such spouse's compensation is not being used for purposes of the spouse making a contribution to a Roth IRA or a deductible contribution to a non-Roth IRA.

- (c) **Investment Restrictions.** No amounts held in a traditional IRA may be used to purchase life insurance. No amounts held in a traditional IRA custodial account or trust may be commingled with other property except in a common trust fund or common investment fund. Any investment in collectables by a traditional IRA custodial account or trust will be treated as a distribution from the traditional IRA.
- (d) **Minimum Required Distributions.**
  - (1) Notwithstanding any provision of this Plan or an annuity contract, trust or custodial account to the contrary, the distribution of the IRA Owner's interest in a traditional IRA shall be made in accordance with the requirements of Code §§ 408(a)(6) or 408(b)(3) and the regulations thereunder, as applicable, the provisions of which are herein incorporated by reference.
  - (2) The IRA Owner's entire interest in the traditional IRA will commence to be distributed no later than the first day of April following the calendar year in which the IRA Owner attains age 70½ (the "required beginning date").
    - (i) In the case of distributions made from a traditional IRA custodial account or trust, distributions must be made over the life of the IRA Owner, or the lives of the IRA Owner and his or her designated Beneficiary. The amount to be distributed each year, beginning with the calendar year in which the IRA Owner attains age 70½ and continuing through the year of death, shall not be less than the quotient obtained by dividing the value of the traditional IRA as of the end of the preceding year by the distribution period in the Uniform Lifetime Table in Q&A-2 of Treasury Regulation § 1.401(a)(9)-9, using the IRA Owner's age as of his or her birthday in the year. However, if the IRA Owner's sole designated Beneficiary is his or her surviving spouse and such spouse is more than 10 years younger than the IRA Owner, then the distribution period is determined under the Joint and Last Survivor Table in Q&A-3 of Treasury Regulation § 1.401(a)(9)-9, using the ages as of the IRA Owner's and spouse's birthdays in the year. The

required minimum distribution for the year the IRA Owner attains age 70½ can be made as late as April 1<sup>st</sup> of the following year. The required minimum distribution for any other year must be made by the end of such year.

- (ii) In the case of distributions made from a traditional IRA annuity contract, periodic payments must be made over (a) the life of the IRA Owner or the lives of the IRA Owner and his or her designated Beneficiary or (b) a period certain not extending beyond the life expectancy of the IRA Owner or the joint and last survivor expectancy of the IRA Owner and his or her designated Beneficiary. Periodic payments must be made at intervals of no longer than one year and must be either nonincreasing or they may increase only as provided in Q&As-1 and -14 of Treasury Regulation § 1.401(a)(9)-6. In addition, any distribution must satisfy the minimum distribution incidental benefit requirements specified in Q&A-2 of Treasury Regulation § 1.401(a)(9)-6. The first required payment can be made as late as April 1<sup>st</sup> of the year following the year the IRA Owner attains age 70½ and must be the payment that is required for one payment interval. The second payment need not be made until the end of the next payment interval.

(e) Distributions Upon Death.

- (1) If the IRA Owner dies on or after required distributions commence, the remaining portion of his or her interest in a traditional IRA annuity will continue to be distributed under the distribution method used before his or her death. If the IRA Owner's traditional IRA is a traditional IRA custodial account or trust, the following rules apply:
  - (i) If the designated Beneficiary is someone other than the IRA Owner's surviving spouse, the remaining interest will be distributed over the remaining life expectancy of the designated Beneficiary, with such life expectancy determined using the Beneficiary's age as of his or her birthday in the year following the year of the IRA Owner's death, or over the period described in paragraph (iii) below if longer.
  - (ii) If the IRA Owner's sole designated Beneficiary is the IRA Owner's surviving spouse, the remaining interest will be distributed over such spouse's life or over the period described in paragraph (iii) below if longer. Any interest remaining after such spouse's death will be distributed over such spouse's remaining life expectancy determined using the spouse's age as of his or her birthday in the year of the spouse's death, or, if the distributions

are being made over the period described in paragraph (iii) below, over such period.

- (iii) If there is no designated Beneficiary, or if applicable by operation of paragraph (i) or (ii) above, the remaining interest will be distributed over the IRA Owner's remaining life expectancy determined in the year of the IRA Owner's death.
  - (iv) The amount to be distributed each year under paragraph (i), (ii) or (iii) beginning with the calendar year following the calendar year of the individual's death is the quotient obtained by dividing the value of the IRA as of the end of the preceding year by the remaining life expectancy specified in such paragraph. Life expectancy is determined using the Single Life Table in Q&A-1 of § 1.401(a)(9)-9 of the Treasury regulations.
  - (v) If distributions are being made to a surviving spouse as the sole designated Beneficiary, such spouse's remaining life expectancy for a year is the number in the Single Life Table corresponding to such spouse's age in the year. In all other cases, remaining life expectancy for a year is the number in the Single Life Table corresponding to the Beneficiary's or individual's age in the year specified in paragraph (i), (ii) or (iii) and reduced by one for each subsequent year.
- (2) If the IRA Owner dies before required distributions commence, his or her entire interest will be distributed at least as rapidly as follows:
- (i) If the designated Beneficiary is someone other than the IRA Owner's surviving spouse, the entire interest will be distributed, starting by the end of the calendar year following the calendar year of the IRA Owner's death, over the remaining life expectancy of the designated Beneficiary, with such life expectancy determined using the age of the Beneficiary as of his or her birthday in the year following the year of the IRA Owner's death, or, if elected, in accordance with paragraph (iii) below.
  - (ii) If the IRA Owner's sole designated Beneficiary is the IRA Owner's surviving spouse, the entire interest will be distributed, starting by the end of the calendar year following the calendar year of the IRA Owner's death (or by the end of the calendar year in which the IRA Owner would have attained age 70½, if later), over the IRA Owner's surviving spouse's life, or, if elected, in accordance with paragraph (iii) below. If the surviving spouse dies before required distributions commence to him or her, the remaining interest will be distributed, starting by the end of the

calendar year following the calendar year of the spouse's death, over the spouse's designated Beneficiary's remaining life expectancy determined using such Beneficiary's age as of his or her birthday in the year following the death of the spouse, or, if elected, will be distributed in accordance with paragraph (iii) below. If the IRA Owner's surviving spouse dies after required distributions commence to him or her, any remaining interest will continue to be distributed: (A) in the case of a traditional IRA custodial account or trust, over the spouse's remaining life expectancy determined using the spouse's age as of his or her birthday in the year of death; or (B) in the case of a traditional IRA annuity, under the distribution method chosen.

- (iii) If there is no designated Beneficiary, or if applicable by operation of paragraph (i) or (ii) above, the entire interest will be distributed by the end of the calendar year containing the fifth anniversary of the IRA Owner's death (or of the surviving spouse's death in the case of the surviving spouse's death before distributions are required to begin under paragraph (ii) above).
- (3) Life expectancy is determined using the Single Life Table in Q&A-1 of Treasury Regulation § 1.401(a)(9)-9. If distributions are being made to the IRA Owner's surviving spouse as the sole designated Beneficiary, such spouse's remaining life expectancy for a year is the number in the Single Life Table corresponding to such spouse's age in the year. In all other cases, remaining life expectancy for a year is the number in the Single Life Table corresponding to the Beneficiary's age in the year specified in paragraph (i) or (ii) and reduced by one for each subsequent year.
- (4) For purposes of paragraphs (1) and (2) above, required distributions are considered to commence on the IRA Owner's required beginning date or, if applicable, on the date distributions are required to begin to the surviving spouse under paragraph (2)(ii) above. However, if distributions start prior to the applicable date in the preceding sentence, on an irrevocable basis (except for acceleration) under an annuity contract meeting the requirements of Treasury Regulation § 1.401(a)(9)-6, then required distributions are considered to commence on the annuity starting date.
- (5) If the sole designated Beneficiary is the IRA Owner's surviving spouse, the surviving spouse may elect to treat the traditional IRA as his or her own traditional IRA. This election will be deemed to have been made if such surviving spouse makes a contribution to the traditional IRA or fails to take required distributions as a Beneficiary.

## **X. DEEMED ROTH IRAs**

- 10.01 **Applicability and Effective Date.** This Article shall apply if elected by the Employer in the Adoption Agreement and shall be effective for Plan Years beginning after the date specified in the Adoption Agreement.
- 10.02 **Deemed Roth IRAs.** Each Participant ("IRA Owner") may make Voluntary Employee Contributions to the IRA Owner's deemed Roth IRA under the Plan, which will be treated as a Roth IRA. The Plan shall establish a separate trust, custodial account or annuity contract for the designated Roth IRA contributions of each IRA Owner and any earnings properly allocable to the contributions, and shall maintain separate recordkeeping with respect to each such Roth IRA.
- 10.03 **Reporting Duties.** The Trustee shall be subject to the reporting requirements of Code § 408(i) with respect to all Roth IRAs that are established and maintained under the Plan.
- 10.04 **Voluntary Employee Contributions.** For purposes of this Article, a "Voluntary Employee Contribution" means any contribution (other than a mandatory contribution within the meaning of Code § 411(c)(2)) that is made by the IRA Owner and for which the IRA Owner has designated, at or prior to the time of making the contribution, as a contribution to which this Article applies.
- 10.05 **Roth IRA Assets.** Roth IRAs established pursuant to this Article shall be held in a trust, custodial account or an annuity contract separate from the trust, custodial account or annuity contract established under the Plan to hold contributions other than deemed Roth IRA contributions and shall satisfy the applicable requirements of Code § 408A, which requirements are set forth in Section 10.06. If the Roth IRA is a trust or custodial account, the trustee or custodian must be either a bank or non-bank custodian approved by the IRS (including governmental units as permitted under Treasury Regulation § 1.408-2(e)(8)).
- 10.06 **Roth IRA Requirements.** This Section 10.06 shall apply to any Roth IRA established under the Plan.
- (a) **Exclusive Benefit; Nonforfeitability; Nontransferability.** Any Roth IRA under the Plan shall be established for the exclusive benefit of the applicable IRA Owner and his or her Beneficiaries. Contributions to a Roth IRA under the Plan are nonforfeitable and may not be assigned, transferred, or pledged as security or used as collateral for a loan.
- (b) **Contributions.** Voluntary Employee Contributions shall be in cash. Except in the case of a qualified rollover contribution or recharacterization, no Voluntary Employee Contribution will be accepted unless the total of such contributions to all the IRA Owner's Roth IRAs for a taxable year does not exceed the lesser of the applicable amount (as defined below), or the amount of compensation includible in the IRA Owner's gross income for the taxable year. A "qualified rollover contribution" is a rollover contribution that meets the requirements of

Code § 408(d)(3), except the rule of Code § 408(d)(3)(B) does not apply if the rollover is from an IRA other than a Roth IRA.

- (1) If the IRA Owner is under age 50, the applicable amount is \$3,000 for any taxable year beginning in 2003 through 2004, \$4,000 for any taxable year beginning in 2005 through 2007 and \$5,000 for any taxable year beginning in 2008 and years thereafter.
- (2) If the IRA Owner is age 50 or older, the applicable amount is \$3,500 for any taxable year beginning in 2003 through 2004, \$4,500 for any taxable year beginning in 2005, \$5,000 for any taxable year beginning in 2006 through 2007 and \$6,000 for any taxable year beginning in 2008 and years thereafter.
- (3) After 2008, the limits in paragraphs (1) and (2) above will be adjusted by the Secretary of the Treasury for cost-of-living increases under Code § 219(b)(5)(C).
- (4) “Compensation” for purposes of this Section 10.06(b) is defined as wages, salaries, professional fees, or other amounts derived from or received for personal services actually rendered (including, but not limited to commissions paid salesmen, compensation for services on the basis of a percentage of profits, commissions on insurance premiums, tips, and bonuses) and includes earned income, as defined in Code § 401(c)(2) (reduced by the deduction a self-employed IRA Owner takes for contributions made to a self-employed retirement plan). For purposes of this definition, Code § 401(c)(2) shall be applied as if the term trade or business for purposes of Code § 1402 included service described in Code § 1402(c)(6). Compensation does not include amounts derived from or received as earnings or profits from property (including but not limited to interest and dividends) or amounts not includible in gross income. Compensation also does not include any amount received as a pension or annuity or as deferred compensation. The term “compensation” shall include any amount includible in the IRA Owner’s gross income under Code § 71 with respect to a divorce or separation instrument described in subparagraph (A) of Code § 71(b)(2). In the case of a married IRA Owner filing a joint return, the greater compensation of his or her spouse is treated as his or her own compensation, but only to the extent that such spouse’s compensation is not being used for purposes of the spouse making a contribution to a Roth IRA or a deductible contribution to a non-Roth IRA.
- (5) A regular contribution to a non-Roth IRA may be recharacterized pursuant to the rules in Treasury Regulation § 1.408A-5 as a regular contribution to this Roth IRA subject to the contribution limit phase-out discussed in paragraph (4) above.

- (c) **Investment Restrictions.** No amounts held in a Roth IRA may be used to purchase life insurance. No amounts held in a Roth IRA custodial account or trust may be commingled with other property except in a common trust fund or common investment fund. Any investment in collectables by a Roth IRA custodial account or trust will be treated as a distribution from the Roth IRA.
- (d) **Minimum Required Distributions Upon Death.**
- (1) Notwithstanding any provision of this Plan or an annuity contract, trust or custodial account to the contrary, the distribution of the IRA Owner's interest in this Roth IRA shall be made in accordance with the requirements of Code §§ 408(a)(6) or 408(b)(3) and the regulations thereunder, as applicable and as modified by Code § 408A(c)(5), the provisions of which are herein incorporated by reference.
  - (2) No distributions are required before the death of the IRA Owner.
  - (3) Upon the death of the IRA Owner, his or her entire interest will be distributed at least as rapidly as follows:
    - (i) If the designated Beneficiary is someone other than the IRA Owner's surviving spouse, the entire interest will be distributed, starting by the end of the calendar year following the calendar year of the IRA Owner's death, over the remaining life expectancy of the designated Beneficiary, with such life expectancy determined using the age of the Beneficiary as of his or her birthday in the year following the year of the IRA Owner's death, or, if elected, in accordance with paragraph (iii) below.
    - (ii) If the IRA Owner's sole designated Beneficiary is the IRA Owner's surviving spouse, the entire interest will be distributed, starting by the end of the calendar year following the calendar year of the IRA Owner's death (or by the end of the calendar year in which the IRA Owner would have attained age 70½, if later), over the IRA Owner's surviving spouse's life, or, if elected, in accordance with paragraph (iii) below. If the surviving spouse dies before required distributions commence to him or her, the remaining interest will be distributed, starting by the end of the calendar year following the calendar year of the spouse's death, over the spouse's designated Beneficiary's remaining life expectancy determined using such Beneficiary's age as of his or her birthday in the year following the death of the spouse, or, if elected, will be distributed in accordance with paragraph (iii) below. If the IRA Owner's surviving spouse dies after required distributions commence to him or her, any remaining interest will

continue to be distributed (A) in the case of a Roth IRA custodial account or trust, over the spouse's remaining life expectancy determined using the spouse's age as of his or birthday in the year of death; or (B) in the case of a Roth IRA annuity, under the distribution method chosen.

- (iii) If there is no designated Beneficiary, or if applicable by operation of paragraph (i) or (ii) above, the entire interest will be distributed by the end of the calendar year containing the fifth anniversary of the IRA Owner's death (or of the surviving spouse's death in the case of the surviving spouse's death before distributions are required to begin under paragraph (ii) above).
- (iv) Life expectancy is determined using the Single Life Table in Q&A-1 of Treasury Regulation § 1.401(a)(9)-9. If distributions are being made to the IRA Owner's surviving spouse as the sole designated Beneficiary, such spouse's remaining life expectancy for a year is the number in the Single Life Table corresponding to such spouse's age in the year. In all other cases, remaining life expectancy for a year is the number in the Single Life Table corresponding to the Beneficiary's age in the year specified in paragraph (i) or (ii) and reduced by one for each subsequent year.
- (v) For purposes of paragraphs (i) and (ii) above, required distributions are considered to commence on the IRA Owner's required beginning date or, if applicable, on the date distributions are required to begin to the surviving spouse under paragraph (ii), above. However, if distributions start prior to the applicable date in the preceding sentence, on an irrevocable basis (except for acceleration) under an annuity contract meeting the requirements of Treasury Regulation § 1.401(a)(9)-6, then required distributions are considered to commence on the annuity starting date.
- (vi) If the sole designated Beneficiary is the IRA Owner's surviving spouse, the surviving spouse may elect to treat the Roth IRA as his or her own Roth IRA. This election will be deemed to have been made if such surviving spouse makes a contribution to the Roth IRA or fails to take required distributions as a Beneficiary.
- (vii) In the case of distributions made from a Roth IRA custodial account or trust, the amount to be distributed each year is the quotient obtained by dividing the value of the IRA as of the end of the preceding year by the remaining applicable life expectancy. If distributions are made from an annuity contract, distributions must satisfy the requirements of Treasury Regulation § 1.401(a)(9)-6 (taking into account Code § 408A(c)(5)).

## **XI. ADMINISTRATION**

- 11.01 **Administrator.** Employer shall be the Administrator unless another person or persons is appointed by the Employer in the Adoption Agreement pursuant to Section 11.02.
- 11.02 **Appointment and Termination of Administrator.** An Administrator may be named in the Adoption Agreement by the Employer and may be a Participant. The Administrator shall remain in office at the will of the Employer and may be removed from office at any time by the Employer, with or without cause. Such removal shall be effective upon delivery of written notice to the Administrator or at such later time as may be designated in such notice; provided that any such notice of removal shall take effect no later than 60 days after the delivery thereof, unless such 60 day period shall be waived. The Administrator may resign at any time upon giving written notice to the Employer or at such later time as may be designated in the notice of resignation provided that; (a) any such notice of resignation shall take effect no later than 60 days after the delivery thereof, unless such 60 day period shall be waived; and (b) upon such resignation or removal the Employer shall have the power and the duty to designate and appoint a successor Administrator, and the actual appointment of a successor Administrator is a condition that must be fulfilled before the resignation or removal of the Administrator shall become effective. Upon appointment, the successor Administrator shall have all the rights, powers, privileges, liabilities and duties of the predecessor Administrator. The Administrator so resigned or removed shall take any and all actions necessary to vest the rights, powers, privileges, liabilities and duties of the Administrator in the successor.
- 11.03 **Duties of Administrator.** Subject to any applicable laws and any approvals required by the Employer, the Administrator shall have full power and authority to adopt rules, regulations and procedures for the administration of the Plan, and to interpret, alter, amend, or revoke any rules, regulations or procedures so adopted. The Administrator's duties shall include:
- (a) appointing the Plan's attorney, accountant, actuary, custodian or any other party needed to administer the Plan or the Plan assets;
  - (b) directing the Trustee or Custodian with respect to payments from the Plan assets held in Trust;
  - (c) communicating with Employees regarding their participation and benefits under the Plan, including the administration of all claims procedures;
  - (d) filing any returns and reports with the Internal Revenue Service or any other governmental agency;
  - (e) reviewing and approving any financial reports, investment reviews, or other reports prepared by any party appointed under paragraph (a);

- (f) establishing a funding policy and investment objectives consistent with the purposes of the Plan; and
  - (g) construing and resolving any question of Plan interpretation. The Administrator's interpretation of Plan provisions including eligibility and benefits under the Plan is final.
- 11.04 Administrative Fees and Expenses. All reasonable costs, charges and expenses incurred by the Administrator in connection with the administration of the Plan (including fees for legal services rendered to the Administrator) may be paid by the Employer, but if not paid by the Employer when due, shall be paid from Plan assets. Such reasonable compensation to the Administrator as may be agreed upon from time to time between the Employer and Administrator may be paid by the Employer, but if not paid by the Employer when due shall be paid from Plan assets. Notwithstanding the foregoing, no compensation other than reimbursement for expenses shall be paid to an administrator who is the Employer or a full-time Employee of the Employer. In the event any part of the assets in the Plan become subject to tax, all taxes incurred shall be paid from the Plan assets unless the Administrator instructs the Trustee or Custodian not to pay such tax.
- 11.05 Actions of Administrator. Every action taken by the Administrator shall be presumed to be a fair and reasonable exercise of the authority vested in or the duties imposed upon him, her or it. The Administrator shall be deemed to have exercised reasonable care, diligence and prudence and to have acted impartially as to all persons interested, unless the contrary be proven by affirmative evidence. The Administrator shall not be liable for amounts of Compensation deferred by Participants or for other amounts payable under the Plan.
- 11.06 Delegation. Subject to any applicable laws and any approvals required by the Employer, the Administrator may delegate any or all powers and duties hereunder to another person, persons or entity, and may pay reasonable compensation for such services as an administrative expense of the Plan to the extent such compensation is not otherwise paid.
- 11.07 Investment and Service Providers. Any company which issues policies, contracts or investment media to the Employer or in respect of a Participant is not a party to this Plan and such company shall have no responsibility, accountability or liability to the Employer, the Administrator, any Participant or any Beneficiary with regard to the operation or adequacy of this Plan, including any future amendments made thereto.

## **XII. LEAVE OF ABSENCE**

- 12.01 Paid Leave of Absence. If a Participant is on an approved leave of absence from the Employer with Compensation, or on approved leave of absence without Compensation that does not constitute a Severance from Employment, which under the Employer's current practices is generally a leave of absence without Compensation for a period of one year or less, said Participant's participation in the Plan may continue.

- 12.02 **Unpaid Leave of Absence.** If a Participant is on an approved leave of absence without Compensation and such leave of absence continues to such an extent that it becomes a Severance from Employment, said Participant shall have separated from service with the Employer for purposes of this Plan. Upon termination of leave without pay and return to active status, the Participant may enter into a new Participation Agreement to be effective when permitted by Section 2.11.

### **XIII. AMENDMENT OR TERMINATION OF PLAN**

- 13.01 **Termination.** The Employer may at any time terminate this Plan; provided, however, that no termination shall affect the amount of benefits, which at the time of such termination shall have accrued for Participants or Beneficiaries. Such accrued benefit shall include any Compensation deferred before the time of the termination and income thereon accrued to the date of the termination. Such amount shall be calculated in accordance with Section 8.04 and the terms and conditions of the affected investment option. Upon such termination, each Participant in the Plan shall be deemed to have revoked his agreement to defer future Compensation as provided in Section 2.10 as of the date of such termination and Section 2.04(b) shall no longer be in effect. Each Participant's full Compensation on a nondeferred basis shall be restored. Upon plan termination, all amounts deferred will be distributed to Participants or Beneficiaries as soon as administratively practicable after the termination date.
- 13.02 **Amendment.** The Employer may amend the provisions of this Plan at any time; provided, however, that no amendment shall affect the amount of benefits which at the time of such amendment shall have accrued for Participants or Beneficiaries, to the extent of Compensation deferred before the time of the amendment and income thereon accrued to the date of the amendment, calculated in accordance with Section 8.04 and the terms and conditions of the investment options hereunder; and provided further, that no amendment shall affect the duties and responsibilities of the Trustee or Custodian unless executed by the Trustee or Custodian.

To the extent permitted by applicable law, the Employer delegates to the Administrator the authority to adopt rules, regulations or procedures from time to time as may be necessary or desirable to conform Plan provisions to, or to elaborate Plan provisions in light of, technical amendments to the Code, Treasury regulations or other guidance issued under the Code, and such rules, regulations or procedures are hereby ratified by the Employer as having the force and effect of Plan amendments.

- 13.03 **Copies of Amendments.** The Administrator shall provide a copy of any Plan amendment to any Trustee or custodian and to the issuers of any investment options selected pursuant to Section 8.01.

#### **XIV. TAX TREATMENT OF AMOUNTS CONTRIBUTED**

It is intended that pursuant to Code § 457, the Amount Deferred shall not be considered current compensation for purposes of federal income taxation. This rule shall also apply to state income taxation unless applicable state laws provide otherwise. Such amounts shall, however, be included as compensation to the extent required under the Federal Insurance Contributions Act (FICA). Payments under this Plan shall supplement retirement and death benefits payable under the Employer's group insurance and retirement plans, if any.

#### **XV. NON-ASSIGNABILITY**

15.01 **Non-Assignability.** Except as provided in Sections 15.02 and 15.03, the interests of each Participant or Beneficiary under the plan are not subject to the claims of the Participant's or Beneficiary's creditors, and neither the Participant nor any Beneficiary shall have any right to sell, assign, transfer, or otherwise convey the right to receive any payments hereunder or any interest under the Plan, which payments and interest are expressly declared to be non-assignable and non-transferable.

15.02 **Qualified Domestic Relations Orders.** If so specified in the Adoption Agreement, domestic relations orders approved by the Administrator shall be administered as follows.

- (a) Notwithstanding Section 15.01, if a final judgment, decree, or order (including approval of a property settlement) that is related to the provision of child support, alimony payments, or the marital property rights of a spouse or former spouse, child, or other dependent of a Participant (herein called an Alternate Payee) is made pursuant to the domestic relations law of any State and meets the requirements of Code § 414(p), then such order shall be referred to as a Qualified Domestic Relations Order ("QDRO"). If a QDRO is duly filed upon the Employer, then the amount of the Participant's Account Balance shall be paid to or set aside in a separate account for Alternate Payee(s) as elected by the Alternate Payee. Payments to the Alternate Payee shall be made without regard to whether the Participant is eligible for a distribution of benefits under the Plan. The Administrator shall establish reasonable procedures for determining the status of any such decree or order and for effectuating distribution pursuant to the QDRO and may charge the Participant and Alternate Payee a fee as established from time to time.

Where necessary to carry out the terms of such a QDRO, a separate account shall be established with respect to the Alternate Payee(s) and such person shall be entitled to make investment selections with respect thereto in the same manner as the Participant, except to the extent restricted by the employer or a specific investment option under the plan. All costs and charges incurred in carrying out the investment selection shall be deducted from the account created for the spouse, former spouse or child making the investment selection. The Alternate Payee may select from among the forms of payment available to Participants

except a joint and survivor annuity naming the Alternate Payee and a subsequent spouse. Withholding and income tax reporting shall be done with respect to the Alternate Payee under the terms of the Code as amended from time to time.

- (b) The Employer's liability to pay benefits to a Participant shall be reduced to the extent that amounts have been paid or set aside for payment to a spouse, former spouse or child pursuant to this Section. No amount shall be paid or set aside unless the Employer, or its agents or assigns, has been provided with satisfactory evidence releasing them from any further claim by the Participant with respect to these amounts. The Participant shall be deemed to have released the Employer from any claim with respect to such amounts in any case in which the Employer has been notified of or otherwise joined in a proceeding relating to a QDRO which sets aside a portion of the Participant's account for a spouse, former spouse or child, and the Participant fails to obtain an order of the court in the proceeding relieving the Employer from the obligation to comply with the QDRO.
- (c) The Employer shall not be obligated to comply with any judgment, decree or order that attempts to require the Plan to violate any Plan provision or any provision of Code § 457. Neither the Employer nor its agents or assigns shall be obligated to defend against or set aside any judgment, decree, or order described herein or any legal order relating to the division of a Participant's benefits under the Plan unless the full expense of such legal action is borne by the Participant. In the event that the Participant's action (or inaction) nonetheless causes the Employer, its agents or assigns to incur such expense, the amount of the expense may be charged against the Participant's account and thereby reduce Employer's obligation to pay benefits to the Participant. In the course of any proceeding relating to divorce, separation, or child support, the Employer, its agents and assigns shall be authorized to disclose information relating to Participant's individual account to the Participant's spouse, former spouse or child (including the legal representatives of the spouse, former spouse or child), or to a court.

15.03 IRS Levy. Notwithstanding Section 15.01, the Administrator may pay from a Participant's or Beneficiary's Account Balance the amount that the Administrator finds is lawfully demanded under a levy issued by the Internal Revenue Service with respect to that participant or Beneficiary or is sought to be collected by the United States Government under a judgment resulting from an unpaid tax assessment against the Participant or Beneficiary.

15.04 Mistaken Contributions. If any contribution (or any portion of a contribution) is made to the Plan by a good faith mistake of fact, then within one year after the payment of the contribution, and upon receipt in good order of a proper request approved by the Administrator, the amount of the mistaken contributions (adjusted for any income or loss in value, if any, allocable thereto) shall be returned directly to the Participant or, to the extent required or permitted by the Administrator, to the Employer.

If the Plan utilizes an Eligible Automatic Contribution Arrangement intended to satisfy Code § 414(w), the Participant may elect a return of their elective deferrals (as adjusted for applicable earnings and losses), if such election is made prior to the 91<sup>st</sup> day after the date of the first elective contribution is deducted from the employee's Compensation. Any employer contributions shall be forfeited. Any forfeitures arising under the Plan shall be subject to the provisions of Sections 7.07 and 7.08 applicable to unallocated trust assets.

15.05 Payments to Minors and Incompetents. To the extent the Employer or Administrator determines that the following procedure meets applicable state or local law, if a Participant or Beneficiary entitled to receive any benefits hereunder is a minor or is adjudged to be legally incapable of giving valid receipt and discharge for such benefits, benefits will be paid to such person as the Administrator may designate for the benefit of such Participant or Beneficiary. Such payments shall be considered a payment to such Participant or Beneficiary and shall, to the extent made, be deemed a complete discharge of any liability for such payments under the Plan.

15.06 Procedure When Distributee Cannot Be Located. The Administrator shall make all reasonable attempts to determine the identity and address of a participant or a Participant's Beneficiary entitled to benefits under the Plan. For this purpose, a reasonable attempt means:

- (a) the mailing by certified mail of a notice to the last known address shown on the Employer's or Administrator's records,
- (b) notification sent to the Social Security Administration, Internal Revenue Service or the Pension Benefit Guaranty Corporation (under their program to identify payees under retirement plans) and
- (c) the payee has not responded within six months.

If the Administrator is unable to locate such a person entitled to benefits hereunder, or if there has been no claim made for such benefits, the trust fund shall continue to hold the benefits due such person until in the Employer's or Administrator's sole discretion, the Plan is required to take other action under applicable law except that if, in the Adoption Agreement, the Plan elected mandatory distributions greater than \$1,000, then the Administrator will pay the distribution for such person in a direct rollover to an individual retirement plan designated by the plan administrator.

## **XVI. DISCLAIMER**

The Employer and the Administrator make no endorsement, guarantee or any other representation and shall not be liable to the Plan or to any Participant, Beneficiary or any other person with respect to:

- (a) the financial soundness, investment performance, fitness, or suitability (for meeting a Participant's objectives, future obligations under the Plan, or any other purpose) of any investment option offered pursuant to Section 8.01 or any investment vehicle in which amounts deferred under the Plan are actually invested or
- (b) the tax consequences of the Plan to any Participant, Beneficiary or any other person.

## **XVII. EMPLOYER PARTICIPATION**

Notwithstanding any other provisions of this Plan and if so specified in the Adoption Agreement, the Employer may contribute additional amounts into the Plan on behalf of any Participant, so long as the total amount contributed by the Employer when added to the Annual Deferral made by the Participant does not exceed the maximum deferral permitted by Article III for the calendar year. The amount of such Employer contribution and the Employees or independent contractors eligible to receive such contributions shall be detailed in an amendment attached to this Plan document. Such Employer contributions shall be wages for services rendered by the Participant to the Employer during the payroll period contributed.

## **XVIII. INTERPRETATION**

- 18.01 **Governing Law.** This Plan shall be construed under the laws of the state in which the Employer is located.
- 18.02 **Internal Revenue Code § 457.** This Plan is intended to be an eligible deferred compensation plan within the meaning of Code § 457 and shall be interpreted so as to be consistent with such Section and all regulations promulgated thereunder.
- 18.03 **Word Usage.** Words used herein in the singular shall include the plural and the plural the singular where applicable, and one gender shall include the other genders where appropriate.
- 18.04 **Headings.** The headings of sections, sections or other subdivisions hereof are included solely for convenience of reference. If there is any conflict between such headings and the text of the Plan, the text shall control.
- 18.05 **Entire Agreement.** This Plan, the executed Adoption Agreement and any properly adopted amendment thereof, shall constitute the total agreement or contract between the Employer and the Participant regarding the Plan. No oral statement regarding the Plan may be relied upon by the Participant. This Plan and any properly adopted amendment shall be binding on the parties hereto and their respective heirs, administrators, Trustees, successors, assigns and on all designated Beneficiaries of the Participant.



## Travis County Commissioners Court Agenda Request

**Meeting Date:** July 18, 2017

**Prepared By:** David Greear, Assistant Public Works Director, CIP

**Phone #:** (512) 854-7650

**Division Director/Manager:** Morgan Cotten, Public Works Director

**Department Head:** Steven M. Manilla, P.E., County Executive-TNR

**Sponsoring Court Member:** Commissioner Travillion, Precinct One

**AGENDA LANGUAGE:** Consider and take appropriate action on the following requests:

- A) Approve the use of 2005 Bond Proposition 1 Surplus Investment Income and 2005 Bond Prop 1 Unallocated Proceeds to fund a portion of anticipated budget shortfalls on four roadway projects from the 2011 Bond.
- B) Terminate 2011 Bond project Lost Creek Sidewalk, annexed by the City of Austin.

### **BACKGROUND/SUMMARY OF REQUEST:**

TNR has identified four 2011 voter approved bond projects with potential budget deficits. Cameron Road, Blake-Manor Road, Taylor Lane, and Wells Branch Parkway's construction estimates have increased due to unforeseen circumstances. In order to complete the projects as originally approved by the voters, additional funding will be required. There are multiple factors contributing to the increased costs, including extraordinary right-of-way acquisition/delays, higher than expected real estate/construction cost inflation, failure to execute Public-Private Participation Agreement and increased pavement costs due to highly expansive soils east of IH35. (See attachment A for more detail on factors contributing to each project's deficit.) The breakdown of the cost increases is as follows:

| Project           | Current Budget | Updated Cost Estimate | Estimated Budget Shortfall |
|-------------------|----------------|-----------------------|----------------------------|
| Cameron Road      | \$15,287,360   | \$21,187,360          | (\$5,900,000)              |
| Blake Manor Road  | \$13,823,062   | \$19,923,062          | (\$6,100,000)              |
| Wells Branch Pkwy | \$7,786,999    | \$ 7,936,999          | (\$ 150,000)               |
| Taylor Lane       | \$8,512,482    | \$13,812,482          | (\$5,300,000)              |
| <b>Total:</b>     |                |                       | <b>(\$17,450,000)</b>      |

The purpose of this agenda item is to receive direction on project scoping and associated funding options. TNR is currently seeking approval to utilize 2005 Bond Proposition 1 surplus investment income of \$5,503,155 and 2005 Bond Proposition 1 unallocated proceeds of \$86,114 to fund the majority of the budget shortfall for Cameron Road. This will provide the funds required to continue with engineering, ROW acquisition, and construction on Cameron Road as originally scoped without requiring new debt.

Three of the projects could be downsized to safety projects to enable the use of part of their funds to complete the other projects that have shortfalls. The down-scoped project would include improving the existing 2-lane roadway by adding paved shoulders, acceleration and deceleration lanes, drainage improvements where needed, intersection geometric changes, reconstructed

pavement, and new signing and striping. The three remaining projects would need to be evaluated in FY2018 and additional funds identified through other measures as discussed under Fiscal Impact and Source of Funding further in this memo. TNR staff could continue to move forward with design and ROW acquisition with existing budgeted funds through FY2018.

Terminating the 2011 Bond Project Lost Creek Sidewalk would provide additional funds of \$447,680. This project has not been substantially completed; it was partially completed (<30%) when public opposition to the project drove the MUD to terminate the project and use their funds on other more pressing projects. Also, Lost Creek was annexed by the City of Austin around the time the MUD was deciding what to do with the project.

An alternative approach is to reduce the scope of Blake Manor Road, Taylor Lane and Wells Branch Parkway safety improvements. If that approach is preferred, the design contracts would be reduced based on scaled down versions of the projects. This would allow Blake Manor Road, Taylor Lane and Wells Branch Parkway to be completed as reduced scope projects while allowing Cameron Road to be completed as originally scoped all within the available budget.

TNR requests the Court's guidance on the project scopes before further design continues. This approach is not TNR's preferred approach since these three projects would not be constructed as approved by the voters in 2011. In addition, the reduced scopes are a temporary measure. The full scope of the projects will still need to be completed in the future, and at a minimum, costs would be higher due to inflation.

### **STAFF RECOMMENDATIONS:**

- A) Staff recommends utilizing 2005 Bond Prop 1 surplus investment income totaling \$5,503,154.68 and 2005 Bond Prop 1 unallocated proceeds of \$86,114.00 in FY2018 to continue with Cameron Road's full scope design while evaluating options for the additional needs in FY2019 to finish construction.
- B) Staff recommends terminating the 2011 Bond Project Lost Creek Sidewalk

### **ISSUES AND OPPORTUNITIES:**

Utilizing existing funding sources allows the projects to be completed as directed by the voters without increasing the County's debt.

### **FISCAL IMPACT AND SOURCE OF FUNDING:**

TNR has identified \$5,503,154.68 2005 Bond Prop 1 surplus investment income and \$86,114 of unallocated 2005 Bond Prop 1 proceeds to be applied towards the Cameron Road project.

TNR has also begun to identify funds for the remaining deficit in the event the Court agrees to complete the four projects as originally scoped. In addition to the amount above, TNR has identified at least \$1,800,000 in project savings from 2011 completed or terminated bond projects, approximately \$6,700,000 from 2005 Bond Prop 1 project savings, \$500,000 from Parmer Lane, and \$395,000 from Wells Branch Parkway Extension that may be used in future years to address the remaining shortfall balance of \$11,860,731. TNR is conservatively forecasting savings in other nearly completed projects that could also be used to offset these costs and minimize the impact of any new debt required currently estimated at \$2,465,731.

Additional detailed estimates and data will be available in FY2018 to assist us in determining precise funding level needs. TNR will then bring back to Commissioners' Court the final amount required and request for approval to utilize identified sources.

**ATTACHMENTS/EXHIBITS:**

Attachment A - Detail on Factors Contributing to Project Deficits

Attachment B – Agreement to mutually terminate interlocal contract with Lost Creek MUD for sidewalk improvements

**REQUIRED AUTHORIZATIONS:**

|                         |                       |     |                |
|-------------------------|-----------------------|-----|----------------|
| Sydnia Crosbie          | Financial Manager     | TNR | (512) 854-7682 |
| Steven M. Manilla, P.E. | County Executive      | TNR | (512) 854-9429 |
| Morgan Cotten           | Public Works Director | TNR | (512) 854-9434 |

**CC:**

|                     |                                 |     |                |
|---------------------|---------------------------------|-----|----------------|
| Isabelle Lopez      | Lead Financial Analyst          | TNR | (512) 854-7675 |
| Cynthia McDonald    | Chief Deputy                    | TNR | (512) 854-9418 |
| David Greear        | Assistant Public Works Director | TNR | (512) 854-7650 |
| Jessica Rio         | County Executive                | PBO | (512) 854-4455 |
| Travis Gatlin       | Budget Director                 | PBO | (512) 854-9065 |
| Aerin Pfaffenberger | Sr. Planning & Budget Analyst   | PBO | (512) 854-1160 |

**DG:MC:ifs**

**3101-Public Works/CIP - Unallocated Proceeds to fund a portion of anticipated budget shortfalls on four roadway projects from the 2011 Bond**



**AGREEMENT TO MUTUALLY TERMINATE INTERLOCAL CONTRACT  
WITH LOST CREEK MUNICIPAL UTILITY DISTRICT  
FOR SIDEWALK IMPROVEMENTS**

This Agreement to Terminate Interlocal Contract (this “Mutual Termination Agreement”) is made and entered into by and between Travis County, a political subdivision of the State of Texas (the “County”), and the Lost Creek Limited District (the “Limited District”) (together, the “Parties”).

**RECITALS**

1. The County and the Lost Creek Municipal Utility District (the “MUD”) entered into an interlocal cooperation agreement effective October 2, 2012 (the “Interlocal”) for the construction of certain sidewalk improvements within the boundaries of the MUD (the “Project”).
2. Under the Interlocal:
  - a. the County agreed to pay 50% of the Eligible Project Costs (as defined in the Interlocal) for the Project;
  - b. the MUD and the County further agreed that each party’s maximum contribution for the Project would not exceed \$500,000; and
  - c. the MUD agreed to be responsible for the management of the development and construction of the Project.
3. The County’s funding for the Project was approved by voters in the November 2011 Travis County Bond Election as a public-public partnership project.
4. To date, only a portion of the sidewalk improvements contemplated by the Interlocal have been constructed.
5. The County has paid its portion of Eligible Project Costs for the completed sidewalks and has no further financial obligations regarding the Interlocal.
6. Most of the territory within the MUD, including the entire area within which the Project was located, was full-purpose annexed by the City of Austin pursuant to Ordinance No. 20151110-A.6 (the “Annexation Ordinance”).
7. The Annexation Ordinance took effect on December 15, 2015.
8. The Limited District is the successor in interest to the MUD.
9. The Limited District desires to not construct any additional sidewalk improvements at this time and desires instead to use its funds for other purposes.

10. Because the Limited District desires to not construct additional sidewalk improvements at this time, the County desires to use bond funds previously allocated for the Project for other projects.
11. The Limited District and the County desire to mutually terminate the Interlocal except that Section 10 of the Interlocal will survive.

In consideration of the mutual covenants set forth herein and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Limited District and the County agree as follows:

### **AGREEMENT**

- 1.0 Recitals Incorporated. The above Recitals and all terms defined therein are incorporated into this Mutual Termination Agreement for all purposes.
- 2.0 The Interlocal is terminated, except that Section 10 of the Interlocal will survive the termination of the Interlocal, and the County and the Limited District are released from any and all obligations under the Interlocal except for those provisions set forth in Section 10 of the Interlocal.
- 3.0 Third Party Rights Not Created. This Mutual Termination Agreement is not intended to and shall not be construed to create any rights or remedies in any person or legal entity that is not a party to it and the Parties are not waiving any defense or immunity to which they are entitled against any person or legal entity that is not a party to this Mutual Termination Agreement.
- 4.0 Effective Date. This Mutual Termination Agreement takes effect on the date it is fully executed by the Parties (the "Effective Termination Date").
- 5.0 Multiple Counterparts. This Mutual Termination Agreement may be executed (by original or facsimile) by the Parties in one or more counterparts, each of which shall be considered one and the same agreement.
- 6.0 The individual signing this Mutual Termination Agreement on behalf of the Limited District represents that he or she is signing this Mutual Termination Agreement on behalf of the Limited District, warrants and guarantees that he or she is duly authorized by the Limited District to sign this Mutual Termination Agreement on behalf of the Limited District and to bind the Limited District validly and legally to all terms, performances, and provisions in this Mutual Termination Agreement.

[

LOST CREEK LIMITED DISTRICT

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_  
Authorized Representative

Date: \_\_\_\_\_

Attest:

\_\_\_\_\_  
Secretary  
Board of Directors

Date: \_\_\_\_\_

TRAVIS COUNTY, TEXAS

By: \_\_\_\_\_  
Sarah Eckhardt, County Judge

Date: \_\_\_\_\_



## Travis County Commissioners Court Agenda Request

**Meeting Date:** July 18, 2017

**Prepared By:** Cynthia McDonald, TNR Chief Deputy   **Phone #:** (512) 854-9418

**Division Director/Manager:** Steven M. Manilla, P.E., County Executive

**Department Head:** Steven M. Manilla, P.E., County Executive-TNR

**Sponsoring Court Members:** Commissioner Travillion, Precinct One

**AGENDA LANGUAGE:** Receive and discuss the final report and prioritized proposed project list from the 2017 Citizens Bond Advisory Committee.

### **BACKGROUND/SUMMARY OF REQUEST:**

#### **2017 Citizens Bond Advisory Committee-Final Report**

**Back-up to be submitted Friday, July 14, 2017.**

### **STAFF RECOMMENDATIONS:**

### **FISCAL IMPACT AND SOURCE OF FUNDING:**

### **ATTACHMENTS/EXHIBITS:**

### **REQUIRED AUTHORIZATIONS:**

|                         |                   |     |                |
|-------------------------|-------------------|-----|----------------|
| Sydnia Crosbie          | Financial Manager | TNR | (512) 854-7682 |
| Steven M. Manilla, P.E. | County Executive  | TNR | (512) 854-9429 |

### **CC:**

|                  |  |     |                |
|------------------|--|-----|----------------|
| Morgan Cotton    | Public Works Division Director               | TNR | (512) 854-9434 |
| Anna Bowlin      | Dev Srvs & Lng Rng Plannng Division Director | TNR | (512) 854-7561 |
| Charles Bergh    | Parks Division Director                      | TNR | (512) 854-9408 |
| Robert Armistead | Asst Parks Division Director                 | TNR | (512) 854-9831 |
| Greg Chico       | Real Estate Division Manager                 | TNR | (512) 854-4659 |
| Jon White        | NREQ Division Director                       | TNR | (512) 854-7212 |
| Donna Holt       | Admin Division Director                      | TNR | (512) 854-9417 |
| David Greear     | Asst Public Works Division Director-CIP      | TNR | (512) 854-7650 |
| Stacey Scheffel  | Permits Program Manager-Floodplain Admin     | TNR | (512) 854-7565 |
| Scheleen Walker  | Long Range Planning Manager                  | TNR | (512) 854-4603 |
| Charlie Watts    | Planning Project Manager                     | TNR | (512) 854-7654 |
| Wendy Scaperotta | Planning Project Manager                     | TNR | (512) 854-7655 |
| Steve Schiewe    | Engineer                                     | TNR | (512) 854-7580 |
| Jessica Rio      | County Executive                             | PBO | (512) 854-4455 |
| Travis Gatlin    | Budget Director                              | PBO | (512) 854-9065 |

|            |  |     |                |
|------------|--|-----|----------------|
| Julie Joe  | Attorney VII                               | COA | (512) 854-4835 |
| John Hille | County/District Attorney Division Director | COA | (512) 854-9642 |

**CM:SM:ifs**

**3101 - Public Works/CIP- Receive final prioritized proposed project list and Final Report from the 2017 Citizens Bond Advisory Committee process**



## **Travis County Commissioners Court Agenda Request**

**Meeting Date:** July 18, 2017

**Prepared By:** Cynthia McDonald, Chief Deputy   **Phone #:** (512) 854-9418

**Division Director/Manager:** Steven M. Manilla, P.E., County Executive

**Department Head:** Steven M. Manilla, P.E., County Executive-TNR

**Sponsoring Court Members:** Commissioner Travillion, Precinct One  
Commissioner Shea, Precinct Two

**AGENDA LANGUAGE:** Discuss and receive Final Report from the 2017 Citizens Bond Advisory Committee.

### **BACKGROUND/SUMMARY OF REQUEST:**

#### **2017 Citizens Bond Advisory Committee FINAL Status Report**

The Commissioners Court appointed a Citizens Bond Advisory Committee (CBAC) to assist with preparing for bond referendums. The committee was charged with:

- 1) Recommending projects to be included in a possible 2017 Travis County Bond Referendum
- 2) Recommending projects to be funded through the annual budget process
- 3) Providing the Court with preliminary recommendations for a potential 2019 bond referendum

The CBAC is comprised of 15 members, with each Court member appointing three members.

Since January, the CBAC has had 18 Committee Meetings, 6 Public Outreach Meetings and has accomplished the following tasks:

The CBAC has reviewed the list of projects as presented to the Court and documented in the "Consolidated 2017 Bond Project List (rev. 2017-06-07)" totaling \$1,133,550,450. Of that total \$581,363,242 has been reviewed as "Voter Approved Bonds" and \$527,549,208 as "Annual Budget CO's." Since then, the list has been revised. (See Attachment A-Consolidated 2017 Bond Project List [rev. 2017-07-10]).

All of the 24 meetings were open to the public and many were attended by citizens who gave oral testimony during Citizens Communication periods of each meeting. Written and email testimonies were also submitted to the CBAC.

The CBAC formed two subcommittees to more expediently and comprehensively review projects:

- Transportation Subcommittee
- Parks Subcommittee

To evaluate the projects included on the Comprehensive List provided by county staff, the CBAC reviewed item-by-item the scope and context of each project with the assistance from staff.

Following that review, the CBAC developed a Preliminary Prioritized List to be considered by citizens through Public Outreach Meetings held in each Precinct and by the Commissioners Court.

Each was effectively evaluated relative to other projects within the same category. The project list included both the staff list and projects subsequently proposed by citizens during Citizens Communications and at the Public Outreach Meetings.

In the meetings following the final public outreach meeting on June 29, 2017, the CBAC proceeded through a second round of reviews, with some revisions, based on items presented and discussed in the public outreach meetings including the impact of stakeholder input.

Following the development of a final prioritized list of projects, the CBAC addressed the specific composition of the two proposed general obligation bond propositions: Transportation and Parks. Recommendations regarding the size, tax rate and the time allowed for execution follow in this document. The CBAC has also made recommendations regarding projects to be funded by the issuance of certificates of obligation.

## **SUBCOMMITTEE REPORTS**

### **Transportation Projects**

Subcommittee members: Leah Bojo, Andrew Clements, Brian Thompto, Brian Reis, Amanda Brown, Lonnie Limon, Heyden Black Walker (Lauren Creswell, original appointee representing Precinct Two, relocated to another state and was replaced by Ms. Walker,) and Ron Wattinger, Chair.

The subcommittee: reviewed roadway capacity, roadway safety, drainage projects (stream crossings,) bridge safety projects, and pedestrian projects; received briefings from staff on the need for mobility projects, project threshold and prioritization criteria; toured proposed project locations; developed a preliminary list of mobility and safety projects to be considered by the public; and received and considered citizen input through email, spoken and written testimony throughout the process and at the six public outreach meetings.

### **Prioritization criteria and methodology:**

Each project was considered by the CBAC Transportation Subcommittee, and subsequently by the full CBAC, through extensive engagement with staff and incorporation of citizen input. Staff criteria for prioritization served as the starting point for the deliberation of each project. The CBAC then sought to understand the origins and motivations for each project as developed more specific prioritization factors.

All projects were ranked on an absolute scale across categories into four priority levels:

- (A) Very high priority

- (B) High priority
- (C) Priority
- (D) Balance of project list

There has been no ranking within priority levels or categories. For example, all items marked as Priority "A" are assumed to be of equal importance. Overriding prioritization factors have included: consideration of existing and future needs, concern for citizen safety, timeliness of impact, cost effectiveness, citizen input, cost sharing, and corridor priorities.

With those gradations of rank, projects were compared within the following categories and on the basis of the criteria listed under the categories below:

**Pedestrian projects:**

- Improve access to services and public transportation
- Safety and public input
- Take a meaningful step toward addressing the significant backlog of ADA compliance issues and targeted sidewalk improvements

**Roadway safety projects:**

- Collision rate along project route
- High risk of collision including speed of road, turn from travel-lane, and line-of-sight
- Consideration of bicycle traffic and safety
- Flood evacuation egress

**Bridge safety projects:**

- Bridges below SR=60 threshold
- Take a meaningful step toward addressing the significant backlog of bridge improvements

**Roadway capacity projects:**

- Address acute existing traffic back-ups and congestion issues
- Timeliness of improvement vs. impact
- Improving connectivity
- Improve one-way in/one-way out conditions
- Alignment with major corridor plans (including link to activity centers)
- Leverage/catalyze partner projects (e.g. w/ TxDOT, PID, etc.)

**Parks Projects**

Subcommittee members: Vanessa Puig-Williams, Katherine Romans, Scott Chapman, Larry Douglas, Jim Susman, Lillie Almanza, and John Langmore, Chair.

The Parks Subcommittee analyzed Parks and Conservation Easements as part of a proposed general obligation bond issuance. The Subcommittee also analyzed Bicycle Safety and Subdivision Drainage Projects as part of a proposed issuance of Certificates of Obligation

**Prioritization criteria and methodology:**

Parks projects were analyzed and preliminarily ranked according to the following criteria:

**Included in a plan:**

A project included in the Travis County Land, Water & Transportation Plan and/or the Parks Master Plan received a score of “1” for each plan incorporating the project; the effect being projects included in a plan vetted by the public and adopted by the Commissioners Court were prioritized over projects that are not.

**Part of a multi-phased park Improvement project:**

Projects that are part of a multi-phased park improvement project were prioritized with a score of “1” over those that are not.

**Timeliness:**

Land acquisition projects were prioritized over non-acquisition projects with a score of “2”. This accounts for the significance of timely acquisition of land prior to potential development and losing it as parkland forever, as well as acknowledging rapidly rising real estate costs in Travis County.

**Resource leveraging opportunities:**

Projects with potential resource leveraging opportunities were prioritized with a score of “1”.

**Shovel ready:**

Shovel ready projects were prioritized with a score of “1”.

**Availability:**

Projects providing increased public access were prioritized with a score of “1”.

**Stakeholder support:**

Projects with strong and demonstrated stakeholder support were prioritized with a score of “1”.

**Conservation Easements:**

A fund for acquiring conservation easements across the entire county was analyzed based in part on assessing the amounts spent for conservation easements in the past, but, primarily based on county staff’s assessment of potential conservation easements over the next three to four years. Because the nature of acquiring conservation easements can be sensitive given negotiations with land owners and because it involves real estate matters, most of these discussions took place in closed session. The subcommittee was fully supportive of the county’s assessment of the value of conservation easements, as stated in a staff report, in “retaining or protecting natural, scenic or open-space value of real property ... or assuring its availability for agricultural, recreational or open-space use, protecting natural resources, maintaining or enhancing air or water quality, or conserving water quantity or quality.”

**Drainage Projects (subdivision and stream crossings):**

Through the course of this process, the CBAC became acutely aware that flooding of both roadways and homes/businesses is a significant issue that only threatens to worsen with time. While the CBAC’s recommendation allocates money to the highest level projects that are advanced to a point of implementation, there remains much work to be done in addressing the flooding issues plaguing Travis County. The CBAC feels it is imperative that the county invest time and resources to better understand and document the magnitude of the problem, and develop a county-wide plan and

implementation strategy for addressing those issues.

### **Bicycle Safety Projects:**

The Parks Subcommittee prioritized the bicycle safety projects recommended by the Bicycle Safety Task Force (BSTF). The BSTF was a stakeholder group composed of members of the cycling community who recommended projects for approved 2011 bicycle safety bond funds and who identified projects for future bonds based on the need for safe cycling routes and critical connectivity issues for on-road and off-road projects. The Commissioners Court approved these projects in May 2016.

With input from TNR staff, the Parks Subcommittee evaluated 10 bicycle safety projects recommended by the BSTF and prioritized them based on:

- Vehicular traffic volume on existing routes used by cyclists
- Catalyst to leverage partnership development
- Potential commuter routes and connections to Capital Metro lines
- Feasibility of completion

## **FINAL RECOMMENDATIONS**

After meeting for more than four months and holding six public outreach meetings, the CBAC feels strongly that the transportation, park and drainage/flooding mitigation needs of the county have grown to a point that protecting the safety of and preserving the quality of life for Travis County citizens requires an investment in certain critical infrastructure. The CBAC shares Travis County's longstanding desire to be fiscally responsible. At the same time, the CBAC recognizes that the county may need to consider a more aggressive approach to addressing the backlog of critical infrastructure needs. The CBAC feels the bond package as proposed, significantly advances the most critical needs in the infrastructure categories analyzed while doing so in a fiscally conservative manner.

The prioritization criteria used to rank projects is described earlier in this report. Using that criteria, as well as taking public comments into close consideration, there were a number of overarching principles that drove the formation of these recommendations.

- Investing in safety projects was a high priority. While the CBAC agreed that safety projects are most appropriately paid for with Certificates of Obligation (COs), felt that original proposals to issue \$15 million of COs annually did not address safety projects quickly enough. Therefore, our recommendation is that the amount of annual COs be increased to \$22.6 million to be directed to the designated projects.
- The CBAC also acknowledged that growth in Precinct 4 has been accelerating over the last several years while infrastructure investments in that precinct have historically been inadequate. By directing a somewhat higher level of investment into that precinct, the CBAC is attempting to rectify that historic underinvestment, while at the same time dealing with several issues that impact the lives and safety of Precinct 4 residents.
- The CBAC also acknowledged that land prices are rapidly escalating across Travis County as is residential and commercial development. Similarly, the CBAC acknowledged the significant role parks and open space play in making for the exceptional quality of life currently enjoyed by

Travis County residents. In that respect, the CBAC felt it important to both secure open space today for parks, either underway or already planned, as well as investing in recreational parks which are currently straining from a high degree of usage. Furthermore, the CBAC responded to the strong public support for a much needed sports complex in Precinct 3 by advocating that that project be funded in this bond package.

- The CBAC wants to emphasize that the proposed COs and General Obligation bonds (GOs) are integral to each other. They should not be viewed as separate investments irrespective of GOs requiring voter approval and COs not requiring that same approval. The issuance of both are necessary at the proposed levels in order to address all of the projects ranked as “High Priority” in the various project categories.
- The CBAC viewed this bond package as primarily addressing the needs of Travis County in the extra-territorial jurisdiction, not within the various municipal city limits. Bearing that in mind, the CBAC also strived to achieve some level of equity between the four precincts while acknowledging that most of Precinct 2 is within the city limits of Austin and Precinct 4 has been historically underserved with respect to infrastructure investment.

The bond package proposed by the CBAC accomplishes the following:

- Over a four year period it allows for the funding of all Priority “A” projects in every category analyzed.
- It relies on the estimated debt capacity of the county assuming the 2018 debt service rate of \$.055 per \$100 of valuation for the full four year period. Thus, to accomplish this level of investment does not require an increase in the existing debt service rate.
- It leaves some debt capacity available to the county in the case of emergencies or to further advance a strategic plan for such critical issues as drainage and flood mitigation.

The bond package proposed (see Attachment B–CBAC Jul 13 2017 Final Project List) has the following features:

- Recommends the issuance of \$22.6 million each year of COs for four years to be directed at projects in the attached list.
- Recommends the issuance of \$36 million each year of GOs for four years to be directed at the projects in the attached list.
- Assumes a total amount of debt issued over four years specifically to address the attached project list will be \$234.5 million. Of that total, approximately 39% will be COs and 61% will be GOs. Within the GOs, 45% will specifically address transportation and safety needs and 55% will address parks and open space needs. Furthermore, with the GOs and COs combined, over 60% of the total bonds issued are going to address transportation and safety needs.
- The four year timeframe allows ample time for Travis County staff to increase its project delivery capacity and also allows 10% of project value to cover costs associated with outsourcing certain project delivery tasks.

## **Additional Issues for Commissioners Court Consideration**

When assessing the prospects of moving forward with a 2017 bond package, the CBAC encourages the Commissioners Court to consider the following matters critical to the success of implementing the underlying projects, as well as instilling citizens' confidence for the sake of future bond packages:

1. Ensure project costs and schedules are adequately vetted such that all projects will be completed on time and on budget.
2. Ensure ongoing maintenance costs of each project are adequately incorporated into your decision making and those costs accounted for in forecasting future Travis County budgets.
3. Ensure there is an appropriate plan to cost-effectively enhance county staff's ability to deliver projects of this magnitude in the proposed time-frame.
4. The projects ranked as Priority "B" should be prioritized for implementation should certificate of obligation funding become available within the bond time period.
5. Revisit the court-approved definition of a "safety project" to include all road users, including pedestrians and cyclists.
6. Through the CBAC's work and in public input, a shortage of county-supported parks was identified, in particular the need for access to sports facilities near population centers in the northwest portion of Travis County. The committee recommends exploration of creative solutions to address this identified need, and acknowledges that acquisition of land is of an urgent nature in the face of rapid development pressures.
7. Project specific comments:
  - Drainage Stream Crossing: Great Divide at Little Barton Creek - The CBAC recommends collection of public input before moving forward with the project. also want to make the Commissioners aware that this project was rejected as a partner project by the City of Bee Caves.
  - Road Safety: Ross Road - this project has been re-categorized by the CBAC from a capacity project to a safety project due to its clear safety benefits to pedestrians, particularly students. made this recommendation to avoid the possibility that the project would be delayed should the bond proposal fail. have been advised by staff that it may not meet the court's definition of a safety project.

### **STAFF RECOMMENDATIONS:**

None.

### **ISSUES AND OPPORTUNITIES:**

See Attachment C–Minority Report

**FISCAL IMPACT AND SOURCE OF FUNDING:**

Planning and Budget Office staff will present the fiscal impact to the Court based on our decisions on the “Revised for July 13 CBAC Meeting Debt Issuance Scenario for FY2018 to FY2021” document (Attachment D).

**ATTACHMENTS/EXHIBITS:**

Attachment A-Consolidated 2017 Bond Project List (rev. 2017-07-10)  
 Attachment B-CBAC Jul 13 2017 Final Project List  
 Attachment C-Minority Report  
 Attachment D-Debt Issuance Scenario for FY 2018 to FY 2021 document

**REQUIRED AUTHORIZATIONS:**

|                         |                   |     |                |
|-------------------------|-------------------|-----|----------------|
| Sydnia Crosbie          | Financial Manager | TNR | (512) 854-7682 |
| Steven M. Manilla, P.E. | County Executive  | TNR | (512) 854-9429 |

**CC:**

|                  |   |     |                |
|------------------|---|-----|----------------|
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| Robert Armistead | Asst Parks Division Director                | TNR | (512) 854-9831 |
| Greg Chico       | Real Estate Division Manager                | TNR | (512) 854-4659 |
| Jon White        | NREQ Division Director                      | TNR | (512) 854-7212 |
| Donna Holt       | Admin Division Director                     | TNR | (512) 854-9417 |
| David Greear     | Asst Public Works Division Director-CIP     | TNR | (512) 854-7650 |
| Stacey Scheffel  | Permits Program Manager-Floodplain Admin    | TNR | (512) 854-7565 |
| Scheleen Walker  | Long Range Planning Manager                 | TNR | (512) 854-4603 |
| Charlie Watts    | Planning Project Manager                    | TNR | (512) 854-7654 |
| Wendy Scaperotta | Planning Project Manager                    | TNR | (512) 854-7655 |
| Steve Schiewe    | Engineer                                    | TNR | (512) 854-7580 |
| Jessica Rio      | County Executive                            | PBO | (512) 854-4455 |
| Travis Gatlin    | Budget Director                             | PBO | (512) 854-9065 |
| Julie Joe        | Attorney VII                                | COA | (512) 854-4835 |
| John Hille       | County/District Attorney Division Director  | COA | (512) 854-9642 |

**CM:SM:ifs**

**3101 - Public Works/CIP- Receive Final Report from the 2017 Citizens Bond Advisory Committee**

# Attachment A - CONSOLIDATED 2017 BOND PROJECT LIST (rev. 2017-07-10) DRAFT

| Pct | New Proj | Priority | Project Name                                     | Scope  | TOTAL COST    | Voter Approved BOND \$ | Annual Budget CO's \$ | Eng Cost     | * est level | Acres | RW Cost      | * est level | Const.Cost    | * est level | Total         | Comment                           |
|-----|----------|----------|--|--|---------------|------------------------|-----------------------|--------------|-------------|-------|--------------|-------------|---------------|-------------|---------------|-----------------------------------|
|     |          |          | <b>Roadway Capacity Projects</b>                 |  |               |                        |                       |              |             |       |              |             |               |             |               |                                   |
| 3   |          | 1        | Bullick Hollow Rd @ FM2769 Intersection Improv   | Add right turn lane on west bound Bullick Hollow Road at FM2769<br>Construct new 4 lane divided roadway with bike lanes and sidewalks from Bratton Lane to Crissom Lane and add two additional lanes from Crissom Lane to 350' north of Roller Crossing    | \$ 1,100,000  | \$ 1,100,000           |                       | \$ 200,000   | D           | 1     | \$ 100,000   | D           | \$ 800,000    | D           | \$ 1,100,000  | RCP14                             |
| 2   |          | 2        | Grand Avenue Pkwy                                | Construct new 2 lane roadway with bike lanes and sidewalks from SH130 to Austins Colony Blvd near Manifee Street.  | \$ 4,400,000  | \$ 4,400,000           |                       | \$ 400,000   | D           | 6     | \$ 500,000   | D           | \$ 3,500,000  | D           | \$ 4,400,000  | RCP15                             |
| 1   |          | 3        | Harold Green 130 to Austins Colony Blvd          | Construct new 2 lane roadway with bike lanes and sidewalks from Weiss Lane to approximately 1.0 mile east to join with existing Jesse Bohls Drive. Partially in city of Pflugerville city limits.  | \$ 10,700,000 | \$ 10,700,000          |                       | \$ 1,000,000 | D           | 21    | \$ 1,700,000 | D           | \$ 8,000,000  | D           | \$ 10,700,000 | RCP18                             |
| 1   |          | 4        | E Pflugerville Pkwy Extn** (25% in Pflugerville) | Construct new 2 lane roadway with bike lanes and sidewalks from Weiss Lane to approximately 1.0 mile east to join with existing Jesse Bohls Drive. Partially in city of Pflugerville city limits.  | \$ 2,420,000  | \$ 2,420,000           |                       | \$ 120,000   | C           | 7     | \$ 500,000   | D           | \$ 1,800,000  | C           | \$ 2,420,000  | RCP11                             |
|     |          |          |  |  |               |                        |                       |              |             |       |              |             |               |             |               | Costs only represent county share |
| 1   |          | 5        | Howard Lane Extension                            | Construct new 4 lane divided roadway with bike lanes and sidewalks from SH130 to Gregg Manor Rd  | \$ 11,343,680 | \$ 11,343,680          |                       | \$ 1,140,480 | D           | 15    | \$ 2,600,000 | D           | \$ 7,603,200  | D           | \$ 11,343,680 | RCP2                              |
| 1   |          | 6        | Wells Branch Pkwy Extn (Phase I)                 | Construct a new 4 lane divided roadway with bike lanes and sidewalks from Killir   | \$ 22,956,400 | \$ 22,956,400          |                       | \$ 1,832,400 | D           | 65    | \$ 2,800,000 | D           | \$ 18,324,000 | D           | \$ 22,956,400 | RCP12                             |
| 4   |          | 7        | Elroy Rd / Maha Lp (FM812-Fagerquest-Pearce)     | Construct new 2 lane road with shoulders on new alignment from FM812 to Martin Lane, add shoulders from FM812 to Fagerquist and left turn lanes at major intersections, then construct a new 2 lane roadway with shoulders from Fagerquist Rd to Kellam Rd | \$ 25,936,272 | \$ 25,936,272          |                       | \$ 2,808,000 | D           | 50    | \$ 4,408,272 | D           | \$ 18,720,000 | D           | \$ 25,936,272 | RCP16                             |
| 1   |          | 8        | Arterial C                                       | Construct new 4 lane divided roadway with bike lanes and sidewalks from Deaf Smith Rd to FM 969  | \$ 13,425,000 | \$ 13,425,000          |                       | \$ 1,200,000 | D           | 15    | \$ 1,500,000 | B           | \$ 10,725,000 | D           | \$ 13,425,000 | RCP17                             |
| 1   |          | 9        | Braker Lane North** (51% in Austin)              | Construct new 4 lane divided roadway with bike lanes and sidewalks from Samsung Blvd to Giles Road   | \$ 6,660,000  | \$ 6,660,000           |                       | \$ 960,000   | D           | 11    | \$ 960,000   | D           | \$ 5,700,000  | D           | \$ 6,660,000  | RCP1                              |
| 1   |          | 10       | Braker Ln** (Taylor Ln -Burlison Manor)          | Construct a new 4 lane divided roadway with bike lanes and sidewalks from Taylor Ln to Burlison-Manor Rd   | \$ 7,700,000  | \$ 7,700,000           |                       | \$ 700,000   | D           | N/A   | \$ -         | N/A         | \$ 7,000,000  | D           | \$ 7,700,000  | RCP5                              |
| 1   |          | 11       | Kimbro-Parsons Realign                           | Widen and Realign existing two lane road to a 4 lane divided roadway with bike lanes and sidewalks from US 290 to Blake Manor Rd   | \$ 41,500,000 | \$ 41,500,000          |                       | \$ 2,500,000 | D           | 54    | \$ 4,000,000 | D           | \$ 35,000,000 | D           | \$ 41,500,000 | RCP13                             |
| 4   |          | 12       | William Cannon Extension (aka FM812 realignment) | Provide funds for right-of-way and design for a new 4 lane divided roadway with shoulders from US 183 at William Cannon to FM973   | \$ 19,500,000 | \$ 3,000,000           |                       | \$ 1,500,000 | D           | 22    | \$ 1,500,000 | D           | \$ 16,500,000 | D           | \$ 19,500,000 | RCP6                              |
| 4   |          | 13       | Slaughter Ln Pub-Priv**                          | Construct a new 4 lane divided roadway with bike lanes and sidewalks from Thaxton Rd to FM 973   | \$ 17,000,000 | \$ 17,000,000          |                       | \$ 1,500,000 | D           | 51    | \$ -         | N/A         | \$ 15,500,000 | D           | \$ 17,000,000 | RCP7                              |
| 1   |          | 14       | SH130/Parmer(Blue Bluff) interchange** (P-P-P)   | North Bound SH130 Exit Ramp to Parmer Ln (Blue Bluff)  | \$ 700,000    | \$ 700,000             |                       | \$ 100,000   | D           | N/A   | \$ -         | N/A         | \$ 600,000    | D           | \$ 700,000    | RCP10                             |
| 4   |          | 15       | South Pleasant Valley Road                       | Construct new 4 lane divided roadway with bike lanes and sidewalks from FM 1327 to Bradshaw Road 1,000' south of River Plantation Drive. PID participation.  | \$ 6,000,000  | \$ 6,000,000           |                       | \$ 300,000   | D           | N/A   | \$ -         | N/A         | \$ 5,700,000  | D           | \$ 6,000,000  | RCP22                             |
| 1   |          | 16       | Braker Lane (290 to 430)-(terminated-05-bond)    | New 1.7 mi 4-ln US290 to SH130   | \$ -          | \$ -                   |                       | \$ -         | D           | 23    | \$ -         | D           | \$ -          | D           | \$ -          | RCP23                             |
| 3   |          | -        | Reimers-Peacock Road                             | Construct a new 2 lane road with shoulders from SH71 to Hamilton Pool Road   | \$ 15,800,000 | \$ 15,800,000          |                       | \$ 300,000   | D           | 50    | \$ 3,500,000 | D           | \$ 12,000,000 | D           | \$ 15,800,000 | RCP3                              |
| 3   |          | -        | Bob Wire Realignment                             | Realign 2 lane roadway approaching SH71  | \$ 1,940,000  | \$ 1,940,000           |                       | \$ 200,000   | B-C         | 2     | \$ 300,000   | D           | \$ 1,440,000  | B-C         | \$ 1,940,000  | RCP19                             |
| 1   |          | -        | Arterial A                                       | Construct a 4 lane divided roadway with bike lanes and sidewalks from US 290 E to Samsung Blvd   | \$ 24,800,000 | \$ 24,800,000          |                       | \$ 300,000   | B           | 24    | \$ 1,500,000 | B           | \$ 23,000,000 | B           | \$ 24,800,000 | RCP4                              |
| 1   |          | -        | Runndberg Ln Extn                                | Construct a new 2 lane roadway with bike lanes and sidewalks from Sprinkle Rd to Arterial A  | \$ 13,580,500 | \$ 13,580,500          |                       | \$ 1,315,000 | D           | 17    | \$ 740,500   | D           | \$ 11,525,000 | D           | \$ 13,580,500 | RCP9                              |
| 1   |          | -        | Dessau Road (widen in median)                    | Widen an existing 4 lane divided roadway to a 6 lane divided roadway with bike lanes and sidewalks from Parmer Lane to Wells Branch Pkwy   | \$ 9,300,000  | \$ 9,300,000           |                       | \$ 500,000   | D           | 3     | \$ 300,000   | D           | \$ 8,500,000  | D           | \$ 9,300,000  | RCP8                              |

# Attachment A - CONSOLIDATED 2017 BOND PROJECT LIST (rev. 2017-07-10) DRAFT

| Pct | New Proj | Priority | Project Name                                      | Scope  | TOTAL COST     | Viewer Approved BOND \$ | Annual Budget CO's \$ | Eng Cost      | * est level | Acres | R/W Cost      | * est level | Const Cost     | * est level   | Total          | Proj_ID       | Comment  |   |
|-----|----------|----------|---|--|----------------|-------------------------|-----------------------|---------------|-------------|-------|---------------|-------------|----------------|---------------|----------------|---------------|--|---|
| 1   |          | -        | Wells Branch Pkwy Extn (Phase II)                 | Construct a new 4 lane divided roadway with bike lanes and sidewalks from Greg Manor to SH130 then over to FM973   | \$ 56,896,000  | \$ 56,896,000           |                       | \$ 4,536,000  | D           | 65    | \$ 7,000,000  | D           | \$ 45,360,000  | D             | \$ 56,896,000  | RCP24         | Project cut into two phases, Phase I must be constructed first.  |   |
|     |          | -        | TxDOT ROW requests                                | Anticipated TxDOT requests for cost share  | \$ 750,000     | \$ 750,000              |                       |               | D           |       | \$ 750,000    |             |                |               | \$ 750,000     | RCP21         |  |   |
| 4   | x        | NEW      | Elroy Rd (LOOP)                                   | Widen roadway from 2 to 3 lanes from McAngus to Kellam and bring the roadway out of the 100 year flood plain (1.2 mi), then improve the remainder of the roadway by adding shoulders and left turn lanes at certain intersections from Kellam to FM812, with new alignment as it approaches FM812. | \$ 34,463,360  | \$ 34,463,360           |                       | \$ 4,008,960  | D           | 21    | \$ 3,728,000  | D           | \$ 26,726,400  | D             | \$ 34,463,360  | RCP25         | Added as proposed reroute and combination of the other two Elroy Rd projects at request of Commissioner Gomez's office |   |
| 4   | x        | NEW      | Ross Rd   | Add northbound auxiliary/right turn lane from St.Thomas to northern most driveway of Del Valle HS. Add Pedestrian Signal at second driveway.   | \$ 1,090,200   | \$ 1,090,200            |                       | \$ 142,200    | N/A         | 0     | \$ -          | D           | \$ 948,000     | D             | \$ 1,090,200   | RCP26         | Updated to reflect change of scope at request of CBAC on 6/7/17  |   |
|     |          |          | <b>Sub Total</b>                                  |  | \$ 349,961,412 | \$ 349,961,412          |                       | \$ 26,603,040 | D           |       | \$ 38,386,772 |             | \$ 280,671,600 |               | \$ 349,961,412 |               |  |   |
|     |          |          | <b>Roadway Safety Projects</b>                    |  |                |                         |                       |               |             |       |               |             |                |               |                |               |  |   |
| 2   |          | 1        | Wells Branch Pkwy Comprehensive Study             | Evaluate safety, roadway geometrics and signal coordination and efficiency along Wells Branch Pkwy from IH35 to Loop 1   | \$ 500,000     | \$ 500,000              |                       | \$ 500,000    | D           | N/A   |               | N/A         |                | N/A           | \$ 500,000     | RSP2          |  |   |
| 2   |          | 2        | Wells Branch Pkwy @ Howard Ln Median Modification | Add and extend left turn lanes on Wells Branch approaching Howard Lane intersection and close median opening between Howard and Loop 1   | \$ 500,000     | \$ 500,000              |                       | \$ 75,000     | D           | N/A   |               | N/A         |                | \$ 425,000    | D              | \$ 500,000    | RSP4   | Revised scope to include Sassinman intersection   |
| 4   |          | 3        | Thaxton Road                                      | Widen existing 2 lane roadway to 4 lane roadway with bike lanes and sidewalks from McKyn Falls Pky to Sassinman Rd   | \$ 6,125,760   | \$ 6,125,760            |                       | \$ 524,160    | D           | 3     | \$ 360,000    | D           |                | \$ 5,241,600  | D              | \$ 6,125,760  | RSP5   | revised scope from 4-lane to 2-lane with shoulders  |
| 1   |          | 4        | Jesse Bohls Realign** (0.5 mi in Pflugerville)    | Realign Jesse Bohls at Weiss Lane to align with E. Pflugerville Pkwy as a 2 lane roadway with shoulders from Weiss Lane to approximately 1 mile east then continue with added shoulders out to Cameron Rd and new alignment approaching Cameron Rd.  | \$ 12,442,320  | \$ 12,442,320           |                       | \$ 702,240    | D           | 27    | \$ 2,962,080  | D           |                | \$ 8,778,000  | D              | \$ 12,442,320 | RSP6   |   |
| 4   |          | 5        | Pearce Ln @ Kellam Rd Intersection Improv.        | Add left turn lanes at approaches to intersection with Kellam Road and improve drainage structures for improved flow   | \$ 1,350,000   | \$ 1,350,000            |                       | \$ 200,000    | D           | 1     | \$ 150,000    | D           |                | \$ 1,000,000  | D              | \$ 1,350,000  | RSP9   |   |
| 3   |          | 6        | Cuervavaca Dr Safety Improvements                 | Add paved shoulders to the existing 2 lane roadway from FM2244 to Mecca Road and add left turn lanes at major intersections  | \$ 12,870,000  | \$ 12,870,000           |                       | \$ 1,200,000  | D           | 4     | \$ 570,000    | D           |                | \$ 11,100,000 | D              | \$ 12,870,000 | RSP11  | Revised scop due to COA annexation of western limits and updated costs  |
| 1   |          | 7        | Old Manor Rd Safety Improvements                  | Improve the existing 2 lane roadway and add shoulders from Ferguson Cut-off Rd to Johnny Morris Rd and add left turn lanes at major intersections  | \$ 4,912,608   | \$ 4,912,608            |                       | \$ 494,208    | D           | 5     | \$ 300,000    | D           |                | \$ 4,118,400  | D              | \$ 4,912,608  | RSP12  |   |
| 3   |          | 8        | Twin Creeks Rd                                    | Widen the existing 2 lane roadway to a 3 lane roadway with bike lanes and sidewalks from FM 1626 to Old San Antonio Rd   | \$ 20,250,000  | \$ 20,250,000           |                       | \$ 1,500,000  | D           | 5     | \$ 750,000    | D           |                | \$ 18,000,000 | D              | \$ 20,250,000 | RSP13  |   |
| 4   |          | 9        | Pearce Lane Widening E                            | Widen the existing 2 lane roadway to 4 lane divided roadway with bike lanes and sidewalks from KellamRd to Travis/Bastrop County Line  | \$ 23,200,000  | \$ 23,200,000           |                       | \$ 1,700,000  | D           | 23    | \$ 1,500,000  | D           |                | \$ 20,000,000 | D              | \$ 23,200,000 | RSP14  |   |
| 3   |          | 10       | Thomas Springs Rd                                 | Widen existing 2 lane roadway to a 3 lane roadway with bike lanes and sidewalks from SH 71 to Circle Dr  | \$ 12,025,000  | \$ 12,025,000           |                       | \$ 1,000,000  | D           | 4     | \$ 525,000    | D           |                | \$ 10,500,000 | D              | \$ 12,025,000 | RSP15  |   |
| 3   |          | 11       | Thomas Springs Rd at Circle Drive                 | Add left turn lane on eastbound Circle Dr approaching Thomas Springs Rd  | \$ 490,000     | \$ 490,000              |                       | \$ 80,000     | D           | 0     | \$ 10,000     | D           |                | \$ 400,000    | D              | \$ 490,000    | RSP16  |   |
| 3   |          | 12       | Bradshaw Road Safety Improvements                 | Improve the existing 2 lane roadway and add shoulders from Slaughter Lane to FM1327 and add left turn lanes at major intersections   | \$ 6,800,000   | \$ 6,800,000            |                       | \$ 600,000    | D           | 3     | \$ 200,000    | D           |                | \$ 6,000,000  | D              | \$ 6,800,000  | RSP17  |   |
| 1   |          | 13       | Cameron Rd (E of SH 130)                          | Widen and realign the existing 2 lane roadway to a 4 lane divided roadway with bike lanes and sidewalks from Weiss Ln to FM 973  | \$ 35,100,000  | \$ 35,100,000           |                       | \$ 2,100,000  | D           | 48    | \$ 3,000,000  | D           |                | \$ 30,000,000 | D              | \$ 35,100,000 | RSP18  |   |
| 4   |          | 14       | Pearce Lane Widening W*** (37% in CoA)            | Widen the existing 2 lane roadway to a 4 lane divided roadway with bike lanes and sidewalks from FM973 to Kellam Rd  | \$ 14,200,000  | \$ 14,200,000           |                       | \$ 1,200,000  | D           | 11    | \$ 1,000,000  | D           |                | \$ 12,000,000 | D              | \$ 14,200,000 | RSP19  |   |
| 3   |          | 15       | Lohman Ford Road Safety Improvements              | Improve the existing 2 lane roadway and add shoulders from Lago Vista to Point Venture and add left turn lane at major intersections   | \$ 7,700,000   | \$ 7,700,000            |                       | \$ 700,000    | D           | 10    | \$ 500,000    | D           |                | \$ 6,500,000  | D              | \$ 7,700,000  | RSP20  | Revised estimates to include 2,600' of bridge structure to keep out of the 100 year flood plain                                     |
| 4   |          | 16       | Elroy Road Widening                               | Widen the existing 2 lane roadway to a 4 lane divided roadway with bike lanes and sidewalks from McAngus Rd to Kellam Rd and bring the roadway out of the 100 year flood plain.  | \$ 26,185,120  | \$ 26,185,120           |                       | \$ 3,316,320  | D           | 7     | \$ 760,000    | D           |                | \$ 22,108,800 | D              | \$ 26,185,120 | RSP21  |   |
| 3   |          | 17       | Serene Hills (Flint Rock-The Hills Dr)            | Improve the existing 2 lane roadway and add shoulders from Flintrock Rd to The Hills Drive and add left turn lanes at major intersections  | \$ 4,400,000   | \$ 4,400,000            |                       | \$ 400,000    | D           | 6     | \$ 500,000    | D           |                | \$ 3,500,000  | D              | \$ 4,400,000  | RSP22  |   |
| 4   |          | 18       | Wolf Lane Improvements                            | Improve the existing 2 lane roadway and add shoulders from US71 to Pearce Lane and add left turn lanes at major intersections.   | \$ 6,100,000   | \$ 6,100,000            |                       | \$ 600,000    | D           | 8     | \$ 500,000    | D           |                | \$ 5,000,000  | D              | \$ 6,100,000  | RSP23  |   |
| 3   |          | 19       | River Hills Road                                  | Improve the existing 2 lane roadway and add shoulders from FM2244 to Taylor Road and add left turn lanes at major intersections  | \$ 4,400,000   | \$ 4,400,000            |                       | \$ 500,000    | D           | 5     | \$ 400,000    | D           |                | \$ 3,500,000  | D              | \$ 4,400,000  | RSP24  |   |
| 4   |          | 20       | Ross Road   | Widen the existing 2 lane roadway to a 4 lane divided roadway with bike lanes and sidewalks from Pearce Lane to Heine Farm Rd  | \$ 4,275,000   | \$ 4,275,000            |                       | \$ 400,000    | D           | 6     | \$ 550,000    | D           |                | \$ 3,325,000  | D              | \$ 4,275,000  | RSP25  | Revised estimate base on updated costs  |
| 1   |          | 21       | Taylor Lane                                       | Full depth reconstruction of 32' of pavement width from Braker Lane to FM969   | \$ 5,400,000   | \$ 5,400,000            |                       | \$ 400,000    | D           | 0     | \$ -          | N/A         |                | \$ 5,000,000  | D              | \$ 5,400,000  | RSP26  | rank removed due idea that funds from 2011 Bond for Reconstruction will go towards roadway surface improvements to reduce accidents |
| 4   |          | 22       | Rodriguez Rd Reconstruction                       | Full depth reconstruction from FM1625 to Maha Circle   | \$ 2,600,000   | \$ 2,600,000            |                       | \$ 350,000    | D           | 2     | \$ 250,000    | D           |                | \$ 2,000,000  | D              | \$ 2,600,000  | RSP27  | rank removed due to more coordination with Hays County and schedule of development improvements to connecting Turnersville Rd       |
| All |          | 23       | Roadway Reconstruction                            | Funding for Misc. Roadway Recycle/Reconstruction   | \$ 2,450,000   | \$ 2,450,000            |                       | \$ 200,000    | D           | 2     | \$ 250,000    | D           |                | \$ 2,000,000  | D              | \$ 2,450,000  | RSP28  | Clarified description on 5/17/17  |
| All |          | 24       | Standard Roads**                                  | Funding for indefinite # of cost-share agreements  | \$ 1,000,000   | \$ 1,000,000            |                       | \$ 200,000    | D           | 0     | \$ -          | N/A         |                | \$ 800,000    | D              | \$ 1,000,000  | RSP29  | Added at request from Public Meetings   |
| 1   |          | -        | BurlesonManor (BlakeManor-Braker Ln)              | Widen from existing 2 lane roadway to 4 lane divided roadway with bike lanes and sidewalks from Blake ManorRd to Braker Ln   | \$ 5,880,000   | \$ 5,880,000            |                       | \$ 600,000    | D           | 12    | \$ 480,000    | D           |                | \$ 4,800,000  | D              | \$ 5,880,000  | RSP3   |   |
| 4   |          | -        | N Turnersville Road                               | Widen existing 2 lane roadway to 4 lane divided roadway with bike lanes and sidewalks from SH 45 to County Line with new alignment near Travis/Hays County line.   | \$ 6,500,000   | \$ 6,500,000            |                       | \$ 700,000    | D           | 5     | \$ 300,000    | D           |                | \$ 5,500,000  | D              | \$ 6,500,000  | RSP7   |   |
| 3   |          | -        | Hamilton Pool Road                                | Improve the existing 2 lane roadway and add shoulders from RM 12 to Pedernales River east bank and add left turn lanes at major intersections  | \$ 13,300,000  | \$ 13,300,000           |                       | \$ 1,000,000  | D           | 5     | \$ 300,000    | D           |                | \$ 12,000,000 | D              | \$ 13,300,000 | RSP10  |   |
| 2   |          | -        | Steiner Ranch Blvd                                | Install Pedestrian Hybrid Beacon to allow safe pedestrian crossing Steiner Ranch Blvd near Grimes Ranch Road   | \$ 90,000      | \$ 90,000               |                       | \$ -          |             |       |               |             |                | \$ 90,000     |                | \$ 90,000     |  |   |

| Pct | New Proj   | Priority | Project Name  | Scope  | TOTAL COST       | Annual Budget CO's \$ | Eng Cost             | * est level | Acres      | R/W Cost             | * est level | Const Cost            | * est level | Total                 | Proj_ID | Comment   |
|-----|------------|----------|---|--|------------------|-----------------------|----------------------|-------------|------------|----------------------|-------------|-----------------------|-------------|-----------------------|---------|---|
|     |            |          |   |  | <b>Sub Total</b> | <b>\$ 241,045,808</b> | <b>\$ 21,241,928</b> |             |            | <b>\$ 16,117,080</b> |             | <b>\$ 203,686,800</b> |             | <b>\$ 241,045,808</b> |         |   |
| 1   | 1          |          | <b>TxDOT Partnership Projects</b>                       |  |                  |                       |                      |             |            |                      |             |                       |             |                       |         |   |
|     |            |          | 973 Manor By-Pass (Bridge at WC & RR)                   | Construct realignment of FM973   | \$ -             | \$ 6,420,000          | \$ 2,330,000         | C           | 54         | \$ 4,090,000         | C           | \$ 23,288,000         | C           | \$ 29,708,000         | PPF1    | Title renamed on 4/21/2017 from original of "Potential Pass Thru Finance Projects"        |
| 4   | 2          |          | FM-812 Realignment US483 to FM973                       | New 4.6 mi. 4-ln   | \$ -             | \$ 6,420,000          | \$ 2,330,000         |             |            | \$ 4,090,000         |             | \$ 23,288,000         |             | \$ 29,708,000         | PPF2    | This is already listed under "Roadway Capacity Projects" as the William Cannon Extension. |
|     |            |          |   |  | <b>Sub Total</b> | <b>\$ -</b>           | <b>\$ -</b>          |             |            | <b>\$ -</b>          |             | <b>\$ -</b>           |             | <b>\$ -</b>           |         |   |
|     |            |          | <b>Bridge Safety Projects</b>                           |  |                  |                       |                      |             |            |                      |             |                       |             |                       |         |   |
| 1   | 1          |          | Littig Rd #161  | SR: Sufficiency Rating, LP: Load Posted, FO: Functionally Obsolete   | \$ 800,000       | \$ 800,000            | \$ 150,000           | D           | 1          | \$ 50,000            | D           | \$ 600,000            | D           | \$ 800,000            | BSP1    |   |
| 1   | 2          |          | Manda Carson Rd #134                                    | SR=59.3 LP 24K, FO, rehab/replace bridge   | \$ 700,000       | \$ 700,000            | \$ 150,000           | D           | 1          | \$ 50,000            | D           | \$ 500,000            | D           | \$ 700,000            | BSP2    |   |
| 1   | 3          |          | Old Highway 20 #153                                     | SR=73.1 LP 24K, rehab/replace bridge   | \$ 700,000       | \$ 700,000            | \$ 150,000           | D           | 1          | \$ 50,000            | D           | \$ 500,000            | D           | \$ 700,000            | BSP3    |   |
| 1   | 4          |          | Hogeye Road Culvert (west of Imperial Dr)               | Replace functionally obsolete box culvert  | \$ 450,000       | \$ 450,000            | \$ 100,000           | D           | 1          | \$ 50,000            | D           | \$ 300,000            | D           | \$ 450,000            | BSP4    |   |
| 1   | 5          |          | Lund Carson Rd #126                                     | SR=68.6, FO, rehab/replace bridge  | \$ 450,000       | \$ 450,000            | \$ 100,000           | D           | 1          | \$ 50,000            | D           | \$ 300,000            | D           | \$ 450,000            | BSP5    |   |
| 4   | 3          |          | Colton Bluff Springs #418                               | SR=78.7, LP 24K, rehab/replace bridge  | \$ 700,000       | \$ 700,000            | \$ 150,000           | D           | 1          | \$ 50,000            | D           | \$ 500,000            | D           | \$ 700,000            | BSP6    |   |
| 4   | 6          |          | Grumley Ranch Road Bridge #314                          | SR=66.6, FO, rehab/replace bridge  | \$ 450,000       | \$ 450,000            | \$ 100,000           | D           | 4          | \$ 50,000            | D           | \$ 300,000            | D           | \$ 450,000            | BSP7    | removed... TxDOT funded   |
| 4   | 8          |          | Turnersville Rd #433                                    | SR=79.7, LP 24K, rehab/replace bridge  | \$ 700,000       | \$ 700,000            | \$ 150,000           | D           | 1          | \$ 50,000            | D           | \$ 500,000            | D           | \$ 700,000            | BSP8    |   |
| 1   | 9          |          | Boyce Lane #109   | SR=68.7, FO, rehab/replace bridge  | \$ 450,000       | \$ 450,000            | \$ 100,000           | D           | 1          | \$ 50,000            | D           | \$ 300,000            | D           | \$ 450,000            | BSP9    | removed per Mo Mortazavi  |
| 1   | 10         |          | Old Gregg Ln #217                                       | SR=69.3, FO, rehab/replace bridge  | \$ 700,000       | \$ 700,000            | \$ 150,000           | D           | 4          | \$ 50,000            | D           | \$ 300,000            | D           | \$ 700,000            | BSP40   | removed... TxDOT funded   |
|     |            |          |   |  | <b>Sub Total</b> | <b>\$ 6,100,000</b>   | <b>\$ 1,300,000</b>  |             | <b>10</b>  | <b>\$ 500,000</b>    |             | <b>\$ 4,300,000</b>   |             | <b>\$ 6,100,000</b>   |         | updated estimate per COA on 6/5/17  |
|     |            |          | <b>Bicycle Safety Projects</b>                          |  |                  |                       |                      |             |            |                      |             |                       |             |                       |         | Limits of project updated on 4/26/17  |
| 1   | A          |          | Austin-to-Manor Trail                                   | Decker Ln to Manor, 2.9 mi. City/Co (Verify with COA) (0.8 mi Co, 2.2 CoA)   | \$ 1,900,000     | \$ 1,900,000          | \$ 150,000           | D           | 3          | \$ 150,000           | D           | \$ 1,600,000          | D           | \$ 1,900,000          | BIS4    |   |
| 3   | B          |          | US 290 W Bypass   | Circle Drive to Fitzhugh Rd, 1.9 mi, Co 10' SUP northside  | \$ 2,500,000     | \$ 2,500,000          | \$ 200,000           | D           | 6          | \$ 300,000           | D           | \$ 2,000,000          | D           | \$ 2,500,000          | BIS8    |   |
| 3   | C          |          | Circle Drive/Thomas Springs                             | SH 71 to US 290 W, 4.0 mi, Co (4 mi)   | \$ 6,050,000     | \$ 6,050,000          | \$ 400,000           | D           | 13         | \$ 650,000           | D           | \$ 5,000,000          | D           | \$ 6,050,000          | BIS10   |   |
| 3   | C          |          | Hamilton Pool Road                                      | RM 12 to Blanco County Line, 9.4 mi, Co  | \$ 17,700,000    | \$ 17,700,000         | \$ 1,200,000         | D           | 30         | \$ 1,500,000         | D           | \$ 15,000,000         | D           | \$ 17,700,000         | BIS12   |   |
| 3   | C          |          | Fitzhugh Road   | US 290 W to Travis County Line, 2.9 mi, Co widen shoulders   | \$ 3,700,000     | \$ 3,700,000          | \$ 300,000           | D           | 8          | \$ 400,000           | N/A         | \$ 3,000,000          | D           | \$ 3,700,000          | BIS13   |   |
| 3   | C          |          | Old San Antonio Road                                    | Austin CL to Hays Co Line, 3.2 mi, Co  | \$ 4,020,000     | \$ 4,020,000          | \$ 320,000           | D           | 10         | \$ 500,000           | D           | \$ 3,200,000          | D           | \$ 4,020,000          | BIS14   |   |
| 4   | C          |          | Old Lockhart Road                                       | Slaughter Lane to Thaxton Road, 3.4 mi, City/Co (2.6 mi Co, .5 CoA)  | \$ 3,260,000     | \$ 3,260,000          | \$ 260,000           | D           | 8          | \$ 400,000           | D           | \$ 2,600,000          | D           | \$ 3,260,000          | BIS15   |   |
| 1   | C          |          | North Walnut Creek Ph IIIB                              | Sprinkle Rd to S. Walnut Creek Trail, 3.0 mi, City/Co (2 mi Co, 0.9 CoA)   | \$ 2,550,000     | \$ 2,550,000          | \$ 200,000           | D           | 7          | \$ 350,000           | D           | \$ 2,000,000          | D           | \$ 2,550,000          | BIS11   |   |
| 4   | B          |          | Elroy Road  | Within ROW of SH 130, 0.5 mi, State/Co (0.5 mi, TAP matching)  | \$ 200,000       | \$ 200,000            | \$ 50,000            | D           | N/A        |                      |             | \$ 150,000            | D           | \$ 200,000            | BIS17   |   |
| 4   | B          |          | FM 973  | Moore's Bridge Road to Elroy Road, 0.1 mi, State/City (TAP matching)   | \$ 150,000       | \$ 150,000            | \$ 50,000            | D           | N/A        |                      |             | \$ 100,000            | D           | \$ 150,000            | BIS5    |   |
| 4   | B          |          | Onion Creek Trail, Burleson Rd to FM 973                | Burleson Road to FM 973 (AB/A), 1.9 mi, City (refer to Park budget)  | \$ -             | \$ -                  | \$ -                 | D           |            |                      |             | \$ -                  | D           | \$ -                  | BIS2    |   |
| 4   | B          |          | Onion Creek Trail, FM 973 to Co Line                    | FM 973 (Timber Creek Sub), .81 mi, City/Co (refer to Park budget) (0.8 Co)   | \$ -             | \$ -                  | \$ -                 | D           |            |                      |             | \$ -                  | D           | \$ -                  | BIS3    |   |
| 1   | B          |          | Gililand Creek Greenbelt                                | Ben Fisher Park to FM 989, 7.2 mi, City/Co (refer to Park budget)  | \$ -             | \$ -                  | \$ -                 | D           |            |                      |             | \$ -                  | D           | \$ -                  | BIS6    |   |
| 1,2 | B          |          | Austin-to-Pflugerville Trail N (hold for MoKan)         | Blue Goose to Pflugerville, 6.4 mi, City/Co (3 mi Co, 2 CoA) (1.2 mi Pflugerville)   | \$ 3,800,000     | \$ 3,800,000          | \$ 300,000           | D           | 10         | \$ 500,000           | D           | \$ 3,000,000          | D           | \$ 3,800,000          | BIS7    |   |
| 1   | B          |          | Austin-to-Pflugerville Trail S (hold for MoKan)         | US Walnut Creek Trail to Blue Goose, 2.8 mi, City/Co (1.5 mi Co, 1.2 CoA)  | \$ 1,900,000     | \$ 1,900,000          | \$ 150,000           | D           | 5          | \$ 250,000           | D           | \$ 1,500,000          | D           | \$ 1,900,000          | BIS1    |   |
| 4   | C          |          | FM 973/SH 130 (coordinate with State)                   | FM 989 to SH 71, 4.2 mi, State/City/Co (3.8 Co, .4 CoA)  | \$ 4,730,000     | \$ 4,730,000          | \$ 380,000           | D           | 11         | \$ 550,000           | D           | \$ 3,800,000          | D           | \$ 4,730,000          | BIS16   |   |
| 1   | C          |          | North Walnut Creek Ph IIIA                              | IH 35 to Blue Goose, 3.8 mi, City/Co (0.1 mi Co, 4.5 CoA)  | \$ -             | \$ -                  | \$ -                 | D           |            |                      |             | \$ -                  | D           | \$ -                  | BIS9    |   |
|     |            |          |   |  | <b>Sub Total</b> | <b>\$ 52,460,000</b>  | <b>\$ 3,960,000</b>  |             |            | <b>\$ 5,550,000</b>  |             | <b>\$ 42,950,000</b>  |             | <b>\$ 52,460,000</b>  |         | Added from citizen request at CBAC meeting on 5/4/17                                      |
|     |            |          | <b>Pedestrian Projects</b>                              |  |                  |                       |                      |             |            |                      |             |                       |             |                       |         |   |
| 1   | 1          |          | Decker Lake Rd Sidewalk                                 | Decker Ln to Hog Eye Rd, 1.2 mile  | \$ 1,900,000     | \$ 1,900,000          | \$ 100,000           | D           |            |                      |             | \$ 1,800,000          | D           | \$ 1,900,000          | PeD1    |   |
| 1   | 2          |          | Springdale Rd Sidewalk                                  | Ferguson Ln to US Hwy 290  | \$ 1,527,000     | \$ 1,527,000          | \$ 195,000           | D           | 0.3        | \$ 45,000            | D           | \$ 1,287,000          | D           | \$ 1,527,000          | PeD2    |   |
| 3   | 3          |          | Twin Creeks Road Sidewalk                               | From Arroyo Vista Dr to FM 1626, one side, 1.0 mi  | \$ 1,810,000     | \$ 1,810,000          | \$ 250,000           | D           | 0          | \$ -                 | D           | \$ 1,560,000          | D           | \$ 1,810,000          | PeD3    |   |
| 3   | 4          |          | Stoneridge Road Sidewalk                                | From Cueva de Oro Cv to Cetona Ct  | \$ 680,000       | \$ 680,000            | \$ 80,000            | D           | 0          | \$ -                 | D           | \$ 600,000            | D           | \$ 680,000            | PeD4    |   |
| All |            |          | Sidewalk Safety & ADA Upgrades                          | Construct New/Reconstruct non-compliant sidewalks  | \$ 2,200,000     | \$ 2,200,000          | \$ 200,000           | N/A         | 0          | \$ -                 | D           | \$ 2,000,000          | D           | \$ 2,200,000          | PeD5    |   |
| 2   | <b>NEW</b> |          | Westminster Glen Sidewalk                               | City Park Road to Narrow Ridge, 1.3 mi   | \$ 1,729,830     | \$ 1,729,830          | \$ 225,630           | D           | 0          | \$ -                 | D           | \$ 1,504,200          | D           | \$ 1,729,830          | PeD6    |   |
|     |            |          |   |  | <b>Sub Total</b> | <b>\$ 9,846,830</b>   | <b>\$ 1,050,630</b>  |             | <b>0.3</b> | <b>\$ 45,000</b>     |             | <b>\$ 8,751,200</b>   |             | <b>\$ 9,846,830</b>   |         |   |
|     |            |          | <b>Drainage Projects (Stream Crossings)</b>             |  |                  |                       |                      |             |            |                      |             |                       |             |                       |         |   |
| 4   | 1          |          | Linden Road @ Maha Creek                                | Replace and raise undersized Bridge #415 and adjacent concrete culverts. See 2009 Drainage Basin Study.                    | \$ 8,766,000     | \$ 8,766,000          | \$ 1,444,000         | C           |            |                      |             | \$ 7,137,000          | C           | \$ 8,766,000          | DPX1    | Ranking scores revised to better reflect tied scores on 5/19/17                           |
| 4   | 2          |          | Caldwell Ln @ River Timber Dr                           | Place drainage structure at low point in road; one way in/out. See 2009 Drainage Basin Study.                              | \$ 188,000       | \$ 188,000            | \$ 30,000            | C           |            | \$ 4,000             | C           | \$ 154,000            | C           | \$ 188,000            | DPX2    |   |
| 1   | 3          |          | Hog Eye Rd @ Harris Branch - Lockwood Watershed         | Drainage structure to replace undersized metal culverts  | \$ 676,600       | \$ 676,600            | \$ 112,800           | D           |            | \$ 13,800            | D           | \$ 550,000            | D           | \$ 676,600            | DPX5    |   |
| 1   | 3          |          | Hog Eye Rd @ trib to Harris Branch - Lockwood Watershed | Drainage structure to replace undersized box culvert   | \$ 373,200       | \$ 373,200            | \$ 62,200            | D           |            | \$ 6,900             | D           | \$ 304,100            | D           | \$ 373,200            | DPX6    |   |
| 3   | 3          |          | Great Divide @ Little Barton Creek                      | Bridge to replace undersized metal culverts; partially in City of Bee Cave; one way in/out. See 2009 Drainage Basin Study. | \$ 4,599,800     | \$ 4,599,800          | \$ 766,600           | C           |            |                      |             | \$ 3,833,200          | C           | \$ 4,599,800          | DPX7    |   |
| 3   | 6          |          | Pedemales Canyon Trail @ Lick Creek                     | Drainage structures to replace undersized metal culverts; one way in/out. See 2009 Drainage Basin Study.                   | \$ 1,591,900     | \$ 1,591,900          | \$ 259,300           | C           |            |                      |             | \$ 1,296,600          | C           | \$ 1,591,900          | DPX9    |   |
| 3   | 6          |          | Ledgestone Terrace @ Pen Crk Trib                       | Drainage structures to replace undersized concrete culvert; one way in/out. See 2009 Drainage Basin Study.                 | \$ 300,400       | \$ 300,400            | \$ 50,000            | D           |            |                      |             | \$ 250,400            | D           | \$ 300,400            | DPX10   |   |
| 1   | 6          |          | County Line Rd @ Elm Creek                              | Replace undersized Bridge #148 and adjacent structures; partially in City of Elgin   | \$ 5,357,300     | \$ 5,357,300          | \$ 892,900           | D           |            | \$ 118,900           | D           | \$ 4,345,500          | D           | \$ 5,357,300          | DPX8    |   |
| 3   | 9          |          | Spicewood Springs Rd Low Water Crossing #1              | Bridge to replace undersized box culvert; to improve access to Loop 360 during flood events                                | \$ 3,444,300     | \$ 3,444,300          | \$ 574,100           | D           |            | \$ 295,000           | D           | \$ 2,575,200          | D           | \$ 3,444,300          | DPX12   |   |
| 1   | 10         |          | Gregg Lane @ Wilbarger Ck                               | Replace and raise undersized Bridge #118. See 2009 Drainage Basin Study.   | \$ 4,501,000     | \$ 4,501,000          | \$ 750,200           | C           |            |                      |             | \$ 3,750,800          | C           | \$ 4,501,000          | DPX18   |   |





# Attachment A - CONSOLIDATED 2017 BOND PROJECT LIST (rev. 2017-07-10) DRAFT

| Pct | New Proj | Priority | Project Name   | Scope   | TOTAL COST      | Voter Approved BOND \$ | Annual Budget CO's \$ | Eng Cost      | * est level | Acres | R/W Cost      | * est level | Const Cost    | * est level   | Total            | Proj_ID      | Comment   |  |
|-----|----------|----------|--|---|-----------------|------------------------|-----------------------|---------------|-------------|-------|---------------|-------------|---------------|---------------|------------------|--------------|---|--|
|     |          |          |  | <b>Sub Total Precinct 1</b>   | \$49,000,000    | \$49,000,000           |                       | \$ 4,028,000  |             |       | \$ 18,000,000 |             | \$ 26,972,000 |               | \$49,000,000     |              |   |  |
| 2   |          | 1        | NEMP Soccer Fields Improvements                      | Convert four (4) soccerfields to artificial turf, light four (4) fields; install fencing and bleachers; add curb and gutter; upgrade irrigation system  |                 |                        |                       |               |             |       |               |             |               |               |                  |              | 4-20-17 Staff moved to highest priority for Precinct 2 because of demand for facility and noted that number of fields and lights could be reduced for project savings |  |
| 2   |          | 2        | Bob Wenzel Park Improvements                         | Develop Overnight facilities with related infrastructure and amenities  | \$14,600,000    | \$14,600,000           |                       | \$ 67,000     | D           |       |               |             | \$ 14,533,000 | D             | \$14,600,000     | PKP16        |   |  |
| 2   |          | 3        | Hippie Hollow Park Improvements                      | Upgrade parking lot; improve upper trail  | \$4,000,000     | \$4,000,000            |                       | \$ 600,000    | D           |       |               |             | \$ 3,400,000  | D             | \$4,000,000      | PKP13        |   |  |
| 2   |          | 4        | Strategic Parkland Acquisitions                      | Complete strategic acquisitions (location to be determined)   | \$2,000,000     | \$2,000,000            |                       | \$ 300,000    | D           |       |               |             | \$ 1,700,000  | D             | \$2,000,000      | PKP14        |   |  |
| 2   |          | 5        | Skate Park Improvements                              | Expand skate park and construct associated infrastructure and amenities   | \$7,000,000     | \$7,000,000            |                       | \$ 7,000,000  | D           |       |               |             | \$ 7,000,000  | D             | \$7,000,000      | PKP15        |   |  |
|     |          |          |  | <b>Sub Total Precinct 2</b>   | \$29,600,000    | \$29,600,000           |                       | \$ 1,200,000  |             |       | \$ 7,000,000  |             | \$ 6,800,000  |               | \$29,600,000     | PKP17        |   |  |
| 3   |          | 1        | Bee Creek Sports Complex Development                 | Construct sports fields and associated infrastructure and amenities. 2017 CO's of \$1.2M approved in Feb2017 for design.  | \$21,300,000    | \$21,300,000           |                       | \$ 1,722,000  | D           |       |               |             | \$ 19,578,000 | D             | \$21,300,000     | PKP18        |   |  |
| 3   |          | 2        | Arkansas Bend Park Phase II                          | Complete Phase I improvements including campground  |                 |                        |                       |               |             |       |               |             |               |               |                  |              | 4-20-17 Staff moved from 3rd to 2nd highest priority because project is shovel ready  |  |
|     |          |          |  | <b>Sub Total Precinct 3</b>   | \$5,250,000     | \$5,250,000            |                       | \$ 173,000    | A           |       |               |             | \$ 5,077,000  | A             | \$5,250,000      | PKP20        |   |  |
| 3   |          | 3        | Reimers Ranch Park Flow Trail                        | Construct Flow Trail (including Advanced Trail)   |                 |                        |                       |               |             |       |               |             |               |               |                  |              | 4/20/17 Staff moved from 4th to 3rd highest priority because project is shovel ready  |  |
| 3   |          | 4        | Strategic Parkland Acquisitions                      | Complete strategic acquisitions (locations to be determined)  | \$1,085,000     | \$1,085,000            |                       | \$ 85,000     | A           |       |               |             | \$ 1,000,000  | A             | \$1,085,000      | PKP21        |   |  |
| 3   |          | 5        | Pedernales River Corridor Parkland Acquisition       | Acquire parkland  | \$15,000,000    | \$15,000,000           |                       |               |             |       | \$ 15,000,000 |             |               |               | \$15,000,000     | PKP27        |   |  |
| 3   |          | 6        | Reimers Ranch Park Campground and Trail Improvements | Construct campsites, restrooms/shower house, playgrounds, picnic shelters, and related infrastructure and amenities; construct multi-use trail and signage system   | \$12,500,000    | \$12,500,000           |                       | \$ 12,500,000 |             |       |               |             |               |               |                  | \$12,500,000 | PKP19   |  |
| 3   |          | 7        | Pace Bend Park Day Area and Campground Improvements  | a) Construct Day-use Area roads, parking, trails, restrooms/rinse showers, playgrounds, small and large shelters, and related infrastructure and amenities<br>b) Construct Satellite Office and related infrastructure and amenities<br>c) Construct Campground roads, campsites, restroom/shower houses, large and medium pavilions, small boat ramp, and related infrastructure and amenities<br>d) Construct Trailhead parking, restroom, and related infrastructure and amenities for interior trailhead system | \$14,000,000    | \$14,000,000           |                       | \$ 1,847,000  | D           |       |               |             |               | \$ 12,153,000 | D                | \$14,000,000 | PKP22   |  |
|     |          |          |  | <b>Sub Total Precinct 4</b>   | \$4,500,000     | \$4,500,000            |                       | \$ 582,000    | D           |       |               |             | \$ 3,918,000  | D             | \$4,500,000      | PKP23        |   |  |
|     |          |          |  | <b>Sub Total Precinct 5</b>   | \$100,000       | \$100,000              |                       | \$ 13,000     | D           |       |               |             | \$ 87,000     | D             | \$100,000        | PKP24        |   |  |
|     |          |          |  | <b>Sub Total Precinct 6</b>   | \$21,500,000    | \$21,500,000           |                       | \$ 2,778,000  | D           |       |               |             | \$ 18,722,000 | D             | \$21,500,000     | PKP25        |   |  |
|     |          |          |  | <b>Sub Total Precinct 7</b>   | \$300,000       | \$300,000              |                       | \$ 31,000     | D           |       |               |             | \$ 269,000    | D             | \$300,000        | PKP26        |   |  |
|     |          |          |  | <b>Sub Total Precinct 8</b>   | \$95,535,000    | \$95,535,000           |                       | \$ 7,231,000  |             |       | \$ 27,500,000 |             | \$ 60,804,000 |               | \$95,535,000     |              | updated costs from project manager on 5/15/2017   |  |
| 4   |          | 1        | Onion Creek Trail and Trailhead Park Improvements    | Complete 12' wide concrete trail from McKinney Falls Parkway to Barkley Meadows (including airport property); develop park, maintenance facility and Parks office at US 183 site, and complete Barkley Meadows  | \$12,500,000    | \$12,500,000           |                       | \$ 1,600,000  | D           |       |               |             | \$ 10,900,000 | D             | \$12,500,000     | PKP28        |   |  |
| 4   |          | 2        | Moya Park Flood Mitigation and Park Improvements     | Replace restrooms, shelters, and playgrounds  | \$2,000,000     | \$2,000,000            |                       | \$ 255,000    | D           |       |               |             | \$ 1,745,000  | D             | \$2,000,000      | PKP29        |   |  |
| 4   |          | 4        | SEMP Athletic Fields Improvements                    | Install artificial turf on 4 soccerfields   | \$4,000,000     | \$4,000,000            |                       | \$ 20,000     | D           |       |               |             | \$ 3,980,000  | D             | \$4,000,000      | PKP30        |   |  |
| 4   |          | 3        | SEMP Water Play Improvements                         | Construct water play area with associated facilities and amenities  | \$3,000,000     | \$3,000,000            |                       | \$ 450,000    | D           |       |               |             | \$ 2,550,000  | D             | \$3,000,000      | PKP31        |   |  |
| 4   |          | 5        | Dry Creek Greenway Parkland Acquisitions             | Acquire parkland from approximately FM 812 to Colorado River  | \$3,500,000     | \$3,500,000            |                       |               |             |       | \$ 3,500,000  |             |               |               | \$3,500,000      | PKP32        |   |  |
| 4   |          | 6        | Strategic Parkland Acquisitions                      | Complete strategic acquisitions (location to be determined)   | \$2,000,000     | \$2,000,000            |                       | \$ 2,325,000  |             |       |               |             | \$ 19,175,000 |               | \$27,000,000     | PKP33        |   |  |
| All |          |          | 1) System-wide signage system                        |   | \$2,000,000     | \$2,000,000            |                       | \$ 300,000    | D           |       |               |             | \$ 1,700,000  | D             | \$2,000,000      | SIGN1        |   |  |
| All |          |          | 1) Conservation Easements                            | Execute conservation agreements with willing land-owners  | \$205,135,000   | \$205,135,000          | \$0                   |               |             |       |               |             |               |               | \$205,135,000    | EASE1        |   |  |
|     |          |          |  | <b>Conservation Esmt Total</b>  | \$10,000,000    | \$10,000,000           | \$0                   |               |             |       |               |             |               |               | \$10,000,000     |              |   |  |
|     |          |          |  | <b>GRAND TOTAL</b>  | \$1,134,990,450 | \$1,134,990,450        | \$ 528,989,208        |               |             |       |               |             |               |               | \$ 1,134,990,450 |              |   |  |
|     |          |          |  | <b>* Estimate Level of Accuracy</b>   |                 |                        |                       |               |             |       |               |             |               |               |                  |              |   |  |
|     |          |          |  | Level A   |                 |                        |                       |               |             |       |               |             |               |               |                  |              |   |  |
|     |          |          |  | Level B   |                 |                        |                       |               |             |       |               |             |               |               |                  |              |   |  |
|     |          |          |  | Level C   |                 |                        |                       |               |             |       |               |             |               |               |                  |              |   |  |
|     |          |          |  | Level D   |                 |                        |                       |               |             |       |               |             |               |               |                  |              |   |  |
|     |          |          |  | **Represents County share of anticipated Public-Private or Public-Public projects   |                 |                        |                       |               |             |       |               |             |               |               |                  |              |   |  |

**ATTACHMENT B - CBAC Jul 13 2017 FINAL PROJECT LIST**

|   | Pct 1                | Pct 2       | Pct 3                | Pct 4               | All Pcts             | Total                | GO Prop 1 (Trans)    | GO Prop 2 (Parks)    | CO                   |
|---|----------------------|-------------|----------------------|---------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| <b>Bicycle Safety</b>                             |                      |             |                      |                     |                      |                      |                      |                      |                      |
| CircleDrive/Thomas Springs                        |                      |             | \$ 6,050,000         |                     |                      | \$ 6,050,000         |                      |                      |                      |
| Fitzhugh Road                                     |                      |             | \$ 3,700,000         |                     |                      | \$ 3,700,000         |                      |                      |                      |
| Old San Antonio Road                              |                      |             | \$ 4,020,000         |                     |                      | \$ 4,020,000         |                      |                      |                      |
| Old Lockhart Road                                 |                      |             |                      | \$ 3,260,000        |                      | \$ 3,260,000         |                      |                      |                      |
| Elroy Road  |                      |             |                      | \$ 200,000          |                      | \$ 200,000           |                      |                      |                      |
| FM973   |                      |             |                      | \$ 150,000          |                      | \$ 150,000           |                      |                      |                      |
| Austin-to-Manor Trail                             | \$ 300,000           |             |                      |                     |                      | \$ 300,000           |                      |                      |                      |
| North Walnut Creek PhillIB                        | \$ 600,000           |             |                      |                     |                      | \$ 600,000           |                      |                      |                      |
|   | <b>\$ 900,000</b>    | <b>\$ -</b> | <b>\$ 13,770,000</b> | <b>\$ 3,610,000</b> | <b>\$ -</b>          | <b>\$ 18,280,000</b> | <b>\$ 18,280,000</b> |                      |                      |
| <b>Bridge Safety</b>                              |                      |             |                      |                     |                      |                      |                      |                      |                      |
| Littig Rd #161                                    | \$ 800,000           |             |                      |                     |                      | \$ 800,000           |                      |                      |                      |
| Manda Carlson Rd #134                             | \$ 700,000           |             |                      |                     |                      | \$ 700,000           |                      |                      |                      |
|   | <b>\$ 1,500,000</b>  | <b>\$ -</b> | <b>\$ -</b>          | <b>\$ -</b>         | <b>\$ -</b>          | <b>\$ 1,500,000</b>  |                      |                      | <b>\$ 1,500,000</b>  |
| <b>Drainage Stream Crossings</b>                  |                      |             |                      |                     |                      |                      |                      |                      |                      |
| Linden Rd @ Maha Creek                            |                      |             |                      | \$ 8,766,000        |                      | \$ 8,766,000         |                      |                      |                      |
| Caldwell Ln @ River Timber                        |                      |             |                      | \$ 188,000          |                      | \$ 188,000           |                      |                      |                      |
| Hog Eye Rd @ Harris Branch                        | \$ 676,600           |             |                      |                     |                      | \$ 676,600           |                      |                      |                      |
| Hog Eye Rd @ trib to Harris Branch                | \$ 373,200           |             |                      |                     |                      | \$ 373,200           |                      |                      |                      |
| Great Divide @ Little Barton Creek [Eng Only]     |                      |             | \$ 766,600           |                     |                      | \$ 766,600           |                      |                      |                      |
| Pedernales Canyon Trail @ Lick Creek              |                      |             | \$ 1,591,900         |                     |                      | \$ 1,591,900         |                      |                      |                      |
| Ledgestone Terrace # Pen Crk Trib                 |                      |             | \$ 300,400           |                     |                      | \$ 300,400           |                      |                      |                      |
| County Line Rd @ Elm Creek                        | \$ 5,357,300         |             |                      |                     |                      | \$ 5,357,300         |                      |                      |                      |
|   | <b>\$ 6,407,100</b>  | <b>\$ -</b> | <b>\$ 2,658,900</b>  | <b>\$ 8,954,000</b> | <b>\$ -</b>          | <b>\$ 18,020,000</b> |                      |                      | <b>\$ 18,020,000</b> |
| <b>Drainage Subdivisions</b>                      |                      |             |                      |                     |                      |                      |                      |                      |                      |
| Arroyo Doble/Twin Creeks                          |                      |             | \$ 4,660,000         |                     |                      | \$ 4,660,000         |                      |                      |                      |
| Flood Studies (Ongoing)                           |                      |             |                      |                     | \$ 1,000,000         | \$ 1,000,000         |                      |                      |                      |
|   | <b>\$ -</b>          | <b>\$ -</b> | <b>\$ 4,660,000</b>  | <b>\$ -</b>         | <b>\$ 1,000,000</b>  | <b>\$ 5,660,000</b>  |                      |                      | <b>\$ 5,660,000</b>  |
| <b>Parks</b>                                      |                      |             |                      |                     |                      |                      |                      |                      |                      |
| Gilleland Creek Greenway Parkland Acquisition     | \$ 2,000,000         |             |                      |                     |                      | \$ 2,000,000         |                      |                      |                      |
| Strategic Parkland Acquisitions                   |                      |             | \$ 10,000,000        |                     |                      | \$ 10,000,000        |                      |                      |                      |
| Bee Creek Sports Complex                          |                      |             | \$ 21,200,000        |                     |                      | \$ 21,200,000        |                      |                      |                      |
| Onion Creek Greenway Improvements                 |                      |             |                      | \$ 9,500,000        |                      | \$ 9,500,000         |                      |                      |                      |
| Gilleland Creek Greenway Improvements [Eng / ROW] | \$ 2,156,000         |             |                      |                     |                      | \$ 2,156,000         |                      |                      |                      |
| NE Strategic Parkland Acquisitions                | \$ 7,500,000         |             |                      |                     |                      | \$ 7,500,000         |                      |                      |                      |
| Arkansas Bend Park Phase II                       |                      |             | \$ 5,250,000         |                     |                      | \$ 5,250,000         |                      |                      |                      |
|   | <b>\$ 11,656,000</b> | <b>\$ -</b> | <b>\$ 36,450,000</b> | <b>\$ 9,500,000</b> | <b>\$ -</b>          | <b>\$ 57,606,000</b> |                      | <b>\$ 57,606,000</b> |                      |
| <b>Conservations Easements</b>                    |                      |             |                      |                     |                      |                      |                      |                      |                      |
| Conservation Easements                            |                      |             |                      |                     | \$ 15,000,000        | \$ 15,000,000        |                      |                      |                      |
|   | <b>\$ -</b>          | <b>\$ -</b> | <b>\$ -</b>          | <b>\$ -</b>         | <b>\$ 15,000,000</b> | <b>\$ 15,000,000</b> |                      | <b>\$ 15,000,000</b> |                      |

**ATTACHMENT B - CBAC Jul 13 2017 FINAL PROJECT LIST**

|  | Pct 1                | Pct 2               | Pct 3                | Pct 4                | All Pcts             | Total                 | GO Prop 1 (Trans)    | GO Prop 2 (Parks) | CO                    |
|--|----------------------|---------------------|----------------------|----------------------|----------------------|-----------------------|----------------------|-------------------|-----------------------|
| <b>Priority Level: A</b>                                 |                      |                     |                      |                      |                      |                       |                      |                   |                       |
| <b>Pedestrian Safety</b>                                 |                      |                     |                      |                      |                      |                       |                      |                   |                       |
| Decker Lake Rd Sidewalk                                  | \$ 1,900,000         |                     |                      |                      |                      | \$ 1,900,000          |                      |                   |                       |
| Springdale Rd Sidewalk                                   | \$ 1,527,000         |                     |                      |                      |                      | \$ 1,527,000          |                      |                   |                       |
| Sidewalk Safety & ADA Upgrades                           |                      |                     |                      |                      | \$ 2,200,000         | \$ 2,200,000          |                      |                   |                       |
| Westminster Glen Sidewalk                                |                      | \$ 1,729,830        |                      |                      |                      | \$ 1,729,830          |                      |                   |                       |
|  | <b>\$ 3,427,000</b>  | <b>\$ 1,729,830</b> | <b>\$ -</b>          | <b>\$ -</b>          | <b>\$ 2,200,000</b>  | <b>\$ 7,356,830</b>   | <b>\$ 7,356,830</b>  |                   |                       |
| <b>Roadway Capacity</b>                                  |                      |                     |                      |                      |                      |                       |                      |                   |                       |
| Bullick Hollow Rd @ FM2769 Intersection Improv           |                      |                     | \$ 1,100,000         |                      |                      | \$ 1,100,000          |                      |                   |                       |
| Grand Avenue Parkway                                     |                      | \$ 4,400,000        |                      |                      |                      | \$ 4,400,000          |                      |                   |                       |
| Harold Green 130 to Austins Colony Blvd                  | \$ 10,700,000        |                     |                      |                      |                      | \$ 10,700,000         |                      |                   |                       |
| Braker Lane North  | \$ 6,660,000         |                     |                      |                      |                      | \$ 6,660,000          |                      |                   |                       |
| William Cannon Extension [Eng / ROW]                     |                      |                     |                      | \$ 3,000,000         |                      | \$ 3,000,000          |                      |                   |                       |
| South Pleasant Valley Road                               |                      |                     |                      | \$ 6,000,000         |                      | \$ 6,000,000          |                      |                   |                       |
| TxDOT ROW Requests                                       |                      |                     |                      |                      | \$ 750,000           | \$ 750,000            |                      |                   |                       |
|  | <b>\$ 17,360,000</b> | <b>\$ 4,400,000</b> | <b>\$ 1,100,000</b>  | <b>\$ 9,000,000</b>  | <b>\$ 750,000</b>    | <b>\$ 32,610,000</b>  | <b>\$ 32,610,000</b> |                   |                       |
| <b>Roadway Safety</b>                                    |                      |                     |                      |                      |                      |                       |                      |                   |                       |
| Hamilton Pool Road (acute)                               |                      |                     | \$ 4,600,000         |                      |                      | \$ 4,600,000          |                      |                   |                       |
| Wells Branch Pkwy Comprehensive Study                    |                      | \$ 500,000          |                      |                      |                      | \$ 500,000            |                      |                   |                       |
| Wells Branch Pkwy Howard Ln Median Modification          |                      | \$ 500,000          |                      |                      |                      | \$ 500,000            |                      |                   |                       |
| Thaxton Road   |                      |                     |                      | \$ 6,125,760         |                      | \$ 6,125,760          |                      |                   |                       |
| Pearce Ln @ Kellam Rd Intersection Improvements          |                      |                     |                      | \$ 1,350,000         |                      | \$ 1,350,000          |                      |                   |                       |
| Old Manor Rd Safety Improvements                         | \$ 4,912,608         |                     |                      |                      |                      | \$ 4,912,608          |                      |                   |                       |
| Thomas Sprigs Rd @ Circle Drive                          |                      |                     | \$ 490,000           |                      |                      | \$ 490,000            |                      |                   |                       |
| Elroy Road Widening                                      |                      |                     |                      | \$ 26,185,120        |                      | \$ 26,185,120         |                      |                   |                       |
| Ross Road North (High School)                            |                      |                     |                      | \$ 1,090,200         |                      | \$ 1,090,200          |                      |                   |                       |
| Ross Road South  |                      |                     |                      | \$ 4,275,000         |                      | \$ 4,275,000          |                      |                   |                       |
| Roadway Reconstruction                                   |                      |                     |                      |                      | \$ 2,450,000         | \$ 2,450,000          |                      |                   |                       |
| Jesse Bohls Realign (0.5 mi in Pflugerville) [Eng / ROW] | \$ 3,664,320         |                     |                      |                      |                      | \$ 3,664,320          |                      |                   |                       |
| Substandard Roads  |                      |                     |                      |                      | \$ 1,000,000         | \$ 1,000,000          |                      |                   |                       |
|  | <b>\$ 8,576,928</b>  | <b>\$ 1,000,000</b> | <b>\$ 5,090,000</b>  | <b>\$ 39,026,080</b> | <b>\$ 3,450,000</b>  | <b>\$ 57,143,008</b>  |                      |                   | <b>\$ 57,143,008</b>  |
| <b>GO Total</b>  | \$ 33,343,000        | \$ 6,129,830        | \$ 51,320,000        | \$ 22,110,000        | \$ 17,950,000        | \$ 130,852,830        | \$ 58,246,830        | \$ 72,606,000     | \$ 82,323,008         |
| <b>CO Total</b>  | \$ 16,484,028        | \$ 1,000,000        | \$ 12,408,900        | \$ 47,980,080        | \$ 4,450,000         | \$ 82,323,008         | 45%                  | 55%               |                       |
| <b>Total Debt</b>  | <b>\$ 49,827,028</b> | <b>\$ 7,129,830</b> | <b>\$ 63,728,900</b> | <b>\$ 70,090,080</b> | <b>\$ 22,400,000</b> | <b>\$ 213,175,838</b> |                      |                   | <b>\$ 130,852,830</b> |
| <b>GO % Distrib</b>                                      | 25.5%                | 4.7%                | 39.2%                | 16.9%                | 13.7%                | 100.0%                |                      |                   | <b>\$ 213,175,838</b> |
| <b>CO % Distrib</b>                                      | 20.0%                | 1.2%                | 15.1%                | 58.3%                | 5.4%                 | 100.0%                |                      |                   | <b>\$ 21,317,584</b>  |
| <b>Total % Distrib</b>                                   | 23.4%                | 3.3%                | 29.9%                | 32.9%                | 10.5%                | 100.0%                |                      |                   | <b>\$ 234,493,422</b> |

**ATTACHMENT B - CBAC Jul 13 2017 FINAL PROJECT LIST**

|   | Pct 1         | Pct 2        | Pct 3         | Pct 4        | All Pcts | Total         | GO Prop 1 (Trans) | GO Prop 2 (Parks) | CO            |
|---|---------------|--------------|---------------|--------------|----------|---------------|-------------------|-------------------|---------------|
| <b>Priority Level: B</b>                            |               |              |               |              |          |               |                   |                   |               |
| <b>Bicycle Safety</b>                               |               |              |               |              |          |               |                   |                   |               |
| N/A   |               |              |               |              |          | \$ -          |                   |                   |               |
|   |               |              |               |              |          | \$ -          |                   |                   |               |
|   | \$ -          | \$ -         | \$ -          | \$ -         | \$ -     | \$ -          | \$ -              |                   |               |
| <b>Bridge Safety</b>                                |               |              |               |              |          |               |                   |                   |               |
| Old Highway 20 #153                                 | \$ 700,000    |              |               |              |          | \$ 700,000    |                   |                   |               |
| Hogeye Road Culvert (west of Imperial Drive)        | \$ 450,000    |              |               |              |          | \$ 450,000    |                   |                   |               |
| Lund Carlson Rd #126                                | \$ 450,000    |              |               |              |          | \$ 450,000    |                   |                   |               |
| Colton Bluff Springs #418                           |               | \$ 700,000   |               |              |          | \$ 700,000    |                   |                   |               |
| Turnersville Rd #433                                |               | \$ 700,000   |               |              |          | \$ 700,000    |                   |                   |               |
| Boyce Lane #109                                     | \$ 450,000    |              |               |              |          | \$ 450,000    |                   |                   |               |
|   | \$ 2,050,000  | \$ -         | \$ -          | \$ 1,400,000 | \$ -     | \$ 3,450,000  |                   |                   | \$ 3,450,000  |
| <b>Drainage Stream Crossings</b>                    |               |              |               |              |          |               |                   |                   |               |
| Spicewood Springs Rd LWC #1 Only                    |               |              | \$ 3,444,300  |              |          | \$ 3,444,300  |                   |                   |               |
| Great Divide @ Little Barton Creek (const)          |               |              | \$ 3,833,200  |              |          | \$ 3,833,200  |                   |                   |               |
| Gregg Lane @ Wilbarger Creek                        | \$ 4,501,000  |              |               |              |          | \$ 4,501,000  |                   |                   |               |
| Ed Acklin Rd @ unnamed trib to Dry Creek            | \$ 286,800    |              |               |              |          | \$ 286,800    |                   |                   |               |
| Tumbleweed Trail @ Trib to Lake Austin              |               |              | \$ 442,000    |              |          | \$ 442,000    |                   |                   |               |
| Wylldwood Rd @ Slaughter Creek                      |               |              | \$ 4,789,000  |              |          | \$ 4,789,000  |                   |                   |               |
| Tom Sassman Rd @ Maha Creek                         |               |              |               | \$ 4,356,000 |          | \$ 4,356,000  |                   |                   |               |
| Bitting School Rd @ unnamed trib to Wilbarger Creek | \$ 2,545,400  |              |               |              |          | \$ 2,545,400  |                   |                   |               |
|   | \$ 7,333,200  | \$ -         | \$ 12,508,500 | \$ 4,356,000 | \$ -     | \$ 24,197,700 |                   |                   | \$ 24,197,700 |
| <b>Drainage Subdivisions</b>                        |               |              |               |              |          |               |                   |                   |               |
| Southwest Territory Subdivision                     |               |              | \$ 2,460,000  |              |          | \$ 2,460,000  |                   |                   |               |
| Austin Lake Estates                                 |               |              | \$ 6,200,000  |              | \$ -     | \$ 6,200,000  |                   |                   |               |
|   | \$ -          | \$ -         | \$ 8,660,000  | \$ -         | \$ -     | \$ 8,660,000  |                   |                   | \$ 8,660,000  |
| <b>Parks</b>  |               |              |               |              |          |               |                   |                   |               |
| NEMP Soccer Fields Improvements                     |               | \$ 7,500,000 |               |              |          | \$ 7,500,000  |                   |                   |               |
| Gilleland Creek Greenway Improvements               | \$ 11,344,000 |              |               |              |          | \$ 11,344,000 |                   |                   |               |
| Reimers Ranch Park Flow Trail                       |               |              | \$ 1,000,000  |              |          | \$ 1,000,000  |                   |                   |               |
|   | \$ 11,344,000 | \$ 7,500,000 | \$ 1,000,000  | \$ -         | \$ -     | \$ 19,844,000 |                   | \$ 19,844,000     |               |
| <b>Conservations Easements</b>                      |               |              |               |              |          |               |                   |                   |               |
| N/A   |               |              |               |              |          | \$ -          |                   |                   |               |
|   | \$ -          | \$ -         | \$ -          | \$ -         | \$ -     | \$ -          |                   | \$ -              | \$ -          |

**ATTACHMENT B - CBAC Jul 13 2017 FINAL PROJECT LIST**

|                          | Pct 1         | Pct 2         | Pct 3         | Pct 4         | All Pcts | Total         | GO Prop 1 (Trans) | GO Prop 2 (Parks) | CO            |
|--------------------------|---------------|---------------|---------------|---------------|----------|---------------|-------------------|-------------------|---------------|
| <b>Priority Level: B</b> |               |               |               |               |          |               |                   |                   |               |
| <b>Pedestrian Safety</b> |               |               |               |               |          |               |                   |                   |               |
| NA                       |               |               |               |               |          | \$ -          |                   |                   |               |
|                          |               |               |               |               |          | \$ -          |                   |                   |               |
|                          | \$ -          | \$ -          | \$ -          | \$ -          | \$ -     | \$ -          | \$ -              |                   |               |
| <b>Roadway Capacity</b>  |               |               |               |               |          |               |                   |                   |               |
| N/A                      |               |               |               |               |          | \$ -          |                   |                   |               |
|                          |               |               |               |               |          | \$ -          |                   |                   |               |
|                          | \$ -          | \$ -          | \$ -          | \$ -          | \$ -     | \$ -          | \$ -              |                   |               |
| <b>Roadway Safety</b>    |               |               |               |               |          |               |                   |                   |               |
| Pearce Lane Widening E   |               | \$ 23,200,000 |               | \$ 23,200,000 |          | \$ 23,200,000 |                   |                   | \$ 23,200,000 |
|                          | \$ -          | \$ -          | \$ -          | \$ 23,200,000 | \$ -     | \$ 23,200,000 |                   |                   |               |
| <b>GO Total</b>          | \$ 11,344,000 | \$ 7,500,000  | \$ 1,000,000  | \$ -          | \$ -     | \$ 19,844,000 | \$ -              | \$ 19,844,000     | \$ 59,507,700 |
| <b>CO Total</b>          | \$ 9,383,200  | \$ -          | \$ 21,168,500 | \$ 28,956,000 | \$ -     | \$ 59,507,700 | 0%                | 100%              |               |
| <b>Total Debt</b>        | \$ 20,727,200 | \$ 7,500,000  | \$ 22,168,500 | \$ 28,956,000 | \$ -     | \$ 79,351,700 |                   | Total Prop 1 & 2  | \$ 19,844,000 |
| <b>GO % Distrib</b>      | 57.2%         | 37.8%         | 5.0%          | 0.0%          | 0.0%     | 100.0%        |                   | Total             | \$ 79,351,700 |
| <b>CO % Distrib</b>      | 15.8%         | 0.0%          | 35.6%         | 48.7%         | 0.0%     | 100.0%        |                   | + 10% PM Costs    | \$ 7,935,170  |
| <b>Total % Distrib</b>   | 26.1%         | 9.5%          | 27.9%         | 36.5%         | 0.0%     | 100.0%        |                   | Total Debt        | \$ 87,286,870 |

**ATTACHMENT C**  
**2017 Travis County Citizens Bond Advisory Committee**  
**MINORITY REPORT**

**SUMMARY**

We do not wish to deliver a Minority view that disputes the final findings and recommendations of the 2017 Travis County CBAC. We primarily wish to make some recommendations for consideration in future Travis County bond proposals and advisory efforts.

**Point 1** – We, in our roles as CBAC members, do not recommend that purely roadway capacity improvement projects be included in future bond referendums. The reason for this is that we believe that, in unincorporated portions of Travis County, solely seeking to increase roadway capacity is aiding and abetting suburban sprawl. Because of induced demand, any capacity increase achieved will, in short order, be filled ("consumed") on the roadway, and leave the citizens it serves no better off for the money spent. Far better would be an overarching philosophy/vision/goal of improving mobility through connections and mobility options, county-wide, rather than (in the short-term) seeking to improve single-occupant vehicle capacity on a few identified roadways. We recommend a separate (stand-alone) categorization of roadway capacity projects that increase pavement widths, along with a new separate (stand-alone) category for transportation projects that create new connections or improve connectivity in general. And this leads into Point 2:

**Point 2** – We do not believe it is correct to have a "policy" that bond funds raised for roadway projects can only be spent in unincorporated Travis County (and not within any municipal limits). We have put "policy" in quotation marks because we have asked County Staff to forward any adopted policy, formal or otherwise, that states this – and what we have been forwarded is quite obscure language from a 1997 County Bond Referendum (and been told by Assistant County Attorney Tom Nuckols that there is no standing written policy). Logically, the great majority of funds that are raised by a successfully passed Travis County bond proposal comes from residents living within municipal city limits; it is wrong for taxpayers living within municipal limits to be, in effect, greatly subsidizing roadway capacity improvement projects in unincorporated Travis County.

**Point 3** – As previously stated, we believe it would better serve Travis County residents if funds raised by called bond referendums for roadway improvement projects (not including safety) were spent to improve County-wide mobility increasing mobility options. Travis County needs to plan in a systematic way that is coordinated with other municipalities' adopted (and under consideration) plans for mobility improvements. That planning should include:

- Consideration of municipalities' boundaries, within which roadway congestion is much more concentrated than in unincorporated Travis County;
- Consideration of mobility improvements that would also benefit those residents of unincorporated areas;
- Consideration of planned projects within municipal boundaries that reach out into unincorporated areas and require coordination between Travis County and those municipalities (particularly the City of Austin);
- Consideration of plans developed by the Capital Metropolitan Transportation Authority.

**Point 4** – The mobility option that is going to have the biggest impact, for residents both in

incorporated and unincorporated areas of Travis County is **public transportation/mass transit.**

**Point 5** – We believe Public Transportation/Mass Transit should be introduced as a “new” category of projects presented in future Travis County Bond Proposals and advisory efforts. Such projects should be well thought out and planned; and necessarily part of a larger planned system serving incorporated and unincorporated Travis County (and perhaps nascent extensions, to “growth centers” in unincorporated Travis County, of more mature Capital Metro bus and rail lines).

**Point 6** – A connect network of walkable streets is critical to providing high-quality transit. We strongly encourage the County to be looking at opportunities to increase walkability by: studying all areas where sidewalks are missing, building the identified missing sidewalks, studying where safe pedestrian road crossings are needed, and building the identified missing safe pedestrian crossings (including clearly marked cross walks, Pedestrian Hybrid Beacons (PHBs), pedestrian refuge islands, and roadway right-sizing).

**In conclusion, instead of focusing on supplying the perceived need for roadway capacity, we should also be striving to satisfy transportation demand and mobility need. The most effective way to do this is with Public Transportation/Mass Transit. Given the size of Travis County, and the municipalities contained therein, Public Transportation/Mass Transit is the most viable option for improving regional mobility. Funding, publicly raised or otherwise, should be wisely and thoughtfully spent on Public Transportation/Mass Transit solutions without regard to political boundaries within Travis County.**

Respectfully Submitted,

Andrew Clements  
2017 Travis County  
CBAC Member  
Precinct 1

Heyden Black Walker  
2017 Travis County  
CBAC Member  
Precinct 2

Leah M. Bojo  
2017 Travis County  
CBAC Member  
County Judge Appointee

Amanda Brown  
2017 Travis County  
CBAC Member  
Precinct 4

## Attachment D

### Revised for July 13 CBAC Meeting

### Debt Issuance Scenario for FY 2018 to FY 2021 at Debt Service Tax Rate of \$0.055 per \$100 of Valuation (7/12/17)

The FY 17 I&S Rate is \$0.0616 and the FY 18 I&S rate is estimated to be \$0.0547 per the FY 2018 certified values as of January 1, 2017. Projects based on February 2017 Debt Model Presentation and revisions to Road Capacity, Safety and Open Space Projects from the Vice Chair of CBAC for discussion purposes.

#### Scenario I-A: Estimated FY 18 Debt Service Tax Rate of \$0.055

|  | FY 2018         | FY 2019         | FY 2020         | FY 2021        | Total           |
|--|-----------------|-----------------|-----------------|----------------|-----------------|
| <b>COs for routine needs (short-term)</b>            | <b>\$24.3M</b>  | <b>\$24.3M</b>  | <b>\$24.3M</b>  | <b>\$24.3M</b> | <b>\$97.2M</b>  |
| Federal Courthouse                                   | 18.8            | 9.1             | 0.0             | 0.0            | 27.9            |
| Female Facility TC Correctional Complex              | 28.7            | 28.7            | 28.7            | 0.0            | 86.1            |
| Civil and Family Courts Complex (CFCC)               | TBD             | TBD             | TBD             | TBD            | TBD             |
| <b>Major Near Term Projects</b>                      | <b>47.5M</b>    | <b>37.8M</b>    | <b>28.7M</b>    | <b>0.0M</b>    | <b>\$114.0M</b> |
| LT COs for TNR (safety, bond prep)                   | 22.4            | 22.4            | 22.4            | 22.4           | 89.6            |
| Potential November 2017 Bond Election                | 34.8            | 34.8            | 34.8            | 34.8           | 139.2           |
| <b>Road Capacity, Safety and Open Space</b>          | <b>57.2M</b>    | <b>57.2M</b>    | <b>57.2M</b>    | <b>57.2M</b>   | <b>228.8M</b>   |
| <i>Debt Availability at \$0.055 I&amp;S Tax Rate</i> | <i>11.2</i>     | <i>0.0</i>      | <i>0.0</i>      | <i>0.0</i>     | <i>11.2</i>     |
| <b>Total Issued</b>                                  | <b>\$140.2M</b> | <b>\$119.3M</b> | <b>\$110.2M</b> | <b>\$81.5M</b> | <b>\$451.2M</b> |
| Estimated I&S Tax Rate per \$100 Valuation           | \$0.055*        | \$0.055*        | \$0.055*        | \$0.055*       |                 |

\*Impact of issuing \$100M of long-term debt is approximately \$13 on the average taxable homestead. If a CFCC issuance is \$11.2M or greater, there would be no additional capacity under this rate scenario. Actual tax rate could vary by year depending on the cash flow needs of the projects.



## Travis County Commissioners Court Agenda Request

**Meeting Date:** July 18, 2017

**Prepared By:** Tim Speyrer, Parks District Manager **Phone #:** (512) 854-7218

**Division Director/Manager:** Charles Bergh, Parks Division Director

**Department Head:** Steven M. Manilla, P.E., County Executive-TNR

**Sponsoring Court Member:** Commissioner Travillion, Precinct One

**AGENDA LANGUAGE:** Receive briefing on a completed volunteer project to reduce monofilament fishing line in several Travis County Parks.

### **BACKGROUND/SUMMARY OF REQUEST:**

Park staff and the Natural Resources Conservation Coordinator worked with a Boy Scouts of America Hornaday Award participant, Ms. Astrid Torres-Johnson, to install used monofilament fishing line receptacles at several of the parks that promote fishing. Leftover fishing line presents many hazards for visitors and wildlife in the area and it can create hazards even if it is disposed of in the trash. These receptacles allow park visitors and staff to place the fishing line in a convenient location that can be removed and sent to a receiving facility that responsibly recycles the refuse. The scout has installed six receptacles in the Travis County Park system and would like to present the project to the court and citizens of Travis County.

According to the Boy Scouts of America website, The Hornaday Awards program was created to recognize those that have made significant contributions to conservation. It was begun in 1914 by Dr. William T. Hornaday, director of the New York Zoological Park and founder of the National Zoo in Washington, D.C. Dr. Hornaday was an active and outspoken champion of natural resource conservation and a leader in saving the American bison from extinction. He named the award the Wildlife Protection Medal. Its purpose was to challenge Americans to work constructively for wildlife conservation and habitat protection. After his death in 1937, the award was renamed in Dr. Hornaday's honor and became a Boy Scouts of America award.

The fundamental purpose of the Hornaday Awards program is to encourage learning by the participants and increase public awareness about natural resource conservation. Understanding and practicing sound stewardship of natural resources and environmental protection strengthens Scouting's emphasis on respecting the outdoors. The goal of this awards program is to encourage and recognize truly outstanding efforts undertaken by Scouting units, Scouts and Venturers, adult Scouters, and other individuals, corporations and institutions that have contributed significantly to natural resource conservation and environmental protection.

### **STAFF RECOMMENDATIONS:**

Staff recommends the opportunity for Ms. Torres-Johnson to present the project to the court and citizens of Travis County to highlight the impact of this project with a 6 minute PowerPoint presentation.

### **ISSUES AND OPPORTUNITIES:**

This is an opportunity to receive information regarding small steps to improve and sustain our environment.

**FISCAL IMPACT AND SOURCE OF FUNDING:**

None.

**ATTACHMENTS/EXHIBITS:**

None.

**REQUIRED AUTHORIZATIONS:**

|                   |                         |     |                |
|-------------------|-------------------------|-----|----------------|
| Cynthia McDonald  | Financial Manager       | TNR | (512) 854-4239 |
| Steven M. Manilla | County Executive        | TNR | (512) 854-9429 |
| Charles Bergh     | Parks Division Director | TNR | (512) 854-9408 |

**CC:**

|                  |                                   |     |                |
|------------------|-----------------------------------|-----|----------------|
| Shaun Auckland   | Environmental Specialist, Sr.     | TNR | (512) 854-4496 |
| Robert Armistead | Assistant Parks Division Director | TNR | (512) 854-9831 |

**TS:CB:ifs**

**4501-Parks Services - Volunteer project to reduce monofilament fishing line in several Travis County Parks**



## Travis County Commissioners Court Agenda Request

**Meeting Date:** July 18, 2017

**Prepared By:** Tim Pautsch, Engineering Specialist **Phone #:** (512) 854-7689

**Division Director/Manager:** Anna Bowlin, Development Services and Long Range Planning Division Director

**Department Head:** Steven M. Manilla, P.E., County Executive-TNR

**Sponsoring Court Member:** Commissioner Travillion, Precinct One

**AGENDA LANGUAGE:** Consider and take appropriate action on a Cash Security Agreement with Gehan Homes LTD, for sidewalk fiscal for Commons at Rowe Lane Phase 6A, in Precinct One:

- |                   |                   |                   |
|-------------------|-------------------|-------------------|
| A) Lot 11 Block U | E) Lot 5 Block T  | I) Lot 12 Block R |
| B) Lot 7 Block CC | F) Lot 2 Block T  | J) Lot 8 Block GG |
| C) Lot 6 Block CC | G) Lot 7 Block T  | K) Lot 1 Block T  |
| D) Lot 4 Block CC | H) Lot 2 Block CC | L) Lot 8 Block T  |

### **BACKGROUND/SUMMARY OF REQUEST:**

The form of the Cash Security Agreement is from the Standards for Construction of Streets and Drainage in Subdivisions that were in place before August 28, 1997.

### **STAFF RECOMMENDATIONS:**

Gehan Homes LTD, proposes to use these Cash Security Agreement for selected lots, as follows: Commons at Rowe Lane Phase 6A, to post sidewalk fiscal where the sidewalks have not been completed in this subdivision.

- A) Lot 11 Block U, 21509 Merveil Drive, \$2,933.25
- B) Lot 7 Block CC, 2908 Wooden Tower Street, \$975.00
- C) Lot 6 Block CC, 2904 Wooden Tower Street, \$975.00
- D) Lot 4 Block CC, 2812 Wooden Tower Street, \$975.00
- E) Lot 5 Block T, 21605 Cupola View, \$975.00
- F) Lot 2 Block T, 2905 Wooden Tower Street, \$1,170.00
- G) Lot 7 Block T, 2904 Kenner Drive, \$1,035.00
- H) Lot 2 Block CC, 2804 Wooden Tower St, \$975.00
- I) Lot 12 Block R, 21609 Hines Lane, \$975.00
- J) Lot 8 Block GG, 21608 Hines Lane, \$975.00
- K) Lot 1 Block T, 2909 Wooden Tower Street, \$1,170.15
- L) Lot 8 Block T, 2908 Kenner Drive, \$1,035.00

### **ISSUES AND OPPORTUNITIES:**

None

### **FISCAL IMPACT AND SOURCE OF FUNDING:**

There are no budgetary and/or fiscal impacts as this is fiscal posted for a development.

**ATTACHMENTS/EXHIBITS:**

Cash Security Agreement, Map of lots.

**REQUIRED AUTHORIZATIONS:**

|                   |                            |     |                |
|-------------------|----------------------------|-----|----------------|
| Cynthia McDonald  | Financial Manager          | TNR | (512) 854-4239 |
| Steven M. Manilla | County Executive           | TNR | (512) 854-9429 |
| Anna Bowlin       | DS & LRP Division Director | TNR | (512) 854-7561 |
| Stacey Scheffel   | Permits Program Manager    | TNR | (512) 854-7565 |

**TP:AB:ifs**

**1101 - Development Services Long Range Planning - Cash Security Agreement is from the Standards for Construction of Streets and Drainage, Commons at Rowe Lane Phase 6A**

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 2933.25

ADDRESS: 21509 Merveil Drive LOT: 11 BLOCK: U PHASE: 6A

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 4th Day of November 2015

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

The county considers such a drawing on this Security necessary to complete all or part of the SUBDIVISION Sidewalks to ADA and Texas Accessibility Standards. No further substantiation of the necessity of the draw is required by this Agreement.

This Agreement is conditioned on the performance of the duties of the DEVELOPER/BUILDER to provide for the construction and completion of the Sidewalk Improvements in the SUBDIVISION to current Travis County Standards for Construction of Streets and Drainage in Subdivisions (the "Standards"), so that the Sidewalk Improvements are performing to the Standards upon the approval of the construction of the Sidewalk Improvements, and the acceptance of the Sidewalk Improvements by the Executive Manager of TNR or his designated representative. The DEVELOPER/ BUILDER shall prove that the sidewalk is built to Texas Accessibility Standards by submitting an approved inspection letter from a Registered Accessibility Specialist.

If this document needs to be renewed, it will be renewed at the then current rate for Sidewalks required by Travis County. In no case shall the amount of Security be less than the amount it would cost the County to complete the work if it becomes necessary.

Partial drafts and reductions in the amount of Security are permitted. Drafts will be honored within five calendar days of presentment. In lieu of drawing on the Security, the County, in its discretion, may accept a substitute Security in the then current amount of the estimated cost of constructing the Improvements. This Agreement may be revoked only by written consent of the DEVELOPER/BUILDER and the County.

Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER

BY: X *Nina Lozano*

PRINT: Nina Lozano

TITLE: Purchasing Coordinator

PHONE: 512-330-9366 X4219

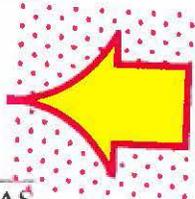
COMPANY NAME & ADDRESS

Gehan Homes, LTD

3815 S Capital of TX Hwy #275

Austin Texas 78704

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_  
Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 975.00

ADDRESS: 2908 Wooden Tower St LOT: 7 BLOCK: CC PHASE: 6A

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 4th Day of February 2016

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

The county considers such a drawing on this Security necessary to complete all or part of the SUBDIVISION Sidewalks to ADA and Texas Accessibility Standards. No further substantiation of the necessity of the draw is required by this Agreement.

This Agreement is conditioned on the performance of the duties of the DEVELOPER/BUILDER to provide for the construction and completion of the Sidewalk Improvements in the SUBDIVISION to current Travis County Standards for Construction of Streets and Drainage in Subdivisions (the "Standards"), so that the Sidewalk Improvements are performing to the Standards upon the approval of the construction of the Sidewalk Improvements, and the acceptance of the Sidewalk Improvements by the Executive Manager of TNR or his designated representative. The DEVELOPER/ BUILDER shall prove that the sidewalk is built to Texas Accessibility Standards by submitting an approved inspection letter from a Registered Accessibility Specialist.

If this document needs to be renewed, it will be renewed at the then current rate for Sidewalks required by Travis County. In no case shall the amount of Security be less that the amount it would cost the County to complete the work if it becomes necessary.

Partial drafts and reductions in the amount of Security are permitted. Drafts will be honored within five calendar days of presentment. In lieu of drawing on the Security, the County, in its discretion, may accept a substitute Security in the then current amount of the estimated cost of constructing the Improvements. This Agreement may be revoked only by written consent of the DEVELOPER/BUILDER and the County.

Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER

BY: X *Nina Lozano*

PRINT: Nina Lozano

TITLE: Purchasing Coordinator

PHONE: 512-330-9366 X4219

COMPANY NAME & ADDRESS

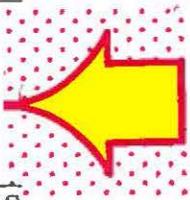
Gehan Homes. LTD

3815 S Capital of TX Hwy #275

Austin Texas 78704

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_

Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 975.00

ADDRESS: 2904 Wooden Tower Street LOT: 6 BLOCK: CC PHASE: 6A

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 29th Day of December 2015

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

The county considers such a drawing on this Security necessary to complete all or part of the SUBDIVISION Sidewalks to ADA and Texas Accessibility Standards. No further substantiation of the necessity of the draw is required by this Agreement.

This Agreement is conditioned on the performance of the duties of the DEVELOPER/BUILDER to provide for the construction and completion of the Sidewalk Improvements in the SUBDIVISION to current Travis County Standards for Construction of Streets and Drainage in Subdivisions (the "Standards"), so that the Sidewalk Improvements are performing to the Standards upon the approval of the construction of the Sidewalk Improvements, and the acceptance of the Sidewalk Improvements by the Executive Manager of TNR or his designated representative. The DEVELOPER/ BUILDER shall prove that the sidewalk is built to Texas Accessibility Standards by submitting an approved inspection letter from a Registered Accessibility Specialist.

If this document needs to be renewed, it will be renewed at the then current rate for Sidewalks required by Travis County. In no case shall the amount of Security be less that the amount it would cost the County to complete the work if it becomes necessary.

Partial drafts and reductions in the amount of Security are permitted. Drafts will be honored within five calendar days of presentment. In lieu of drawing on the Security, the County, in its discretion, may accept a substitute Security in the then current amount of the estimated cost of constructing the Improvements. This Agreement may be revoked only by written consent of the DEVELOPER/BUILDER and the County.

Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER

COMPANY NAME & ADDRESS

BY:  *Nina Lozano*

Gehan Homes, LTD

PRINT: Nina Lozano

3815 S Capital of TX Hwy #275

TITLE: Purchasing Coordinator

Austin Texas 78704

PHONE: 512-330-9366 X4219

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_  
Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 975.00

ADDRESS: 2812 Wooden Tower St LOT: 4 BLOCK: CC PHASE: 6A

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 6th Day of April 2016

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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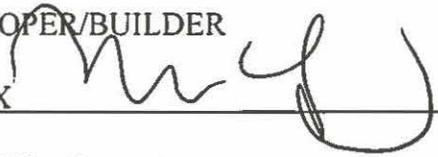
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Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER

BY: X 

PRINT: Nina Lozano

TITLE: Purchasing Coordinator

PHONE: 512-330-9366 X4219

COMPANY NAME & ADDRESS

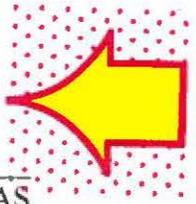
Gehan Homes. LTD

3815 S Capital of TX Hwy #275

Austin Texas 78704

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_

Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 975.00

ADDRESS: 21605 Cupola View LOT: 5 BLOCK: T PHASE: 6A

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 29th Day of February 2016

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER

BY:  Nina Lozano

PRINT: Nina Lozano

TITLE: Purchasing Coordinator

PHONE: 512-330-9366 X4219

COMPANY NAME & ADDRESS

Gehan Homes. LTD

3815 S Capital of TX Hwy #275

Austin Texas 78704

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_

Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 1170.00

ADDRESS: 2905 Wooden Tower Street LOT: 2 BLOCK: T PHASE: 6A

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 11th Day of August 2015

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER

COMPANY NAME & ADDRESS

BY:  X

Gehan Homes, LTD

PRINT:  Nina Lozano

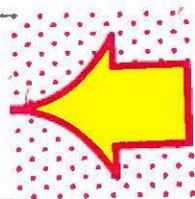
3815 S Capital of TX Hwy #275

TITLE:  Purchasing Coordinator

Austin Texas 78704

PHONE: 512-330-9366 X4219

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_  
Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 1035.00

ADDRESS: 2904 Kenner LOT: 7 BLOCK: T PHASE: 6A

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 6Th Day of February 2017

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER

COMPANY NAME & ADDRESS

BY: X Emily Tople

Gehan Homes. LTD

PRINT: Emily Tople

3815 S Capital of TX Hwy #275

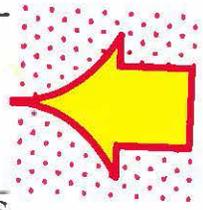
TITLE: Purchasing Coordinator

Austin Texas 78704

PHONE: 512-330-9366 X4217

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_

Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 975.00

ADDRESS: 2804 Wooden Tower Street LOT: 2 BLOCK: CC PHASE: 6A

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 28<sup>th</sup> Day of February 2017

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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Cash Security Agreement - Sidewalks  
Page 2

DEVELOPER/BUILDER

COMPANY NAME & ADDRESS

BY: X *Emily Tople*

Gehan Homes. LTD

PRINT: Emily Tople

3815 S Capital of TX Hwy #275

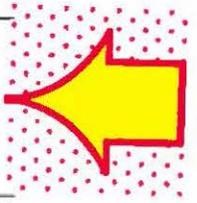
TITLE: Purchasing Coordinator

Austin Texas 78704

PHONE: 512-330-9366 X4217

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_

Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 975.00

ADDRESS: 21609 Hines Lane LOT: 12 BLOCK: R PHASE: 6A

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 27th Day of Jan 2017

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER

COMPANY NAME & ADDRESS

BY: X *Emily Tople*

Gehan Homes, LTD

PRINT: Emily Tople

3815 S Capital of TX Hwy #275

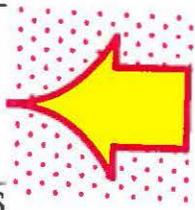
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Austin Texas 78704

PHONE: 512-330-9366 X4217

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_

Date



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COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 975.00

ADDRESS: 21608 Hines Lane LOT: 8 BLOCK: GG PHASE: 6A

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 8th Day of May 2017

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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Cash Security Agreement - Sidewalks

Page 2

DEVELOPER/BUILDER

COMPANY NAME & ADDRESS

BY: X *Emily Tople*

Gehan Homes, LTD

PRINT: Emily Tople

3815 S Capital of TX Hwy #275

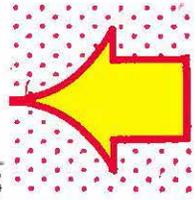
TITLE: Purchasing Coordinator

Austin Texas 78704

PHONE: 512-330-9366 X4217

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_

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COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ \_1170.15

ADDRESS: 2909 Wooden Tower Street LOT: 1 BLOCK: T PHASE: 6A

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 23rd Day of May 2017

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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Cash Security Agreement - Sidewalks

Page 2

DEVELOPER/BUILDER

COMPANY NAME & ADDRESS

BY: X *Emily Tople*

Gehan Homes. LTD

PRINT: Emily Tople

3815 S Capital of TX Hwy #275

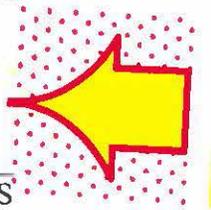
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COUNTY JUDGE, TRAVIS COUNTY, TEXAS

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Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 1035.00

ADDRESS: 2908 Kenner Drive LOT: 8 BLOCK: T PHASE: 6A

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 8th Day of May 2017

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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Cash Security Agreement - Sidewalks

Page 2

DEVELOPER/BUILDER

COMPANY NAME & ADDRESS

BY: X *Emily Tople*

Gehan Homes. LTD

PRINT: Emily Tople

3815 S Capital of TX Hwy #275

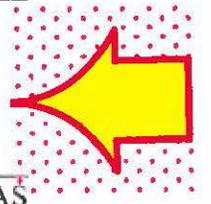
TITLE: Purchasing Coordinator

Austin Texas 78704

PHONE: 512-330-9366 X4217

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_

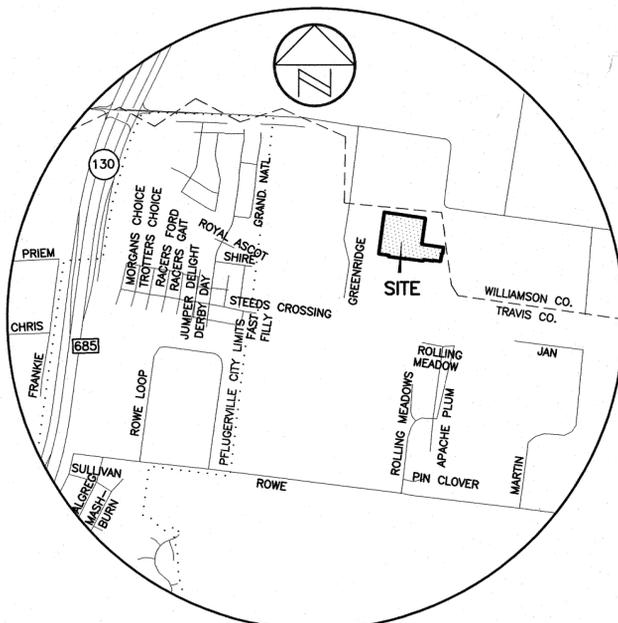
Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

# FINAL PLAT: THE COMMONS AT ROWE LANE PHASE VI A TRAVIS COUNTY, TEXAS



LOCATION MAP  
NOT TO SCALE

## TRAVIS COUNTY CONSUMER PROTECTION NOTICE FOR HOMEBUYERS.

IF YOU ARE BUYING A LOT OR HOME IN THIS SUBDIVISION, YOU SHOULD DETERMINE  
WHETHER IT IS INSIDE OR OUTSIDE THE CITY LIMITS.

DEPENDING ON STATE LAW AND OTHER FACTORS, LAND OUTSIDE THE CITY LIMITS MAY BE SUBJECT TO FEWER LOCAL GOVERNMENT CONTROLS OVER THE DEVELOPMENT AND USE OF LAND THAN INSIDE THE CITY LIMITS, BECAUSE OF THIS, LOCAL GOVERNMENT MAY NOT BE ABLE TO RESTRICT THE NATURE OR EXTENT OF DEVELOPMENT NEAR THE LOT OR HOME NOR PROHIBIT NEARBY LAND USES THAT ARE INCOMPATIBLE WITH A RESIDENTIAL NEIGHBORHOOD.

THIS CAN AFFECT THE VALUE OF YOUR PROPERTY. TRAVIS COUNTY REQUIRES THIS NOTICE TO BE PLACED ON SUBDIVISION PLATS. IT IS NOT A STATEMENT OR REPRESENTATION OF THE OWNER OF THE PROPERTY, THE SUBDIVIDER, OR THEIR REPRESENTATIVES.



*Eric J. Dannheim*  
10/28/2014



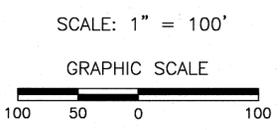
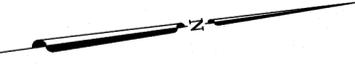
8834 N. Capital of Texas Hwy.  
Austin, Texas 78759  
Suite 140  
(512)452-0371  
FAX(512)454-9933  
TBPE FIRM #2946



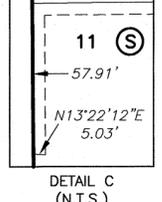
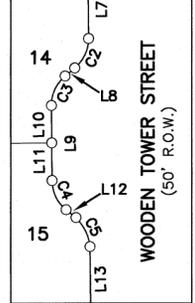
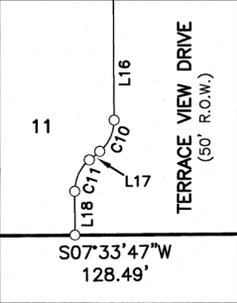
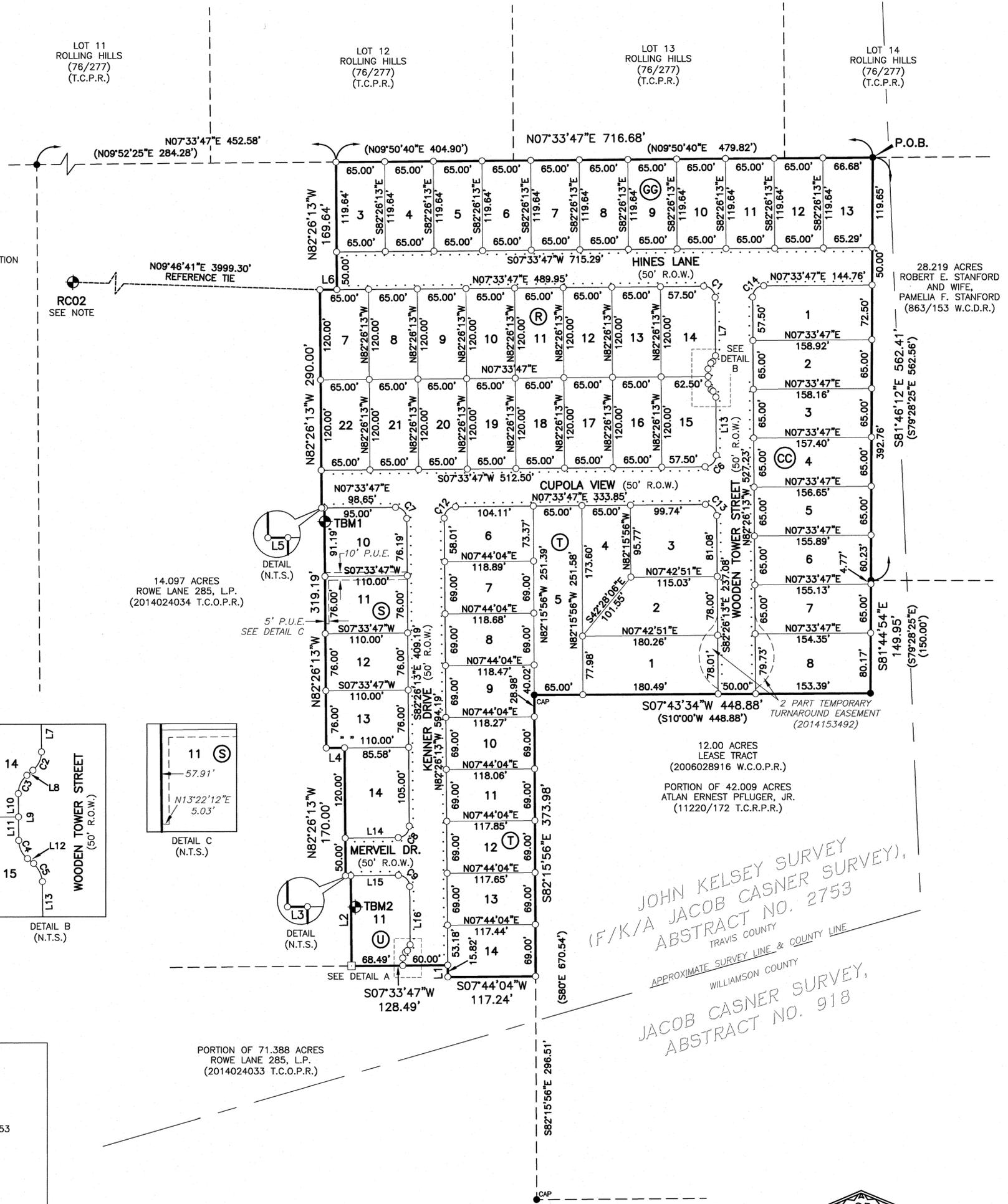
Professional Land Surveying, Inc.  
Surveying and Mapping  
3500 McCall Lane  
Austin, Texas 78744  
512-443-1724  
TBPLS Firm No. 10124500

PROJECT NO.:  
697-011  
DRAWING NO.:  
697-011-PL-VI A  
PLOT DATE:  
10/28/2014  
PLOT SCALE:  
1"=100'  
DRAWN BY:  
CWW  
SHEET  
01 OF 04

# FINAL PLAT: THE COMMONS AT ROWE LANE PHASE VI A TRAVIS COUNTY, TEXAS



- LEGEND**
- 1/2" REBAR FOUND (OR AS NOTED)
  - 1/2" REBAR WITH "CHAPARRAL" CAP SET
  - CAP
  - CONCRETE MONUMENT SET
  - ⊕ CONTROL POINT/BENCHMARK LOCATION
  - T.C.R.P.R. REAL PROPERTY RECORDS OF TRAVIS COUNTY, TEXAS
  - T.C.O.P.R. OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS
  - T.C.P.R. PLAT RECORDS OF TRAVIS COUNTY, TEXAS
  - W.C.D.R. DEED RECORDS OF WILLIAMSON COUNTY, TEXAS
  - W.C.O.P.R. OFFICIAL PUBLIC RECORDS OF WILLIAMSON COUNTY, TEXAS
  - ( ) RECORD INFORMATION
  - ⋯ 4' SIDEWALK
  - (A) BLOCK LETTER
  - P.O.B. POINT OF BEGINNING
  - P.U.E. PUBLIC UTILITY EASEMENT



**OWNERS:**

ROWE LANE 285, L.P.  
1301 MUNICIPAL WAY, SUITE 200  
GRAPEVINE, TX 76051

TOTAL ACREAGE: 14.040 ACRES  
JOHN KELSEY SURVEY, ABSTRACT NO. 2753  
TOTAL NUMBER OF LOTS: 55  
SINGLE FAMILY LOTS: 55

BLOCK CC: 8 LOTS (1.949 AC.)  
BLOCK GG: 11 LOTS (1.967 AC.)  
BLOCK R: 16 LOTS (2.895 AC.)  
BLOCK S: 5 LOTS (1.040 AC.)  
BLOCK T: 14 LOTS (3.110 AC.)  
BLOCK U: 1 LOT (0.211 AC.)

R.O.W. AREA: 2.868 AC.  
LINEAR FEET OF NEW ROADWAY: 2580 L.F.

HINES LANE: 715 L.F.  
CUPOLA VIEW: 553 L.F.  
WOODEN TOWER STREET: 567 L.F.  
KENNER DRIVE: 634 L.F.  
MERVEIL DRIVE: 111 L.F.

**ADDITIONAL CONTROL POINTS/BENCHMARKS:**

TBM1: 1/2" REBAR WITH CAP SET  
TCZ GRID COORDINATES  
N: 10154048.94  
E: 3168129.80  
ELEV: 723.03 (NAVD 88, GEOID 99)

TBM2: 1/2" REBAR WITH CAP SET  
TCZ GRID COORDINATES  
N: 10154017.62  
E: 3168642.83  
ELEV: 737.25 (NAVD 88, GEOID 99)

LOCATED AS SHOWN HEREON.

CHAPARRAL CONTROL POINT "RC02"

4" ALUMINUM DISK SET IN ASPHALT, 4' NORTH OF CURB AT THE END OF A MEDIAN AT THE NORTH TERMINUS OF CASA NAVARRO DRIVE.

SURFACE COORDINATES:  
N 10151283.59  
E 3167499.84

TEXAS CENTRAL ZONE STATE PLANE COORDINATES:  
N 10150144.45  
E 3167144.39

**GRAY ENGINEERING**

8834 N. Capital of Texas Hwy.  
Austin, Texas 78759  
Suite 140  
(512)452-0371  
FAX(512)454-9933  
TBPE FIRM #2946

**Chaparral**  
Professional Land Surveying, Inc.  
Surveying and Mapping

3500 McCall Lane  
Austin, Texas 78744  
512-443-1724  
TBPLS Firm No. 10124500

PROJECT NO.: 697-011  
DRAWING NO.: 697-011-PL-VI A  
PLOT DATE: 10/28/2014  
PLOT SCALE: 1"=100'  
DRAWN BY: CWV  
**SHEET 02 OF 04**



10/28/2014

**FINAL PLAT:  
THE COMMONS AT ROWE LANE PHASE VI A  
TRAVIS COUNTY, TEXAS**

| LOT SUMMARY TABLES |         |          |         |         |         |         |         |         |         |         |         |
|--------------------|---------|----------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| BLOCK CC           |         | BLOCK GG |         | BLOCK R |         | BLOCK S |         | BLOCK T |         | BLOCK U |         |
| LOT#               | SQ. FT. | LOT#     | SQ. FT. | LOT#    | SQ. FT. | LOT#    | SQ. FT. | LOT#    | SQ. FT. | LOT#    | SQ. FT. |
| 1                  | 11,504  | 3        | 7,777   | 7       | 7,800   | 10      | 9,983   | 1       | 14,067  | 11      | 9,183   |
| 2                  | 10,305  | 4        | 7,777   | 8       | 7,800   | 11      | 8,360   | 2       | 11,516  |         |         |
| 3                  | 10,256  | 5        | 7,777   | 9       | 7,800   | 12      | 8,360   | 3       | 10,972  |         |         |
| 4                  | 10,207  | 6        | 7,777   | 10      | 7,800   | 13      | 8,360   | 4       | 8,755   |         |         |
| 5                  | 10,158  | 7        | 7,777   | 11      | 7,800   | 14      | 10,221  | 5       | 16,346  |         |         |
| 6                  | 10,108  | 8        | 7,777   | 12      | 7,800   |         |         | 6       | 8,661   |         |         |
| 7                  | 10,058  | 9        | 7,777   | 13      | 7,800   |         |         | 7       | 8,196   |         |         |
| 8                  | 12,301  | 10       | 7,777   | 14      | 8,464   |         |         | 8       | 8,182   |         |         |
|                    |         | 11       | 7,777   | 15      | 8,463   |         |         | 9       | 8,168   |         |         |
|                    |         | 12       | 7,777   | 16      | 7,800   |         |         | 10      | 8,153   |         |         |
|                    |         | 13       | 7,895   | 17      | 7,800   |         |         | 11      | 8,139   |         |         |
|                    |         |          |         | 18      | 7,800   |         |         | 12      | 8,125   |         |         |
|                    |         |          |         | 19      | 7,800   |         |         | 13      | 8,111   |         |         |
|                    |         |          |         | 20      | 7,800   |         |         | 14      | 8,096   |         |         |
|                    |         |          |         | 21      | 7,800   |         |         |         |         |         |         |
|                    |         |          |         | 22      | 7,800   |         |         |         |         |         |         |

**METES AND BOUNDS DESCRIPTION:**

A DESCRIPTION OF 14.040 ACRES IN THE JOHN KELSEY SURVEY, ABSTRACT NO. 2753, TRAVIS COUNTY, TEXAS, CONVEYED TO ROWE LANE 285, L.P. BY DOCUMENT NO. 2014024034 OF THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS, BEING A PORTION OF A 42.009 ACRE TRACT DESCRIBED IN A WARRANTY DEED TO ATLAN ERNEST PFLUGER, JR., DATED JUNE 28, 1990 AND RECORDED IN VOLUME 11220, PAGE 172 OF THE REAL PROPERTY RECORDS OF TRAVIS COUNTY, TEXAS; SAID 14.040 ACRE TRACT BEING MORE PARTICULARLY DESCRIBED BY METES AND BOUNDS AS FOLLOWS:

BEGINNING at a 1/2" rebar found for the northwest corner of said 14.040 acre tract, same being the northwest corner of said 42.009 acre tract, also being the southwest corner of a 28.219 acre tract described in a deed to Robert E. Stanford and Pamela F. Stanford, recorded in Volume 863, Page 153 of the Deed Records of Williamson County, Texas, also being in the east line of Lot 14, Rolling Hills, a subdivision recorded in Volume 76, Page 277 of the Plat Records of Travis County, Texas;

THENCE South 81°46'12" East, with the common line of said 14.040 acre tract, said 42.009 acre tract and said 28.219 acre tract, a distance of 562.41 feet to a 1/2" rebar found for an angle point in the north line of said 14.040 acre tract and said 42.009 acre tract, same being the southeast corner of said 28.219 acre tract, also being an angle point in the west line of a 12.00 acre lease tract described in Document No. 2006028916 of the Official Public Records of Williamson County, Texas;

THENCE crossing said 42.009 acre tract with the west and south lines of said 12.00 acre tract, being the east and north lines of said 14.040 acre tract, the following three (3) courses and distances:

1. South 81°44'54" East, a distance of 149.95 feet to a 1/2" rebar found;
2. South 07°43'34" West, a distance of 448.88 feet to a 1/2" rebar with plastic cap found for the southwest corner of said 12.00 acre tract;
3. South 82°15'56" East, a distance of 373.98 feet to a 1/2" rebar with "Chaparral" cap set for the northeast corner of said 14.040 acre tract, from which 1/2" rebar with plastic cap found in the east line of said 42.009 acre tract, for the southeast corner of said 12.00 acre tract, bears South 82°15'56" East, a distance of 296.51 feet;

THENCE departing the south line of said 12.00 acre tract and continuing across said 42.009 acre tract with the east line of said 14.040 acre tract, the following three (3) courses and distances:

1. South 07°44'04" West, a distance of 117.24 feet to a 1/2" rebar with "Chaparral" cap set;
2. North 82°26'13" West, a distance of 15.82 feet to a 1/2" rebar with "Chaparral" cap set;
3. South 07°33'47" West, a distance of 128.49 feet to a 1/2" rebar with "Chaparral" cap set for the southeast corner of said 14.040 acre tract, same being the northeast corner of a 14.097 acre tract also described in said Document No. 2014024034;

THENCE with the south line of said 14.040 acre tract, same being the north line of said 14.097 acre tract, the following nine (9) courses and distances:

1. North 82°26'13" West, a distance of 120.00 feet to a 1/2" rebar with "Chaparral" cap set;
2. South 07°33'47" West, a distance of 7.08 feet to a 1/2" rebar with "Chaparral" cap set;
3. North 82°26'13" West, a distance of 170.00 feet to a 1/2" rebar with "Chaparral" cap set;
4. South 07°33'47" West, a distance of 24.42 feet to a 1/2" rebar with "Chaparral" cap set;
5. North 82°26'13" West, a distance of 319.19 feet to a 1/2" rebar with "Chaparral" cap set;
6. South 07°33'47" West, a distance of 3.65 feet to a 1/2" rebar with "Chaparral" cap set;
7. North 82°26'13" West, a distance of 290.00 feet to a 1/2" rebar with "Chaparral" cap set;
8. North 07°33'47" East, a distance of 22.55 feet to a 1/2" rebar with "Chaparral" cap set;
9. North 82°26'13" West, a distance of 169.64 feet to a 1/2" rebar with "Chaparral" cap set in the west line of said 42.009 acre tract, for the southwest corner of said 14.040 acre tract, same being the northwest corner of said 14.097 acre tract, also being in the east line of Lot 12 of said Rolling Hills, from which a 1/2" rebar found for the southwest corner of said 42.009 acre tract bears South 07°33'47" West, a distance of 452.58 feet;

THENCE North 07°33'47" East, with the west line of said 42.009 acre tract and said 14.040 acre tract, being the east lines of Lots 12, 13 and 14 of said Rolling Hills, a distance of 716.68 feet to the POINT OF BEGINNING, containing 14.040 acres of land, more or less.

| CURVE TABLE |        |           |        |             |        |         |
|-------------|--------|-----------|--------|-------------|--------|---------|
| CURVE       | RADIUS | DELTA     | ARC    | BEARING     | CHORD  | TANGENT |
| C1          | 15.00' | 90°00'00" | 23.56' | N52°33'47"E | 21.21' | 15.00'  |
| C2          | 10.00' | 50°58'13" | 8.90'  | S56°57'07"E | 8.61'  | 4.77'   |
| C3          | 10.00' | 50°58'13" | 8.90'  | S56°57'07"E | 8.61'  | 4.77'   |
| C4          | 10.00' | 50°58'11" | 8.90'  | N72°04'41"E | 8.61'  | 4.77'   |
| C5          | 12.99' | 38°41'16" | 8.77'  | N72°04'39"E | 8.61'  | 4.56'   |
| C6          | 15.00' | 90°00'00" | 23.56' | S37°26'13"E | 21.21' | 15.00'  |
| C7          | 15.00' | 90°00'00" | 23.56' | N52°33'47"E | 21.21' | 15.00'  |
| C8          | 15.00' | 90°00'00" | 23.56' | S37°26'13"E | 21.21' | 15.00'  |
| C9          | 15.00' | 90°00'00" | 23.56' | N52°33'47"E | 21.21' | 15.00'  |
| C10         | 10.00' | 50°58'13" | 8.90'  | N56°57'07"W | 8.61'  | 4.77'   |
| C11         | 10.00' | 50°58'13" | 8.90'  | S56°57'07"E | 8.61'  | 4.77'   |
| C12         | 15.00' | 90°00'00" | 23.56' | N37°26'13"W | 21.21' | 15.00'  |
| C13         | 15.00' | 90°00'00" | 23.56' | N52°33'47"E | 21.21' | 15.00'  |
| C14         | 15.00' | 90°00'00" | 23.56' | N37°26'13"W | 21.21' | 15.00'  |

| LINE TABLE |             |          |
|------------|-------------|----------|
| LINE       | BEARING     | DISTANCE |
| L1         | N82°26'13"W | 15.82'   |
| L2         | N82°26'13"W | 120.00'  |
| L3         | S07°33'47"W | 7.08'    |
| L4         | S07°33'47"W | 24.42'   |
| L5         | S07°33'47"W | 3.65'    |
| L6         | N07°33'47"E | 22.55'   |
| L7         | S82°26'13"E | 77.43'   |
| L8         | S31°28'00"E | 3.34'    |
| L9         | S82°26'13"E | 19.86'   |
| L10        | S82°26'13"E | 9.93'    |
| L11        | S82°26'13"E | 9.93'    |
| L12        | S46°35'36"W | 3.34'    |
| L13        | S82°26'13"E | 77.43'   |
| L14        | S07°33'47"W | 70.58'   |
| L15        | N07°33'47"E | 63.49'   |
| L16        | S82°26'13"E | 77.43'   |
| L17        | S31°28'00"E | 3.34'    |
| L18        | S82°26'13"E | 9.93'    |



*Eric J. Dannheim*  
10/28/2014

**GRAY ENGINEERING**  
8834 N. Capital of Texas Hwy.  
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512-443-1724  
TBPLS Firm No. 10124500

|              |                 |
|--------------|-----------------|
| PROJECT NO.: | 697-011         |
| DRAWING NO.: | 697-011-PL-VI A |
| PLOT DATE:   | 10/28/2014      |
| PLOT SCALE:  | 1"=100'         |
| DRAWN BY:    | CWW             |
| <b>SHEET</b> | <b>03 OF 04</b> |

FINAL PLAT:  
THE COMMONS AT ROWE LANE PHASE VI A  
TRAVIS COUNTY, TEXAS

STATE OF TEXAS  
COUNTY OF TRAVIS

KNOW ALL MEN BY THESE PRESENTS:

THAT ROWE LANE 285, L.P., A TEXAS LIMITED PARTNERSHIP, BEING THE OWNER OF 14.040 ACRES IN THE JOHN KELSEY SURVEY, ABSTRACT NO. 2753, IN TRAVIS COUNTY, TEXAS, CONVEYED TO ROWE LANE 285, L.P. BY DOCUMENT NO. 2014024034 OF THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS;

DO HEREBY SUBDIVIDE 14.040 ACRES IN ACCORDANCE WITH THE MAP OR PLAT ATTACHED HERETO PURSUANT TO TEXAS LOCAL GOVERNMENT CODE 232.00, TO BE KNOWN AS

THE COMMONS AT ROWE LANE PHASE VI A

AND DO HEREBY DEDICATE TO THE PUBLIC THE USE OF ALL STREETS AND EASEMENTS SHOWN HEREON, SUBJECT TO ANY AND ALL EASEMENTS OR RESTRICTIONS HERETOFORE GRANTED AND NOT RELEASED.

WITNESS MY HAND THIS THE 30<sup>th</sup> DAY OF October, 2014 A.D.

ROWE LANE 285, L.P.,  
A TEXAS LIMITED PARTNERSHIP  
1301 MUNICIPAL WAY, STE. 200  
GRAPEVINE, TEXAS 76051

BY: ROWE LANE 285 GENPAR, LLC,  
A TEXAS LIMITED LIABILITY COMPANY  
ITS: GENERAL PARTNER

BY: UNITED DEVELOPMENT FUNDING, L.P.,  
A DELAWARE LIMITED PARTNERSHIP  
ITS: SOLE MEMBER

BY: UNITED DEVELOPMENT FUNDING, INC.,  
A DELAWARE CORPORATION  
ITS: GENERAL PARTNER

BY: Melissa Youngblood  
NAME: MELISSA YOUNGBLOOD  
TITLE: VICE PRESIDENT

STATE OF TEXAS  
COUNTY OF TRAVIS

BEFORE ME, THE UNDERSIGNED AUTHORITY, ON THIS DAY PERSONALLY APPEARED MELISSA YOUNGBLOOD, KNOWN TO ME TO BE THE PERSON WHOSE NAME IS SUBSCRIBED TO THE FOREGOING INSTRUMENT AND HE ACKNOWLEDGED TO ME THAT HE EXECUTED THE SAME FOR THE PURPOSES AND CONSIDERATIONS THEREIN EXPRESSED AND IN THE CAPACITY THEREIN STATED.

WITNESS MY HAND AND SEALED IN MY OFFICE, THIS THE 30<sup>th</sup> DAY OF October, 2014 A.D.

Donna R. Lawson  
NOTARY PUBLIC, STATE OF TEXAS  
Donna R. Lawson 10-3-2015  
PRINTED NAME MY COMMISSION EXPIRES



STATE OF TEXAS  
CITY OF PFLUGERVILLE

APPROVED THIS 1<sup>st</sup> DAY OF December, 2014 A.D., BY THE PLANNING AND ZONING COMMISSION OF THE CITY OF PFLUGERVILLE, TEXAS, ON BEHALF OF THE CITY.

BY: R. B. Blach  
CHAIRPERSON

THIS PLAT REFLECTS THE APPROVAL GRANTED BY THE PLANNING AND ZONING COMMISSION ON THE DATE INDICATED ABOVE.

THIS PLAT IS LOCATED IN THE EXTRATERRITORIAL JURISDICTION OF THE CITY OF PFLUGERVILLE, TEXAS, THIS THE

1<sup>st</sup> DAY OF December, 2014 A.D.

BY: Emily Barron  
EMILY BARRON, PLANNING DIRECTOR

ATTEST: Karen Thompson  
KAREN THOMPSON, CITY SECRETARY



SURVEYOR'S CERTIFICATION

STATE OF TEXAS  
COUNTY OF TRAVIS

KNOW ALL MEN BY THESE PRESENTS:

THAT I, ERIC J. DANNHEIM, DO HEREBY CERTIFY THAT I PREPARED THIS PLAT FROM AN ACTUAL AND ACCURATE ON-THE-GROUND SURVEY OF THE LAND, ON SEPTEMBER 26, 2014, AND THAT ALL CORNER MONUMENTS SHOWN THEREON WERE PROPERLY PLACED UNDER MY PERSONAL SUPERVISION, IN ACCORDANCE WITH THE SUBDIVISION CODE OF THE CITY OF PFLUGERVILLE, TEXAS, AND THAT ALL KNOWN EASEMENTS WITHIN THE BOUNDARY OF THE PLAT ARE SHOWN HEREON, PURSUANT TRAVIS COUNTY CHAPTER 82 SUBDIVISION REGULATIONS.

Eric J. Dannheim 10/28/2014  
ERIC J. DANNHEIM, R.P.L.S. 6075



SURVEYING BY:  
CHAPARRAL PROFESSIONAL LAND SURVEYING, INC.  
3500 MCCALL LANE  
AUSTIN, TEXAS 78744  
512-443-1724

ENGINEER'S CERTIFICATION

STATE OF TEXAS  
COUNTY OF TRAVIS

I, JOHN D. HINES, AM AUTHORIZED UNDER THE LAWS OF THE STATE OF TEXAS TO PRACTICE THE PROFESSION OF ENGINEERING AND HEREBY CERTIFY THAT THIS PLAT IS FEASIBLE FROM AN ENGINEERING STANDPOINT, AND IS TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, PURSUANT TRAVIS COUNTY CHAPTER 82 SUBDIVISION REGULATIONS.

THE 100 YEAR FLOOD IS CONTAINED WITHIN THE DRAINAGE EASEMENTS AS SHOWN HEREON. NO PORTION OF THIS TRACT IS WITHIN THE BOUNDARY OF THE 100 YEAR FLOODPLAIN, AS SHOWN ON THE FEMA MAP COMMUNITY PANEL NO. 48453C0280J, DATED AUGUST 18, 2014.

John D. Hines  
JOHN D. HINES, P.E. 96691



ENGINEERING BY:  
GRAY ENGINEERING, INC.  
8834 N. CAPITAL OF TEXAS HIGHWAY, SUITE 140  
AUSTIN, TEXAS 78759  
512-452-0371  
TBPE # 2946

PLAT NOTES AND TRAVIS COUNTY STANDARD NOTES

- 1. THIS PROPERTY IS OUTSIDE THE CITY OF PFLUGERVILLE CITY LIMITS, BUT WITHIN THE E.T.J.
- 2. NO OBJECTS, INCLUDING BUT NOT LIMITED TO BUILDINGS, FENCES OR LANDSCAPING AND OTHER STRUCTURES SHALL BE PERMITTED IN DRAINAGE EASEMENTS EXCEPT AS APPROVED BY TRAVIS COUNTY AND THE CITY OF PFLUGERVILLE.
- 3. PROPERTY OWNER OR ASSIGNS SHALL PROVIDE FOR ACCESS TO DRAINAGE EASEMENTS AND UTILITY EASEMENTS AS MAY BE NECESSARY AND SHALL NOT PROHIBIT ACCESS BY GOVERNMENTAL AUTHORITIES FOR INSPECTION OR MAINTENANCE OF SAID EASEMENTS.
- 4. ALL DRAINAGE EASEMENTS ON PRIVATE PROPERTY SHALL BE MAINTAINED BY THE PROPERTY OWNER OR ASSIGNS.
- 5. A TRAVIS COUNTY DEVELOPMENT PERMIT IS REQUIRED PRIOR TO ANY SITE DEVELOPMENT.
- 6. 4' SIDEWALKS SHALL BE CONSTRUCTED ALONG EACH SIDE OF ALL LOCAL STREETS IN THIS SUBDIVISION. SIDEWALK RAMPS FOR HANDICAP ACCESS SHALL BE PROVIDED AT ALL STREET INTERSECTIONS UNLESS NOTED OTHERWISE.
- 7. A TEN (10) FOOT WIDE PUBLIC UTILITY EASEMENT IS HEREBY DEDICATED PARALLEL WITH AND ADJACENT TO ALL STREET RIGHTS-OF-WAY.
- 8. THE 25 AND 100 YEAR FLOOD WILL BE CONTAINED WITHIN THE DRAINAGE EASEMENTS AND STREET RIGHTS-OF-WAY SHOWN HEREON.
- 9. WATER AND WASTEWATER SERVICE TO THIS SUBDIVISION WILL BE PROVIDED BY MANVILLE WATER SUPPLY CORPORATION AND THE CITY OF PFLUGERVILLE, RESPECTIVELY.
- 10. THERE SHALL BE NO OCCUPANCY OF ANY LOT IN THIS SUBDIVISION UNTIL CONNECTION HAS BEEN MADE TO AN APPROVED PUBLIC SEWER SYSTEM.
- 11. THERE SHALL BE NO OCCUPANCY OF ANY LOT IN THIS SUBDIVISION UNTIL WATER SATISFACTORY FOR HUMAN CONSUMPTION IS AVAILABLE FROM A SOURCE IN ADEQUATE AND SUFFICIENT SUPPLY FOR THE PROPOSED DEVELOPMENT.
- 12. ALL SINGLE FAMILY RESIDENTIAL LOTS IN THIS SUBDIVISION ARE SUBJECT TO THE DECLARATION OF COVENANTS, RESTRICTIONS AND EASEMENTS AS RECORDED IN THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS.
- 13. ALL BUILDING SETBACK LINES WILL BE IN ACCORDANCE WITH THE COMPREHENSIVE DEVELOPMENT AND CONSENT AGREEMENT FOR LAKESIDE WCID NO. 3, BETWEEN ROWE LANE DEVELOPMENT, LTD., H2N CORPORATION, ATLAN ERNEST PFLUGER, JR., RUBY MAE PFLUGER, PATRICIA PFLUGER HOFFMAN, AND THE CITY OF PFLUGERVILLE, TEXAS, EFFECTIVE DATE NOVEMBER 22, 2004. SETBACKS BEING 5-FT SIDE, 25-FT FRONT, 20-FT REAR, AND 15-FT SIDE STREET (CORNER LOT)
- 14. ALL (NEW) TELEPHONE AND CABLE TELEVISION UTILITY LINES AND ALL ELECTRIC UTILITY LATERAL AND SERVICE LINES AND WIRES SHALL BE PLACED UNDERGROUND, EXCEPT AS OTHERWISE HEREIN PROVIDED.
- 15. WHERE EXISTING OVERHEAD ELECTRIC SERVICE EXISTS, ELECTRIC UTILITY SERVICE LINES FOR STREET OR SITE LIGHTING SHALL BE PLACED UNDERGROUND.
- 16. ALL ELECTRIC, CABLE TELEVISION AND TELEPHONE SUPPORT EQUIPMENT (TRANSFORMERS, AMPLIFIERS, SWITCHING DEVICES, ETC.) NECESSARY FOR UNDERGROUND INSTALLATIONS IN SUBDIVISIONS SHALL BE PAD MOUNTED OR PLACED UNDERGROUND IN A PUBLIC UTILITY EASEMENT RATHER THAN A RIGHT-OF-WAY.
- 17. THIS SUBDIVISION IS SUBJECT TO ALL CITY OF PFLUGERVILLE ORDINANCES RELATED TO TREE CONSERVATION INCLUDING, BUT NOT LIMITED TO, THE CONSERVATION STANDARDS OUTLINED IN THE UNIFIED DEVELOPMENT CODE.
- 18. THE ASSESSED IMPACT FEE RATE FOR THE CITY OF PFLUGERVILLE WASTEWATER SERVICE SHALL BE \$2,725.00 AS STATED THROUGH THE COMPREHENSIVE DEVELOPMENT AND CONSENT AGREEMENT FOR LAKESIDE WCID NO. 3 [THE DISTRICT] DATED NOVEMBER 22, 2004, AND RELATED SUPPORTING DOCUMENTS BETWEEN THE CITY AND THE DISTRICT; FOLLOWING CITY ORDINANCE #1179-14-06-10 AND PAYABLE PER LOT AT THE TIME OF BUILDING PERMIT.
- 19. STREET LIGHTS SHALL BE PROVIDED IN ACCORDANCE WITH THE UNIFIED DEVELOPMENT CODE SUBCHAPTER 13 AND ANY OTHER PROVISIONS REQUIRED BY THE CITY OF PFLUGERVILLE. A STREET LIGHTING PLAN SHALL BE APPROVED BY THE APPLICABLE ELECTRIC UTILITY PROVIDER AS WELL AS THE CITY OF PFLUGERVILLE.
- 20. PARKLAND DEDICATION FOR THIS SUBDIVISION IS SATISFIED BY ELIGIBLE PARKLAND PLATTED IN PHASE I (18.260 ACRES), PHASE II A (1.862 ACRES), PHASE II B (5.287 ACRES), PHASE III B (13.069 ACRES), PHASE IV A (0.087 ACRES), AND PHASE V A (0.087 ACRES).
- 21. ALL STREETS IN THIS SUBDIVISION ARE PUBLIC.
- 22. ALL EASEMENTS SHOWN ARE CONSIDERED PUBLIC UNLESS NOTED OTHERWISE.

TRAVIS COUNTY COMMISSIONERS COURT RESOLUTION

IN APPROVING THIS PLAT, THE COMMISSIONERS COURT OF TRAVIS COUNTY, TEXAS, ASSUMES NO OBLIGATION TO BUILD THE STREETS, ROADS, AND OTHER PUBLIC THOROUGHFARES SHOWN ON THIS PLAT OR ANY BRIDGES OR CULVERTS IN CONNECTION THEREWITH. THE BUILDING OF ALL STREETS, ROADS, AND OTHER PUBLIC THOROUGHFARES SHOWN ON THIS PLAT, AND ALL BRIDGES AND CULVERTS NECESSARY TO BE CONSTRUCTED OR PLACED IN SUCH STREETS, ROADS, OR OTHER PUBLIC THOROUGHFARES OR IN CONNECTION THEREWITH, IS THE RESPONSIBILITY OF THE OWNER AND/OR THE DEVELOPER OF THE TRACT OF LAND COVERED BY THIS PLAT IN ACCORDANCE WITH PLANS AND SPECIFICATIONS PRESCRIBED BY THE COMMISSIONERS COURT OF TRAVIS COUNTY, TEXAS.

THE OWNER(S) OF THE SUBDIVISION SHALL CONSTRUCT THE SUBDIVISION'S STREET AND DRAINAGE IMPROVEMENTS (THE "IMPROVEMENTS") TO COUNTY STANDARDS IN ORDER FOR THE COUNTY TO ACCEPT THE PUBLIC IMPROVEMENTS FOR MAINTENANCE OR TO RELEASE FISCAL SECURITY POSTED TO SECURE PRIVATE IMPROVEMENTS. TO SECURE THIS OBLIGATION, THE OWNER(S) MUST POST FISCAL SECURITY WITH THE COUNTY IN THE AMOUNT OF THE ESTIMATED COST OF THE IMPROVEMENTS. THE OWNER(S) OBLIGATION TO CONSTRUCT THE IMPROVEMENTS TO COUNTY STANDARDS AND TO POST THE FISCAL SECURITY TO SECURE SUCH CONSTRUCTION IS A CONTINUING OBLIGATION BINDING ON THE OWNERS AND THEIR SUCCESSORS AND ASSIGNS UNTIL THE PUBLIC IMPROVEMENTS HAVE BEEN ACCEPTED FOR MAINTENANCE BY THE COUNTY, OR THE PRIVATE IMPROVEMENTS HAVE BEEN CONSTRUCTED AND ARE PERFORMING TO COUNTY STANDARDS.

THE AUTHORIZATION OF THIS PLAT BY THE COMMISSIONERS COURT FOR FILING OR SUBSEQUENT ACCEPTANCE FOR MAINTENANCE BY TRAVIS COUNTY, TEXAS, OF ROADS AND STREETS IN THE SUBDIVISION DOES NOT OBLIGATE THE COUNTY TO INSTALL STREET NAME SIGNS OR ERECT TRAFFIC CONTROL SIGNS, SUCH AS SPEED LIMIT, STOP SIGNS, AND YIELD SIGNS, WHICH IS CONSIDERED TO BE A PART OF THE DEVELOPER'S CONSTRUCTION.

STATE OF TEXAS  
COUNTY OF TRAVIS

I, DANA DEBEAUVOIR, CLERK OF THE COUNTY COURT, OF TRAVIS COUNTY, TEXAS, DO HEREBY CERTIFY THAT ON THE 20<sup>th</sup> DAY OF January, 2015 A.D., THE COMMISSIONER'S COURT OF TRAVIS COUNTY, TEXAS, PASSED AN ORDER AUTHORIZING THE FILING FOR RECORD OF THIS PLAT, AND THAT SAID ORDER WAS DULY ENTERED IN THE MINUTES OF SAID COURT.

WITNESS MY HAND AND SEAL OF OFFICE OF THE COUNTY COURT OF SAID COUNTY, THE 22<sup>nd</sup> DAY OF January, 2015 A.D.

DANA DEBEAUVOIR, COUNTY CLERK  
TRAVIS COUNTY, TEXAS

BY: DEPUTY

Robert Feenick  
Robert Feenick



STATE OF TEXAS  
COUNTY OF TRAVIS

I, DANA DEBEAUVOIR, CLERK OF TRAVIS COUNTY, TEXAS, DO HEREBY CERTIFY THAT THE FOREGOING INSTRUMENT OF WRITING AND ITS CERTIFICATE OF AUTHENTICATION WAS FILED FOR RECORD IN MY OFFICE ON THE 26<sup>th</sup> DAY OF JANUARY, 2015 A.D., AT 9:39 O'CLOCK A M., DULY RECORDED ON THE 26<sup>th</sup> DAY OF JANUARY, 2015 A.D., AT 9:39 O'CLOCK A M., PLAT RECORDS OF SAID COUNTY AND STATE IN DOCUMENT NUMBER 201500019 OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY.

WITNESS MY HAND AND SEAL OF OFFICE OF THE COUNTY CLERK, THIS 26<sup>th</sup> DAY OF JANUARY, 2015 A.D.

DANA DEBEAUVOIR, COUNTY CLERK  
TRAVIS COUNTY, TEXAS

BY: DEPUTY

M. Mitchell  
M. MITCHELL



8834 N. Capital of Texas Hwy.  
Austin, Texas 78759  
Suite 140  
(512)452-0371  
FAX(512)454-9933  
TBPE FIRM #2946

**Chaparral**  
Professional Land Surveying, Inc.  
Surveying and Mapping  
3500 McCall Lane  
Austin, Texas 78744  
512-443-1724  
TBPLS Firm No. 10124500

|                                 |
|---------------------------------|
| PROJECT NO.:<br>697-011         |
| DRAWING NO.:<br>697-011-PL-VI A |
| PLOT DATE:<br>10/28/2014        |
| PLOT SCALE:<br>1"=100'          |
| DRAWN BY:<br>CWW                |
| SHEET<br>04 OF 04               |



## Travis County Commissioners Court Agenda Request

**Meeting Date:** July 18, 2017

**Prepared By:** Tim Pautsch, Engineering Specialist **Phone #:** (512) 854-7689

**Division Director/Manager:** Anna Bowlin, Development Services and Long Range Planning Division Director

**Department Head:** Steven M. Manilla, P.E., County Executive-TNR

**Sponsoring Court Member:** Commissioner Travillion, Precinct One

**AGENDA LANGUAGE:** Consider and take appropriate action on a Cash Security Agreement with Gehan Homes LTD, for sidewalk fiscal for Commons at Rowe Lane Phase 7, in Precinct One:

- |                  |                   |                   |
|------------------|-------------------|-------------------|
| A) Lot 1 Block A | E) Lot 7 Block D  | I) Lot 24 Block B |
| B) Lot 4 Block A | F) Lot 11 Block A | J) Lot 27 Block B |
| C) Lot 6 Block A | G) Lot 12 Block A |                   |
| D) Lot 6 Block B | H) Lot 19 Block B |                   |

### **BACKGROUND/SUMMARY OF REQUEST:**

The form of the Cash Security Agreement is from the Standards for Construction of Streets and Drainage in Subdivisions that were in place before August 28, 1997.

### **STAFF RECOMMENDATIONS:**

Gehan Homes LTD, proposes to use these Cash Security Agreement for selected lots, as follows: Commons at Rowe Lane Phase 7, to post sidewalk fiscal where the sidewalks have not been completed in this subdivision.

- A) Lot 1 Block A, 21300 Windmill Ranch Avenue, \$597.60
- B) Lot 4 Block A, 21312 Windmill Ranch Avenue, \$3,028.65
- C) Lot 6 Block A, 1811 Grebe Drive, \$825.00
- D) Lot 6 Block B, 21508 Greylag Drive, \$720.15
- E) Lot 7 Block D, 1904 Aves Cove, \$815.10
- F) Lot 11 Block A, 1801 Grebe Drive, \$522.30
- G) Lot 12 Block A, 21400 Greylag Drive, \$473.55
- H) Lot 19 Block B, 1808 Grebe Drive, \$825.00
- I) Lot 24 Block B, 21408 Windmill Ranch Avenue, \$824.40
- J) Lot 27 Block B, 21420 Windmill Ranch Avenue, \$889.35

### **ISSUES AND OPPORTUNITIES:**

None

### **FISCAL IMPACT AND SOURCE OF FUNDING:**

There are no budgetary and/or fiscal impacts as this is fiscal posted for a development.

### **ATTACHMENTS/EXHIBITS:**

Cash Security Agreement, Map of lots.

**REQUIRED AUTHORIZATIONS:**

|                   |                            |     |                |
|-------------------|----------------------------|-----|----------------|
| Cynthia McDonald  | Financial Manager          | TNR | (512) 854-4239 |
| Steven M. Manilla | County Executive           | TNR | (512) 854-9429 |
| Anna Bowlin       | DS & LRP Division Director | TNR | (512) 854-7561 |
| Stacey Scheffel   | Permits Program Manager    | TNR | (512) 854-7565 |

**TP:AB:ifs**

**1101 - Development Services Long Range Planning - Cash Security Agreement is from the Standards for Construction of Streets and Drainage, Commons at Rowe Lane Phase 7**

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 597.60

ADDRESS: 21300 Windmill Ranch Ave LOT: 1 BLOCK: A PHASE: 7

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 4th Day of February 2016

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

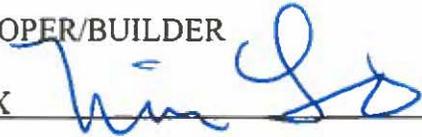
The county considers such a drawing on this Security necessary to complete all or part of the SUBDIVISION Sidewalks to ADA and Texas Accessibility Standards. No further substantiation of the necessity of the draw is required by this Agreement.

This Agreement is conditioned on the performance of the duties of the DEVELOPER/BUILDER to provide for the construction and completion of the Sidewalk Improvements in the SUBDIVISION to current Travis County Standards for Construction of Streets and Drainage in Subdivisions (the "Standards"), so that the Sidewalk Improvements are performing to the Standards upon the approval of the construction of the Sidewalk Improvements, and the acceptance of the Sidewalk Improvements by the Executive Manager of TNR or his designated representative. The DEVELOPER/ BUILDER shall prove that the sidewalk is built to Texas Accessibility Standards by submitting an approved inspection letter from a Registered Accessibility Specialist.

If this document needs to be renewed, it will be renewed at the then current rate for Sidewalks required by Travis County. In no case shall the amount of Security be less that the amount it would cost the County to complete the work if it becomes necessary.

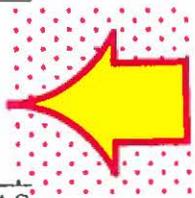
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Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER  
BY: X   
PRINT: Nina Lozano  
TITLE: Purchasing Coordinator  
PHONE: 512-330-9366 X4219

COMPANY NAME & ADDRESS  
Gehan Homes. LTD  
3815 S Capital of TX Hwy #275  
Austin Texas 78704

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_  
Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 3028.65

ADDRESS: 21312 Windmill Ranch Ave LOT: 4 BLOCK: A PHASE: 7

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 4th Day of February 2016

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER

BY: X 

PRINT: Nina Lozano

TITLE: Purchasing Coordinator

PHONE: 512-330-9366 X4219

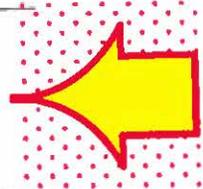
COMPANY NAME & ADDRESS

Gehan Homes, LTD

3815 S Capital of TX Hwy #275

Austin Texas 78704

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_  
Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 825.00

ADDRESS: 1811 Grebe Dr LOT: 6 BLOCK: A PHASE: 7

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 29th Day of February 2016

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER

BY: X *Nina Lozano*

PRINT: Nina Lozano

TITLE: Purchasing Coordinator

PHONE: 512-330-9366 X4219

COMPANY NAME & ADDRESS

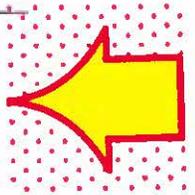
Gehan Homes, LTD

3815 S Capital of TX Hwy #275

Austin Texas 78704

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_

Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 720.15

ADDRESS: 21508 Greylag Drive LOT: 6 BLOCK: B PHASE: 7

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 25th Day of January 2016

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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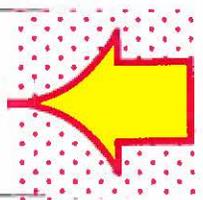
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Cash Security Agreement - Sidewalks

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BY: X *Nina Lozano*  
PRINT: Nina Lozano  
TITLE: Purchasing Coordinator  
PHONE: 512-330-9366 X4219

COMPANY NAME & ADDRESS  
Gehan Homes. LTD  
3815 S Capital of TX Hwy #275  
Austin Texas 78704

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_  
Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 815.10

ADDRESS: 1904 Aves Cove LOT: 7 BLOCK: D PHASE: 7

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 24th Day of March 2016

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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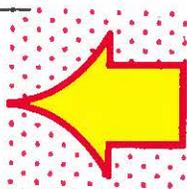
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Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER  
BY: X   
PRINT: Nina Lozano  
TITLE: Purchasing Coordinator  
PHONE: 512-330-9366 X4219

COMPANY NAME & ADDRESS  
Gehan Homes. LTD  
3815 S Capital of TX Hwy #275  
Austin Texas 78704

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_  
Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 522.30

ADDRESS: 1801 Grebe Dr LOT: 11 BLOCK: A PHASE: 7

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 15th Day of March 2016

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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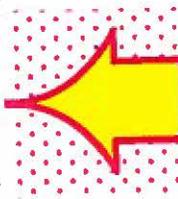
Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER:  
 BY: X *Nina Lozano*  
 PRINT: Nina Lozano  
 TITLE: Purchasing Coordinator  
 PHONE: 512-330-9366 X4219

COMPANY NAME & ADDRESS

Gehan Homes. LTD  
3815 S Capital of TX Hwy #275  
Austin Texas 78704

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_  
 Date



\_\_\_\_\_  
 COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
 Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 473.55

ADDRESS: 21400 Greylag Dr LOT: 12 BLOCK: A PHASE: 7

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 29th Day of February 2016

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER

BY: X *Nina Lozano*

PRINT: Nina Lozano

TITLE: Purchasing Coordinator

PHONE: 512-330-9366 X4219

COMPANY NAME & ADDRESS

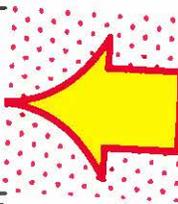
Gehan Homes, LTD

3815 S Capital of TX Hwy #275

Austin Texas 78704

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_

Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 825.00

ADDRESS: 1808 Grebe Dr LOT: 19 BLOCK: B PHASE: 7

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 15th Day of March 2016

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

The county considers such a drawing on this Security necessary to complete all or part of the SUBDIVISION Sidewalks to ADA and Texas Accessibility Standards. No further substantiation of the necessity of the draw is required by this Agreement.

This Agreement is conditioned on the performance of the duties of the DEVELOPER/BUILDER to provide for the construction and completion of the Sidewalk Improvements in the SUBDIVISION to current Travis County Standards for Construction of Streets and Drainage in Subdivisions (the "Standards"), so that the Sidewalk Improvements are performing to the Standards upon the approval of the construction of the Sidewalk Improvements, and the acceptance of the Sidewalk Improvements by the Executive Manager of TNR or his designated representative. The DEVELOPER/ BUILDER shall prove that the sidewalk is built to Texas Accessibility Standards by submitting an approved inspection letter from a Registered Accessibility Specialist.

If this document needs to be renewed, it will be renewed at the then current rate for Sidewalks required by Travis County. In no case shall the amount of Security be less than the amount it would cost the County to complete the work if it becomes necessary.

Partial drafts and reductions in the amount of Security are permitted. Drafts will be honored within five calendar days of presentment. In lieu of drawing on the Security, the County, in its discretion, may accept a substitute Security in the then current amount of the estimated cost of constructing the Improvements. This Agreement may be revoked only by written consent of the DEVELOPER/BUILDER and the County.

Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER

BY:  *Nina Lozano*

PRINT: Nina Lozano

TITLE: Purchasing Coordinator

PHONE: 512-330-9366 X4219

COMPANY NAME & ADDRESS

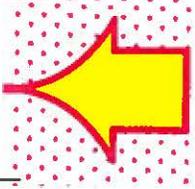
Gehan Homes. LTD

3815 S Capital of TX Hwy #275

Austin Texas 78704

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_

Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 824.40

ADDRESS: 21408 Windmill Ranch LOT: 24 BLOCK: B PHASE: 7

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 24th Day of March 2016

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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Cash Security Agreement - Sidewalks

DEVELOPER/BUILDER

BY: X *Nina Lozano*

PRINT: Nina Lozano

TITLE: Purchasing Coordinator

PHONE: 512-330-9366 X4219

COMPANY NAME & ADDRESS

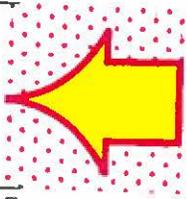
Gehan Homes, LTD

3815 S Capital of TX Hwy #275

Austin Texas 78704

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_

Date



\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: Gehan Homes

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 889.35

ADDRESS: 21420 Windmill Ranch Ave LOT: 27 BLOCK: B PHASE: 7

SUBDIVISION: Commons of Rowe Lane

DATE OF POSTING: The 16th Day of June 2016

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

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Cash Security Agreement - Sidewalks

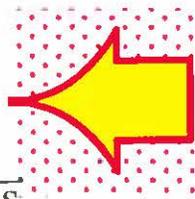
DEVELOPER/BUILDER  
BY: X *Nina Lozano*  
PRINT: Nina Lozano  
TITLE: Purchasing Coordinator  
PHONE: 512-330-9366 X4219

COMPANY NAME & ADDRESS  
Gehan Homes, LTD  
3815 S Capital of TX Hwy #275  
Austin Texas 78704

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_  
Date

\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS

\_\_\_\_\_  
Date





TRV 201500106  
5 PGS

# PLAT

## PLAT RECORDS INDEX SHEET:

**SUBDIVISION NAME:** THE COMMONS AT ROWE LANE PHASE VII

**OWNERS NAME:** THE COMMONS AT ROWE LANE, L.P.;  
ROWE COMMONS CORPORATION; JOSEPH STRAUB

**RESUBDIVISION?** YES  NO

## ADDITIONAL RESTRICTIONS / COMMENTS:

DOC# 2015075073--TAX CERTIFICATE

## RETURN:

TRAVIS COUNTY, TNR  
JOE ARRIAGA, PICK-UP

### FILED AND RECORDED

OFFICIAL PUBLIC RECORDS

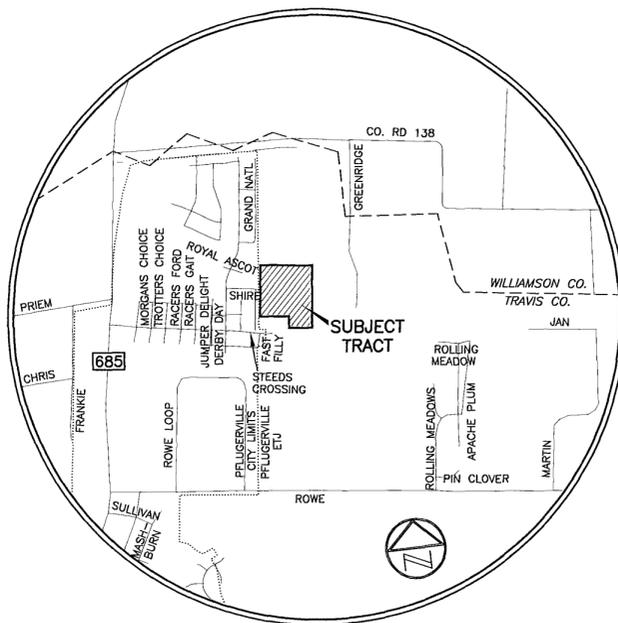
May 14, 2015 03:30 PM 201500106

MITCHELLM: \$156.00

Dana DeBeauvoir, County Clerk

Travis County TEXAS

FINAL PLAT:  
THE COMMONS AT ROWE LANE PHASE VII  
TRAVIS COUNTY, TEXAS



VICINITY MAP  
1"=2000'

TRAVIS COUNTY CONSUMER  
PROTECTION NOTICE FOR HOMEBUYERS.

IF YOU ARE BUYING A LOT OR HOME IN THIS SUBDIVISION, YOU SHOULD DETERMINE WHETHER IT IS INSIDE OR OUTSIDE THE CITY LIMITS.

DEPENDING ON STATE LAW AND OTHER FACTORS, LAND OUTSIDE THE CITY LIMITS MAY BE SUBJECT TO FEWER LOCAL GOVERNMENT CONTROLS OVER THE DEVELOPMENT AND USE OF LAND THAN INSIDE THE CITY LIMITS, BECAUSE OF THIS, LOCAL GOVERNMENT MAY NOT BE ABLE TO RESTRICT THE NATURE OR EXTENT OF DEVELOPMENT NEAR THE LOT OR HOME NOR PROHIBIT NEARBY LAND USES THAT ARE INCOMPATIBLE WITH A RESIDENTIAL NEIGHBORHOOD.

THIS CAN AFFECT THE VALUE OF YOUR PROPERTY. TRAVIS COUNTY REQUIRES THIS NOTICE TO BE PLACED ON SUBDIVISION PLATS. IT IS NOT A STATEMENT OR REPRESENTATION OF THE OWNER OF THE PROPERTY, THE SUBDIVIDER, OR THEIR REPRESENTATIVES.



*Eric J. Dannheim*  
1/22/2015



8834 N. Capital of Texas Hwy.  
Austin, Texas 78759  
Suite 140  
(512)452-0371  
FAX(512)454-9933  
TBPE FIRM #2946



Professional Land Surveying, Inc.  
Surveying and Mapping  
3500 McCall Lane  
Austin, Texas 78744  
512-443-1724  
TBPLS Firm No. 10124500

PROJECT NO.:  
697-008  
DRAWING NO.:  
697-008-VII  
PLOT DATE:  
1/22/2015  
PLOT SCALE:  
1"=100'  
DRAWN BY:  
CWW/JPA  
SHEET  
01 OF 05

FINAL PLAT:  
THE COMMONS AT ROWE LANE PHASE VII  
TRAVIS COUNTY, TEXAS

SCALE: 1" = 100'  
GRAPHIC SCALE



- LEGEND**
- 1/2" REBAR FOUND
  - 3/8" REBAR FOUND
  - 1/2" REBAR WITH "CHAPARRAL" CAP SET
  - ⊙ IRON PIPE FOUND (SIZE NOTED)
  - CONCRETE MONUMENT SET
  - ⊗ PIPE FENCE POST FOUND
  - △ MAG NAIL WITH "CHAPARRAL" WASHER SET
  - △ CALCULATED POINT
  - ⊕ BENCHMARK/CONTROL POINT LOCATION
  - (XXX) RECORD INFORMATION
  - D.E. DRAINAGE EASEMENT
  - L./O.S.L. LANDSCAPE/OPEN SPACE LOT
  - S.S.E. STORM SEWER EASEMENT
  - W.W.E. WASTE WATER EASEMENT
  - P.U.E. PUBLIC UTILITY EASEMENT
  - ⋯ 4' SIDEWALK
  - (A) BLOCK LETTER
  - \*\* SHIRE STREET (50' R.O.W.)

JOHN KELSEY SURVEY,  
ABSTRACT NO. 2753

**OWNER:**  
THE COMMONS AT ROWE LANE, L.P.  
2929 WEST 5TH STREET, SUITE A  
FORT WORTH, TEXAS 76107  
817-332-9600

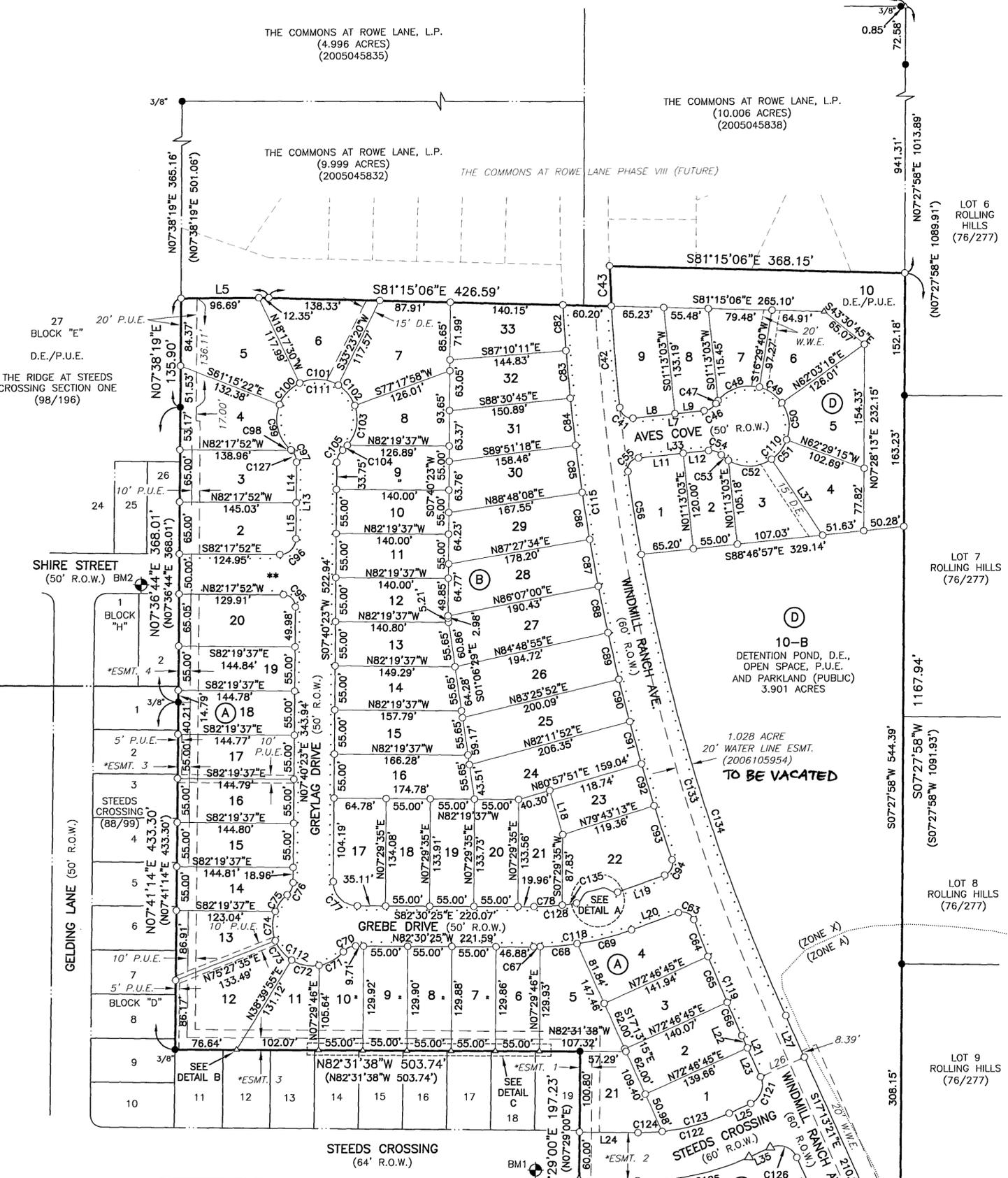
TOTAL ACREAGE: 21.508 ACRES  
THE JOHN KELSEY SURVEY, ABSTRACT NO. 2753

TOTAL NUMBER OF LOTS: 65  
SINGLE FAMILY LOTS: 62  
OTHER LOTS: 1-D.E./P.U.E. LOT; 1-DETENTION POND/OPEN SPACE/D.E., P.U.E., AND PARKLAND LOT; AND 1-LANDSCAPE/OPEN SPACE LOT;

BLOCK A: 21 LOTS (3.985 AC.)  
BLOCK B: 32 LOTS (6.921 AC.)  
BLOCK D: 11 LOTS (6.329 AC.)  
BLOCK E: 1 LOTS (0.335 AC.)

R.O.W. AREA: 3.938 AC.  
LINEAR FEET OF NEW ROADWAY: 2967 L.F.

SHIRE STREET: 170 L.F.  
STEEDS CROSSING: 287 L.F.  
GREYLAG DRIVE: 632 L.F.  
GREBE DRIVE: 497 L.F.  
AVES COVE: 187 L.F.  
WINDMILL RANCH AVENUE: 1194 L.F.



**BENCHMARK DESCRIPTION:**

BM1 IS A SQUARE CHISELED ON TOP OF CURB, IN THE SOUTH CURB LINE OF STEEDS CROSSING, ± 10' NORTHEAST OF THE NORTHEAST CORNER OF LOT 1, BLOCK "K", STEEDS CROSSING.  
NORTHING: 10154372.47  
EASTING: 3166197.69  
ELEVATION: 698.32'  
DATUM: NAVD 88

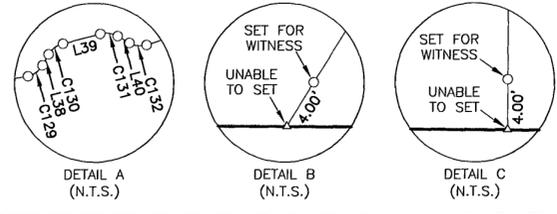
BM2 IS A SQUARE CHISELED ON TOP OF CURB, IN THE SOUTH CURB LINE OF SHIRE STREET, ± 10' NORTHEAST OF THE NORTHEAST CORNER OF LOT 1, BLOCK "H", THE RIDGE AT STEEDS CROSSING SECTION ONE.  
NORTHING: 10155168.69  
EASTING: 3165770.13  
ELEVATION: 713.46'  
DATUM: NAVD 88

\*ESMT. 1  
15' W.W. ESMT.  
(13190/3060)

\*ESMT. 2  
0.632 ACRE ROADWAY ESMT. /P.U.E.  
(2007014114)

\*ESMT. 3  
15' WASTEWATER ESMT.  
(13190/3067)

\*ESMT. 4  
15' WASTEWATER ESMT.  
(13190/3075)



| REVISION DATE: | DESCRIPTION |
|----------------|-------------|
|                |             |
|                |             |
|                |             |

**GRAY ENGINEERING**

8834 N. Capital of Texas Hwy.  
Austin, Texas 78759  
Suite 140  
(512)452-0371  
FAX(512)454-9933  
TBPE FIRM #2946

**Chaparral**

Professional Land Surveying, Inc.  
Surveying and Mapping

3500 McCall Lane  
Austin, Texas 78744  
512-443-1724  
TBPLS Firm No. 10124500

|                             |                      |
|-----------------------------|----------------------|
| PROJECT NO.:<br>697-008     | DRAWN BY:<br>CWW/JPA |
| DRAWING NO.:<br>697-008-VII |                      |
| PLOT DATE:<br>1/22/2015     |                      |
| PLOT SCALE:<br>1"=100'      |                      |
| SHEET<br>02 OF 05           |                      |



1/22/2015

FINAL PLAT:  
THE COMMONS AT ROWE LANE PHASE VII  
TRAVIS COUNTY, TEXAS

| LINE TABLE |             |         |
|------------|-------------|---------|
| No.        | BEARING     | LENGTH  |
| L5         | S82°58'30"E | 109.05' |
| L7         | S88°46'57"E | 89.22'  |
| L8         | N88°46'57"W | 52.60'  |
| L9         | S88°46'57"E | 36.62'  |
| L11        | N88°46'57"W | 56.10'  |
| L12        | S88°46'57"E | 31.62'  |
| L13        | N07°40'23"E | 95.57'  |
| L14        | N07°40'23"E | 50.58'  |
| L15        | S07°40'23"W | 44.99'  |
| L18        | N09°02'09"W | 57.52'  |
| L19        | N77°12'58"E | 62.20'  |
| L20        | S77°12'58"W | 62.20'  |
| L21        | N17°13'15"W | 56.63'  |
| L22        | S17°13'15"E | 16.79'  |
| L23        | S17°13'15"E | 39.84'  |
| L24        | S82°24'04"E | 73.00'  |
| L25        | N72°46'39"E | 29.24'  |
| L26        | N72°46'39"E | 60.00'  |
| L27        | S17°13'15"E | 56.63'  |
| L31        | S07°29'00"W | 36.43'  |
| L32        | S82°29'15"E | 32.51'  |
| L33        | N88°46'57"W | 87.72'  |
| L34        | N82°24'04"W | 72.89'  |
| L35        | S72°46'39"W | 29.24'  |
| L36        | N17°13'21"W | 73.32'  |
| L37        | N26°41'15"W | 114.08' |
| L38        | N37°01'17"E | 5.57'   |
| L39        | N82°15'12"E | 16.72'  |
| L40        | S52°58'43"E | 5.86'   |

| CURVE TABLE |            |          |         |         |         |             |
|-------------|------------|----------|---------|---------|---------|-------------|
| NO.         | DELTA      | RADIUS   | TAN     | ARC     | CHORD   | BEARING     |
| C41         | 89°51'22"  | 15.00'   | 14.96'  | 23.52'  | 21.19'  | S43°51'17"E |
| C42         | 2°56'31"   | 2470.00' | 63.43'  | 126.83' | 126.81' | S02°32'40"W |
| C43         | 1°09'46"   | 2470.00' | 25.07'  | 50.13'  | 50.13'  | N04°35'48"E |
| C46         | 49°59'41"  | 20.00'   | 9.33'   | 17.45'  | 16.90'  | N66°13'12"E |
| C47         | 5°11'02"   | 50.00'   | 2.26'   | 4.52'   | 4.52'   | S43°48'52"W |
| C48         | 65°49'38"  | 50.00'   | 32.36'  | 57.45'  | 54.34'  | S79°19'12"W |
| C49         | 39°49'15"  | 50.00'   | 18.11'  | 34.75'  | 34.06'  | N47°51'21"W |
| C50         | 55°27'29"  | 50.00'   | 26.28'  | 48.40'  | 46.53'  | N00°12'59"W |
| C51         | 35°48'00"  | 50.00'   | 16.15'  | 31.24'  | 30.74'  | N45°24'45"E |
| C52         | 65°07'03"  | 50.00'   | 31.93'  | 56.83'  | 53.82'  | S84°07'43"E |
| C53         | 12°46'56"  | 50.00'   | 5.60'   | 11.15'  | 11.13'  | S45°10'44"E |
| C54         | 49°59'41"  | 20.00'   | 9.33'   | 17.45'  | 16.90'  | N63°47'07"W |
| C55         | 92°00'41"  | 15.00'   | 15.54'  | 24.09'  | 21.58'  | S45°12'42"W |
| C56         | 2°25'39"   | 2470.00' | 52.33'  | 104.65' | 104.64' | S02°00'28"E |
| C63         | 89°05'58"  | 15.00'   | 14.77'  | 23.33'  | 21.05'  | N58°14'03"W |
| C64         | 1°06'28"   | 2530.00' | 24.46'  | 48.91'  | 48.91'  | S14°14'18"E |
| C65         | 1°24'17"   | 2530.00' | 31.02'  | 62.03'  | 62.03'  | S15°29'41"E |
| C66         | 1°01'26"   | 2530.00' | 22.60'  | 45.21'  | 45.21'  | S16°42'32"E |
| C67         | 1°19'46"   | 350.00'  | 4.06'   | 8.12'   | 8.12'   | S83°10'18"E |
| C68         | 7°30'36"   | 350.00'  | 22.97'  | 45.88'  | 45.84'  | S87°35'29"E |
| C69         | 11°26'16"  | 350.00'  | 35.05'  | 69.87'  | 69.75'  | N82°56'05"E |
| C70         | 49°59'38"  | 20.00'   | 9.32'   | 17.45'  | 16.90'  | S72°29'43"W |
| C71         | 40°24'24"  | 50.00'   | 18.40'  | 35.26'  | 34.54'  | N67°42'06"E |
| C72         | 40°45'37"  | 50.00'   | 18.58'  | 35.57'  | 34.82'  | S71°42'54"E |
| C73         | 36°48'28"  | 50.00'   | 16.64'  | 32.12'  | 31.57'  | S32°55'51"E |
| C74         | 42°45'04"  | 50.00'   | 19.57'  | 37.31'  | 36.45'  | S06°50'55"W |
| C75         | 29°24'37"  | 50.00'   | 13.12'  | 25.67'  | 25.38'  | S42°55'46"W |
| C76         | 49°57'41"  | 20.00'   | 9.32'   | 17.44'  | 16.89'  | N32°39'14"E |
| C77         | 90°10'48"  | 30.00'   | 30.09'  | 47.22'  | 42.49'  | S37°25'01"E |
| C78         | 6°42'26"   | 300.00'  | 17.58'  | 35.12'  | 35.10'  | S85°51'38"E |
| C82         | 1°17'51"   | 2530.00' | 28.65'  | 57.29'  | 57.29'  | S03°28'45"W |
| C83         | 1°20'34"   | 2530.00' | 29.65'  | 59.29'  | 59.29'  | S02°09'32"W |
| C84         | 1°20'34"   | 2530.00' | 29.65'  | 59.29'  | 59.29'  | S00°48'59"W |
| C85         | 1°20'34"   | 2530.00' | 29.65'  | 59.29'  | 59.29'  | S00°31'35"E |
| C86         | 1°20'34"   | 2530.00' | 29.65'  | 59.29'  | 59.29'  | S01°52'09"E |
| C87         | 1°20'34"   | 2530.00' | 29.65'  | 59.29'  | 59.29'  | S03°12'43"E |
| C88         | 1°20'34"   | 2530.00' | 29.65'  | 59.29'  | 59.29'  | S04°33'17"E |
| C89         | 1°20'34"   | 2530.00' | 29.65'  | 59.29'  | 59.29'  | S05°53'51"E |
| C90         | 1°14'00"   | 2530.00' | 27.23'  | 54.47'  | 54.46'  | S07°11'08"E |
| C91         | 1°14'00"   | 2530.00' | 27.23'  | 54.47'  | 54.46'  | S08°25'08"E |
| C92         | 1°14'38"   | 2530.00' | 27.47'  | 54.93'  | 54.93'  | S09°39'28"E |
| C93         | 1°36'14"   | 2530.00' | 35.41'  | 70.82'  | 70.82'  | S11°04'54"E |
| C94         | 89°05'58"  | 15.00'   | 14.77'  | 23.33'  | 21.05'  | N32°39'59"E |
| C95         | 89°58'15"  | 15.00'   | 14.99'  | 23.55'  | 21.21'  | N37°18'44"W |
| C96         | 90°01'45"  | 20.00'   | 20.01'  | 31.43'  | 28.29'  | N52°41'16"E |
| C97         | 49°59'41"  | 20.00'   | 9.33'   | 17.45'  | 16.90'  | N17°19'27"W |
| C98         | 3°51'54"   | 20.00'   | 0.67'   | 1.35'   | 1.35'   | N40°23'21"W |
| C99         | 71°03'56"  | 50.00'   | 35.71'  | 62.02'  | 58.12'  | S06°47'20"E |
| C100        | 42°57'51"  | 50.00'   | 19.68'  | 37.49'  | 36.62'  | S50°13'34"W |
| C101        | 57°25'11"  | 50.00'   | 27.39'  | 50.11'  | 48.04'  | N79°34'55"W |
| C102        | 38°10'17"  | 50.00'   | 17.30'  | 33.31'  | 32.70'  | N31°47'11"W |
| C103        | 60°43'14"  | 50.00'   | 29.29'  | 52.99'  | 50.54'  | N17°39'35"E |
| C104        | 9°38'53"   | 50.00'   | 4.22'   | 8.42'   | 8.41'   | N52°50'38"E |
| C105        | 49°59'41"  | 20.00'   | 9.33'   | 17.45'  | 16.90'  | S32°40'14"W |
| C110        | 279°59'23" | 50.00'   | 41.96'  | 244.34' | 64.29'  | S01°13'03"W |
| C111        | 279°59'23" | 50.00'   | 41.96'  | 244.34' | 64.29'  | S82°19'37"E |
| C112        | 190°08'11" | 50.00'   | 563.78' | 165.93' | 99.61'  | N37°26'01"W |
| C115        | 16°00'41"  | 2530.00' | 355.82' | 707.01' | 704.71' | N03°52'40"W |
| C118        | 20°16'37"  | 350.00'  | 62.59'  | 123.87' | 123.22' | S87°21'16"W |
| C119        | 3°32'11"   | 2530.00' | 78.10'  | 156.15' | 156.13' | N15°27'10"W |
| C121        | 89°59'54"  | 25.00'   | 25.01'  | 39.27'  | 35.35'  | N27°46'42"E |
| C122        | 24°49'13"  | 270.00'  | 59.41'  | 116.96' | 116.05' | N85°11'18"E |
| C123        | 18°26'38"  | 270.00'  | 43.84'  | 86.91'  | 86.54'  | N82°00'00"E |
| C124        | 6°22'35"   | 270.00'  | 15.04'  | 30.05'  | 30.03'  | S85°35'23"E |
| C125        | 24°49'13"  | 330.00'  | 72.62'  | 142.96' | 141.84' | S85°11'18"W |
| C126        | 90°00'00"  | 25.00'   | 25.00'  | 39.27'  | 35.36'  | N62°13'21"W |
| C127        | 46°07'47"  | 20.00'   | 8.52'   | 16.10'  | 15.67'  | N15°23'30"W |
| C128        | 10°04'58"  | 300.00'  | 26.46'  | 52.79'  | 52.72'  | S87°32'54"E |
| C129        | 45°50'58"  | 10.00'   | 4.23'   | 8.00'   | 7.79'   | N59°56'46"E |
| C130        | 45°25'36"  | 10.00'   | 4.19'   | 7.93'   | 7.72'   | N59°44'05"E |
| C131        | 44°34'24"  | 10.08'   | 4.13'   | 7.84'   | 7.65'   | S75°27'36"E |
| C132        | 45°00'00"  | 10.00'   | 4.14'   | 7.85'   | 7.65'   | S75°28'43"E |
| C133        | 16°25'36"  | 2470.00' | 356.52' | 708.15' | 705.73' | S09°00'27"E |
| C134        | 13°59'58"  | 2470.00' | 303.26' | 603.51' | 602.00' | S10°13'16"E |
| C135        | 3°22'32"   | 300.00'  | 8.84'   | 17.67'  | 17.67'  | N89°05'53"E |

| LOT SUMMARY TABLES |         |         |         |         |         |         |         |
|--------------------|---------|---------|---------|---------|---------|---------|---------|
| BLOCK A            |         | BLOCK B |         | BLOCK D |         | BLOCK E |         |
| LOT#               | SQ. FT. | LOT#    | SQ. FT. | LOT#    | SQ. FT. | LOT#    | SQ. FT. |
| 1                  | 8,532   | 2       | 9,339   | 1       | 8,214   | 1       | 14,594  |
| 2                  | 8,665   | 3       | 9,402   | 2       | 6,476   |         |         |
| 3                  | 8,734   | 4       | 10,048  | 3       | 7,929   |         |         |
| 4                  | 10,059  | 5       | 13,582  | 4       | 8,543   |         |         |
| 5                  | 10,133  | 6       | 10,354  | 5       | 9,859   |         |         |
| 6                  | 7,142   | 7       | 12,533  | 6       | 9,110   |         |         |
| 7                  | 7,143   | 8       | 8,455   | 7       | 6,604   |         |         |
| 8                  | 7,144   | 9       | 7,606   | 8       | 7,065   |         |         |
| 9                  | 7,145   | 10      | 7,700   | 9       | 9,140   |         |         |
| 10                 | 6,570   | 11      | 7,700   | 10      | 32,784  |         |         |
| 11                 | 7,458   | 12      | 7,702   | 10-B    | 169,948 |         |         |
| 12                 | 12,454  | 13      | 7,977   |         |         |         |         |
| 13                 | 7,528   | 14      | 8,445   |         |         |         |         |
| 14                 | 7,604   | 15      | 8,912   |         |         |         |         |
| 15                 | 7,964   | 16      | 9,379   |         |         |         |         |
| 16                 | 7,964   | 17      | 8,526   |         |         |         |         |
| 17                 | 7,963   | 18      | 7,370   |         |         |         |         |
| 18                 | 7,962   | 19      | 7,360   |         |         |         |         |
| 19                 | 7,964   | 20      | 7,351   |         |         |         |         |
| 20                 | 9,370   | 21      | 7,280   |         |         |         |         |
| 21                 | 8,106   | 22      | 11,272  |         |         |         |         |
|                    |         | 23      | 6,687   |         |         |         |         |
|                    |         | 24      | 11,480  |         |         |         |         |
|                    |         | 25      | 11,507  |         |         |         |         |
|                    |         | 26      | 12,166  |         |         |         |         |
|                    |         | 27      | 11,845  |         |         |         |         |
|                    |         | 28      | 11,318  |         |         |         |         |
|                    |         | 29      | 10,592  |         |         |         |         |
|                    |         | 30      | 9,968   |         |         |         |         |
|                    |         | 31      | 9,443   |         |         |         |         |
|                    |         | 32      | 9,015   |         |         |         |         |
|                    |         | 33      | 9,186   |         |         |         |         |

CHAPARRAL CONTROL POINT "RC02"  
4" ALUMINUM DISK SET IN ASPHALT, 4'  
NORTH OF CURB AT THE END OF A  
MEDIAN AT THE NORTH TERMINUS OF  
CASA NAVARRO DRIVE.

COMBINED SCALE FACTOR = 0.999887783  
(FOR SURFACE TO GRID CONVERSION)

INVERSE SCALE FACTOR = 1.000122229  
(FOR GRID TO SURFACE CONVERSION)

SCALED ABOUT 0,0  
TEXAS CENTRAL ZONE 4203  
THETA ANGLE: 1°25'25"

THIS IS A SURFACE DRAWING. BEARING  
BASIS: GRID AZIMUTH FOR TEXAS CENTRAL  
ZONE, 1983/93 HARN VALUES FROM  
LCRA CONTROL NETWORK.

SURFACE COORDINATES:  
N 10151283.59  
E 3167499.84

TEXAS CENTRAL ZONE STATE  
PLANE COORDINATES:  
N 10150144.45  
E 3167144.39

ELEVATION = 682.44'  
VERTICAL DATUM: NAVD 88 (GEOID 99)



**GRAY ENGINEERING**

8834 N. Capital of Texas Hwy.  
Austin, Texas 78759  
Suite 140  
(512)452-0371  
FAX(512)454-9933  
TBPE FIRM #2946

**Chaparral**  
Professional Land Surveying, Inc.  
Surveying and Mapping

3500 McCall Lane  
Austin, Texas 78744  
512-443-1724  
TBPLS Firm No. 10124500

PROJECT NO.:  
697-008

DRAWING NO.:  
697-008-VII

PLOT DATE:  
1/22/2015

PLOT SCALE:  
1"=100'

DRAWN BY:  
CWW/JPA

SHEET  
03 OF 05

**FINAL PLAT:  
THE COMMONS AT ROWE LANE PHASE VII  
TRAVIS COUNTY, TEXAS**

21.508 ACRES  
THE COMMONS AT ROWE LANE PHASE VII  
TRAVIS COUNTY, TEXAS

A DESCRIPTION OF 21.508 ACRES IN THE JOHN KELSEY SURVEY, ABSTRACT NO. 2753, TRAVIS COUNTY, TEXAS, BEING A PORTION OF A 9.999 ACRE TRACT OF LAND CONVEYED BY DEED OF RECORD IN DOCUMENT NO. 2005045832 OF THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS, ALL OF A 5.003 ACRE TRACT OF LAND CONVEYED BY DEED OF RECORD IN DOCUMENT NO. 2005045833 OF THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS, ALL OF A 10.032 ACRE TRACT OF LAND CONVEYED BY DEED OF RECORD IN DOCUMENT NO. 2005045836 OF THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS, A PORTION OF A 10.006 ACRE TRACT OF LAND CONVEYED BY DEED OF RECORD IN DOCUMENT NO. 2005045838 OF THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS AND A PORTION OF A 1.00 ACRE TRACT OF LAND CONVEYED BY DEED OF RECORD IN DOCUMENT NO. 2008179020 OF THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS; SAID 21.508 ACRES BEING MORE PARTICULARLY DESCRIBED BY METES AND BOUNDS AS FOLLOWS:

BEGINNING at a 1/2" rebar found for the southeast corner of said 10.032 acre tract, same being the southwest corner of Lot 9, Rolling Hills, a subdivision recorded in Volume 76, Page 277 of the Plat Records of Travis County, Texas, also being in the north line of Lot 6, Block N, The Commons at Rowe Lane Phase III B, a subdivision recorded in Document No. 201300102 of the Official Public Records of Travis County, Texas;

THENCE North 82°29'15" West, with the south line of said 10.032 acre tract, in part being the north line of said Lot 6, in part being the north terminus of a portion of Windmill Ranch Avenue (60' right-of-way) described on said subdivision plat recorded in Document No. 201300102, in part being the south terminus of a portion of Windmill Ranch Avenue (60' right-of-way) described as a Roadway and Public Utility Easement in Document No. 2007014114, and in part being the north line of Lot 11, Block EE, The Commons at Rowe Lane Phase II B, a subdivision recorded in Document No. 201100082 of the Official Public Records of Travis County, Texas, a distance of 400.41 feet to a 1/2" rebar with "Chaparral" cap set in the north line of said Lot 11 for the southwest corner of said 10.032 acre tract, same being the southeast corner of Lot 1, Block "K", Steeds Crossing, a subdivision recorded in Volume 88, Page 99 of the Plat Records of Travis County, Texas, from which a 1/2" rebar found bears North 82°29'15" West, a distance of 131.62 feet and South 7°19'10" West, a distance of 338.83 feet;

THENCE North 7°29'00" East, with the west line of said 10.032 acre tract, in part being the east line of said Lot 1, in part being the east terminus of a portion of Steeds Crossing (64' right-of-way) described on the said Steeds Crossing subdivision plat, in part being the west terminus of a portion of Steeds Crossing (60' right-of-way) described in said Roadway and Public Utility Easement, and in part being the east line of Lot 19, Block "D" of said Steeds Crossing subdivision, a distance of 197.23 feet to a 1/2" rebar found for the northeast corner of said Lot 19, same being the southeast corner of said 5.003 acre tract;

THENCE North 82°31'38" West, departing the west line of said 10.032 acre tract, with the south line of said 5.003 acre tract, same being the north line of said Block "D", a distance of 503.74 feet to a 3/8" rebar found for the southwest corner of said 5.003 acre tract, same being the northwest corner of Lot 11 of said Block "D", also being in the east line of Lot 9 of said Block "D";

THENCE North 7°41'14" East, with the west line of said 5.003 acre tract, same being the east line of said Block "D", a distance of 433.30 feet to a 3/8" rebar found for the northwest corner of said 5.003 acre tract, same being the southwest corner of said 9.999 acre tract, also being in the east line of Lot 1 of said Block "D";

THENCE with the west line of said 9.999 acre tract, the following two (2) courses and distances:

1. North 7°36'44" East, in part with the east line of said Lot 1, Block "D", in part with the east line of Lot 2, Block "H", The Ridge at Steeds Crossing Section One, a subdivision recorded in Volume 98, Page 196 of the Plat Records of Travis County, Texas, in part with the east terminus of Shire Street (50' right-of-way) as described on the aforementioned subdivision plat, and in part with the east line of Block "E" of the aforementioned subdivision, a distance of 368.01 feet to a 1/2" rebar found in the east line of Lot 27 of said Block "E";
2. North 7°38'19" East, with the east line of said Lot 27, a distance of 135.90 feet to a calculated point, from which a 3/8" rebar found for the northwest corner of said 9.999 acre tract bears North 7°38'19" East, a distance of 365.16 feet;

THENCE crossing said 9.999 acre tract, said 10.006 acre tract and said 1.00 acre tract, the following four (4) courses and distances:

1. South 82°58'30" East, a distance of 109.05 feet to a calculated point;
2. South 81°15'06" East, a distance of 426.59 feet to a calculated point;
3. With a non-tangent curve to the right, having a radius of 2470.00 feet, a delta angle of 1°09'46", an arc length of 50.13 feet, and a chord which bears North 4°35'48" East, a distance of 50.13 feet to a calculated point;
4. South 81°15'06" East, a distance of 368.15 feet to a calculated point in the east line of said 10.006 acre tract, same being the west line of Lot 6 of said Rolling Hills subdivision, from which a calculated point for the northeast corner of said 10.006 acre tract bears North 7°27'58" East, at a distance of 941.31 feet passing a 1/2" rebar found, and continuing for a total distance of 1013.89 feet;

THENCE South 7°27'58" West, in part with the east line of said 10.006 acre tract and in part with the east line of said 10.032 acre tract, same being the west line of said Rolling Hills subdivision, a distance of 1167.94 feet to the POINT OF BEGINNING, containing 21.508 acres of land, more or less.



*EW*  
1/22/2015



8834 N. Capital of Texas Hwy.  
Austin, Texas 78759  
Suite 140  
(512)452-0371  
FAX(512)454-9933  
TBPE FIRM #2946

**Chaparral**  
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3500 McCall Lane  
Austin, Texas 78744  
512-443-1724  
TBPLS Firm No. 10124500

PROJECT NO.:  
697-008  
DRAWING NO.:  
697-008-VII  
PLOT DATE:  
1/22/2015  
PLOT SCALE:  
1"=100'  
DRAWN BY:  
CWW/JPA  
SHEET  
04 OF 05

FINAL PLAT:  
THE COMMONS AT ROWE LANE PHASE VII  
TRAVIS COUNTY, TEXAS

STATE OF TEXAS  
COUNTY OF TRAVIS

KNOW ALL MEN BY THESE PRESENTS:

THAT THE COMMONS AT ROWE LANE, L.P., A TEXAS LIMITED PARTNERSHIP, ACTING HEREIN BY AND THROUGH JOSEPH STRAUB, MANAGING PARTNER OF ROWE COMMONS CORPORATION, A TEXAS CORPORATION, ITS GENERAL PARTNER, BEING THE OWNER OF 21.508 ACRES IN THE JOHN KELSEY SURVEY, ABSTRACT NO. 2753, TRAVIS COUNTY, TEXAS, BEING A PORTION OF A 9.999 ACRE TRACT OF LAND CONVEYED BY DEED OF RECORD IN DOCUMENT NO. 2005045832 OF THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS, ALL OF A 5.003 ACRE TRACT OF LAND CONVEYED BY DEED OF RECORD IN DOCUMENT NO. 2005045833 OF THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS, ALL OF A 10.032 ACRE TRACT OF LAND CONVEYED BY DEED OF RECORD IN DOCUMENT NO. 2005045836 OF THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS, A PORTION OF A 10.006 ACRE TRACT OF LAND CONVEYED BY DEED OF RECORD IN DOCUMENT NO. 2005045838 OF THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS AND A PORTION OF A 1.00 ACRE TRACT OF LAND CONVEYED BY DEED OF RECORD IN DOCUMENT NO. 2008179020 OF THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS;

DO HEREBY SUBDIVIDE 21.508 ACRES, IN ACCORDANCE WITH THE MAP OR PLAT ATTACHED HERETO, PURSUANT TO TEXAS LOCAL GOVERNMENT CODE 232, TO BE KNOWN AS

THE COMMONS AT ROWE LANE PHASE VII

AND DO HEREBY DEDICATE TO THE PUBLIC THE USE OF ALL STREETS AND EASEMENTS SHOWN HEREON, SUBJECT TO ANY AND ALL EASEMENTS OR RESTRICTIONS HERETOFORE GRANTED AND NOT RELEASED.

WITNESS MY HAND THIS THE 22 DAY OF January, 2015 A.D.

THE COMMONS AT ROWE LANE, L.P., A TEXAS LIMITED PARTNERSHIP

BY: THE COMMONS AT ROWE LANE, L.P.,  
A TEXAS LIMITED PARTNERSHIP  
ITS GENERAL PARTNER  
2929 W. 5TH, SUITE A  
FORT WORTH, TEXAS 76107

*Joseph Straub*  
JOSEPH STRAUB, MANAGING PARTNER

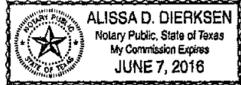
STATE OF TEXAS  
COUNTY OF TRAVIS

BEFORE ME, THE UNDERSIGNED AUTHORITY, ON THIS DAY PERSONALLY APPEARED JOSEPH STRAUB, KNOWN TO ME TO BE THE PERSON WHOSE NAME IS SUBSCRIBED TO THE FOREGOING INSTRUMENT AND HE ACKNOWLEDGED TO ME THAT HE EXECUTED THE SAME FOR THE PURPOSES AND CONSIDERATIONS THEREIN EXPRESSED AND IN THE CAPACITY THEREIN STATED.

WITNESS MY HAND AND SEALED IN MY OFFICE, THIS THE 22 DAY OF January, 2015 AD.

*Alissa Dierksen*  
NOTARY PUBLIC, STATE OF TEXAS  
*Alissa Dierksen*  
PRINTED NAME

June 7, 2016  
MY COMMISSION EXPIRES



STATE OF TEXAS  
CITY OF PFLUGERVILLE

APPROVED THIS 2 DAY OF March, 2015 AD., BY THE PLANNING AND ZONING COMMISSION OF THE CITY OF PFLUGERVILLE, TEXAS, ON BEHALF OF THE CITY.

BY: *RA Bledch*  
CHAIRPERSON

THIS PLAT REFLECTS THE APPROVAL GRANTED BY THE PLANNING AND ZONING COMMISSION ON THE DATE INDICATED ABOVE.

THIS PLAT IS LOCATED IN THE EXTRATERRITORIAL JURISDICTION OF THE CITY OF PFLUGERVILLE, TEXAS, THIS THE 2 DAY OF March, 2015 AD.

*Emily Barron*  
EMILY BARRON, PLANNING DIRECTOR

ATTEST: *Karen Thompson*  
KAREN THOMPSON, CITY SECRETARY



SURVEYOR'S CERTIFICATION

STATE OF TEXAS  
COUNTY OF TRAVIS

KNOW ALL MEN BY THESE PRESENTS:

THAT I, ERIC J. DANNHEIM, DO HEREBY CERTIFY THAT I PREPARED THIS PLAT FROM AN ACTUAL AND ACCURATE ON-THE-GROUND SURVEY OF THE LAND, AND THAT ALL CORNER MONUMENTS SHOWN THEREON WERE PROPERLY PLACED UNDER MY PERSONAL SUPERVISION, IN ACCORDANCE WITH THE SUBDIVISION CODE OF THE CITY OF PFLUGERVILLE, TEXAS, AND THAT ALL KNOWN EASEMENTS WITHIN THE BOUNDARY OF THE PLAT ARE SHOWN HEREON, SUBJECT TO TRAVIS COUNTY CHAPTER 82 DEVELOPMENT REGULATIONS. DATE OF SURVEY: AUGUST 8, 2014.

*Eric J. Dannheim*  
ERIC J. DANNHEIM, R.P.L.S. 6075

SURVEYING BY:  
CHAPARRAL PROFESSIONAL LAND SURVEYING, INC.  
3500 MCCALL LANE  
AUSTIN, TEXAS 78744  
512-443-1724



ENGINEER'S CERTIFICATION

STATE OF TEXAS  
COUNTY OF TRAVIS

I, JOHN D. HINES, AM AUTHORIZED UNDER THE LAWS OF THE STATE OF TEXAS TO PRACTICE THE PROFESSION OF ENGINEERING AND HEREBY CERTIFY THAT THIS PLAT IS FEASIBLE FROM AN ENGINEERING STANDPOINT, AND IS TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, SUBJECT TO TRAVIS COUNTY CHAPTER 82 DEVELOPMENT REGULATIONS.

THE 100 YEAR FLOOD IS CONTAINED WITHIN THE DRAINAGE EASEMENTS AS SHOWN HEREON. A PORTION OF THIS TRACT IS WITHIN THE BOUNDARY OF THE 100 YEAR FLOODPLAIN (ZONE A), AS SHOWN ON THE FEMA MAP COMMUNITY PANEL NO. 48453C0280J, DATED AUGUST 18, 2014.

*John D. Hines*  
JOHN D. HINES, P.E. 96691

ENGINEERING BY:  
GRAY ENGINEERING, INC.  
8834 N. CAPITAL OF TEXAS HIGHWAY, SUITE 140  
AUSTIN, TEXAS 78759  
512-452-0371  
TBPE FIRM 2946



PLAT NOTES AND TRAVIS COUNTY STANDARD NOTES

- 1. THIS PROPERTY IS OUTSIDE THE CITY OF PFLUGERVILLE CITY LIMITS, BUT WITHIN THE E.T.J.
- 2. NO OBJECTS, INCLUDING BUT NOT LIMITED TO BUILDINGS, FENCES, POOLS, OR LANDSCAPING AND OTHER STRUCTURES SHALL BE PERMITTED IN DRAINAGE OR WASTEWATER EASEMENTS, UNLESS PERMITTED BY THE ENTITY RESPONSIBLE FOR THE EASEMENT.
- 3. PERIMETER AND YARD FENCING IS ALLOWED ALONG PROPERTY LINES WITH ADJACENT EASEMENTS. ENTITIES RESPONSIBLE FOR FACILITIES WITHIN ANY AND ALL EASEMENTS SHALL HAVE THE RIGHT TO ACCESS SUCH EASEMENT. REPLACEMENT OR RE-INSTALLATION OF A FENCE LOCATED WITHIN AN EASEMENT THAT IS REMOVED SHALL BE THE PROPERTY OWNER'S RESPONSIBILITY. THE ENTITY IS RESPONSIBLE FOR REMOVAL OF ANY SUCH FENCE AND IS LIMITED TO THE AMOUNT OF FENCE WITHIN THE EASEMENT ONLY. FENCING THAT IS ADJACENT TO AN EASEMENT BUT NOT WITHIN THE EASEMENT IS EXCLUDED AND DISCRETIONARY TO THE PROPERTY OWNER(S).
- 4. PROPERTY OWNER OR ASSIGNS SHALL PROVIDE FOR ACCESS TO DRAINAGE EASEMENTS AND UTILITY EASEMENTS AS MAY BE NECESSARY AND SHALL NOT PROHIBIT ACCESS BY GOVERNMENTAL AUTHORITIES FOR INSPECTION OR MAINTENANCE OF SAID EASEMENTS.
- 5. ALL DRAINAGE EASEMENTS ON PRIVATE PROPERTY SHALL BE MAINTAINED BY THE PROPERTY OWNER OR ASSIGNS.
- 6. A TRAVIS COUNTY DEVELOPMENT PERMIT IS REQUIRED PRIOR TO ANY SITE DEVELOPMENT.
- 7. 4' SIDEWALKS SHALL BE CONSTRUCTED ALONG EACH SIDE OF ALL LOCAL STREETS IN THIS SUBDIVISION. SIDEWALK RAMPS FOR HANDICAP ACCESS SHALL BE PROVIDED AT ALL STREET INTERSECTIONS UNLESS NOTED OTHERWISE.
- 8. A TEN (10) FOOT WIDE PUBLIC UTILITY EASEMENT IS HEREBY DEDICATED PARALLEL WITH AND ADJACENT TO ALL STREET RIGHTS-OF-WAY.
- 9. THE 25 AND 100 YEAR FLOOD PLAIN WILL BE CONTAINED WITHIN THE DRAINAGE EASEMENTS AND STREET RIGHTS-OF-WAY SHOWN HEREON.
- 10. WATER AND WASTEWATER SERVICE TO THIS SUBDIVISION WILL BE PROVIDED BY MANVILLE WATER SUPPLY CORPORATION AND THE CITY OF PFLUGERVILLE, RESPECTIVELY.
- 11. THERE SHALL BE NO OCCUPANCY OF ANY LOT IN THIS SUBDIVISION UNTIL CONNECTION HAS BEEN MADE TO AN APPROVED PUBLIC SEWER SYSTEM.
- 12. THERE SHALL BE NO OCCUPANCY OF ANY LOT IN THIS SUBDIVISION UNTIL WATER SATISFACTORY FOR HUMAN CONSUMPTION IS AVAILABLE FROM A SOURCE IN ADEQUATE AND SUFFICIENT SUPPLY FOR THE PROPOSED DEVELOPMENT.
- 13. ALL SINGLE FAMILY RESIDENTIAL LOTS IN THIS SUBDIVISION ARE SUBJECT TO THE DECLARATION OF COVENANTS, RESTRICTIONS AND EASEMENTS AS RECORDED IN THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS, DOC. 2014152645.
- 14. ALL BUILDING SETBACK LINES WILL BE IN ACCORDANCE WITH THE COMPREHENSIVE DEVELOPMENT AND CONSENT AGREEMENT FOR LAKESIDE WOOD NO. 3, BETWEEN ROWE LANE DEVELOPMENT, LTD., H2N CORPORATION, ATLAN ERNEST PFLUGER, JR., RUBY MAE PFLUGER, PATRICIA PFLUGER HOFFMAN, AND THE CITY OF PFLUGERVILLE, TEXAS, EFFECTIVE DATE NOVEMBER 22, 2004, AND AMENDMENTS; SETBACKS BEING 5-FT SIDE, 25-FT FRONT, 20-FT REAR, AND 15-FT SIDE STREET (CORNER LOT)
- 15. ALL (NEW) TELEPHONE AND CABLE TELEVISION UTILITY LINES AND ALL ELECTRIC UTILITY LATERAL AND SERVICE LINES AND WIRES SHALL BE PLACED UNDERGROUND, EXCEPT AS OTHERWISE HEREIN PROVIDED.
- 16. WHERE EXISTING OVERHEAD ELECTRIC SERVICE EXISTS, ELECTRIC UTILITY SERVICE LINES FOR STREET OR SITE LIGHTING SHALL BE PLACED UNDERGROUND.
- 17. ALL ELECTRIC, CABLE TELEVISION AND TELEPHONE SUPPORT EQUIPMENT (TRANSFORMERS, AMPLIFIERS, SWITCHING DEVICES, ETC.) NECESSARY FOR UNDERGROUND INSTALLATIONS IN SUBDIVISIONS SHALL BE PAD MOUNTED OR PLACED UNDERGROUND IN A PUBLIC UTILITY EASEMENT RATHER THAN A RIGHT-OF-WAY.
- 18. THIS SUBDIVISION IS SUBJECT TO ALL CITY OF PFLUGERVILLE ORDINANCES RELATED TO TREE CONSERVATION INCLUDING, BUT NOT LIMITED TO, THE CONSERVATION STANDARDS OUTLINED IN THE UNIFIED DEVELOPMENT CODE.
- 19. THE ASSESSED IMPACT FEE RATE FOR THE CITY OF PFLUGERVILLE WASTEWATER SERVICE SHALL BE \$2725.00 AS STATED THROUGH THE COMPREHENSIVE DEVELOPMENT AND CONSENT AGREEMENT FOR LAKESIDE WOOD NO. 3 [THE DISTRICT] DATED NOVEMBER 22, 2004, AND RELATED SUPPORTING DOCUMENTS BETWEEN THE CITY AND THE DISTRICT; FOLLOWING CITY ORDINANCE 1179-14-08-10 AND PAYABLE PER LOT AT THE TIME OF BUILDING PERMIT.
- 20. STREET LIGHTS SHALL BE PROVIDED IN ACCORDANCE WITH THE UNIFIED DEVELOPMENT CODE SUBCHAPTER 13 AND ANY OTHER PROVISIONS REQUIRED BY THE CITY OF PFLUGERVILLE. A STREET LIGHTING PLAN SHALL BE APPROVED BY THE APPLICABLE ELECTRIC UTILITY PROVIDER AS WELL AS THE CITY OF PFLUGERVILLE.
- 21. PARKLAND DEDICATION FOR THIS SUBDIVISION IS SATISFIED BY ELIGIBLE PARKLAND PLATTED IN PHASE I (18.260 ACRES), PHASE II A (1.862 ACRES), PHASE II B (5.287 ACRES), PHASE II C (0.067 ACRES), PHASE III B (13.069 ACRES), AND WITH THE ADDITION OF LOT 10-B, BLOCK D THIS SUBDIVISION.
- 22. THE DEVELOPER WILL BE RESPONSIBLE FOR OWNERSHIP AND MAINTENANCE OF LOTS 10 & 10-B, BLOCK D AND LOT 1, BLOCK E UNTIL SUCH TIME AS THE PROPERTY IS DEEDED TO LAKESIDE MUD NO. 3 OR HOMEOWNER'S ASSOCIATION, RESPECTIVELY.
- 23. ALL EASEMENTS SHOWN ARE CONSIDERED PUBLIC UNLESS NOTED OTHERWISE.
- 24. A 20-FT WIDE WASTEWATER EASEMENT IS DEDICATED, 10-FT EQUALLY ON EACH LOT, ON AND ACROSS LOTS 6 & 7, BLOCK D TO THE CITY OF PFLUGERVILLE OR ASSIGNS. THE EASEMENT WILL CONTAIN A SINGLE 8-INCH DIAMETER WASTEWATER MAIN INSTALLED FOR THE PURPOSE OF CONVEYING SANITARY SEWER.
- 25. ALL DRAINAGE FACILITIES LOCATED WITHIN EASEMENTS THAT ARE NOT WITHIN A DEDICATED R.O.W. THAT HAS BEEN ACCEPTED BY TRAVIS COUNTY SHALL BE OWNED AND MAINTAINED BY LAKESIDE MUD NO. 3 OR ASSIGNS. SPECIFICALLY, DRAINAGE EASEMENTS LOCATED ON LOT 6 & 7, BLOCK B; LOT 10, BLOCK D; LOT 10-B, BLOCK D; AND LOT 3, BLOCK D; SHALL BE OWNED BY LAKESIDE MUD NO. 3 OR ASSIGNS, AND MAINTAINED BY PROPERTY OWNER.

TRAVIS COUNTY COMMISSIONERS COURT RESOLUTION

IN APPROVING THIS PLAT, THE COMMISSIONERS COURT OF TRAVIS COUNTY, TEXAS, ASSUMES NO OBLIGATION TO BUILD THE STREETS, ROADS, AND OTHER PUBLIC THOROUGHFARES SHOWN ON THIS PLAT OR ANY BRIDGES OR CULVERTS IN CONNECTION THEREWITH. THE BUILDING OF ALL STREETS, ROADS, AND OTHER PUBLIC THOROUGHFARES SHOWN ON THIS PLAT, AND ALL BRIDGES AND CULVERTS NECESSARY TO BE CONSTRUCTED OR PLACED IN SUCH STREETS, ROADS, OR OTHER PUBLIC THOROUGHFARES OR IN CONNECTION THEREWITH, IS THE RESPONSIBILITY OF THE OWNER AND/OR THE DEVELOPER OF THE TRACT OF LAND COVERED BY THIS PLAT IN ACCORDANCE WITH PLANS AND SPECIFICATIONS PRESCRIBED BY THE COMMISSIONERS COURT OF TRAVIS COUNTY, TEXAS.

THE OWNER(S) OF THE SUBDIVISION SHALL CONSTRUCT THE SUBDIVISION'S STREET AND DRAINAGE IMPROVEMENTS (THE "IMPROVEMENTS") TO COUNTY STANDARDS IN ORDER FOR THE COUNTY TO ACCEPT THE PUBLIC IMPROVEMENTS FOR MAINTENANCE OR TO RELEASE FISCAL SECURITY POSTED TO SECURE PRIVATE IMPROVEMENTS. TO SECURE THIS OBLIGATION, THE OWNER(S) MUST POST FISCAL SECURITY WITH THE COUNTY IN THE AMOUNT OF THE ESTIMATED COST OF THE IMPROVEMENTS. THE OWNER(S) OBLIGATION TO CONSTRUCT THE IMPROVEMENTS TO COUNTY STANDARDS AND TO POST THE FISCAL SECURITY TO SECURE SUCH CONSTRUCTION IS A CONTINUING OBLIGATION BINDING ON THE OWNERS AND THEIR SUCCESSORS AND ASSIGNS UNTIL THE PUBLIC IMPROVEMENTS HAVE BEEN ACCEPTED FOR MAINTENANCE BY THE COUNTY, OR THE PRIVATE IMPROVEMENTS HAVE BEEN CONSTRUCTED AND ARE PERFORMING TO COUNTY STANDARDS.

THE AUTHORIZATION OF THIS PLAT BY THE COMMISSIONERS COURT FOR FILING OR SUBSEQUENT ACCEPTANCE FOR MAINTENANCE BY TRAVIS COUNTY, TEXAS, OF ROADS AND STREETS IN THE SUBDIVISION DOES NOT OBLIGATE THE COUNTY TO INSTALL STREET NAME SIGNS OR ERECT TRAFFIC CONTROL SIGNS, SUCH AS SPEED LIMIT, STOP SIGNS, AND YIELD SIGNS, WHICH IS CONSIDERED TO BE A PART OF THE DEVELOPER'S CONSTRUCTION.

STATE OF TEXAS  
COUNTY OF TRAVIS

I, DANA DEBEAUVOIR, CLERK OF THE COUNTY COURT, OF TRAVIS COUNTY, TEXAS, DO HEREBY CERTIFY THAT ON THE 28 DAY OF April, 2015 AD., THE COMMISSIONER'S COURT OF TRAVIS COUNTY, TEXAS, PASSED AN ORDER AUTHORIZING THE FILING FOR RECORD OF THIS PLAT, AND THAT SAID ORDER WAS DULY ENTERED IN THE MINUTES OF SAID COURT.

WITNESS MY HAND AND SEAL OF OFFICE OF THE COUNTY COURT OF SAID COUNTY, THE 4 DAY OF May, 2015 AD.

DANA DEBEAUVOIR, COUNTY CLERK  
TRAVIS COUNTY, TEXAS  
BY: *A. Deshay*  
DEPUTY

A. DESHAY



STATE OF TEXAS  
COUNTY OF TRAVIS

I, DANA DEBEAUVOIR, CLERK OF TRAVIS COUNTY, TEXAS, DO HEREBY CERTIFY THAT THE FOREGOING INSTRUMENT OF WRITING AND ITS CERTIFICATE OF AUTHENTICATION WAS FILED FOR RECORD IN MY OFFICE ON THE 14 DAY OF MAY, 2015 AD., AT 3:30 O'CLOCK P. M., DULY RECORDED ON THE 14 DAY OF MAY, 2015 AD., AT 3:30 O'CLOCK P. M., PLAT RECORDS OF SAID COUNTY AND STATE IN DOCUMENT NUMBER 201500106 OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY.

WITNESS MY HAND AND SEAL OF OFFICE OF THE COUNTY CLERK, THIS 14 DAY OF MAY, 2015 AD.

DANA DEBEAUVOIR, COUNTY CLERK  
TRAVIS COUNTY, TEXAS  
BY: *M. Mitchell*  
DEPUTY

M. MITCHELL



**GRAY ENGINEERING**  
8834 N. Capital of Texas Hwy.  
Austin, Texas 78759  
Suite 140  
(512)452-0371  
FAX(512)454-9933  
TBPE FIRM #2946

**Chaparral**  
Professional Land Surveying, Inc.  
Surveying and Mapping  
3500 McCall Lane  
Austin, Texas 78744  
512-443-1724  
TBPLS Firm No. 10124500

PROJECT NO.:  
697-008  
DRAWING NO.:  
697-008-VII  
PLOT DATE:  
1/22/2015  
PLOT SCALE:  
1"=100'  
DRAWN BY:  
CWW/JPA  
SHEET  
05 OF 05



# **Travis County Commissioners Court Agenda Request**

**Meeting Date:** July 18, 2017

**Prepared By:** Tim Pautsch, Engineering Specialist **Phone #:** (512) 854-7689

**Division Director/Manager:** Anna Bowlin, Development Services and Long Range Planning Division Director

**Department Head:** Steven M. Manilla, P.E., County Executive-TNR

**Sponsoring Court Member:** Commissioner Gomez, Precinct Four

**AGENDA LANGUAGE:** Consider and take appropriate action on a Cash Security Agreement with KB Home Lone Star, Inc, for sidewalk fiscal for Stoney Ridge Phase C Section 1, in Precinct Four.

- A) Lot 51 Block A
- B) Lot 7 Block A
- C) Lot 27 Block C

**BACKGROUND/SUMMARY OF REQUEST:**

The form of the Cash Security Agreement is from the Standards for Construction of Streets and Drainage in Subdivisions that were in place before August 28, 1997.

**STAFF RECOMMENDATIONS:**

KB Home Lone Star, Inc, proposes to use these Cash Security Agreement for selected lots, as follows: Stoney Ridge Phase C Section 1, to post sidewalk fiscal where the sidewalks have not been completed in this subdivision.

- A) Lot 51 Block A, 6600 Moores Ferry Drive, \$729.90
- B) Lot 7 Block A, 12321 Stoney Ridge Bend \$742.50
- C) Lot 27 Block C, 6812 Horseshoe Pond Drive, \$675.00

**ISSUES AND OPPORTUNITIES:**

None

**FISCAL IMPACT AND SOURCE OF FUNDING:**

There are no budgetary and/or fiscal impacts as this is fiscal posted for a development.

**ATTACHMENTS/EXHIBITS:**

Cash Security Agreement, Map of lots.

**REQUIRED AUTHORIZATIONS:**

|                   |                            |     |                |
|-------------------|----------------------------|-----|----------------|
| Cynthia McDonald  | Financial Manager          | TNR | (512) 854-4239 |
| Steven M. Manilla | County Executive           | TNR | (512) 854-9429 |
| Anna Bowlin       | DS & LRP Division Director | TNR | (512) 854-7561 |
| Stacey Scheffel   | Permits Program Manager    | TNR | (512) 854-7565 |

**TP:AB:tp**

**1101 - Development Services Long Range Planning - Cash Security Agreement, Stoney Ridge Phase C Section 1**

§ EXHIBIT 82.401 (C)

**CASH SECURITY AGREEMENT - SIDEWALKS**

TO: Travis County, Texas

DEVELOPER/BUILDER: KB Home Lone Star, Inc

ESCROW AGENT: Travis County Treasurer

AMOUNT OF SECURITY: \$ 729.90

ADDRESS: 6600 Moores Ferry Drive LOT: 51 BLOCK: A

SUBDIVISION: Stoney Ridge, Phase C, Section 1

DATE OF POSTING: The 22nd Day of March 2016

EXPIRATION DATE: Three Years, or more from Date of Posting

The ESCROW AGENT shall duly honor all drafts drawn and presented in accordance with this Agreement. Travis County may draw on the account of the DEVELOPER/BUILDER up to the aggregate AMOUNT OF SECURITY upon presentation of a draft signed by the County Judge that the following condition exists:

The county considers such a drawing on this Security necessary to complete all or part of the SUBDIVISION Sidewalks to ADA and Texas Accessibility Standards. No further substantiation of the necessity of the draw is required by this Agreement.

This Agreement is conditioned on the performance of the duties of the DEVELOPER/ BUILDER to provide for the construction and completion of the Sidewalk Improvements in the SUBDIVISION to current Travis County Standards for Construction of Streets and Drainage in Subdivisions (the "Standards"), so that the Sidewalk Improvements are performing to the Standards upon the approval of the construction of the Sidewalk Improvements, and the acceptance of the Sidewalk Improvements by the Executive Manager of TNR or his designated representative. The DEVELOPER/ BUILDER shall prove that the sidewalk is built to Texas Accessibility Standards by submitting an approved inspection letter from a Registered Accessibility Specialist.

If this document needs to be renewed, it will be renewed at the then current rate for Sidewalks required by Travis County. In no case shall the amount of Security be less that the amount it would cost the County to complete the work if it becomes necessary.

Partial drafts and reductions in the amount of Security are permitted. Drafts will be honored within five calendar days of presentment. In lieu of drawing on the Security, the County, in its discretion, may accept a substitute Security in the then current amount of the estimated cost of constructing the Improvements. This Agreement may be revoked only by written consent of the DEVELOPER/BUILDER and the County.

DEVELOPER/BUILDER

COMPANY NAME & ADDRESS

BY:  \_\_\_\_\_

KB Home Lone Star, Inc.

PRINT: John Zinsmeyer

10800 Pecan Park Blvd Suite 200

TITLE: Vice President

Austin, Texas 78750

PHONE: (512) 651-8064

APPROVED BY THE TRAVIS COUNTY COMMISSIONERS' COURT: \_\_\_\_\_  
Date

\_\_\_\_\_  
COUNTY JUDGE, TRAVIS COUNTY, TEXAS



TRV  
4 PGS

201400087

# PLAT

## PLAT RECORDS INDEX SHEET:

**SUBDIVISION NAME:** STONEY RIDGE PHASE C SECTION 1

**OWNERS NAME:** SR DEVELOPMENT, INC.;  
THE CITY OF AUSTIN

**RESUBDIVISION?** YES  NO

## ADDITIONAL RESTRICTIONS / COMMENTS:

DOC# 2014058374--TAX CERTIFICATE

DOC# 2014058375--SUBDIVISION CONSTRUCTION AGREEMENT

## RETURN:

TRAVIS COUNTY, TNR  
JOE ARRIAGA  
PICK-UP

**FILED AND RECORDED**

OFFICIAL PUBLIC RECORDS

Apr 24, 2014 01:40 PM

201400087

MITCHELLM: \$129.00

Dana DeBeauvoir, County Clerk

Travis County TEXAS

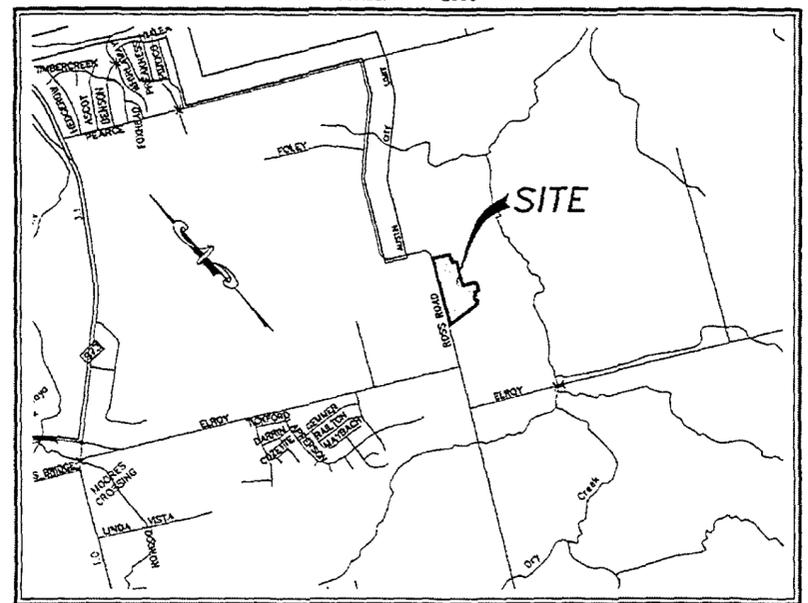
201400087

04-24-14

#12900

VICINITY MAP

SCALE: 1" = 2000'



STONEY RIDGE PHASE C SECTION 1, AUSTIN, TEXAS

CONSUMER PROTECTION NOTICE FOR HOMEBUYERS

IF YOU ARE BUYING A LOT IN THIS SUBDIVISION, YOU SHOULD DETERMINE WHETHER THE SUBDIVISION AND THE LAND AROUND IT ARE INSIDE OR OUTSIDE THE CITY LIMITS.

THIS CAN AFFECT THE ENJOYMENT AND VALUE OF YOUR HOME. DEPENDING ON STATE LAW AND OTHER FACTORS, LAND OUTSIDE THE CITY LIMITS MAY BE SUBJECT TO FEWER LOCAL GOVERNMENT CONTROLS OVER THE DEVELOPMENT AND USE OF LAND THAN INSIDE THE CITY LIMITS.

THE SUBDIVISION'S RESTRICTIVE COVENANTS MAY CREATE PRIVATELY ENFORCEABLE RESTRICTIONS AGAINST INCOMPATIBLE LAND USES WITHIN THE SUBDIVISION, WHETHER IT IS INSIDE OR OUTSIDE THE CITY LIMITS.

DEPENDING ON STATE LAW AND OTHER FACTORS, HOWEVER, OUTSIDE THE CITY LIMITS NEITHER PRIVATE NOR GOVERNMENTAL RESTRICTIONS MAY BE AVAILABLE TO (1) RESTRICT EITHER THE NATURE OR EXTENT OF DEVELOPMENT NEAR THE SUBDIVISION, OR (2) PROHIBIT LAND USES NEAR THE SUBDIVISION THAT ARE INCOMPATIBLE WITH A RESIDENTIAL NEIGHBORHOOD.

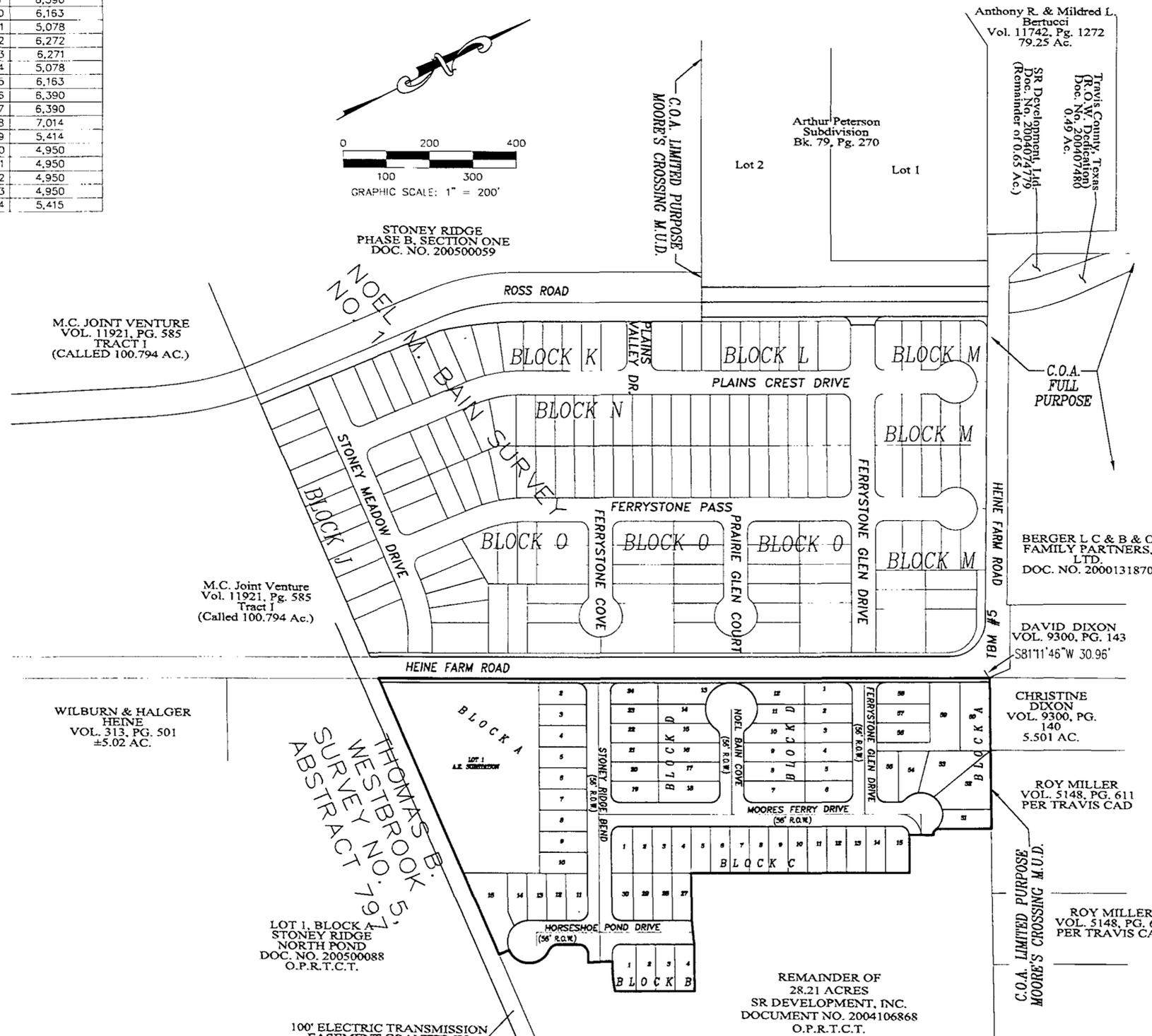
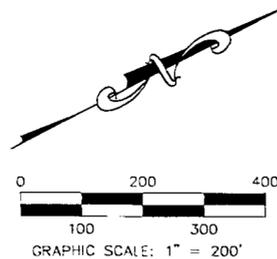
P:\535-005A\survey\0A\_drawings\535-005A plot phase C section 1 REVISION.dwg

**DA DOUCET & ASSOCIATES**  
 Civil Engineering - Planning - Surveying/Mapping  
 7401 B. Highway 71 W, Suite 160  
 Austin, Texas 78735, Phone: (512)-583-2600  
 www.doucetandassociates.com  
 Firm Registration Number: 3937

|              |                  |
|--------------|------------------|
| Date:        | 1/30/2014        |
| Scale:       | AS SHOWN         |
| Drawn by:    | SHR/DRK          |
| Reviewed by: | SSX              |
| Drawing:     | 535-005A.plt.dwg |
| Project No.: | 535-005A         |

20140087

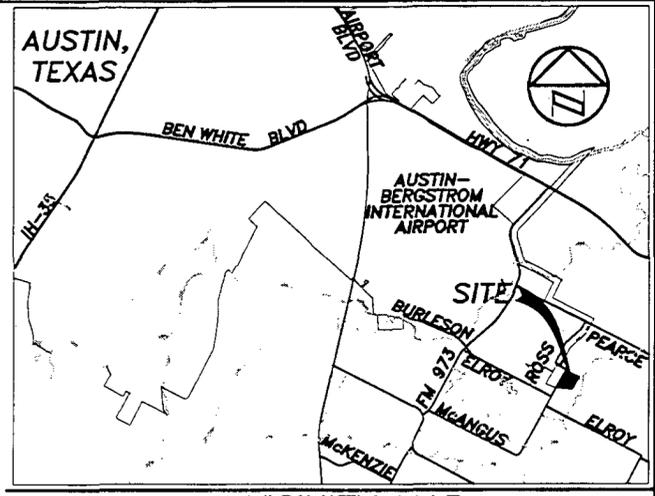
| BLOCK 'A' LOT AREA |             | BLOCK 'B' LOT AREA |             | BLOCK 'D' LOT AREA |             |
|--------------------|-------------|--------------------|-------------|--------------------|-------------|
| NO.                | SQUARE FEET | NO.                | SQUARE FEET | NO.                | SQUARE FEET |
| 1                  | 124,882     | 1                  | 5,841       | 1                  | 5,415       |
| 2                  | 5,415       | 2                  | 4,950       | 2                  | 4,950       |
| 3                  | 5,445       | 3                  | 4,950       | 3                  | 4,950       |
| 4                  | 5,445       | 4                  | 4,950       | 4                  | 4,950       |
| 5                  | 5,445       |                    |             | 5                  | 4,950       |
| 6                  | 5,445       |                    |             | 6                  | 5,414       |
| 7                  | 5,445       | BLOCK 'C' LOT AREA |             | 7                  | 7,014       |
| 8                  | 5,445       | 1                  | 5,114       | 8                  | 6,390       |
| 9                  | 5,445       | 2                  | 4,950       | 9                  | 6,390       |
| 10                 | 5,445       | 3                  | 4,950       | 10                 | 6,163       |
| 11                 | 5,414       | 4                  | 4,950       | 11                 | 5,078       |
| 12                 | 4,950       | 5                  | 4,950       | 12                 | 6,272       |
| 13                 | 4,950       | 6                  | 4,950       | 13                 | 6,271       |
| 14                 | 5,035       | 7                  | 4,950       | 14                 | 5,078       |
| 15                 | 11,931      | 8                  | 4,950       | 15                 | 6,163       |
| 16                 | 5,210       | 9                  | 4,950       | 16                 | 6,390       |
| 17                 | 10,685      | 10                 | 4,950       | 17                 | 6,390       |
| 18                 | 9,527       | 11                 | 4,950       | 18                 | 7,014       |
| 19                 | 6,161       | 12                 | 4,950       | 19                 | 5,414       |
| 20                 | 5,914       | 13                 | 4,950       | 20                 | 4,950       |
| 21                 | 5,535       | 14                 | 4,950       | 21                 | 4,950       |
| 22                 | 5,535       | 15                 | 5,949       | 22                 | 4,950       |
| 23                 | 6,066       | 16                 | 4,950       | 23                 | 4,950       |
| 24                 | 13,661      | 17                 | 4,950       | 24                 | 4,950       |
| 25                 | 11,124      | 18                 | 4,950       | 25                 | 5,415       |
|                    |             | 19                 | 4,950       |                    |             |
|                    |             | 20                 | 5,114       |                    |             |



ROADWAY TABLE

| STREET NAME           | CLASSIFICATION         | R.O.W. AND PAVED WIDTH | CURB AND GUTTER | LENGTH (FEET) | SIDEWALK            |
|-----------------------|------------------------|------------------------|-----------------|---------------|---------------------|
| FERRYSTONE GLEN DRIVE | LOCAL                  | 56' R.O.W. 36' F-F     | YES             | 308           | BOTH SIDES, 4' WIDE |
| STONEY RIDGE BEND     | LOCAL                  | 56' R.O.W. 36' F-F     | YES             | 652           | BOTH SIDES, 4' WIDE |
| STONEY POND DRIVE     | LOCAL                  | 56' R.O.W. 36' F-F     | YES             | 178           | BOTH SIDES, 4' WIDE |
| NOEL BAIN COVE        | LOCAL                  | 56' R.O.W. 36' F-F     | YES             | 248           | BOTH SIDES, 4' WIDE |
| MOORES GLEN DRIVE     | LOCAL                  | 56' R.O.W. 36' F-F     | YES             | 215           | BOTH SIDES, 4' WIDE |
| MOORES FERRY DRIVE    | LOCAL                  | 56' R.O.W. 36' F-F     | YES             | 794           | BOTH SIDES, 4' WIDE |
| HEINE FARM ROAD       | NEIGHBORHOOD COLLECTOR | 70' R.O.W. 22' EOP-EOP | NO              | 1415          | EAST SIDE, 4' WIDE  |

OVERVIEW OF SUBDIVISION



VICINITY MAP N.T.S.

JOSE ANTONIO NAVARRO SURVEY, ABSTRACT NO. 18, PER AYRES MOODY SURVEY NO. 168, PER G.L.O. MAP T.C.A.D.

**OWNER/DEVELOPER:**  
SR DEVELOPMENT, INC.  
503 N. 17th STREET, SUITE 200  
AUSTIN, TX 78701  
(512) 474-5300  
CONTACT: MR. WM. GEO. CURASICH

**ENGINEER:**  
DOUCET AND ASSOCIATES, INC.  
7401 B N. HWY. 71, STE. 160  
AUSTIN, TEXAS 78735

**SURVEYOR:**  
DOUCET AND ASSOCIATES, INC.  
7401 B N. HWY. 71, STE. 160  
AUSTIN, TEXAS 78735

**BENCHMARKS:**  
TBM #1: 800 NAIL FOUND APPROXIMATELY 31' SOUTHWEST OF THE NORTHWEST CORNER OF THE SUBDIVISION.  
GRID COORDINATES:  
NORTHING: 10032984.82  
EASTING: 31493992.20  
ELEVATION: 485.62'

**SURVEY CONTROL:**  
CONTROL FOR THIS SURVEY IS BASED ON A 800 NAIL FOUND, GRID COORDINATES SHOWN ARE BASED ON GPS STATIC OBSERVATIONS ON 06-07-06, STATIC DATA PROCESSED BY OPUS.

**BEARING BASIS:**  
ALL BEARINGS ARE BASED ON THE TEXAS STATE PLANE COORDINATE SYSTEM, CENTRAL ZONE (4203), NAD83 (GORS), ALL DISTANCES WERE ADJUSTED TO SURFACE USING A COMBINED SCALE FACTOR OF 1.000031643.

**ELEVATION NOTE:**  
ELEVATIONS SHOWN HEREON ARE BASED ON LCRA MONUMENT A263, HAVING A PUBLISHED ELEVATION OF 528.7161' (NAVD 88).

**EDWARDS AQUIFER:**  
THIS PROJECT IS NOT LOCATED OVER THE EDWARDS AQUIFER RECHARGE ZONE.

**SITE INFORMATION:**  
ZONING: SF-44 SINGLE FAMILY (040401-Z-3) AND P (PUBLIC)  
TOTAL AREA: 15,8784 AC.  
LOTS = 72  
NUMBER OF RESIDENTIAL LOTS: 71  
PUBLIC LOTS:  
LOT 1, BLOCK A - AUSTIN ENERGY SUBSTATION  
STREET R.O.W. = 3,2196 AC.  
HEINE FARM ROAD ADDITIONAL R.O.W. BY THIS PLAT = 0.324 AC.  
LINEAR FOOTAGE OF STREETS: 3,769 FEET  
ADJONER INFORMATION TAKEN FROM CURRENT APPRAISAL DISTRICT MAPS.

**UTILITY PROVIDERS:**  
WATER: CITY OF AUSTIN  
WASTEWATER: CITY OF AUSTIN

STONEY RIDGE PHASE C SECTION 1 AUSTIN, TEXAS

**DA DOUCET & ASSOCIATES**

Civil Engineering - Planning - Surveying/Mapping  
7401 B. Highway 71 W, Suite 160  
Austin, Texas 78735. Phone: (512)-583-2600  
www.doucetandassociates.com  
Survey Firm Registration Number: 10105800

Date: 3/4/2014  
Scale: 1"=200'  
Drawn by: SHR/DRK  
Reviewed by: SSS  
Drawing: 535-005A plat.dwg  
Project No.: 535-005A

P:\535-005\survey\DA\_drawings\535-005 plat.dwg

201400087

# STONEY RIDGE PHASE C SECTION 1

STATE OF TEXAS  
COUNTY OF TRAVIS

KNOW ALL MEN BY THESE PRESENTS:

THAT SR DEVELOPMENT, INC., A TEXAS CORPORATION, ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF TEXAS, HAVING ITS OFFICE IN THE CITY OF AUSTIN, TRAVIS COUNTY, ACTING HEREIN BY AND THROUGH ITS PRESIDENT, WILLIAM G. GURASICH, OWNER OF THAT CERTAIN 13.0115 ACRE TRACT OF LAND, SITUATED IN THE THOMAS A. WESTBROOK SURVEY NO. 5, ABSTRACT NO. 797, TRAVIS COUNTY, TEXAS, INCLUDED IN THE TRACT DESCRIBED IN A DEED TO SR DEVELOPMENT, INC. RECORDED IN DOCUMENT #2004108868 OF THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS; AND THAT THE CITY OF AUSTIN, TEXAS, A HOME RULE MUNICIPALITY AND POLITICAL SUBDIVISION OF THE STATE OF TEXAS, ACTING BY AND THROUGH ITS MUNICIPALITY-OWNED ELECTRIC UTILITY, AUSTIN ENERGY, OWNER OF THAT CERTAIN 2.9669 ACRE TRACT OF LAND, SITUATED IN THE THOMAS A. WESTBROOK SURVEY NO. 5, ABSTRACT NO. 797, TRAVIS COUNTY, TEXAS, DESCRIBED IN A DEED TO THE CITY OF AUSTIN RECORDED IN DOCUMENT #2009091032 OF THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS; SAID SR DEVELOPMENT, INC. AND THE CITY OF AUSTIN DO HEREBY SUBDIVIDE SAID 15.8784 ACRES OF LAND PURSUANT TO TITLE 30 OF THE AUSTIN CITY CODE AND CHAPTER 212 OF THE TEXAS LOCAL GOVERNMENT CODE, AND IN ACCORDANCE WITH THE ATTACHED MAP OR PLAT, TO BE KNOWN AS:

## STONEY RIDGE PHASE C SECTION 1

AND DOES HEREBY DEDICATE TO THE PUBLIC USE OF ANY AND ALL RIGHTS-OF-WAY AND EASEMENTS SHOWN HEREON, SUBJECT TO ANY EASEMENTS AND/OR RESTRICTIONS HERETOFORE GRANTED AND NOT RELEASED.

IN WITNESS WHEREOF, S.R. DEVELOPMENT, INC. HAVE CAUSED THESE PRESENTS TO BE EXECUTED BY WILLIAM G. GURASICH THIS THE 4th DAY OF March, 2014 A.D.

S.R. DEVELOPMENT, INC.  
A TEXAS CORPORATION  
912 W. MARTIN LUTHER KING, JR. BLVD  
AUSTIN, TEXAS 78701

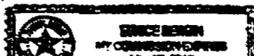
*William G. Gurasich*  
WILLIAM G. GURASICH, PRESIDENT

IN WITNESS WHEREOF, THE CITY OF AUSTIN, ACTING BY AND THROUGH ITS MUNICIPALITY-OWNED ELECTRIC UTILITY, AUSTIN ENERGY, HAS CAUSED THESE PRESENTS TO BE EXECUTED BY SONNY POOLE THIS THE 5th DAY OF March, 2014 A.D.

THE CITY OF AUSTIN  
AUSTIN ENERGY  
721 BARTON SPRINGS ROAD  
AUSTIN, TEXAS 78704

*Sonny Poole*  
SONNY POOLE, PUBLIC INVOLVEMENT/REAL ESTATE SERVICES SUPERVISOR

STATE OF TEXAS  
COUNTY OF TRAVIS



BEFORE ME, THE UNDERSIGNED AUTHORITY, THIS DAY PERSONALLY APPEARED WILLIAM G. GURASICH, PRESIDENT OF S.R. DEVELOPMENT, INC., KNOWN TO ME TO BE THE PERSON WHOSE NAME IS SUBSCRIBED TO THE FOREGOING INSTRUMENT, AND HE ACKNOWLEDGED TO ME THAT HE EXECUTED THE SAME FOR THE PURPOSES AND CONSIDERATIONS THEREIN EXPRESSED AND IN THE CAPACITY THEREIN STATED.

WITNESS MY HAND AND SEAL OF OFFICE, THIS THE 4th DAY OF MARCH, 2014 A.D.

*Eunice Bergin*  
EUNICE BERGIN  
NOTARY PUBLIC, STATE OF TEXAS

PRINT NOTARY'S NAME

STATE OF TEXAS  
COUNTY OF TRAVIS

BEFORE ME, THE UNDERSIGNED AUTHORITY, ON THIS DAY PERSONALLY APPEARED SONNY POOLE, PUBLIC INVOLVEMENT/ REAL ESTATE SERVICES SUPERVISOR FOR AUSTIN ENERGY, KNOWN TO ME TO BE THE PERSON WHOSE NAME IS SUBSCRIBED TO THE FOREGOING INSTRUMENT, AND SHE ACKNOWLEDGED TO ME THAT SHE EXECUTED THE SAME FOR THE PURPOSES AND CONSIDERATION THEREIN EXPRESSED AND IN THE CAPACITY THEREIN STATED.

WITNESS MY HAND AND SEAL OF OFFICE, THIS THE 5th DAY OF MARCH, 2014 A.D.

*Sonny Poole*  
SONNY POOLE  
NOTARY PUBLIC, STATE OF TEXAS

PRINT NOTARY'S NAME

### ENGINEER'S CERTIFICATION

I, DAVOOD SALEK, AM AUTHORIZED UNDER THE LAWS OF THE STATE OF TEXAS TO PRACTICE THE PROFESSION OF ENGINEERING, AND HEREBY CERTIFY THAT THIS PLAT IS FEASIBLE FROM AN ENGINEERING STANDPOINT AND COMPLIES WITH THE ENGINEERING RELATED PORTIONS OF TITLE 30 OF THE AUSTIN CODE OF 1999, AS AMENDED, AND IS TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE.

THE 100 YEAR FLOODPLAIN IS CONTAINED WITHIN THE DRAINAGE EASEMENT(S) SHOWN HEREON. NO PORTION OF THIS TRACT IS WITHIN THE DESIGNATED FLOOD HAZARD AREA AS SHOWN ON THE FEDERAL EMERGENCY MANAGEMENT AGENCY (FEMA) FLOOD INSURANCE RATE MAP (FIRM) # 48453C0620 H, TRAVIS COUNTY, TEXAS, DATED SEPTEMBER 26, 2008.

*Daoud Salek*  
DAVOOD SALEK, P.E.  
TEXAS REGISTRATION NO. 87888  
DOUCET AND ASSOCIATES, INC.  
7401 B HWY. 71, STE. 160  
AUSTIN, TEXAS 78735



WATERSHED: DRY CREEK (EAST) CLASSIFIED AS SUBURBAN.

### SURVEYOR'S CERTIFICATION

I, SYDNEY SMITH XINOS, AM AUTHORIZED UNDER THE LAWS OF THE STATE OF TEXAS TO PRACTICE THE PROFESSION OF LAND SURVEYING, AND HEREBY CERTIFY THAT THIS PLAT COMPLIES WITH TITLE 30 OF THE AUSTIN CODE OF 1999, AS AMENDED, AND WAS PREPARED FROM AN ACTUAL ON THE GROUND SURVEY OF THE PROPERTY MADE UNDER MY SUPERVISION. ALL LOT CORNERS SHALL BE SET AFTER FINAL GRADING IS COMPLETE.

*Sydney Smith Xinos*  
SYDNEY SMITH XINOS, R.P.L.S.  
TEXAS REGISTRATION NO. 5631  
DOUCET & ASSOCIATES, INC.  
7401-B HWY. 71 W, STE. 160  
AUSTIN, TX 78735



### AUSTIN ENERGY NOTES:

- LOT 1, BLOCK A SHALL BE OWNED AND MAINTAINED BY AUSTIN ENERGY (CITY OF AUSTIN).
- THE CITY'S ENVIRONMENTAL CRITERIA MANUAL (ECM) SECTIONS 2.4.1.D AND 2.4.2.C STATE, IN AREAS WHERE UTILITY LINES ARE PRESENT OR PROPOSED ONLY TREES FROM THE UTILITY COMPATIBLE SHADE TREES LIST SHALL BE PLANTED WITHIN 30 TO 40 LATERAL FEET FROM ANY OVERHEAD TRANSMISSION CONDUCTOR, UNLESS A MORE RESTRICTIVE DEDICATED RIGHT-OF-WAY HAS BEEN ESTABLISHED.
- NO TALL TREES OR UTILITY COMPATIBLE TREES WILL BE PERMITTED WITHIN 25 FEET OF THE BASE OF TRANSMISSION STRUCTURES, FRAMES OR POLES OR WITHIN 40 FEET OF EACH SIDE OF THE TRANSMISSION LINE. ANY PLANTINGS NEAR TRANSMISSION FACILITIES MUST ALSO ALLOW FOR REQUIRED ACCESS TO THE FACILITIES.
- 24-HOUR ACCESS TO ELECTRIC FACILITIES SHALL BE MAINTAINED.
- WARNING SIGNS ARE REQUIRED TO BE PLACED UNDER THE OVERHEAD LINES TO MAKE ALL AWARE OF THE OVERHEAD ELECTRIC HAZARD; CAUTION OVERHEAD ELECTRIC LINES.
- A PRE-CONSTRUCTION SAFETY MEETING IS REQUIRED WITH AUSTIN ENERGY 48 HOURS BEFORE COMMENCEMENT OF CONSTRUCTION. FAILURE TO DO SO WILL RESULT IN THE PROJECT BEING SHUTDOWN. CALL DOUG WEISE AT 512-505-7023 TO SCHEDULE A TAILGATE SAFETY MEETING. INCLUDE CHUCK HENDRY (ph 505-7151) IN THE MEETING, IF CRANES ARE BEING USED DURING CONSTRUCTION.
- PROPERTY OWNER AND CONTRACTOR ARE RESPONSIBLE FOR DUST CONTROLS TO MINIMIZE CONTAMINATION OF WIRE AND INSULATORS CAUSED BY DUST FROM THIS PROJECT. ANY SUBSEQUENT CLEANING OR ELECTRICAL OUTAGES CAUSED BY DUST FROM THIS PROJECT WILL BE CHARGED TO THE PROPERTY OWNER AND CONTRACTOR.

### GENERAL NOTES:

- THIS SUBDIVISION IS LOCATED IN CITY OF AUSTIN'S JURISDICTION CLASSIFIED AS "LIMITED PURPOSE".
- THIS PLAT COMPLIES WITH TITLE 30 SECTION 30-2-232, SMALL LOT SUBDIVISION.
- THIS SUBDIVISION IS LOCATED WITHIN THE BOUNDARIES OF THE MOORE'S CROSSING MUNICIPAL UTILITY DISTRICT. WATER & WASTEWATER SERVICE TO THIS SUBDIVISION WILL BE PROVIDED BY THE CITY OF AUSTIN. ALL CONSTRUCTION PLANS FOR WATER, WASTEWATER, AND STORM DRAINAGE IMPROVEMENTS MAY BE INSPECTED BY THE DISTRICT.
- THE PROPERTY IN THIS SUBDIVISION IS SUBJECT TO THE AGREEMENT CONCERNING CREATION AND OPERATION OF THE MOORE'S CROSSING MUNICIPAL UTILITY DISTRICT (MUD CONSENT AGREEMENT), AND ANY AMENDMENT'S PRIOR TO RECORDEATION. PARKLAND REQUIREMENTS HAVE BEEN MET PER THE THIRD AMENDMENT TO THE MUD CONSENT AGREEMENT.
- IN ACCORDANCE WITH THE MUD CONSENT AGREEMENT, ALL LOTS IN THIS SUBDIVISION ARE SUBJECT TO THE REQUIREMENTS OF THE RESTRICTIVE COVENANTS OF RECORD IN VOLUME 6288, PAGE 717, VOLUME 8783, PAGE 245, VOLUME 10787, PAGE 302 AND VOLUME 13346, PAGE 185 OF THE DEED RECORDS OF TRAVIS COUNTY, TEXAS.
- RESIDENTIAL DEVELOPMENT IS PROHIBITED ON THE FOLLOWING LOTS: LOT 1, BLOCK A (A.E. SUBSTATION).
- THE OWNER OF THIS SUBDIVISION AND HIS OR HER SUCCESSORS AND ASSIGNS ASSUMES RESPONSIBILITY FOR PLANS FOR CONSTRUCTION OF SUBDIVISION IMPROVEMENTS WHICH COMPLY WITH APPLICABLE CODES AND REQUIREMENTS OF THE CITY OF AUSTIN. THE OWNER UNDERSTANDS AND ACKNOWLEDGES THAT PLAT VACATION AND REPLATTING MAY BE REQUIRED AT THE OWNER'S EXPENSE, IF PLANS TO CONSTRUCT THIS SUBDIVISION DO NOT COMPLY WITH SUCH CODES AND REQUIREMENTS.
- ALL STREETS, DRAINAGE, SIDEWALKS, WATER AND WASTEWATER LINES ARE TO BE CONSTRUCTED AND INSTALLED TO THE CITY OF AUSTIN URBAN STANDARDS.
- PUBLIC SIDEWALKS BUILT TO CITY OF AUSTIN STANDARDS, ARE REQUIRED ALONG ALL PROPOSED STREETS, AND AS SHOWN BY A DOTTED LINE ON THE FACE OF THE PLAT. THESE SIDEWALKS SHALL BE IN PLACE PRIOR TO THE LOT BEING OCCUPIED. FAILURE TO CONSTRUCT THE REQUIRED SIDEWALKS MAY RESULT IN WITHHOLDING OF CERTIFICATES OF OCCUPANCY, BUILDING PERMITS, OR UTILITY CONNECTIONS BY THE GOVERNING BODY OR UTILITY COMPANY. (TITLE 30, 30-3-191)
- TRAVIS COUNTY DEVELOPMENT PERMIT IS REQUIRED PRIOR TO SITE DEVELOPMENT.
- ALL BUILDING FOUNDATIONS ON SLOPES OF FIFTEEN (15) PERCENT AND OVER AND ON FILL PLACED UPON SLOPES FIFTEEN (15) PERCENT AND OVER MUST UTILIZE DESIGN AND CONSTRUCTION PRACTICES CERTIFIED BY A REGISTERED PROFESSIONAL ENGINEER QUALIFIED TO PRACTICE IN THIS FIELD.
- NO STRUCTURE IN THIS SUBDIVISION SHALL BE OCCUPIED UNTIL CONNECTION IS MADE TO THE CITY OF AUSTIN WATER AND WASTEWATER SYSTEMS.
- THE WATER AND WASTEWATER UTILITY SYSTEM SERVING THIS SUBDIVISION MUST BE IN ACCORDANCE WITH THE CITY OF AUSTIN UTILITY DESIGN CRITERIA. THE WATER AND WASTEWATER UTILITY PLAN MUST BE REVIEWED AND APPROVED BY THE AUSTIN WATER UTILITY. THE WATER AND WASTEWATER UTILITY CONSTRUCTION MUST BE INSPECTED BY THE CITY. THE LANDOWNER MUST PAY THE CITY INSPECTION FEE WITH THE UTILITY CONSTRUCTION.
- PRIOR TO CONSTRUCTION ON LOTS IN THIS SUBDIVISION, DRAINAGE PLANS WILL BE SUBMITTED TO THE CITY OF AUSTIN FOR REVIEW. RAINFALL RUN-OFF SHALL BE HELD TO THE AMOUNT EXISTING AT UNDEVELOPED STATUS BY PONDING OR OTHER APPROVED METHODS. DETENTION AND WATER QUALITY WILL BE PROVIDED BY AN OFF-SITE WET POND (STONEY RIDGE NORTH POND). THIS OFF-SITE POND WILL BE OWNED AND MAINTAINED BY MOORE'S CROSSING M.U.D. THE PLAT FOR THE OFF-SITE POND MUST BE APPROVED PRIOR TO APPROVAL OF THIS PLAT, AND THE CONSTRUCTION PLANS OF THE OFF-SITE POND MUST BE APPROVED PRIOR TO APPROVAL OF THE CONSTRUCTION PLANS FOR THIS PLAT.
- THE WATER QUALITY AND DRAINAGE EASEMENTS SHOWN ARE FOR THE PURPOSE OF ACHIEVING COMPLIANCE PURSUANT TO CHAPTERS 30-4 AND 30-5(A) OF TITLE 30. THE USE AND MAINTENANCE OF THESE EASEMENTS IS RESTRICTED BY CHAPTERS 30-4 AND 30-5 OF TITLE 30, THEREOF.
- MAINTENANCE OF THE WATER QUALITY CONTROLS REQUIRED ABOVE SHALL BE ACCORDING TO THE CITY OF AUSTIN STANDARDS.
- ALL DRAINAGE EASEMENTS ON PRIVATE PROPERTY SHALL BE MAINTAINED BY THE PROPERTY OWNER OR ASSIGNS.
- PROPERTY OWNER AND/OR HIS/HER ASSIGNS SHALL PROVIDE FOR ACCESS TO THE DRAINAGE EASEMENT AS MAY BE NECESSARY AND SHALL NOT PROHIBIT ACCESS BY TRAVIS COUNTY (AND OTHER APPROPRIATE JURISDICTION) FOR INSPECTION OR MAINTENANCE OF SAID EASEMENT.
- NO OBJECTS, INCLUDING BU NOT LIMITED TO, BUILDINGS, FENCES, OR LANDSCAPING SHALL BE ALLOWED IN A DRAINAGE EASEMENT EXCEPT AS APPROVED BY TRAVIS COUNTY (AND OTHER APPROPRIATE JURISDICTION).
- IN ADDITION TO THE EASEMENTS SHOWN HEREON, A TEN (10') FOOT PUBLIC UTILITY EASEMENT ADJACENT TO ALL STREET RIGHT-OF-WAY ON ALL LOTS SHOWN HEREON IS HEREBY DEDICATED TO THE PUBLIC USE.
- AUSTIN ENERGY HAS THE RIGHT TO PRUNE AND/OR REMOVE TREES, SHRUBBERY AND OTHER OBSTRUCTIONS TO THE EXTENT NECESSARY TO KEEP THE EASEMENT CLEAR. PER THE CITY OF AUSTIN LAND DEVELOPMENT CODE AND ENVIRONMENTAL CRITERIA PER THE CITY OF AUSTIN.
- THE OWNER/DEVELOPER OF THIS SUBDIVISION/LOT SHALL PROVIDE AUSTIN ENERGY WITH ANY EASEMENT AND/OR ACCESS REQUIRED, IN ADDITION TO THOSE INDICATED, FOR THE INSTALLATION AND ONGOING MAINTENANCE OF OVERHEAD AND UNDERGROUND ELECTRIC FACILITIES. THESE EASEMENTS AND/OR ACCESS ARE REQUIRED TO PROVIDE ELECTRIC SERVICE TO THE BUILDING AND WILL NOT BE LOCATED SO AS TO CAUSE THE SITE TO BE OUT OF COMPLIANCE WITH THE LDC OF THE CITY OF AUSTIN.
- BUILDING SETBACK LINES SHALL BE IN CONFORMANCE WITH CITY OF AUSTIN ZONING ORDINANCE REQUIREMENTS AND AS APPROVED IN THE LAND PLAN FOR MOORE'S CROSSING.
- ALL STREETS IN THIS SUBDIVISION ARE GOING TO BE PUBLIC.

### GENERAL NOTES CONTINUED:

- DENSE SHRUBBERY SHALL NOT BE ALLOWED WITHIN 15 FEET OF THE RIGHT OF WAY FOR CORNER LOTS.
- EROSION/SEDIMENTATION CONTROLS ARE REQUIRED FOR ALL CONSTRUCTION ON EACH LOT, INCLUDING SINGLE FAMILY AND DUPLEX CONSTRUCTION, PURSUANT TO CITY OF AUSTIN LAND DEVELOPMENT CODE, AND THE ENVIRONMENTAL CRITERIA MANUAL.
- ALL DRIVEWAYS AND ROOF DRAINS SHALL DRAIN TO THE STREETS.
- THE OWNER SHALL BE RESPONSIBLE FOR INSTALLATION OF TEMPORARY EROSION CONTROL, REVEGETATION AND TREE PROTECTION. IN ADDITION, THE OWNER SHALL BE RESPONSIBLE FOR ANY INITIAL TREE PRUNING AND TREE REMOVAL THAT IS WITHIN TEN FEET OF THE CENTERLINE OF THE PROPOSED OVERHEAD ELECTRICAL FACILITIES DESIGNED TO PROVIDE ELECTRIC SERVICE TO THIS PROJECT. THE OWNER SHALL INCLUDE AUSTIN ENERGY'S WORK WITHIN THE LIMITS OF CONSTRUCTION FOR THIS PROJECT.
- ENVIRONMENTAL AND SAFETY CONTROLS, AND OTHER RELATED ITEMS (E.G., EROSION AND SEDIMENTATION CONTROLS, RESTORATION, CHANNEL WORK, PIPE IN EASEMENTS, DETENTION, WATER QUALITY PONDS, ETC.) AS DETERMINED PRIOR TO FINAL APPROVAL. THE RESTORATION COST ESTIMATE WILL BE BASED ON DISTURBED AREAS INCLUDING ALL STREETS SHOWN ON THIS PRELIMINARY PLAN.
- THIS SUBDIVISION PLAT WAS APPROVED AND RECORDED BEFORE THE CONSTRUCTION AND ACCEPTANCE OF STREETS AND OTHER SUBDIVISION IMPROVEMENTS. PURSUANT TO THE TERMS OF A SUBDIVISION CONSTRUCTION AGREEMENT BETWEEN THE SUBDIVIDER AND THE CITY OF AUSTIN, DATED MARCH 19, 2014, THE SUBDIVIDER IS RESPONSIBLE FOR THE CONSTRUCTION OF ALL STREETS AND FACILITIES NEEDED TO SERVE THE LOTS WITHIN THE SUBDIVISION. THIS RESPONSIBILITY MAY BE ASSIGNED IN ACCORDANCE WITH THE TERMS OF THAT AGREEMENT. FOR THE CONSTRUCTION AGREEMENT PERTAINING TO THIS SUBDIVISION, SEE THE SEPARATE INSTRUMENT RECORDED IN DOC# 2014052376, IN THE OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY, TEXAS.
- THE SUBDIVISION OWNER/DEVELOPER AS IDENTIFIED ON THIS PLAT IS RESPONSIBLE FOR POSTING FISCAL SURETY FOR THE CONSTRUCTION OF ALL SIDEWALKS AS SHOWN OR LISTED ON THE PLAT. WHETHER INSTALLED BY THE OWNER/DEVELOPER OR INDIVIDUAL HOME BUILDERS, IT IS THE RESPONSIBILITY OF THE OWNER/DEVELOPER TO ENSURE ALL SIDEWALKS ARE ADA COMPLIANT UNLESS A WAIVER HAS BEEN GRANTED BY TDLR.
- ALL RESIDENTIAL LOTS IN STONEY RIDGE PHASE C SECTION 1 SHALL BE SUBJECT TO THE HOME OWNERS ASSOCIATION DOCUMENTS AS RECORDED IN DOCUMENT NO. 2007113554, TRAVIS COUNTY RECORDS, TRAVIS COUNTY, TEXAS.
- TOPOGRAPHIC INFORMATION: NAVD 88.

### COMMISSIONERS' COURT RESOLUTION:

IN APPROVING THIS PLAT, THE COMMISSIONERS COURT OF TRAVIS COUNTY, TEXAS, ASSUMES NO OBLIGATION TO BUILD THE STREETS, ROADS, AND OTHER PUBLIC THROUGHFARES SHOWN ON THIS PLAT OR ANY BRIDGES OR CULVERTS IN CONNECTION THEREWITH. THE BUILDING OF ALL STREETS, ROADS, AND OTHER PUBLIC THROUGHFARES SHOWN ON THIS PLAT, AND ALL BRIDGES AND CULVERTS NECESSARY TO BE CONSTRUCTED OR PLACED IN SUCH STREETS, ROADS, OR OTHER PUBLIC THROUGHFARES OR IN CONNECTION THEREWITH, IS THE RESPONSIBILITY OF THE OWNER AND/OR DEVELOPER OF THE TRACT OF LAND COVERED BY THIS PLAT IN ACCORDANCE WITH PLANS AND SPECIFICATIONS PRESCRIBED BY THE COMMISSIONERS COURT OF TRAVIS COUNTY, TEXAS.

THE OWNER(S) OF THE SUBDIVISION SHALL CONSTRUCT THE SUBDIVISION'S STREET AND DRAINAGE IMPROVEMENTS (THE "IMPROVEMENTS") TO COUNTY STANDARDS IN ORDER FOR THE COUNTY TO ACCEPT THE PUBLIC IMPROVEMENTS FOR MAINTENANCE OR TO RELEASE FISCAL SECURITY POSTED TO SECURE PRIVATE IMPROVEMENTS. TO SECURE THIS OBLIGATION, THE OWNER(S) MUST POST FISCAL SECURITY WITH THE COUNTY IN THE AMOUNT OF THE ESTIMATED COST OF THE IMPROVEMENTS. THE OWNER(S) OBLIGATION TO CONSTRUCT THE IMPROVEMENTS TO COUNTY STANDARDS AND TO POST THE FISCAL SECURITY TO SECURE SUCH CONSTRUCTION IS A CONTINUING OBLIGATION BINDING ON THE OWNERS AND THEIR SUCCESSORS AND ASSIGNS UNTIL THE PUBLIC IMPROVEMENTS HAVE BEEN ACCEPTED FOR MAINTENANCE BY THE COUNTY, OR THE PRIVATE IMPROVEMENTS HAVE BEEN CONSTRUCTED AND ARE PERFORMING TO COUNTY STANDARDS.

THE AUTHORIZATION OF THIS PLAT BY THE COMMISSIONERS COURT FOR FILING OR THE SUBSEQUENT ACCEPTANCE FOR MAINTENANCE BY TRAVIS COUNTY, TEXAS, OF ROADS AND STREETS IN THE SUBDIVISION DOES NOT OBLIGATE THE COUNTY TO INSTALL STREET NAME SIGNS OR ERRECT TRAFFIC CONTROL SIGNS, SUCH AS SPEED LIMIT, STOP SIGNS, AND YIELD SIGNS, WHICH IS CONSIDERED TO BE A PART OF THE DEVELOPER'S CONSTRUCTION.

### ZONING AND PLATTING COMMISSION:

ACCEPTED AND AUTHORIZED FOR RECORD BY THE ZONING AND PLANNING COMMISSION OF THE CITY OF AUSTIN, TEXAS, ON THE 4th DAY OF MARCH, 2014 A.D.

*Betty Baker*  
BETTY BAKER, CHAIRPERSON

*Cynthia Banks*  
CYNTHIA BANKS, SECRETARY

ACCEPTED AND AUTHORIZED FOR RECORD BY THE DIRECTOR, PLANNING AND DEVELOPMENT REVIEW, CITY OF AUSTIN, COUNTY OF TRAVIS, TEXAS, THIS THE 6th DAY OF FEBRUARY, 2014 A.D.

*Greg Guernsey*  
GREG GUERNSEY, DIRECTOR  
PLANNING AND DEVELOPMENT REVIEW DEPARTMENT

THIS SUBDIVISION PLAT IS LOCATED WITHIN THE LIMITED PURPOSE DISTRICT OF THE CITY OF AUSTIN ON THIS THE 4th DAY OF MARCH, 2014 A.D.

STATE OF TEXAS  
COUNTY OF TRAVIS

I, DANA DEBEAUVOR, CLERK OF THE COUNTY COURT, OF TRAVIS COUNTY, TEXAS, DO HEREBY CERTIFY THAT ON THE 2nd DAY OF April, 2014 A.D., THE COMMISSIONERS' COURT OF TRAVIS COUNTY, TEXAS, PASSED AN ORDER AUTHORIZING THE FILING FOR RECORD OF THIS PLAT, AND THAT SAID ORDER WAS DULY ENTERED IN THE MINUTES OF SAID COURT.

WITNESS MY HAND AND SEAL OF OFFICE OF THE COUNTY COURT OF SAID COUNTY, THIS 24th DAY OF April, 2014 A.D.

DANA DEBEAUVOR, COUNTY CLERK, TRAVIS COUNTY, TEXAS  
*Am Perez*  
DEPUTY  
A. M. PEREZ



STATE OF TEXAS  
COUNTY OF TRAVIS

I, DANA DEBEAUVOR, CLERK OF TRAVIS COUNTY, TEXAS DO HEREBY CERTIFY THAT THE FOREGOING INSTRUMENT OF WRITING AND ITS CERTIFICATE OF AUTHENTICATION WAS FILED FOR RECORD IN MY OFFICE ON THE 24th DAY OF APRIL, 2014 A.D. AT 1:40 O'CLOCK P.M., DULY RECORDED ON THE 24th DAY OF APRIL, 2014 A.D. AT 1:40 O'CLOCK P.M., PLAT RECORDS OF SAID COUNTY AND STATE IN DOCUMENT NUMBER 201400087 OFFICIAL PUBLIC RECORDS OF TRAVIS COUNTY.

WITNESS MY HAND AND SEAL OF OFFICE OF THE COUNTY CLERK, THIS 24th DAY OF APRIL, 2014 A.D.

DANA DEBEAUVOR, COUNTY CLERK  
TRAVIS COUNTY, TEXAS  
*M. Mitchell*  
DEPUTY

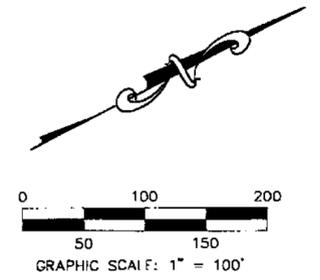
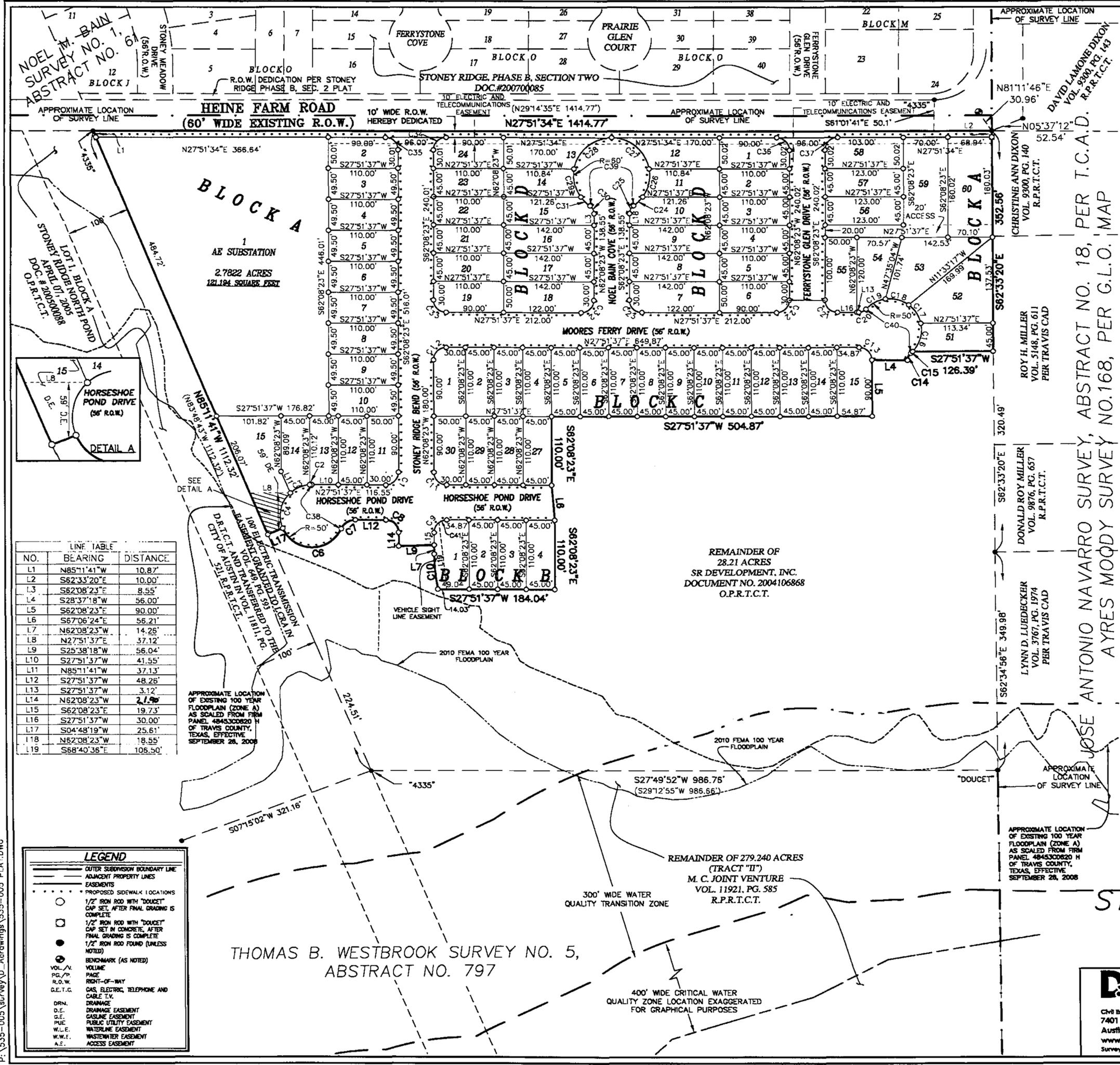


M. MITCHELL

**DA DOUCET & ASSOCIATES**  
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7401 B. Highway 71 W, Suite 160  
Austin, Texas 78735, Phone: (512)-583-2600  
www.doucetandassociates.com  
Survey Firm Registration Number: 10105800

|              |                   |
|--------------|-------------------|
| Date:        | 3/4/2014          |
| Scale:       | As Shown          |
| Drawn by:    | SHR/DRK           |
| Reviewed by: | SSX               |
| Drawing:     | 535-005A plat.dwg |
| Project No.: | 535-005A          |

201400087



LINE TABLE

| NO. | BEARING      | DISTANCE |
|-----|--------------|----------|
| L1  | N85°11'41\"W | 10.87'   |
| L2  | S62°33'20\"E | 10.00'   |
| L3  | S62°08'23\"E | 8.55'    |
| L4  | S28°37'18\"W | 56.00'   |
| L5  | S62°08'23\"E | 90.00'   |
| L6  | S67°06'24\"E | 56.21'   |
| L7  | N62°08'23\"W | 14.28'   |
| L8  | N27°51'37\"E | 37.12'   |
| L9  | S25°38'18\"W | 56.04'   |
| L10 | S27°51'37\"W | 41.55'   |
| L11 | N85°11'41\"W | 37.13'   |
| L12 | S27°51'37\"W | 48.26'   |
| L13 | S27°51'37\"W | 3.12'    |
| L14 | N62°08'23\"W | 21.96'   |
| L15 | S62°08'23\"E | 19.73'   |
| L16 | S27°51'37\"W | 30.00'   |
| L17 | S04°48'19\"W | 25.61'   |
| L18 | N62°08'23\"W | 18.55'   |
| L19 | S68°40'36\"E | 106.50'  |

CURVE TABLE

| NO. | LENGTH  | RADIUS  | DELTA      | BEARING      | CHORD  |
|-----|---------|---------|------------|--------------|--------|
| C1  | 31.42'  | 20.00'  | 90°00'00"  | N17°08'23\"W | 28.28' |
| C2  | 3.45'   | 50.00'  | 03°57'11"  | S25°52'01\"E | 3.45'  |
| C3  | 33.81'  | 50.00'  | 38°44'35"  | S04°32'09\"W | 33.17' |
| C4  | 61.40'  | 50.00'  | 70°21'33"  | S50°00'55\"E | 57.61' |
| C5  | ---     | ---     | ---        | ---          | ---    |
| C6  | 115.64' | 50.00'  | 132°31'04" | S28°32'01\"W | 91.54' |
| C7  | 28.62'  | 25.00'  | 65°35'08"  | S04°55'57\"E | 27.08' |
| C8  | 31.42'  | 20.00'  | 90°00'00"  | S72°51'37\"W | 28.28' |
| C9  | 31.42'  | 20.00'  | 90°00'00"  | S17°08'23\"E | 28.28' |
| C10 | 56.42'  | 272.00' | 11°53'02"  | N68°04'54\"W | 56.32' |
| C11 | 31.42'  | 20.00'  | 90°00'00"  | N72°51'37\"E | 28.28' |
| C12 | 31.42'  | 20.00'  | 90°00'00"  | S17°08'23\"E | 28.28' |
| C13 | 31.42'  | 20.00'  | 90°00'00"  | S72°51'37\"W | 28.28' |
| C14 | 12.72'  | 15.00'  | 48°34'58"  | S37°50'54\"E | 12.34' |
| C15 | 4.29'   | 50.00'  | 4°54'37"   | S16°00'44\"E | 4.28'  |
| C16 | 48.66'  | 50.00'  | 55°45'48"  | N46°20'57\"W | 46.76' |
| C17 | 35.93'  | 50.00'  | 41°10'18"  | S85°11'00\"W | 35.16' |
| C18 | 38.95'  | 50.00'  | 44°37'51"  | S42°16'55\"W | 37.97' |
| C19 | 35.51'  | 50.00'  | 40°41'21"  | S00°22'40\"E | 34.77' |
| C20 | 12.72'  | 15.00'  | 48°34'58"  | N03°34'08\"E | 12.34' |
| C21 | 31.42'  | 20.00'  | 90°00'00"  | N72°51'37\"E | 28.28' |
| C22 | 31.42'  | 20.00'  | 90°00'00"  | N17°08'23\"W | 28.28' |
| C23 | 31.42'  | 20.00'  | 90°00'00"  | N72°51'37\"E | 28.28' |
| C24 | 20.24'  | 60.00'  | 19°15'53"  | S16°47'19\"E | 20.15' |
| C25 | 14.40'  | 15.00'  | 55°01'01"  | S34°37'53\"E | 13.86' |
| C26 | 47.42'  | 60.00'  | 45°16'46"  | S49°05'38\"E | 46.19' |
| C27 | 84.20'  | 60.00'  | 80°24'22"  | N68°03'48\"E | 77.46' |
| C28 | 84.20'  | 60.00'  | 80°24'22"  | N12°20'34\"W | 77.46' |
| C29 | 47.42'  | 60.00'  | 45°16'46"  | N75°11'08\"W | 46.19' |
| C30 | 14.14'  | 15.00'  | 55°01'02"  | N89°38'54\"W | 13.86' |
| C31 | 20.24'  | 60.00'  | 19°15'53"  | S72°30'32\"W | 20.15' |
| C32 | 31.42'  | 20.00'  | 90°00'00"  | N17°08'23\"W | 28.28' |
| C33 | 31.42'  | 20.00'  | 90°00'00"  | N72°51'37\"E | 28.28' |
| C34 | 31.42'  | 20.00'  | 89°59'57"  | N17°08'57\"W | 28.28' |
| C35 | 31.42'  | 20.00'  | 90°00'00"  | S72°51'35\"W | 28.28' |
| C36 | 31.42'  | 20.00'  | 90°00'00"  | N72°51'35\"E | 28.28' |
| C37 | 31.42'  | 20.00'  | 89°59'57"  | S17°08'25\"E | 28.28' |
| C38 | 214.31' | 50.00'  | 2°45'35\"E | N85°04'03\"E | 84.06' |
| C39 | 303.72' | 60.00'  | 290°02'02" | N27°51'37\"E | 68.80' |
| C40 | 163.33' | 50.00'  | 187°09'55" | S72°51'37\"W | 99.80' |
| C41 | 13.19'  | 20.00'  | 37°47'44"  | S08°57'45\"W | 12.96' |

LEGEND

- OUTER SUBDIVISION BOUNDARY LINE
- ADJACENT PROPERTY LINES
- EASEMENTS
- PROPOSED SIDEWALK LOCATIONS
- 1/2" IRON ROD WITH "DOUCET" CAP SET, AFTER FINAL GRADING IS COMPLETE
- 1/2" IRON ROD WITH "DOUCET" CAP SET IN CONCRETE, AFTER FINAL GRADING IS COMPLETE
- 1/2" IRON ROD FOUND (UNLESS NOTED)
- BENCHMARK (AS NOTED)
- VOL. & PAGE, R.O.W.
- G.E.T.C.
- DRN.
- D.E.
- G.E.
- P.U.E.
- W.L.E.
- W.W.E.
- A.E.

JOSE ANTONIO NAVARRO SURVEY, ABSTRACT NO. 18, PER T.C.A.D. AYRES MOODY SURVEY NO. 168, PER G.L.O. MAP

STONEY RIDGE PHASE C SECTION 1 AUSTIN, TEXAS

**DA DOUCET & ASSOCIATES**  
 Civil Engineering - Planning - Surveying/Mapping  
 7401 B. Highway 71 W, Suite 160  
 Austin, Texas 78735, Phone: (512)-583-2600  
 www.doucetandassociates.com  
 Survey Firm Registration Number: 10105800

Date: 3/4/2014  
 Scale: 1"=100'  
 Drawn by: BM/SHR/DRK  
 Reviewed by: SSX  
 Drawing: 535-005A PLAT.DWG  
 Project No.: 535-005A

P:\535-005\survey\Drawings\535-005 PLAT.DWG



## Travis County Commissioners Court Agenda Request

**Meeting Date:** July 18, 2017

**Prepared By/Phone Number:** Patrick D. Strittmatter, CPPB, x49762;  
Jason G. Walker, CPPB, x44562

**Elected/Appointed Official/Dept. Head:** Bonnie S. Floyd, MBA, CPPB,  
CPPO, Purchasing Agent

**Commissioner Court Sponsor:** Commissioner Travillion

**Agenda Language: Approve Modification No. 3 to Contract No. 4400001705, DAVCAR Engineering Services, for Environmental Site Improvements at the East Service Center.**

**Purchasing Recommendation and Comments:** Purchasing concurs with department and recommends approval of requested action. This procurement action meets the compliance requirements as outlined by the statutes.

- **Environmental Site Improvements at the East Service Center** consists of site grading, pavement and parking design, drainage and water quality/detention ponds, and extension of all utilities to the New Purchasing Warehouse.
- Modification number three (3) compensates DAVCAR Engineering Services by increasing Reimbursables to \$4,085.00, an increase of \$1,425.00, to cover the printing of plans for the City of Austin site plan review process. This modification increases the contract amount from \$107,975.00 to \$109,400.00, resulting in a 26.53% increase of the original contract amount.
- Modification number two (2) served as compensation for additional services required to complete the new water quality ponds and obtain permits from the City of Austin and Travis County Transportation and Natural Resources (TNR). This modification was in the amount of \$8,000.00, and increased the contract amount from \$99,975.00 to \$107,975.00.

Modification number one (1) served as compensation for adding an additional scope of work to the contract. This scope change included the

design to extend two (2) water lines to the East Service Center site, one running 1,700 LF along Blue Bluff Rd and the other from the entrance of the East Service Center to the New Purchasing Warehouse, a length of 3,625 LF. These designs were required to be submitted for review by the Austin Water Utility and Travis County TNR. This modification was in the amount of \$13,512.00, and increased the contract amount from \$86,463.00 to \$99,975.00.

➤ **Contract-Related Information:**

Original Award Amount: \$86,463.00

Contract Type: Professional Services

Contract Period: Through Project Completion

➤ **Solicitation-Related Information: N/A**

Solicitations Sent:

Responses Received:

HUB Information:

% HUB Subcontractor:

➤ **Special Contract Considerations:**

Award has been protested; interested parties have been notified.

Award is not to the lowest bidder; interested parties have been notified.

Comments: Originals distributed for consultant signature and will be returned by COB 7/17/17.

➤ **Funding Information:**

Funds Reservation #: 300002817

Funding Account(s): 1148000001-522020

Comments:

# FACILITIES MANAGEMENT DEPARTMENT

Roger A. El Khoury, M.S., P.E., Director



700 Lavaca Street, Suite 1300, Austin, Texas 78701 • Phone: (512) 854-9661 • Fax: (512) 854-9226

## MEMORANDUM

**FMD Project:** ESCC-03-14R-1N

**File:** 501

**TO:** Bonnie S. Floyd, MBA, CPPB, CPPO, Purchasing Agent

**FROM:** Roger A. El Khoury, M.S., P.E., Director

**DATE:** June 26, 2017

**SUBJECT:** Environmental Site Improvements project at East Service Center  
Davcar Engineering, Contract Modification #3  
Contract No. 440001705

A handwritten signature in black ink, reading "Roger A. El Khoury", is written over the "FROM:" line of the memorandum.

This is a request for approval of increase the reimbursable expenses to Davcar Engineering for professional engineering services in support of the Site Improvements at East Service Center. The project is for design, construction documents and construction contract administration for all the civil works related to the Environmental Site Improvements project for the existing detention ponds and the new fire line.

The additional reimbursable expenses will increase the Contract Sum by \$1,425.00 from \$107,975.00 to a revised Contract Sum of \$109,400.00. The additional reimbursable expenses have no impact on the contract completion schedule.

Funds for this Contract Modification # 3 are in cost center - GL 1148000001-522020 and are encumbered under funds reservation document 300002817. Facilities Management Department recommends approval. Please call Mina Awadalla at extension 48431 if you have any questions.

### **COPY TO:**

Ken Gaede, AIA, PDC Division Manager, FMD  
Amy Draper, CPA, Administrative Division Manager, FMD  
Mina Awadalla, P.E., Project Manager, FMD  
Jason Walker, Assistant Purchasing Agent, TCPO  
Patrick Strittmatter, Purchasing Agent Assistant IV, TCPO



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DIGITAL IMAGING

**Austin**  
603 West Avenue  
Austin, TX 78701  
Phone: 512.479.5051  
cad@burksdigital.com  
color@burksdigital.com

**Westlake**  
2814 Bee Cave Rd  
Austin, TX 78746  
Phone: 512.732.0350  
wicadd@burksdigital.com

**Cedar Park**  
301 Brushy Creek Rd #100  
Cedar Park, TX 78613  
Phone: 512.401.2679  
cpcad@burksdigital.com

**Round Rock**  
221-A Texas Avenue  
Round Rock, TX 78664  
Phone: 512.218.8181  
rrcad@burksdigital.com

301 Brushy Creek Road #100 - Cedar Park, TX 78613  
Phone: 512.479.6694 - www.burksdigital.com  
ar-ap@burksdigital.com

POSTED  
2.18.17

# INVOICE

| DATE     | NUMBER |
|----------|--------|
| 02/08/17 | 633815 |

Time: 15:47:39

Div: 03

**B** DAVCAR INC  
**I** 1010 LAND CREEK COVE  
**L** SUITE 200  
**L** AUSTIN TX 78746  
**T**  
**O**

**S** DAVCAR INC  
**H** 1010 LAND CREEK COVE  
**I** SUITE 200  
**P** AUSTIN TX 78746  
**T** (512) 328-4428  
**O** Ordered By: THOMAS DUVAL

Job Name: TRAVIS CO ESC PONDS  
TERMS: Net 30 days

Freight terms: No charge

| CUSTOMER P.O.       | SHIPPED VIA | ACCOUNT # | ORDER# | SALESPERSON   | ORDER DATE |
|---------------------|-------------|-----------|--------|---------------|------------|
| TRAVIS CO ESC PONDS | Cust pickup | 04428     | 695687 | HOUSE ACCOUNT | 02/08/17   |

| ORDERED | SHIPPED | B.O. | UNIT    | ITEM NUMBER | DESCRIPTION  | UNIT PRICE | AMOUNT  |
|---------|---------|------|---------|-------------|--|------------|---------|
| 1254    | 1254    |      | SQ. FT. | 300-09      | B&W LDC (PRINT READY)<br>11 originals, 19 sets(24x36) 1254 sq ft     | 0.1800     | 225.72  |
|         |         |      |         |             | INCLUDES STAPLING & BINDING  |            |         |
| 1140    | 1140    |      | SQ. FT. | 400-35      | COLOR: LINE (PRINT READY)<br>10 originals, 19 sets(24x36) 1140 sq ft | 0.88 &     | 1003.20 |
|         |         |      |         |             | 1-15% COVERAGE   |            |         |
| 468     | 468     |      | SHEET   | 600-10      | B&W COPY: 8.5X11 20#<br>117 originals, 4 copies                      | 0.090      | 42.12   |
| 8       | 8       |      | SHEET   | 600-52      | COVER: 8.5X11 CARDSTOCK  | 0.15       | 1.20    |
|         |         |      |         |             | BLANK COVER  |            |         |
| 4       | 4       |      | EACH    | 600-15      | BINDING: COMB  | 2.490      | 9.96    |
|         |         |      |         |             | COVERS NOT INCLUDED  |            |         |

PROJECT : TRAVIS COUNTY EASTSIDE SERVICE CENTER PONDS

FOR : SITE PLAN REVISION FORMAL SUBMITTAL PACKAGE

Opened by: STEPHEN HERRERA

Sale amount 1282.20

\*\*\*\*\*  
OUR GOAL IS TO TREAT EVERY CUSTOMER  
AS PART OF OUR FAMILY  
AND YOUR PROJECTS AS OUR OWN  
\*\*\*\*\*

Balance due 1282.20

Due Date: 03/10/17

CUSTOMER COPY

SIGNED BY: \_\_\_\_\_

ORDERED BY: \_\_\_\_\_

Undersigned represents that the reproduction of the above described material does not violate any copyright laws and the undersigned agrees to indemnify and hold BURKS DIGITAL REPROGRAPHICS, Ltd. harmless against all claims for an alleged copyright infringement. BURKS DIGITAL REPROGRAPHICS, Ltd. is not responsible for consequential damages.

All claims and returned goods must be accompanied by this bill. A service charge of 1.5% per month (18% per annum) will be charged on all accounts over 30 days. The customer agrees to pay all court costs and attorney fees if suit is necessary. All claims and returned merchandise must be made within 10 days. Subject to 15% restocking charge.

# Land Use Review Site Plan Completeness Check



# Planning and Development Review Dept.

## Completeness Check Results Due:

A completeness check application must be deemed complete before formal application can be submitted.

|   |   |                                    |  |
|---|---|------------------------------------|--|
| Completeness Check Results: Incomplete        |   | 45 Day Expiration date: 02/11/2017 |  |
| Tracking #: <b>11650780</b>                   | Revision #: <b>4</b>                            | Watershed: <b>Elm Creek</b>        |  |
| Project Name: <b>10700 FM 969 ROAD Bldg A</b> |   |                                    |  |
| Ch.245 Team Review Req'd: <b>No</b>           | Orig. Submittal Date: <b>12/28/2016</b>         | Resubmittal Date:                  |  |
| Date Sent to Ch.245:                          | Current Results to Applicant: <b>01/11/2017</b> |                                    |  |
| Date Rec'd.back in LUR:                       |   |                                    |  |

### Checked for Completeness by the following reviewers:

|                       |                        |          | Complete/Incomplete | Initials |
|-----------------------|------------------------|----------|---------------------|----------|
| Drainage Construction | Joydeep Goswami        | 974-3568 | <b>Complete</b>     | JG       |
| DRD Transportation    | Sangeeta Jain          | 974-2219 | <b>Complete</b>     | SJ       |
| Site Plan             | Lynda Courtney         | 974-2810 | <b>Complete</b>     | LC       |
| Environmental         | Sue Barnett            | 974-2711 | <b>Complete</b>     | SB       |
| Water Quality Eng.    | Joydeep Goswami        | 974-3568 | <b>Complete</b>     | JG       |
| Env.Res.Mgmt.         | Fernando Hernandez     | 974-2871 | <b>InComplete</b>   | FH       |
| Floodplain            | Henry Price            | 974-1275 | <b>Complete</b>     | HP       |
| ORES                  | Andy Halm              | 974-7185 | <b>Complete</b>     | AH       |
| Utility Coord.        | Reza Sedghy            | 974-7912 | <b>Complete</b>     | RS       |
| AWU                   | Lisa Hernandez-Padilla | 972-0401 | <b>Complete</b>     | LHP      |
| UST                   | Craig Carson           | 974-3024 | <b>N/A</b>          |          |
| ATD ROW.              | Reza Sedghy            | 974-7912 | <b>Complete</b>     | RS       |
| ATD Traffic Control   | Eva Moore              | 974-7671 | <b>Complete</b>     | EMM      |

| <b>Mandatory Distribution:</b>                               |                            | <b>Case Manager: Christine Barton-Holmes</b> |                              |
|--|----------------------------|--|------------------------------|
| Rosemary Avila (SP)  | Jim Dymkowski (EV)         | Ivan Naranjo (TR)                            | David Marquez (DR/WQ)        |
| <b>Christine Barton-Holmes (SP)</b>                          | <b>Taylor Horton (EV)</b>  | Natalia Rodriguez (TR)                       | John Powell (DR/WQ)          |
| Lynda Courtney (SP)  | Mike McDougal (EV)         | <b>Jay Baker (DR/WQ)</b>                     | Tomas Rodriguez (DR/WQ)      |
| Donna Galati (SP)  | Atha Phillips (EV)         | Ron Czajkowski (DR/WQ)                       |                              |
| Scott Grantham (SP)  | Amanda Couch (TR)          | Leslie Daniel (DR/WQ)                        | RSMP                         |
| Nikki Hoelter (SP)   | Bryan Golden (TR)          | Michael Duval (DR/WQ)                        |                              |
| Vacant (SP)  | <b>Sangeeta Jain (TR)</b>  | Benny Ho (DR/WQ)                             |                              |
| Michael Simmons-Smith (SP)                                   | Scott James (TR)           | Danielle Guevara (DR/WQ)                     | Patti Dodson (HT)            |
| Pamela Abee-Tauli (EV)                                       | Danielle Morin (TR)        | Joydeep Goswami (DR/WQ)                      |                              |
| <b>Partner Department Mandatory Distribution:</b>            |                            |  |                              |
| ATD ROW  | <b>ATD Traffic Control</b> | <b>AWU Development Services</b>              | <b>AWU Pipeline Services</b> |
| <b>Electric (3)</b>  | <b>Fire</b>                | <b>Floodplain</b>                            | <b>Mapping</b>               |
| <b>Site Plan Plumbing</b>                                    | <b>Notice Team</b>         |  |                              |
| <b>Optional Distribution: Circle to receive distribution</b> |                            |  |                              |
| AWU Facilities Engineering                                   | Hydrogeologist             | <b>Industrial Waste</b>                      | <b>PARD</b>                  |
| <b>Wetlands Biologist-A Clamann</b>                          |                            |  |                              |
| ERM Review Comment (Functional Assessment):                  |                            |  |                              |

**A formal application must be filed within 45 calendar days of the initial completeness check (by 02/11/2017) or the application will expire and a new completeness check application must be filed.**

Applicants must pick up the completeness check packet at the Intake office within 72 hours of receiving a response. The City is not responsible for lost or stolen packets. **The applicant must schedule an appointment with the Intake office for formal application submittal.** Please call 974-2681, 974-2350, or 974-7208 for more information.

---

RSMP: Yes/No                      Waiver:                      Yes/No                      Onsite Drainage:                      Yes/No  
Offsite Drainage: Yes/ No

Additional Copies to:

Small Project: Yes/No

Fees: See Intake for Fees

Total # of Plans   19   / Engineering Reports   4   required at formal

The City of Austin encourages applicants to contact neighborhood organizations prior to formal submittal. To find out contact information for neighborhood associations visit our web page at <http://www.ci.austin.tx.us/neighborhoodservices/> or contact our Neighborhood Liaisons for more information: Carol Gibbs @ 974-7219 or Jody Zemel @ 974-7117.

**This project will require an Electronic Submittal (flash drive) at time of formal submittal as described in Exhibit VII of application packet. Exhibit VII Worksheet also due at time of formal submittal.**

**Comments: (Please respond to each comment in letter form)**

ERM: Pursuant to LDC 25-8-121 (or LDC 30-5-121) an Environmental Resource Inventory is required for sites:

- Over the recharge zone
- Over the contributing zone
- With a gradient of more than 15%

- **In a floodplain**
- **In a CWQZ**
- **In a WQTZ**

Please provide an ERI that meets all of the criteria described in ECM 1.3.0.

**MODIFICATION OF CONTRACT: 4400001705, Environmental Site Improvements at East Service Center**

|  |  |
|--|--|
| ISSUED BY:<br>Travis County Purchasing Office<br>P.O. Box 1748<br>Austin, Texas 78767<br>Contact: Patrick D. Strittmatter, CPPB<br>Tel. No: (512) 854-1183 | ISSUED TO:<br>Davcar Engineering Services<br>1010 Land Creek Cove, Ste 200<br>Austin, TX 78746 |
| MODIFICATION NO.: 3  | EXECUTED DATE OF ORIGINAL CONTRACT: <b>February 27, 2014</b>                                   |
| ORIGINAL CONTRACT TERM: <b>February 27, 2014</b> to <u>project completion</u>  | CURRENT CONTRACT TERM: <b>February 27, 2014</b> to <u>project completion</u>                   |
| ORIGINAL CONTRACT AMOUNT: \$ <b>86,463.00</b>  | MOD. 3 AMOUNT: \$ <b>1,425.00</b>  |
| CUMULATIVE CONTRACT AMOUNT: \$ <b>109,400.00</b>   |  |
| Note to Vendor:  |  |
| <input checked="" type="checkbox"/> Complete and execute ( <i>sign</i> ) your portion of the signature block section as tagged below.                      | Court Approval Required?   |
| <input type="checkbox"/> DO NOT execute. Retain for your records.  | <input checked="" type="checkbox"/> Yes  |
|  | <input type="checkbox"/> No  |

**DESCRIPTION OF CHANGES:** Except as provided in this Modification 3, all terms, conditions and provisions of the document referenced above, as previously modified, remain unchanged and in full force and effect.

**MODIFICATION NO. 1**  
 Reference EXHIBIT 1, SECTION 1 - COMPENSATION FOR BASIC SERVICES:  
 a. Paragraph 1.1: The stated fee for Basic Services was modified from \$83,803.00 to \$97,315.00, an increase of \$13,512.00.  
 b. Paragraph 1.1.1, (c) Construction Documents 95% Design, was modified from \$18,672.00 to \$32,184.00, an increase of \$13,512.00.  
 c. Paragraph 1.1.1 (d) Final Desgin/Permits 100%, was modified from \$17,487.00 to \$20,805.00, an increase of \$3,318.00.  
 d. Paragraph 1.1.1 (f) Construction Administration was modified from \$6,618.00 to \$3,300.00, a decrease of \$3,318.00.

Reference EXHIBIT 1, SECTION 5 - TOTAL AGREEMENT SUM. The TOTAL AGREEMENT SUM was changed from an NTE amount of \$86,463.00 (\$83,803.00 Basic Services and NTE amount of \$2,660.00 Reimbursable Expenses) to an NTE amount of \$99,975.00 (\$97,315.00 Basic Services, and NTE amount of \$2,660.00 Reimbursable Expenses) an increase of \$13,512.00.

**MODIFICATION NO. 2**  
 Reference EXHIBIT 1, SECTION 1 - COMPENSATION FOR BASIC SERVICES:  
 a. Paragraph 1.1: The stated fee for Basic Services was modified from \$97,315.00 (amended by Modification No. 1) to \$105,315.00, an increase of \$8,000.00.  
 b. Paragraph 1.1.1 (d) Final Design/Permits 100%, was modified from \$20,805.00 (amended by Modification No. 1,) to \$28,805.00, an increase of \$8,000.00.

Reference EXHIBIT 1, SECTION 5 - TOTAL AGREEMENT SUM. The TOTAL AGREEMENT SUM was changed from an NTE amount of \$99,750.00 (\$97,315.00 Basic Services (as amended by Modification No. 1) and NTE amount of \$2,660.00 Reimbursable Expenses) to an NTE amount of \$107,975.00 (\$105,315.00 Basic Services, and NTE amount of \$2,660.00 Reimbursable Expenses) an increase of \$8,000.00.

**MODIFICATION NO. 3**  
 The NTE amount of \$2,660.00 Reimbursable Expenses would increase to \$4,085.00, an increase of \$1,425.00 to cover the printing of plans for the City of Austin site plan review process. (please see page 2 for additional information) With this modification, EXHIBIT 1, SECTION 5 - TOTAL AGREEMENT SUM would change from an NTE amount of of \$107,975.00 to an NTE amount of \$109,400.00.

|   |             |
|---|-------------|
| LEGAL BUSINESS NAME: <b>Davcar Engineering Services</b><br><br>BY: _____<br>SIGNATURE<br><br>BY: _____<br>PRINT NAME<br><br>TITLE: _____<br>ITS DULY AUTHORIZED AGENT | DATE: _____ |
| TRAVIS COUNTY, TEXAS<br><br>BY: _____<br>BONNIE S. FLOYD, MBA, CPPO, CPPB, TRAVIS COUNTY PURCHASING AGENT   | DATE: _____ |
| TRAVIS COUNTY, TEXAS<br><br>BY: _____<br>SARAH ECKHARDT, TRAVIS COUNTY JUDGE  | DATE: _____ |

The City of Austin requires 19 copies of the site plan set and 4 copies of the engineering report be provided for their review.

Scope of Work

Site Plan Revision Submittal of site plan revision package including plans, engineering report and application.

Address City comments as needed.

| <u>Item</u>                              | <u>Amount</u>     |
|--|-------------------|
| Site Plan Revision Submittal             | 0.00              |
| <u>Reproduction of Submittal Package</u> | <u>\$1,410.42</u> |
| Total                                    | \$1,410.42        |

“DAVCAR, Inc (dba DAVCAR Engineering Services) is requesting reimbursement for \$1,410.42 in expenses related to reprographics costs (including color copies) required as part of the site plan revision review process with the City of Austin. The amount of \$1,410.42 is comprised of \$1,282.20 plus \$128.22 a 10% handling fee, which is standard language in the contract agreement.”

Please see “Burks Invoice TCESC” and “COA Land Use Review” for backup documentation.



## **Travis County Commissioners Court Voting Session Agenda Request**

**Meeting Date:** June 27, 2017

**Agenda Language:**

Consider and take appropriate action on legislative matters, including:

- A. Overview of Special Session beginning July 18, 2017;
- B. Letter to legislators regarding State collection and misuse of local taxpayer dollars; and
- C. Modifications to the Priorities, Policy Positions, and Positions on Other Proposals sections of the Travis County Legislative Agenda.

**Prepared By/Phone Number:** Deece Eckstein, IGR (854-9754)

**Elected/Appointed Official or Department Head:** Deece Eckstein, IGR Officer

**Commissioners Court Sponsor(s):** Judge Eckhardt

**Background/Summary of Request and Attachments:**

Governor Greg Abbott has called a Special Session of the Texas Legislature to begin today, Tuesday, July 18, 2017. Governor Abbott issued the [Proclamation](#) calling the session on July 10, calling for the Legislature to address extending the Sunset dates for five state agencies. The Governor has also issued a [Draft Supplemental Call](#) in which he identifies 19 additional issues he wants to the Legislature to consider once it has made progress on the first issue he assigned.

Also, during last week's discussion of the future of LIRAP, the Court discussed several situations where the state collected a specific purpose fee, and then refused to use that fee revenue for the purposes for which it was intended. The Court asked IGR to draft a letter to the Travis County legislative delegation on the issue of legislative misuse of local taxpayer dollars, and make it available to the Court for discussion and action today.

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.

## **Staff Recommendations:**

IGR recommends that the Court approve and execute a letter to the members of the Travis County legislative delegation.

## **Issues and Opportunities:**

Several topics included in the Draft Supplemental Call are relevant to counties:

### *Revenue Caps*

- Legislation reforming the laws governing ad valorem property taxes.
- Legislation using population growth and inflation to establish a spending limit for political subdivisions.

### *Land Use*

- Legislation protecting the private property rights of land owners from political subdivision rules, regulations, or ordinances that interfere with, delay, or restrict private property owners' ability to use or enjoy their property.
- Legislation expediting the issuance of permits by political subdivisions and reforming the laws governing the issuance of permits by political subdivisions.
- Legislation preventing political subdivisions from imposing on private property additional or enhanced regulations that did not exist at the time the property was acquired.
- Legislation reforming the authority of municipalities to annex territory, to exert control over territory, or to regulate the use of annexed land or land in a municipality's extraterritorial jurisdiction.

### *Preemption/Local Control*

- Legislation preempting local regulation of the use of hand-held mobile communication devices while driving.
- Legislation regarding the use of multi-occupancy showers, locker rooms, restrooms, and changing rooms.
- Legislation prohibiting state or local government entities from deducting labor union or employee organization membership fees or dues from the wages of public employees.

### *Elections*

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.

- Legislation enhancing the detection, prosecution, and elimination of mail-in ballot fraud.

Bill filing began on Monday, July 10. We are using the Telicon system to identify, track and analyze legislation related to these topics. Once again, we are grateful to the subject matter experts who provide invaluable insights into these bills.

Bexar County and Dallas County have already published documents encouraging the Legislature to focus on school finance reform as the only way to provide meaningful property tax relief. Newspapers editorial boards and columnists have also made the same point. It seems likely that school finance reform will be an important topic during the special session.

Because of the constitutional 30-day limit on special sessions, this session will conclude no later than Wednesday, August 16, 2017. The Governor reserves the right to call additional special sessions if he deems them necessary.

**Fiscal Impact and Source of Funding:** N/A.

**Required Authorizations:** None.

**ATTACHMENTS:**

1. Governor Greg Abbott, Proclamation Calling a Special Session of the Texas Legislature, July 10, 2017.
2. Governor Greg Abbott, Draft Supplemental Call for the Special Session of the Texas Legislature, July 10, 2017.
3. Bexar County Commissioners Court, “Resolution Condemning the Hypocrisy of Special Session Tax Gimmicks While Calling for Meaningful Tax Relief as Proposed by Speaker Straus,” July 11, 2017.
4. Dallas County Commissioners Court Administration, “Memo to the Legislature on Property Tax Reform and Dallas County,” July 12, 2017.

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week’s voting session.

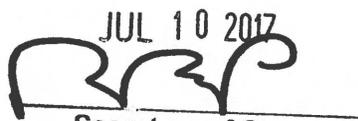


GOVERNOR GREG ABBOTT

July 10, 2017

The Honorable Rolando B. Pablos  
Secretary of State  
State Capitol Room 1E.8  
Austin, Texas 78701

FILED IN THE OFFICE OF THE  
SECRETARY OF STATE  
9:55 AM O'CLOCK

JUL 10 2017  
  
Secretary of State

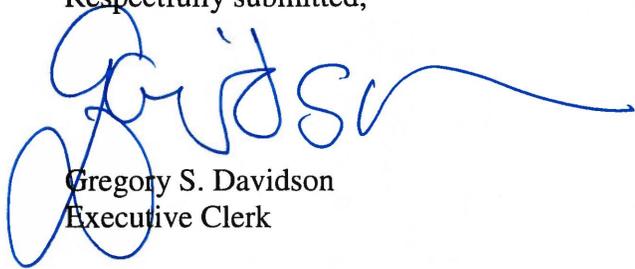
Dear Mr. Secretary:

Pursuant to Article III, Section 5 and Article IV, Section 8 of the Texas Constitution, Governor Greg Abbott has issued the following proclamation:

Calling an extraordinary session of the 85th Legislature, to convene in the City of Austin, commencing at 10 a.m. on Tuesday, July 18, 2017.

The official copy of this order is attached to this letter of transmittal.

Respectfully submitted,

A handwritten signature in blue ink, appearing to read "GSD", with a long horizontal flourish extending to the right.

Gregory S. Davidson  
Executive Clerk

GSD/gsd

Attachment

**PROCLAMATION**  
BY THE  
**Governor of the State of Texas**

---

---

**TO ALL TO WHOM THESE PRESENTS SHALL COME:**

WHEREAS, the legislature adjourned its 85th regular session without extending the existence of the Texas Medical Board, the Texas State Board of Examiners of Psychologists, the Texas State Board of Examiners of Marriage and Family Therapists, the Texas State Board of Examiners of Professional Counselors, and the Texas State Board of Social Worker Examiners; and

WHEREAS, without legislative action, these five agencies will be abolished on September 1, 2017, pursuant to the Texas Sunset Act, Chapter 325, Government Code, and statutory law applicable to these agencies; and

WHEREAS, the continuation of these agencies is important to the operation of the professions subject to oversight by these agencies; and

WHEREAS, the people of Texas have placed the constitutional power to call the legislature into special sessions in the hands of the governor; and

WHEREAS, as soon as the Senate passes all bills necessary to extend the existence of the five state agencies referenced above, I intend to add to this first called session of the 85th Legislature the items I announced on June 6, 2017, including matters such as teacher compensation and retention practices, school finance reform, education options for students with special needs, property tax reform, constraining the growth of state and local government, municipal regulatory and annexation reforms, protection of property rights, privacy, the governmental collection of union dues, pro-life legislation, and mail-in ballot reforms; and

WHEREAS, bills related to the matters that I intend to add to this first called session of the 85th Legislature may be filed and may begin to be considered by the legislature before those matters are added to the call;

NOW, THEREFORE, I, GREG ABBOTT, GOVERNOR OF THE STATE OF TEXAS, by the authority vested in me by Article III, Section 5 and Article IV, Section 8 of the Texas Constitution, do hereby call an extraordinary session of the 85th Legislature, to convene in the City of Austin, commencing at 10 a.m. on July 18, 2017, for the purpose of considering the following:

Legislation amending sections 151.004, 501.005, 502.003, 503.005, and 505.005 of the Texas Occupations Code to extend the expiration dates applicable to the Texas Medical Board, the Texas State Board of Examiners of Psychologists, the Texas State Board of Examiners of Marriage and Family Therapists, the Texas State Board of Examiners of Professional Counselors, and the Texas State Board of Social Worker Examiners.

The Secretary of State will take notice of this action and will notify the members of the legislature of my action.

**FILED IN THE OFFICE OF THE  
SECRETARY OF STATE  
9:55AM O'CLOCK**

**JUL 10 2017**



IN TESTIMONY WHEREOF, I have hereto signed my name and have officially caused the Seal of the State to be affixed at my Office in the City of Austin, Texas, this the 10th day of July 2017.

  
\_\_\_\_\_  
GREG ABBOTT  
Governor of Texas

Attested by:

  
\_\_\_\_\_  
Rolando B. Pablos  
Secretary of State

FILED IN THE OFFICE OF THE  
SECRETARY OF STATE  
9:55AM O'CLOCK  
JUL 10 2017

**NOTICE: This document is an unsigned working draft. It is being released for informational and discussion purposes only. It is subject to change. It has no legal effect.**

# **PROCLAMATION (DRAFT)**

BY THE

**Governor of the State of Texas**

---

---

**TO THE SENATE AND HOUSE OF REPRESENTATIVES OF THE EIGHTY-FIFTH TEXAS LEGISLATURE, FIRST CALLED SESSION:**

WHEREAS, the people of Texas have placed the constitutional power to call the legislature into special sessions in the hands of the governor; and

WHEREAS, the members of the 85th Legislature, first called session, have now convened to consider items presented to them by the governor;

NOW, THEREFORE, I, GREG ABBOTT, GOVERNOR OF THE STATE OF TEXAS, by the authority vested in me by Article III, Section 40 and Article IV, Section 8 of the Texas Constitution, do hereby present the following subject matter to the 85th Legislature, first called session, for consideration:

Legislation to increase the average salary and benefits of Texas teachers; and legislation to provide a more flexible and rewarding salary and benefit system for Texas teachers.

Legislation establishing a statewide commission to study and recommend improvements to the current public school finance system.

Legislation to empower parents of children with special needs or educational disadvantages to choose an educational provider that is best for their child.

Legislation reforming the laws governing ad valorem property taxes.

Legislation using population growth and inflation to establish a spending limit for state government.

**NOTICE: This document is an unsigned working draft. It is being released for informational and discussion purposes only. It is subject to change. It has no legal effect.**

Legislation using population growth and inflation to establish a spending limit for political subdivisions.

Legislation protecting the private property rights of land owners from political subdivision rules, regulations, or ordinances that interfere with, delay, or restrict private property owners' ability to use or enjoy their property.

Legislation expediting the issuance of permits by political subdivisions and reforming the laws governing the issuance of permits by political subdivisions.

Legislation preventing political subdivisions from imposing on private property additional or enhanced regulations that did not exist at the time the property was acquired.

Legislation reforming the authority of municipalities to annex territory, to exert control over territory, or to regulate the use of annexed land or land in a municipality's extraterritorial jurisdiction.

Legislation preempting local regulation of the use of hand-held mobile communication devices while driving.

Legislation regarding the use of multi-occupancy showers, locker rooms, restrooms, and changing rooms.

Legislation prohibiting state or local government entities from deducting labor union or employee organization membership fees or dues from the wages of public employees.

Legislation prohibiting financial transactions between a governmental entity and an abortion provider or affiliate of the abortion provider.

Legislation restricting health plan and health benefit plan coverage for abortions.

**NOTICE: This document is an unsigned working draft. It is being released for informational and discussion purposes only. It is subject to change. It has no legal effect.**

Legislation strengthening the laws applicable to the reporting of abortions and abortion complications to the Department of State Health Services.

Legislation enhancing patient protections contained in the procedures and requirements for do-not-resuscitate orders.

Legislation enhancing the detection, prosecution, and elimination of mail-in ballot fraud.

Legislation continuing the operation and expanding the duties of the Maternal Mortality and Morbidity Task Force to ensure action is taken to reduce the maternal mortality rate in Texas.

Legislation adjusting the scheduling of Sunset Commission review of state agencies.

**STATE OF TEXAS            §        RESOLUTION**  
**COUNTY OF BEXAR        §**

**CONDEMNS THE HYPOCRISY OF SPECIAL SESSION TAX GIMMICKS WHILE CALLING FOR  
MEANINGFUL TAX RELIEF AS PROPOSED BY SPEAKER STRAUS**

WHEREAS, the Bexar County Commissioners Court is outraged by the hypocrisy of some state leaders blaming higher appraisals on county and municipal governments when SB1 mandates a 13.81 percent appraisal and tax increase over the next two years. Specifically, the state budget requires school property tax hikes on page III-5 from SB1 in the Formula Funding paragraph of Rider 3, stating "Property values, and the estimates of local tax collections on which they are based, shall be increased by 7.04 percent for tax year 2017 and by 6.77 percent for tax year 2018;" and

WHEREAS, county property taxes as a share of Texans' personal income have remained essentially flat for decades; and

WHEREAS, the Bexar County property tax bill went down approximately \$16 between 2011 and 2015 while the average school tax bill in Bexar County went up \$14.77 during that same period; and

WHEREAS, the State does not adequately or appropriately fund public education, using rising local property values to reduce its funding obligation to school districts in Bexar County and across the state, leading to increased property tax burdens; and

WHEREAS, the reduction in the State's funding of schools has allowed the State to shift general fund revenue paid by taxpayers for use in education to other State priorities, resulting in both a lack of transparency in taxation and an overreliance on local property taxes; and

WHEREAS, more than half of the average taxpayer's property tax burden is due to school taxes while, on average, only 12 percent of a Bexar County resident's property tax burden is due to Bexar County taxes; and

WHEREAS, the Texas Supreme Court described the current public education funding system in the State of Texas ("the State"), as "Byzantine, undeniably imperfect, with immense room for improvement;" and

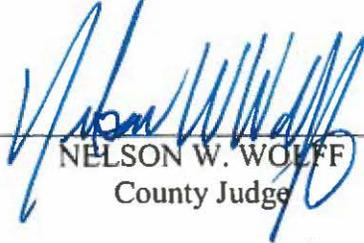
WHEREAS, the court is concerned that vilifying school districts as the cause of taxpayer increases is counter-productive to economic development in the state of Texas because the Information Technology Economy requires greater resources for education not less; and

WHEREAS, Bexar County supports a revision to the current public education funding system utilized by the State to ensure that the State adequately and fairly funds public education, including real tax relief proposals by leaders like Speaker Joe Straus by using the state's "Rainy Day Fund";

NOW THEREFORE, BE IT RESOLVED that the Commissioners Court of Bexar County, Texas calls on the people of Bexar County to call Gov. Abbott and Lt. Gov. Patrick and other Texas Legislators to protest the 13.81 percent hidden tax in SB1 and the Bexar County Commissioners Court does hereby petition the Texas Legislature to take action during the upcoming special session of the 85<sup>th</sup>

Legislature to support and pass legislation ensuring adequate and fair funding to all public independent school districts as a way to achieve meaningful property tax relief.

PASSED AND APPROVED by the Bexar County Commissioners Court on this the 11<sup>th</sup> day of July, 2017.



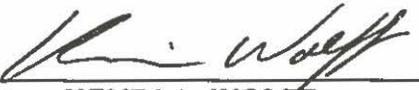
NELSON W. WOLFF  
County Judge



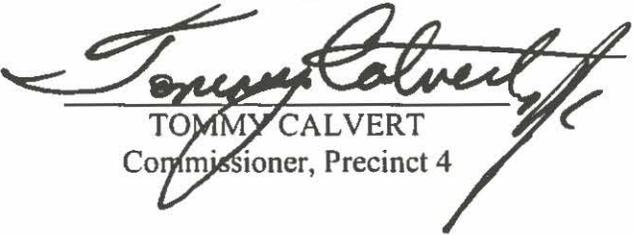
SERGIO "CHICO" RODRIGUEZ  
Commissioner, Precinct 1



PAUL ELIZONDO  
Commissioner, Precinct 2



KEVIN A. WOLFF  
Commissioner, Precinct 3



TOMMY CALVERT  
Commissioner, Precinct 4



# Dallas County

## Commissioners Court Administration

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### Property Tax Reform and Dallas County

The Dallas County Commissioners Court consistently provides State mandated services while maintaining one of the lowest tax rates, tax burdens, and the lowest debt-per-capita in the State of Texas. This has been accomplished through a combination of management, responding to constituents needs, and statesmanship. Because of this, we feel we are uniquely qualified to make recommendations to the Legislature on how to lower the property tax burden in Dallas County and in the State of Texas. Below are the best actions the State should take to address rising property taxes.

1. **The State of Texas should reform school finance.** School districts make up at least half of a homeowner's property tax bill. The State's continued reliance on school property taxes to fund schools instead of appropriating State revenues has systematically created the property tax problem. This is most evident in the State budget for the 85th Legislative Session which will increase local school property taxes by nearly 14%. Page III-5 of the State budget reads, "Property values, and the estimates of local tax collections on which they are based, shall be increased by 7.04 percent for tax year 2017 and 6.77 percent for tax year 2018." The average homeowner in the DISD will see a property tax increase over the next two years of \$280.
2. **The State of Texas should stop raising school property taxes.** Over the last ten years, the State of Texas has increased school property taxes by 44%, or \$684 per year for average homeowners in Dallas Independent School District. This has been achieved by systematically shifting public school funding away from State aid and to local property taxes. In 2008, Texas schools were funded 44.8% through local property taxes, 44.9% through State aid, and 10.3% through federal aid. In 2017, Texas schools are funded 51.5% through local property taxes, 38.4% State aid, and 10% through federal aid. To put that into perspective, the State of Texas has raised school property taxes from \$18.2 billion to \$26.2 billion in ten years.
3. **The State of Texas should stop shifting costs to local governments.** If the State of Texas simply paid its own bills, Dallas County homeowners would save 17% or \$103 per year in lowered property taxes. In Dallas County alone, we spend \$145 million per year on services the State is supposed to provide such as criminal indigent defense, state prisoners in our jail, and appointment of counsel in CPS cases. These are all state responsibilities that counties are mandated to pay for without adequate or any reimbursement.
4. **The State of Texas should leave the decision point of taxation at the local level and out of Austin.** Taxpayers have decided, and should continue to decide, what level of taxation is best through the election of our local officials. There is no need to move away from the traditional and conservative Texas model of governance, which empowers local officials, to a state centralized model, like California, where Austin decides how local jurisdictions raise and lower taxes.

## Item 25 - ADDITIONAL

July 18, 2017

The Honorable Members of the Travis County Legislative Delegation  
Texas Capitol Building  
Austin, Texas

Dear Members:

The Commissioners Court is committed to good stewardship of our taxpayers' dollars. Over the last five fiscal years, we've lowered the property tax rate from \$.5001 to \$.3838. In fact, the tax bill for the average Travis County homestead actually *declined* by \$6 between FY 2014 and FY 2017.

County taxes are only one of the property taxes our citizens have to pay. And there is no question that our school finance system is in crisis, putting upward pressure on local property taxes. In fact, the recently adopted budget requires an increase of local school property taxes of nearly 14% over the next two years. Page III-5 of the State budget reads, "Property values, and the estimates of local tax collections on which they are based, shall be increased by 7.04 percent for tax year 2017 and 6.77 percent for tax year 2018." This mandated increase in local property taxes represents a multi-billion dollar abrogation of the State's responsibility "to make suitable provision for the support and maintenance of an efficient system of free public schools." (Texas Constitution, Art. VII, Sec. 1)

This is not the only example of fiscal irresponsibility on the Legislature's part. For some years now, the Legislature has levied and collected fees from local taxpayers and then withheld or diverted the money to other purposes. Some examples:

- ★ LIRAP/LIP – Travis County began participating in this program in 2006, charging a \$2 fee on all vehicle inspections to get polluting cars off the road. However, the Legislature returned only a fraction of these revenues to local LIRAP programs. (Eventually, our delegation took action to insert a "full funding" rider into the budget in 2013). Now the Governor has vetoed all funding for the program, but the fee is still being assessed, pending forthcoming action by this Court.
- ★ Sporting goods tax – In 1993 the Legislature designated sales tax receipts from sporting goods sales to the Texas Parks and Wildlife Department (TPWD) and the Texas Historical Commission (THC). However, beginning in the 2004-2005 biennium the Legislature did not allocate the full amount of those receipts to those agencies, retaining some revenues to certify the budget. That problem was not corrected until the 2016-2017 biennium, and hundreds of millions of dollars were diverted from TPWD and THC in the meantime.
- ★ 9-1-1 Fee – The fee is paid by users of telephone landlines and cell phones (including prepaid), and is generally \$.50 per month. It is intended for use to fund local and regional emergency communications systems, including the 9-1-1 service upon which we all rely. However, the Legislature began using some of these revenues to certify the budget; in 2012-12, for example, only \$76.8 million of \$113 million estimated revenues were appropriated.

These examples show both a lack of transparency and poor budgetary management. As the special session convenes today, we encourage you to focus on real solutions to the challenges facing Texas, and to accountability and transparency in your budgetary decisions. Most prominently, we encourage you to take steps to fix our broken school finance system. Only if this issue is addressed can the Legislature hope to provide meaningful property tax relief to local taxpayers, since school taxes represent 55% of the average Texan's property tax bill. As Ways and Means Committee chair Dennis Bonnen said on the House floor on May 24, 2017, "I'm not sure of any other way for this body to lower property taxes" other than adequately fund the school finance system. "Every dollar we put towards that system could be a dollar that could bring down a property tax bill for a taxpayer."

We look forward to working with you during the special session. Thank you for your service to the people of Travis County and the State of Texas.

Sincerely,

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SARAH ECKHARDT  
COUNTY JUDGE

---

JEFFREY W. TRAVILLION, SR.  
COMMISSIONER, PCT. 1

---

BRIGID SHEA  
COMMISSIONER, PCT. 2

---

GERALD DAUGHERTY  
COMMISSIONER, PCT. 3

---

MARGARET J. GÓMEZ  
COMMISSIONER, PCT. 4

Delegation members:

- The Honorable Dawn Buckingham
- The Honorable Donna Campbell
- The Honorable Kirk Watson
- The Honorable Judith Zaffirini
- The Honorable Dawnna Dukes
- The Honorable Gina Hinojosa
- The Honorable Donna Howard
- The Honorable Celia Israel
- The Honorable Eddie Rodriguez
- The Honorable Paul Workman



## Travis County Commissioners Court Voting Session Agenda Request

**Meeting Date:** 7/18/2017, 9:00 AM

### **AGENDA LANGUAGE:**

Consider and take appropriate action on the following offers for Tax Resale Deeds:

- A.) Travis County, et al v. Hill Country Trust (Attree Development Corp. Tax Resale Deed)
- B.) Travis County, et al v. Thomas J. Scott, III (Attree Development Corp. Tax Resale Deed)
- C.) Travis County, et al v. Charles Tukacs & Magdalena Tukacs (Attree Development Corp. Tax Resale Deed)
- D.) Travis County, et al v. Richard C. & Thelma Dinsmore (The Brohn Group, LLC Tax Resale Deed)
- E.) Travis County, et al v. Edwin H. & Wanda R. Block, Jr. (The Brohn Group, LLC Tax Resale Deed)
- F.) Travis County, et al v. The Pfeiffe and Weiffe Family Trust (The Brohn Group, LLC Tax Resale Deed)
- G.) Travis County, et al v. ICP Capital, LLC (Awni Afif Yousef Tax Resale Deed)
- H.) Travis County, et al v. I Buy Land, LLC (White Rhino Development Corp. Tax Resale Deed)

[This item may be taken into Executive Session under the consultation with Attorney exception pursuant to Tex. Gov't Code Ann. Sec. 551.071(1)(A).]

**Prepared By/Phone Number:** J. Elliott Beck, County Attorney's Office, 854-9565

**Elected/Appointed Official/Dept. Head:** David Escamilla, County Attorney  
**Commissioners Court Sponsor:** Judge Sarah Eckhardt

### **BACKGROUND/SUMMARY OF REQUEST AND ATTACHMENTS:**

n/a

### **STAFF RECOMMENDATIONS:**

n/a

**ISSUES AND OPPORTUNITIES:**

n/a

**FISCAL IMPACT AND SOURCE OF FUNDING:**

n/a

**REQUIRED AUTHORIZATIONS:**

**J. Elliott Beck, Assistant County Attorney**

## Item 26 - ADDITIONAL

July 18, 2017 COMMISSIONERS COURT MEETING

### CONSENT ITEMS 26 A-H

Per the County Judge's request the County Attorney has submitted 8 tax resale offers to the Commissioner's Court as Consent items. The Court may approve all 8 offers as Consent items or remove one or more offers from the Consent agenda for consideration in Executive Session or other appropriate action. If all 8 tax resale deeds are approved the tax jurisdictions will receive \$58,904.00 to be divided pro rata.

| Item #                             | Offer Amount | Taxes Due  | School District   | TCAD Value                     | Billing # | Comments                      |
|------------------------------------|--------------|--|-------------------|--------------------------------|-----------|-------------------------------|
| A<br>Attree Development Corp.      | \$3,100.00   | \$3,226.15<br>for tax years<br>2008-2015               | Lago Vista<br>ISD | 2016 Value<br>= \$3,000.00     | 49871     | 21200<br>Winslow<br>Cv.       |
| B<br>Attree Development Corp.      | \$5,775.00   | \$6,019.99<br>for tax years<br>2009-2015               | Lago Vista<br>ISD | 2016 Value<br>= \$8,000.00     | 58118     | 20808<br>Greenpark<br>Dr.     |
| C<br>Attree Development Corp.      | \$3,100.00   | \$11,701.03<br>for tax years<br>1990-2008              | Lago Vista<br>ISD | 2016 Value<br>= \$3,000.00     | 50017     | 2504<br>Newton<br>Ave.        |
| D<br>The Brohn Group, LLC          | \$9,375.00   | \$14,559.62<br>for tax years<br>1990-2005              | Lago Vista<br>ISD | 2016 Value<br>= \$8,000.00     | 55690     | 20711 High<br>Dr.             |
| E<br>The Brohn Group, LLC          | \$11,875.00  | \$16,352.34<br>for tax years<br>1989-2008              | Lago Vista<br>ISD | 2016 Value<br>= \$8,000.00     | 58212     | 4222<br>Hillside Dr.          |
| F<br>The Brohn Group, LLC          | \$19,700.00  | \$19,299.96<br>for tax years<br>2006-2016              | Lago Vista<br>ISD | 2016 Value<br>=<br>\$35,000.00 | 50092     | 20808<br>Highland<br>Lake Dr. |
| G<br>Awni Afif Yousef              | \$3,279.00   | \$3,301.23<br>for tax years<br>2009-2016               | Lago Vista<br>ISD | 2016 Value<br>= \$8,050.00     | 55499     | 20205 Lewis<br>Cv.            |
| H<br>White Rhino Development Corp. | \$2,700.00   | \$2,693.14<br>for tax years<br>2009-2012,<br>2014-2016 | Lago Vista<br>ISD | 2016 Value<br>= \$4,500.00     | 63624     | 7109<br>Chippowa<br>Cv.       |



## Travis County Commissioners Court Agenda Request

**Meeting Date:** July 18, 2017 **Executive Session**

**Prepared By:** Greg Chico, Real Estate Manager **Phone #:** (512) 854-4659

**Division Director/Manager:** Greg Chico, Real Estate Manager

**Department Head:** Steven M. Manilla, P.E., County Executive-TNR

**Sponsoring Court Member:** Commissioner Travillion, Precinct One

**AGENDA LANGUAGE:** Consider and take appropriate action on a counter-offer received for Travis County's proposed purchase of Parcel #11 and #11E, required as right-of-way for planned roadway improvements to Cameron Road in Precinct 1 as part of the County's 2011 Bond program.

(EXECUTIVE SESSION PURSUANT TO GOV'T. CODE ANN 551.071, Consultation with Attorney; and GOV'T CODE ANN 551.072, Real Property)

### BACKGROUND/SUMMARY OF REQUEST:

### STAFF RECOMMENDATIONS:

### ISSUES AND OPPORTUNITIES:

### FISCAL IMPACT AND SOURCE OF FUNDING:

### ATTACHMENTS/EXHIBITS:

### REQUIRED AUTHORIZATIONS:

|                         |                   |     |                |
|-------------------------|-------------------|-----|----------------|
| Sydnia Crosbie          | Financial Manager | TNR | (512) 854-7682 |
| Steven M. Manilla, P.E. | County Executive  | TNR | (512) 854-9429 |

### CC:

|                      |                        |      |                |
|----------------------|------------------------|------|----------------|
| Michael Mitchell     | Right-of-Way Agent     | TNR  | (512) 854-7647 |
| Chiddi N'Jie, P.E.   | Engineer, Sr.          | TNR  | (512) 854-7585 |
| Donna Williams-Jones | Financial Analyst, Sr. | TNR  | (512) 854-9383 |
| Chris Gilmore        | Asst. County Attorney  | TCAO | (512) 854-9455 |

### **GC:GC:ls**

**3105 - Public Works/ROW- Parcel #11, Parcel #11E; Cameron Road 2011 Bond; Agenreq359**



## Travis County Commissioners Court Agenda Request

**Meeting Date:** July 18, 2017 **Executive Session**

**Prepared By:** Melinda Mallia, Natural Resources Program Manager **Phone #:**  
(512) 854-4460

**Division Director/Manager:** Jon White, NREQ Division Director

**Department Head:** Steven M. Manilla, P.E., County Executive - TNR

**Sponsoring Court Member:** Commissioner Daugherty, Precinct Three

**AGENDA LANGUAGE:** Consider and take appropriate action on the acquisition of approximately 70 acres of land in Precinct Three in connection with the Balcones Canyonlands Conservation Plan and the associated transfer of funds from the BCP Fund Allocated Reserve.

### BACKGROUND/SUMMARY OF REQUEST:

### STAFF RECOMMENDATIONS:

### ISSUES AND OPPORTUNITIES:

### FISCAL IMPACT AND SOURCE OF FUNDING:

### ATTACHMENTS/EXHIBITS:

### REQUIRED AUTHORIZATIONS:

|                         |                        |     |                |
|-------------------------|------------------------|-----|----------------|
| Sydnia Crosbie          | Financial Manager      | TNR | (512) 854-7682 |
| Steven M. Manilla, P.E. | County Executive       | TNR | (512) 854-9429 |
| Cynthia McDonald        | Deputy Chief           | TNR | (512) 854-9418 |
| Jon White               | NREQ Division Director | TNR | (512) 854-7214 |

### **CC:**

|                     |                              |     |                |
|---------------------|------------------------------|-----|----------------|
| Christopher Gilmore | Asst County Attorney         | CAO | (512) 854-9455 |
| Mike Martino        | Right-of-Way Agent           | TNR | (512) 854-7646 |
| Kayla Miloy         | Environmental Specialist     | TNR | (512) 854-7213 |
| Linda Laack         | Natural Resources Supervisor | TNR | (512) 854-1685 |

**MM:JW:ifs**

**0901 - BCP- Land Acquisition**



# Travis County Bee Cave Road District No. 1 Voting Session Agenda Request

**Meeting Date:** July 18, 2017

**Agenda Language:**

Consideration and approval of an order authorizing the issuance and sale of Travis County Bee Cave Road District No. 1 Unlimited Tax Refunding Bonds, Series 2017; In accordance with certain parameters; Prescribing the terms and provisions thereof; Levying a tax and providing for the security and payment thereof; Authorizing the execution and delivery of a purchase contract, a paying agent/registrar agreement and escrow agreement; Calling bonds for redemption; and Enacting other provisions thereto.

**Prepared By/Phone Number:** Travis R. Gatlin, 512-854-9065

**Elected/Appointed Official or Department Head:** Jessica Rio, County Executive  
Planning and Budget

**Board of Director Sponsor(s):** Director Gerald Daugherty

**Background/Summary of Request and Attachments:**

The Planning and Budget Office, County Auditor's Office, and the County's Independent Municipal Advisor, PFM, regularly monitor refunding possibilities so that when the appropriate opportunity arrives to save taxpayer resources, an item can be brought forward for consideration. Based on current market conditions, PFM believes there is an ideal opportunity to refund a portion of the Travis County Bee Cave District's outstanding debt in order to reduce interest costs. The issuance of refunding bonds is a refinancing mechanism that allows the District to reduce its interest costs on debt by paying off a portion of or all outstanding maturities of previously issued bonds with the proceeds of new refunding bonds issued at a lower interest rate.

On June 20, PBO discussed the exploration of such a refunding with the Board of Directors. Since that time, PBO has worked closely with PFM, bond counsel and the County Auditor's Office to identify the appropriate transaction as a competitively procured direct placement with a bank rather than a public offering, believing this type of transaction can provide comparable savings and transaction process efficiencies, relative to a public offering, given the non-rated nature and unique credit structure of the District's debt. Based on the results of this process, we believe the District should move forward with the refunding to reduce interest costs.

The District's total outstanding principal is \$11,525,000 from the debt issued in August 2008. The final debt service requirement is scheduled to end in 2032; the proposed refunding would not result in a change to this final maturity date. Per the parameters, the issuance shall not exceed \$11,500,00 of refunding bonds and will result in a net present

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.

values savings of not less than 3.500% of the principal amount being refunded (after all costs of issuance) and the true interest cost of the bonds shall not exceed 4.500%.

**Staff Recommendations:**

The Planning and Budget Office recommends approval of the item in order to reduce the District's outstanding debt service.

**Issues and Opportunities:**

N/A

**Fiscal Impact and Source of Funding:**

N/A

**Required Authorizations:**

**Jessica Rio, Planning and Budget Office, (512) 854-9106**

**Joe Hon, County Judge's Office, (512) 854-1123**

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.

**ORDER**  
**AUTHORIZING THE ISSUANCE OF**

**TRAVIS COUNTY BEE CAVE ROAD DISTRICT NO. 1**  
**UNLIMITED TAX REFUNDING BONDS,**  
**SERIES 2017**

**Adopted: July 18, 2017**

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Schedule I – Refunded Obligation Candidates ..... Schedule I

AN ORDER AUTHORIZING THE ISSUANCE AND SALE OF TRAVIS COUNTY BEE CAVE ROAD DISTRICT NO. 1 UNLIMITED TAX REFUNDING BONDS, SERIES 2017; IN ACCORDANCE WITH CERTAIN PARAMETERS; PRESCRIBING THE TERMS AND PROVISIONS THEREOF; LEVYING A TAX AND PROVIDING FOR THE SECURITY AND PAYMENT THEREOF; AUTHORIZING THE EXECUTION AND DELIVERY OF A PURCHASE CONTRACT, A PAYING AGENT/REGISTRAR AGREEMENT AND AN ESCROW AGREEMENT; CALLING BONDS FOR REDEMPTION; AND ENACTING OTHER PROVISIONS RELATING THERETO

WHEREAS, Travis County Bee Cave Road District No. 1 (the "District"), a duly and validly existing road district created pursuant to Article III, Section 52, of the Texas Constitution and Chapter 257, Texas Transportation Code, was created by order of the Commissioners Court (the "Commissioners Court") of Travis County, Texas (the "County"), approved on September 19, 2006; and

WHEREAS, the issuance of unlimited tax bonds in an amount not to exceed \$15,000,000, was authorized at an election (the "Election") conducted for and within the District on May 12, 2007, such unlimited tax bonds to be payable from ad valorem taxes levied, assessed and collected within the District, without legal limit as to rate or amount; and

WHEREAS, the issuance of unlimited tax refunding bonds in an amount not to exceed one and one-half times the amount of unlimited tax bonds authorized at the Election was also authorized at the Election; and

WHEREAS, there are presently outstanding certain obligations of the District, described on Schedule I attached hereto and incorporated herein by reference for all purposes (collectively, the "Refunded Obligation Candidates"), and

WHEREAS, it is intended that all or a portion of the Refunded Obligation Candidates shall be designated as Refunded Obligations (as hereinafter defined) in the Pricing Certificate (as hereinafter defined) and shall be refunded pursuant to this Order and the Pricing Certificate; and

WHEREAS, Chapter 1207, Texas Government Code, as amended ("Chapter 1207") provides that the District is authorized to issue refunding bonds for the purpose of refunding the Refunded Obligations in advance of their maturities, and to accomplish such refunding by depositing the proceeds of such refunding bonds with a trust company or commercial bank authorized to receive such deposit under Chapter 1207 in an amount sufficient, together with other lawfully available funds of the District, if any, to provide for the payment or redemption of the Refunded Obligations, and that such deposit shall constitute the making of firm banking and financial arrangements for the discharge and final payment or redemption of the Refunded Obligations; and

WHEREAS, the Commissioners Court, acting as the governing body of the District, has found and determined that it is in the best interests of the District to authorize by this Order the issuance and delivery of the refunding bonds herein authorized for the purposes aforesaid; and

WHEREAS, the Commissioners Court hereby finds and determines that the refunding contemplated in this Order will benefit the District by providing a net present value debt service savings payable by the District in an amount to be certified in the Pricing Certificate, and that such benefit is sufficient consideration for the refunding of all or a portion of the Refunded Obligation Candidates; and

WHEREAS, the meeting at which this Order is considered is open to the public as required by law, and the public notice of the time, place and purpose of said meeting was given as required by Chap. 551, Texas Government Code; NOW, THEREFORE

BE IT ORDERED BY THE COMMISSIONERS COURT OF TRAVIS COUNTY, TEXAS:

## ARTICLE I

### DEFINITIONS AND OTHER PRELIMINARY MATTERS

Section 1.01. Definitions. Unless otherwise expressly provided or unless the context clearly requires otherwise in this Order, the following terms shall have the meanings specified below:

“Authorized Officer” means the County Judge of the County.

“Bond” means any of the Bonds.

“Bond Date” means the date designated as the date of the Bonds in the Pricing Certificate.

“Bonds” means the District’s bonds authorized to be issued by Section 3.01.

“Business Day” means a day that is not a Saturday, Sunday, legal holiday or other day on which banking institutions in the city where the Designated Payment/Transfer Office is located are required or authorized by law or executive order to close.

“Closing Date” means the date of the initial delivery of and payment for the Bonds.

“Code” means the Internal Revenue Code of 1986, as amended, and, with respect to a specific section thereof, such reference shall be deemed to include (a) the Regulations promulgated under such section, (b) any successor provision of similar import hereafter enacted, (c) any corresponding provision of any subsequent Internal Revenue Code and (d) the regulations promulgated under the provisions described in (b) and (c).

“Commissioners Court” means the Commissioners Court of the County.

“County” means Travis County, Texas

“Debt Service” means, collectively, all amounts due and payable with respect to the Bonds representing the principal of the Bonds and the interest thereon, in each case payable at the times and in the manner provided herein.

“Designated Payment/Transfer Office” means (i) with respect to the initial Paying Agent/Registrar named in this Order, its corporate trust office in Dallas, Texas, or at such other location designated by the Paying Agent/Registrar, and (ii) with respect to any successor Paying Agent/Registrar, the office of such successor designated and located as may be agreed upon by the District and such successor.

“Event of Default” means any event of default as defined in Section 10.01.

“Escrow Agent” means the entity designated by the Authorized Officer as the Escrow Agent in the Pricing Certificate.

“Escrow Agreement” means the escrow agreement between the District and the Escrow Agent pertaining to the Refunded Obligations.

“Initial Bond” means the Initial Bond authorized by Section 3.04(d).

“Interest and Sinking Fund” means the interest and sinking fund established by Section 8.01(a).

“Interest Payment Date” means the date or dates on which interest on the principal of the Bonds is scheduled to be paid, such dates being March 1 and September 1, commencing on the date specified in the Pricing Certificate.

“Maturity” means the date on which the principal of the Bonds becomes due and payable according to the terms thereof redemption.

“Order” means this Order.

“Owner” means the person who is the registered owner of a Bond or Bonds, as shown in the Register.

“Paying Agent/Registrar” means initially the entity designated by the Authorized Officer as the Paying Agent/Registrar in the Pricing Certificate, or any successor thereto as provided in this Order.

“Paying Agent Registrar Agreement” means the Paying Agent/Registrar Agreement between the Paying Agent/Registrar and the District relating to the Bonds.

“Pricing Certificate” means a certificate or certificates to be signed by the Authorized Officer setting forth certain terms and provisions of the Bonds.

“Purchase Contract” means the Purchase Contract between the District and the Purchaser pertaining to the sale of the Bonds and approved in Section 7.01(a).

“Purchaser” means, the purchaser of the Bonds named in the Pricing Certificate.

“Record Date” means the fifteenth calendar day of the month next preceding an Interest Payment Date.

“Refunded Obligations” means the Refunded Obligations of the District designated in the Pricing Certificate from the universe of Refunded Obligation Candidates described in Schedule I attached hereto, which are to be refunded with a portion of the proceeds of the Bonds.

“Register” means the Bond register required by Section 3.06(a).

“Regulations” means the applicable proposed, temporary or final Treasury Regulations promulgated under the Code or, to the extent applicable to the Code, under the Internal Revenue Code of 1954, as such regulations may be amended or supplemented from time to time.

“Special Payment Date” means Special Payment Date prescribed by Section 3.03(e) of this Order.

“Special Record Date” means the Special Record Date prescribed by Section 3.03(e) of this Order.

“State” means the State of Texas.

“Unclaimed Payments” means money deposited with the Paying Agent/Registrar for the payment of principal of or interest on the Bonds as the same come due and payable or money set aside for the payment of Bonds duly called for redemption prior to maturity and remaining unclaimed by the Owners of such Bonds for 90 days after the applicable payment or redemption date.

Section 1.02. Other Definitions. The capitalized terms defined in the preamble to this Order shall have the meanings assigned to them in the preamble of this Order and the Pricing Certificate.

Section 1.03. Findings. The declarations, determinations and findings declared, made and found in the preamble to this Order are hereby adopted, restated and made a part of the operative provisions hereof.

Section 1.04. Table of Contents, Titles and Headings. The table of contents, titles and headings of the Articles and Sections of this Order have been inserted for convenience of reference only and are not to be considered a part hereof and shall not in any way modify or restrict any of the terms or provisions hereof and shall never be considered or given any effect in construing this Order or any provision hereof or in ascertaining intent, if any question of intent should arise.

Section 1.05. Interpretation.

(a) Unless the context requires otherwise, words of the masculine gender shall be construed to include correlative words of the feminine and neuter genders and vice versa, and words of the singular number shall be construed to include correlative words of the plural number and vice versa.

(b) This Order and all the terms and provisions hereof shall be liberally construed to effectuate the purposes set forth herein to sustain the validity of this Order.

(c) All article and section references shall mean references to the respective articles and sections of this Order unless designated otherwise.

## ARTICLE II

### SECURITY FOR THE BONDS

#### Section 2.01. Tax Levy.

(a) Pursuant to the authority granted by the Constitution and laws of the State, there shall be annually levied, assessed and collected in due time, form and manner, and at the same time County taxes are levied, assessed and collected, in each year, beginning with the current year, a continuing, direct annual ad valorem tax, without limit as to rate or amount, upon all taxable property in the District sufficient to pay interest on the Bonds as the same becomes due and to maintain a sinking fund adequate to pay the principal of the Bonds as such principal matures, but never less than two percent (2%) of the original principal amount of the Bonds each year, full allowance being made for delinquencies and costs of collection, and such taxes are hereby pledged to and when collected shall be applied to the payment of Debt Service and to no other purpose.

(b) The ad valorem tax thus levied shall be assessed and collected each year against all property appearing on the tax rolls of the District most recently approved in accordance with law and the money thus collected shall be deposited as collected to the Interest and Sinking Fund.

(c) Said ad valorem tax, the collections therefrom, and all amounts on deposit in or required hereby to be deposited to the Interest and Sinking Fund are hereby pledged and committed irrevocably to the payment of Debt Service in accordance with the terms of the Bonds and this Order.

## ARTICLE III

### AUTHORIZATION; GENERAL TERMS AND PROVISIONS REGARDING THE BONDS

Section 3.01. Authorization. The District's bonds to be designated "Travis County Bee Cave Road District No. 1 Unlimited Tax Refunding Bonds, Series 2017" are hereby authorized to be issued and delivered in accordance with the Constitution and laws of the State of Texas, including particularly Chapter 1207 and shall have the final designation and title as set forth in the Pricing Certificate. The Bonds shall be issued in the aggregate principal amount not to exceed \$11,500,000 for the purpose of refunding the Refunded Obligations, to the extent provided in the Pricing Certificate, and paying the costs and expenses of issuing the Bonds.

#### Section 3.02. Date, Denomination, Maturities, and Interest.

(a) The Bonds shall be dated the Bond Date set forth in the Pricing Certificate. The Bonds shall be in fully registered form, without coupons.

(b) The Bonds Shall be in the denomination of \$5,000 principal amount or any integral multiple thereof, and shall be numbered separately from one (1) upward, except the Initial Bond, which shall be numbered T-1.

(c) The Bonds shall mature on the dates, in the principal amounts and shall bear interest at the rates and have such other terms as set forth in the Pricing Certificate.

(d) Interest shall accrue and be paid on each Bond respectively until its maturity or prior redemption, from the later of the Closing Date or the most recent Interest Payment Date to which interest has been paid or provided for at the rates per annum for each respective maturity specified in the Pricing Certificate or as provided in Section 7.01. Such interest shall be payable on each Interest Payment Date. Interest on the Bonds shall be calculated on the basis of a three hundred sixty (360) day year composed of twelve (12) months of thirty (30) days each.

Section 3.03. Method and Medium of Payment.

(a) The principal of and interest on the Bonds shall be paid in lawful money of the United States of America.

(b) Interest on each Bond shall be paid by check dated as of the Interest Payment Date, and sent first class United States mail, postage prepaid, by the Paying Agent/Registrar to each Owner, as shown in the Register at the close of business on the Record Date, at the address of each such Owner as such appears in the Register or by such other customary banking arrangements acceptable to the Paying Agent/Registrar and the person to whom interest is to be paid; provided, however, that such person shall bear all risk and expense of such other customary banking arrangements.

(c) The principal of each Bond shall be paid to the Owner thereof at Maturity upon presentation and surrender of such Bond at the Designated Payment/Transfer Office of the Paying Agent/Registrar

(d) If the date for the payment of Debt Service is not a Business Day, the date for such payment shall be the next succeeding Business Day, and payment on such date shall for all purposes be deemed to have been made on the due date thereof as specified in this Section

(e) In the event of a nonpayment of interest on a scheduled payment date, and for thirty (30) days thereafter, a new record date for such interest payment (a "Special Record Date") will be established by the Paying Agent/Registrar, if and when funds for the payment of such interest have been received from the District. Notice of the Special Record Date and of the special payment date of the past due interest (the "Special Payment Date," which shall be fifteen (15) days after the Special Record Date) shall be sent at least five Business Days prior to the Special Record Date by United States mail, first class, postage prepaid, to the address of each Owner of a Bond appearing on the books of the Paying Agent/Registrar at the close of business on the last Business Day next preceding the date of mailing of such notice.

(f) Unclaimed Payments shall be segregated in a special account and held in trust, uninvested by the Paying Agent/Registrar, for the account of the Owner of the Bonds to which the Unclaimed Payments pertain. Subject to Title 6, Texas Property Code, Unclaimed Payments remaining unclaimed by the Owners entitled thereto for three (3) years after the applicable payment or redemption date shall be applied to the next payment or payments on the Bonds thereafter coming due and, to the extent any such money remains after the retirement of all outstanding Bonds, shall be paid to the District to be used for any lawful purpose. Thereafter, neither the

District, the Paying Agent/Registrar nor any other person shall be liable or responsible to any holders of such Bonds for any further payment of such unclaimed moneys or on account of any such Bonds, subject to Title 6, Texas Property Code.

Section 3.04. Execution and Registration of Bonds.

(a) The Bonds shall be executed on behalf of the District by the County Judge, County Clerk and County Treasurer of the County, by their manual or facsimile signatures, and the official seal of the Commissioners Court shall be impressed or placed in facsimile thereon. Such facsimile signatures on the Bonds shall have the same effect as if each of the Bonds had been signed manually and in person by each of said officers, and such facsimile seal on the Bonds shall have the same effect as if the official seal of the District had been manually impressed upon each of the Bonds.

(b) In the event any officer of the District whose manual or facsimile signature appears on the Bonds ceases to be such officer before the authentication of such Bonds or before the delivery thereof, such facsimile signature nevertheless shall be valid and sufficient for all purposes as if such officer had remained in such office.

(c) Except as provided below, no Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit of this Order unless and until there appears thereon the Certificate of Paying Agent/Registrar substantially in the form provided herein, duly authenticated by manual execution by an officer or duly authorized signatory of the Paying Agent/Registrar. It shall not be required that the same officer or authorized signatory of the Paying Agent/Registrar sign the Certificate of Paying Agent/Registrar on all of the Bonds. In lieu of the executed Certificate of Paying Agent/Registrar described above, the Initial Bond delivered at the Closing Date shall have attached thereto the Comptroller's Registration Certificate substantially in the form provided herein, manually executed by the Comptroller of Public Accounts of the State of Texas, or by her duly authorized agent, which certificate shall be evidence that the Initial Bond has been duly approved by the Attorney General of the State of Texas and that they are valid and binding obligations of the District, and have been registered by the Comptroller of Public Accounts of the State of Texas.

(d) On the Closing Date, one Bond (the "Initial Bond"), representing the entire principal amount of the Bonds, payable in stated installments to the Purchaser or its designee, such Initial Bond to be executed by manual or facsimile signature of the County Judge, County Clerk and County Treasurer of the County, approved by the Attorney General, and registered and manually signed by the Comptroller of Public Accounts, will be delivered to the Purchaser or its designee. Upon payment for the Initial Bond, the Paying Agent/Registrar shall, at the request of the Purchaser, cancel the Initial Bond and register the definitive Bonds in the name of the Purchaser or its designee.

Section 3.05. Ownership.

(a) The District, the Paying Agent/Registrar and any other person may treat the Owner as the absolute owner of such Bond for the purpose of making and receiving payment of the principal thereof, for the further purpose of making and receiving payment of the interest thereon

(subject to the provisions herein that for the Bonds interest is to be paid to the person in whose name the Bond is registered on the Record Date), and for all other purposes, whether or not such Bond is overdue, and neither the District nor the Paying Agent/Registrar shall be bound by any notice or knowledge to the contrary.

(b) All payments made to the Owner of a Bond shall be valid and effectual and shall discharge the liability of the District and the Paying Agent/Registrar upon such Bond to the extent of the sums paid.

Section 3.06. Registration, Transfer and Exchange.

(a) So long as any Bonds remain outstanding, the District shall cause the Paying Agent/Registrar to keep at its Designated Payment/Transfer Office a bond register in which, subject to such reasonable regulations as it may prescribe, the Paying Agent/Registrar shall provide for the registration and transfer of Bonds in accordance with this Order.

(b) The ownership of a Bond may be transferred only upon the presentation and surrender of the Bond to the Paying Agent/Registrar at the Designated Payment/Transfer Office with such endorsement or other instrument of transfer and assignment acceptable to the Paying Agent/Registrar. No transfer of any Bond shall be effective until entered in the Register.

(c) The Bonds shall be exchangeable upon the presentation and surrender thereof at the Designated Payment/Transfer Office for a Bond or Bonds of the same maturity and interest rate and in any denomination or denominations of any integral multiple of \$5,000 and in an aggregate principal amount equal to the unpaid principal amount of the Bonds presented for exchange.

(d) The Paying Agent/Registrar is hereby authorized to authenticate and deliver Bonds transferred or exchanged in accordance with this Section. A new Bond or Bonds will be delivered by the Paying Agent/Registrar, in lieu of the Bond being transferred or exchanged, at the Designated Payment/Transfer, or sent by United States mail, first class, postage prepaid, to the Owner or his designee. Each Bond delivered by the Paying Agent/Registrar in accordance with this Section shall constitute an original contractual obligation of the District and shall be entitled to the benefits and security of this Order to the same extent as the Bond or Bonds in lieu of which such Bond is delivered.

(e) No service charge shall be made to the Owner for the initial registration, any subsequent transfer, or exchange for a different denomination of any of the Bonds. The Paying Agent/Registrar, however, may require the Owner to pay a sum sufficient to cover any tax or other governmental charge that is authorized to be imposed in connection with the registration, transfer or exchange of a Bond.

Section 3.07. Cancellation. All Bonds paid or redeemed before scheduled maturity in accordance with this Order, and all Bonds in lieu of which exchange Bonds or replacement Bonds are authenticated and delivered in accordance with this Order, shall be cancelled upon the making of proper records regarding such payment, exchange or replacement. The Paying Agent/Registrar shall dispose of such cancelled Bonds in the manner required by the Securities Exchange Act of 1934, as amended.

Section 3.08. Temporary Bonds.

(a) Following the delivery and registration of the Initial Bond and pending the preparation of definitive Bonds, the proper officers of the District may execute and, upon the District's request, the Paying Agent/Registrar shall authenticate and deliver, one or more temporary Bonds that are printed, lithographed, typewritten, mimeographed or otherwise produced, in any denomination, substantially of the tenor of the definitive Bonds in lieu of which they are delivered, without coupons, and with such appropriate insertions, omissions, substitutions and other variations as the officers of the District executing such temporary Bonds may determine, as evidenced by their signing of such temporary Bonds.

(b) Until exchanged for Bonds in definitive form, such Bonds in temporary form shall be entitled to the benefit and security of this Order.

(c) The District, without unreasonable delay, shall prepare, execute and deliver to the Paying Agent/Registrar the Bonds in definitive form; thereupon, upon the presentation and surrender of the Bond or Bonds in temporary form to the Paying Agent/Registrar, the Paying Agent/Registrar shall cancel the Bonds in temporary form and authenticate and deliver in exchange therefor a Bond or Bonds of the same maturity and series, in definitive form, in the authorized denomination, and in the same aggregate principal amount as the Bond or Bonds in temporary form surrendered. Such exchange shall be made without the making of any charge therefor to any Owner.

Section 3.09. Replacement Bonds.

(a) Upon the presentation and surrender to the Paying Agent/Registrar of a mutilated Bond, the Paying Agent/Registrar shall authenticate and deliver in exchange therefor a replacement Bond of like tenor and principal amount, bearing a number not contemporaneously outstanding. The District or the Paying Agent/Registrar may require the Owner of such Bond to pay a sum sufficient to cover any tax or other governmental charge that is authorized to be imposed in connection therewith and any other expenses connected therewith.

(b) In the event that any Bond is lost, apparently destroyed or wrongfully taken, the Paying Agent/Registrar, pursuant to the applicable laws of the State of Texas and in the absence of notice or knowledge that such Bond has been acquired by a bona fide purchaser, shall authenticate and deliver a replacement Bond of like tenor and principal amount, bearing a number not contemporaneously outstanding, provided that the Owner first complies with the following requirements:

(i) furnishes to the Paying Agent/Registrar satisfactory evidence of his or her ownership of and the circumstances of the loss, destruction or theft of such Bond;

(ii) furnishes such security or indemnity as may be required by the Paying Agent/Registrar to save it and the District harmless;

(iii) pays all expenses and charges in connection therewith, including, but not limited to, printing costs, legal fees, fees of the Paying Agent/Registrar and any tax or other governmental charge that is authorized to be imposed; and

(iv) satisfies any other reasonable requirements imposed by the District and the Paying Agent/Registrar.

(c) After the delivery of such replacement Bond, if a bona fide purchaser of the original Bond in lieu of which such replacement Bond was issued presents for payment such original Bond, the District and the Paying Agent/Registrar shall be entitled to recover such replacement Bond from the person to whom it was delivered or any person taking therefrom, except a bona fide purchaser, and shall be entitled to recover upon the security or indemnity provided therefor to the extent of any loss, damage, cost or expense incurred by the District or the Paying Agent/Registrar in connection therewith.

(d) In the event that any such mutilated, lost, apparently destroyed or wrongfully taken Bond has become or is about to become due and payable, the Paying Agent/Registrar, in its discretion, instead of issuing a replacement Bond, may pay such Bond if it has become due and payable or may pay such Bond when it becomes due and payable.

(e) Each replacement Bond delivered in accordance with this Section shall constitute an original additional contractual obligation of the District and shall be entitled to the benefits and security of this Order to the same extent as the Bond or Bonds in lieu of which such replacement Bond is delivered.

## ARTICLE IV

### REDEMPTION OF BONDS BEFORE MATURITY

Section 4.01. Limitation on Redemption. The Bonds shall be subject to redemption before scheduled maturity only as provided in this Article IV and in the Pricing Certificate.

#### Section 4.02. Optional Redemption.

(a) Bonds shall be subject to redemption at the option of the District at such times, in such amounts, in such manner and at such redemption prices as may be provided in the Pricing Certificate.

(b) If less than all of the Bonds are to be redeemed pursuant to an optional redemption, the District shall determine the maturity or maturities and the amounts thereof to be redeemed and shall direct the Paying Agent/Registrar to call by lot the Bonds, or portions thereof, within such maturity or maturities and in such principal amounts for redemption.

(c) The District, at least 45 days before the redemption date, unless a shorter period shall be satisfactory to the Paying Agent/Registrar, shall notify the Paying Agent/Registrar of such redemption date and of the principal amount of Bonds to be redeemed.

#### Section 4.03. Mandatory Sinking Fund Redemption.

(a) Bonds designated as "Term Bonds" in the Pricing Certificate, if any, are subject to scheduled mandatory redemption and will be redeemed by the District, in part at a price equal to the principal amount thereof, without premium, plus accrued interest to the redemption date, out

of moneys available for such purpose in the Interest and Sinking Fund, on the dates and in the respective principal amounts as set forth in the Pricing Certificate.

(b) At least forty-five (45) days prior to each scheduled mandatory redemption date, the Paying Agent/Registrar shall select for redemption by lot, or by any other customary method that results in a random selection, a principal amount of Term Bonds equal to the aggregate principal amount of such Term Bonds to be redeemed, shall call such Term Bonds for redemption on such scheduled mandatory redemption date, and shall give notice of such redemption, as provided in Section 4.05.

(c) The principal amount of the Term Bonds required to be redeemed on any redemption date pursuant to subparagraph (a) of this Section 4.03 shall be reduced, at the option of the District, by the principal amount of any Term Bonds which, at least 45 days prior to the mandatory sinking fund redemption date (i) shall have been acquired by the District at a price not exceeding the principal amount of such Term Bonds plus accrued interest to the date of purchase thereof, and delivered to the Paying Agent/Registrar for cancellation, or (ii) shall have been redeemed pursuant to the optional redemption provisions hereof and not previously credited to a mandatory sinking fund redemption.

#### Section 4.04. Partial Redemption.

(a) A portion of a single Bond of a denomination greater than \$5,000 may be redeemed, but only in a principal amount equal to \$5,000 or any integral multiple thereof. If such a Bond is to be partially redeemed, the Paying Agent/Registrar shall treat each \$5,000 portion of the Bond as though it were a single Bond for purposes of selection for redemption.

(b) Upon surrender of any Bond for redemption in part, the Paying Agent/Registrar, in accordance with Section 3.06 of this Order, shall authenticate and deliver an exchange Bond or Bonds in an aggregate principal amount equal to the unredeemed portion of the Bond so surrendered, such exchange being without charge.

(c) The Paying Agent/Registrar shall promptly notify the District in writing of the principal amount to be redeemed of any Bond as to which only a portion thereof is to be redeemed.

#### Section 4.05. Notice of Redemption to Owners.

(a) Except as otherwise provided in the Pricing Certificate, the Paying Agent/Registrar shall give notice of any redemption of Bonds by sending notice by United States mail, first class, postage prepaid, not less than 30 days before the date fixed for redemption, to the Owner of each Bond (or part thereof) to be redeemed, at the address shown on the Register at the close of business on the business day next preceding the date of mailing such notice.

(b) The notice shall state the redemption date, the redemption price, the place at which the Bonds are to be surrendered for payment, and, if less than all the Bonds outstanding are to be redeemed, an identification of the Bonds or portions thereof to be redeemed.

(c) The District reserves the right to give notice of its election or direction to redeem Bonds under Section 4.02 conditioned upon the occurrence of subsequent events. Such notice may

state (i) that the redemption is conditioned upon the deposit of moneys and/or authorized securities, in an amount equal to the amount necessary to effect the redemption, with the Paying Agent/Registrar, or such other entity as may be authorized by law, no later than the redemption date or (ii) that the District retains the right to rescind such notice at any time prior to the scheduled redemption date if the District delivers a certificate of the District to the Paying Agent/Registrar instructing the Paying Agent/Registrar to rescind the redemption notice, and such notice and redemption shall be of no effect if such moneys and/or authorized securities are not so deposited or if the notice is rescinded. The Paying Agent/Registrar shall give prompt notice of any such rescission of a conditional notice of redemption to the affected Owners. Any Bonds subject to conditional redemption where redemption has been rescinded shall remain Outstanding, and the rescission shall not constitute an Event of Default. Further, in the case of a conditional redemption, the failure of the District to make moneys and/or authorized securities available in part or in whole on or before the redemption date shall not constitute an Event of Default.

(d) Any notice given as provided in this Section shall be conclusively presumed to have been duly given, whether or not the Owner receives such notice.

#### Section 4.06. Payment Upon Redemption.

(a) Before or on each redemption date, the District shall deposit with the Paying Agent/Registrar money sufficient to pay all amounts due on the redemption date and the Paying Agent/Registrar shall make provision for the payment of the Bonds to be redeemed on such date by setting aside and holding in trust such amounts as are received by the Paying Agent/Registrar from the District and shall use such funds solely for the purpose of paying the principal of, redemption premium, if any, and accrued interest on the Bonds being redeemed.

(b) Upon presentation and surrender of any Bond called for redemption to the Paying Agent/Registrar on or after the date fixed for redemption, the Paying Agent/Registrar shall pay the principal of, redemption premium, if any, and accrued interest on such Bond to the date of redemption from the money set aside for such purpose.

#### Section 4.07. Effect of Redemption.

(a) Notice of redemption having been given as provided in Section 4.05 of this Order, and subject, in the case of an optional redemption under Section 4.02, to any conditions or rights reserved by the District under Section 4.05(c), the Bonds or portions thereof called for redemption shall become due and payable on the date fixed for redemption and, unless the District defaults in its obligation to make provision for the payment of the principal thereof, redemption premium, if any, or accrued interest thereon, such Bonds or portions thereof shall cease to bear interest from and after the date fixed for redemption, whether or not such Bonds are presented and surrendered for payment on such date.

(b) If the District shall fail to make provision for payment of all sums due on a redemption date, then any Bond or portion thereof called for redemption shall continue to bear interest at the rate stated on the Bond until due provision is made for the payment of same by the District.

## ARTICLE V

### PAYING AGENT/REGISTRAR

#### Section 5.01. Appointment of Initial Paying Agent/Registrar.

(a) The Authorized Officer is hereby authorized to select and appoint the initial Paying Agent/Registrar for the Bonds, and the initial Paying Agent/Registrar and its Designated Payment/Transfer Office shall be designated in the Pricing Certificate.

(b) The Authorized Officer is hereby authorized and directed to execute an agreement with the Paying Agent/Registrar specifying the duties and responsibilities of the District and the Paying Agent/Registrar, in substantially the form of which is hereby approved.

Section 5.02. Qualifications. Each Paying Agent/Registrar shall be a commercial bank, a trust company organized under the laws of the State of Texas, or any other entity duly qualified and legally authorized to serve as and perform the duties and services of paying agent and registrar for the Bonds.

#### Section 5.03. Maintaining Paying Agent/Registrar.

(a) At all times while any Bonds are outstanding, the District will maintain a Paying Agent/Registrar that is qualified under Section 5.02 of this Order.

(b) If the Paying Agent/Registrar resigns or otherwise ceases to serve as such, the District will promptly appoint a replacement.

Section 5.04. Termination. The District reserves the right to terminate the appointment of any Paying Agent/Registrar by delivering to the entity whose appointment is to be terminated (i) 45 days written notice of the termination of the appointment and of the Paying Agent/Registrar Agreement, stating the effective date of such termination, and (ii) appointing a successor Paying Agent/Registrar; provided, that, no such termination shall be effective until a successor paying agent/registrar has assumed the duties of paying agent/registrar for the Bonds.

Section 5.05. Notice of Change to Owners. Promptly upon each change in the entity serving as Paying Agent/Registrar, the District will cause notice of the change to be sent to each Owner by first class United States mail, postage prepaid, at the address in the Register, stating the effective date of the change and the name and mailing address of the replacement Paying Agent/Registrar.

Section 5.06. Agreement to Perform Duties and Functions. By accepting the appointment as Paying Agent/Registrar, the Paying Agent/Registrar is deemed to have agreed to the provisions of this Order and that it will perform the duties and functions of Paying Agent/Registrar prescribed hereby.

Section 5.07. Delivery of Records to Successor. If a Paying Agent/Registrar is replaced, such Paying Agent/Registrar, promptly upon the appointment of the successor, will deliver the

Register (or a copy thereof) and all other pertinent books and records relating to the Bonds to the successor Paying Agent/Registrar.

## ARTICLE VI

### FORM OF THE BONDS

Section 6.01. Form Generally. The Bonds, including the Registration Certificate of the Comptroller of Public Accounts of the State of Texas, the Certificate of the Paying Agent/Registrar, and the Assignment form to appear on each of the Bonds (i) shall be in the substantially final form of the Bonds attached to the Pricing Certificate, with such appropriate insertions, omissions, substitutions, and other variations as are permitted or required by this Order and the Pricing Certificate to effectuate the purposes of this Order, and (ii) may have such letters, numbers, or other marks of identification (including identifying numbers and letters of the Committee on Uniform Securities Identification Procedures of the American Bankers Association) and such legends and endorsements (including any reproduction of an opinion of counsel) thereon as, consistently herewith, may be determined by the District or by the officers executing such Bonds, as evidenced by their execution thereof.

Section 6.02. CUSIP Registration. The District may secure identification numbers through the CUSIP Service Bureau Division of Standard & Poor's Corporation, New York, New York, and may authorize the printing of such numbers on the face of the Bonds. It is expressly provided, however, that the presence or absence of CUSIP numbers on the Bonds shall be of no significance or effect as regards the legality thereof and neither the District nor bond counsel to the District are to be held responsible for CUSIP numbers incorrectly printed on the Bonds.

Section 6.03. Legal Opinion. The approving legal opinion of Bracewell LLP, Bond Counsel, may be attached to or printed on the reverse side of each definitive Bond over the certification of the County Clerk, which may be executed in facsimile.

## ARTICLE VII

### SALE AND DELIVERY OF BONDS; DEPOSIT OF PROCEEDS

#### Section 7.01. Sale of Bonds.

(a) The Bonds are hereby officially sold and awarded to the Purchaser in accordance with the terms and provisions of this Order. As authorized by Chapter 1207, the Authorized Officer is authorized to act on behalf of the District upon determining that the conditions set forth below can be satisfied, in selling and delivering the Bonds and carrying out the other procedures specified in this Order, including, without limitation, determining the Purchaser of the Bonds, the aggregate principal amount of Bonds to be issued, the price at which each of the Bonds will be sold, the number and designation of the Bonds to be issued, the form in which the Bonds shall be issued, the years in which the Bonds will mature, the principal amount to mature in each of such years, the aggregate principal amount of Refunded Obligations, the rate of interest to be borne by each such maturity, the first Interest Payment Date, the dates, prices and terms upon and at which the Bonds shall be subject to redemption prior to maturity at the option of the District and shall be

subject to mandatory sinking fund redemption, the allocation, deposit and use of the proceeds of the Bonds in accordance herewith, and all other matters relating to the issuance, sale and delivery of the Bonds, including the refunding of the Refunded Obligations, all of which shall be specified in the Pricing Certificate; provided that the following conditions can be satisfied:

(i) the Bonds shall not bear interest at a rate greater than the maximum rate allowed by Chapter 1204, Texas Government Code, as amended;

(ii) the aggregate principal amount of the Bonds to be issued shall not exceed \$11,500,000;

(iii) the true interest cost on the Bonds shall not exceed 4.500%; and

(iv) the refunding of the Refunded Obligations shall result in a net present value savings of not less than 3.500% of the principal amount of the Refunded Obligations;

all based on bond market conditions and available interest rates for the Bonds on the date of the sale of the Bonds, all as set forth in the Pricing Certificate.

The Refunded Obligations shall be identified in the Pricing Certificate in accordance with the preceding sentence, except that if less than an entire maturity is to be refunded, the Refunded Obligations to be redeemed within a maturity shall be selected as provided in the order authorizing their issuance and, if not so provided, by lot.

(b) The Authorized Officer is hereby authorized and directed to execute and deliver on behalf of the District a Purchase Contract, providing for the sale of the Bonds to the Purchaser, in a form and substance satisfactory to the Authorized Officer. The Authorized Officer is hereby authorized and directed to approve the final terms and provisions of the Purchase Contract in accordance with the terms of the Pricing Certificate and this Order, such approval being evidenced by its execution thereof by the Authorized Officer. All officers, agents and representatives of the City are hereby authorized to do any and all things necessary or desirable to satisfy the conditions set out therein and to provide for the issuance and delivery of the Bonds.

(c) The authority granted to the Authorized Officer under this Section 7.01 shall expire on a date 180 days from the date of this Order unless otherwise extended by the Commissioners Court by separate action.

(d) All officers of the District are authorized to execute such documents, certificates and receipts and to take such actions as they may deem appropriate in order to consummate the delivery of the Bonds in accordance with the Purchase Contract and to carry out the terms and purposes of this Order. The County Judge is hereby authorized to approve the payment of all costs of issuance relating to the Bonds.

(e) The obligation of the Purchaser to accept delivery of the Bonds is subject to, among other conditions specified in the Purchase Contract, the Purchaser being furnished with the final, approving opinion of Bracewell LLP, Bond Counsel for the District, which opinion shall be dated and delivered the Closing Date.

Section 7.02. Control and Delivery of Bonds.

(a) The County Judge or, in his absence, the County Clerk, is hereby authorized to have control of the Initial Bond and all necessary records and proceedings pertaining thereto pending investigation, examination and approval of the Attorney General of the State of Texas, registration by the Comptroller of Public Accounts of the State of Texas, and registration with, and initial exchange or transfer by, the Paying Agent/Registrar.

(b) After registration by the Comptroller of Public Accounts, delivery of the Bonds shall be made to the Purchaser under and subject to the general supervision and direction of the County Judge or, in his absence, the County Clerk, against receipt by the District of all amounts due to the District under the terms of sale.

Section 7.03. Deposit of Proceeds.

(a) A portion of the proceeds from the sale of the Bonds, together with other funds of the District, if any, as set forth in the Pricing Certificate, shall be applied to establish the Escrow Fund and shall be applied as provided in the Escrow Agreement to refund the Refunded Obligations and, to the extent not otherwise provided for, to pay all expenses arising in connection with the establishment of the Escrow Fund and the refunding of the Refunded Obligations.

(b) The remaining Bond proceeds shall be deposited as directed by the Authorized Officer and shall be used to pay the costs and expenses pertaining to the issuance of the Bonds concurrently with the initial delivery thereof. To the extent any of such amount is not used for such purposes, such excess shall be deposited to the Interest and Sinking Fund.

ARTICLE VIII

CREATION OF FUNDS AND ACCOUNTS; INVESTMENTS

Section 8.01. Interest and Sinking Fund.

(a) The District hereby establishes the “Travis County Bee Cave Road District No. 1 Unlimited Tax Refunding Bonds, Series 2017 Interest and Sinking Fund” which shall be maintained at the depository bank of the District.

(b) The taxes levied under Section 2.01 shall be deposited to the credit of the Interest and Sinking Fund at such times and in such amounts as necessary for the timely payment of Debt Service.

(c) If the amount of money in the Interest and Sinking Fund is at least equal to the aggregate principal amount of the outstanding Bonds plus the aggregate amount of interest due and that will become due and payable on such Bonds, no further deposits to that fund need be made.

(d) Amounts on deposit in the Interest and Sinking Fund shall be used to pay Debt Service as such becomes due and payable.

Section 8.02. Security of Funds. All moneys on deposit in the Interest and Sinking Fund shall be secured in the manner and to the fullest extent required by the laws of the State of Texas for the security of public funds, and moneys on deposit in such funds shall be used only for the purposes permitted by this Order.

Section 8.03. Investments.

(a) Money in the Interest and Sinking Fund, at the option of the District, may be invested in such securities or obligations as permitted under applicable law.

(b) Any securities or obligations in which money in the Interest and Sinking Fund is so invested shall be kept and held in trust for the benefit of the Owners and shall be sold and the proceeds of sale shall be timely applied to the making of all payments required to be made from the fund from which the investment was made.

(c) Amounts on deposit in the Escrow Fund shall be invested as provided in the Escrow Agreement.

Section 8.04. Investment Income. Income derived from investment of any fund created by this Order shall be credited to such fund.

## ARTICLE IX

### PARTICULAR REPRESENTATIONS AND COVENANTS

Section 9.01. Payment of the Bonds. On or before each date on which Debt Service is due on the Bonds, there shall be made available to the Paying Agent/Registrar, out of the Interest and Sinking Fund, money sufficient to pay such Debt Service when due.

Section 9.02. Other Representations and Covenants.

(a) The District will faithfully perform at all times any and all covenants, undertakings, stipulations, and provisions contained in this Order and in each Bond; the District will promptly pay or cause to be paid Debt Service on the dates and at the places and manner prescribed in such Bond; and the District will, at the times and in the manner prescribed by this Order, deposit or cause to be deposited the amounts of money specified by this Order.

(b) The District is duly authorized under the laws of the State of Texas to issue the Bonds; all action on its part for the creation and issuance of the Bonds has been duly and effectively taken; and the Bonds in the hands of the Owners thereof are and will be valid and enforceable obligations of the District in accordance with their terms.

Section 9.03. Federal Income Tax Exclusion.

(a) General. The District intends that the interest on the Bonds be excludable from gross income for federal income tax purposes pursuant to sections 103 and 141 through 150, inclusive, of the Code. The District covenants and agrees not to take any action, or knowingly omit to take any action within its control, that if taken or omitted, respectively, would (i) cause the

interest on the Bonds to be includable in gross income, as defined in section 61 of the Code, for federal income tax purposes, or (ii) result in the violation of or a failure to satisfy any applicable provision of sections 103 and 141 through 150, inclusive, of the Code. In particular, the District covenants and agrees to comply with each requirement of this Section 9.03; provided, however, that the District will not be required to comply with any particular requirement of this Section 9.03 if the District has received an opinion of nationally recognized bond counsel (“Counsel’s Opinion”) that (A) such noncompliance will not adversely affect the exclusion from gross income for federal income tax purposes of interest on the Bonds or (B) compliance with some other requirement will satisfy the applicable requirements of the Code and the Regulations, in which case compliance with such other requirement specified in such Counsel’s Opinion shall constitute compliance with the corresponding requirement specified in this Section 9.03.

(b) No Private Use or Payment and No Private Loan Financing. The District covenants and agrees that it will make such use of the proceeds of the Bonds including interest or other investment income derived from Bond proceeds, regulate the use of property financed, directly or indirectly, with such proceeds, and take such other and further action as may be required so that the Bonds will not be “private activity bonds” within the meaning of section 141 of the Code. Moreover, the District will certify, through an authorized officer, employee or agent, based upon all facts and estimates known or reasonably expected to be in existence on the date the Bonds are delivered, that the proceeds of the Refunded Bonds have not been used and proceeds of the Bonds will not be used in a manner that would cause the Bonds to be “private activity bonds” within the meaning of section 141 of the Code.

(c) No Federal Guarantee. The District covenants and agrees not to take any action, or knowingly omit to take any action within its control, that, if taken or omitted, respectively, would cause the Bonds to be “federally guaranteed” within the meaning of section 149(b) of the Code, except as permitted by section 149(b)(3) of the Code.

(d) No Hedge Bonds. The District covenants and agrees that it has not and will not take any action, and has not knowingly omitted and will not knowingly omit to take any action, within its control, that, if taken or omitted, respectively, would cause the Bonds to be “hedge bonds” within the meaning of section 149(g) of the Code. Moreover, the District will certify, through an authorized officer, employee or agent, based upon all facts in existence on the date the Bonds are delivered, that the proceeds of the Refunded Bonds have not been used in a manner that would cause the Refunded Bonds or the Bonds to be “hedge bonds” within the meaning of section 149(g) of the Code.

(e) No Arbitrage. The District covenants and agrees that it will make such use of the proceeds of the Bonds including interest or other investment income derived from Bond proceeds, regulate investments of proceeds of the Bonds, and take such other and further action as may be required so that the Bonds will not be “arbitrage bonds” within the meaning of section 148(a) of the Code. Moreover, the District will certify, through an authorized officer, employee or agent, based upon all facts and estimates known or reasonably expected to be in existence on the date the Bonds are delivered, that the proceeds of the Refunded Bonds have not been used and the proceeds of the Bonds will not be used in a manner that would cause the Bonds to be “arbitrage bonds” within the meaning of section 148(a) of the Code.

(f) Arbitrage Rebate. If the District does not qualify for an exception to the requirements of section 148(f) of the Code relating to the required rebate to the United States, the District will take all steps necessary to comply with the requirement that certain amounts earned by the District on the investment of the “gross proceeds” of the Bonds (within the meaning of section 148(f)(6)(B) of the Code), be rebated to the federal government. Specifically, the District will (i) maintain records regarding the investment of the gross proceeds of the Bonds as may be required to calculate the amount earned on the investment of the gross proceeds of the Bonds separately from records of amounts on deposit in the funds and accounts of the District allocable to other bond issues of the District or moneys that do not represent gross proceeds of any bonds of the District, (ii) determine at such times as are required by Regulations, the amount earned from the investment of the gross proceeds of the Bonds that is required to be rebated to the federal government, and (iii) pay, not less often than every fifth anniversary date of the delivery of the Bonds or on such other dates as may be permitted under applicable Regulations, all amounts required to be rebated to the federal government. Further, the District will not indirectly pay any amount otherwise payable to the federal government pursuant to the foregoing requirements to any person other than the federal government by entering into any investment arrangement with respect to the gross proceeds of the Bonds that might result in a reduction in the amount required to be paid to the federal government because such arrangement results in a smaller profit or a larger loss than would have resulted if the arrangement had been at arm’s length and had the yield on the issue not been relevant to either party.

(g) Information Reporting. The District covenants and agrees to file or cause to be filed with the Secretary of the Treasury, not later than the 15th day of the second calendar month after the close of the calendar quarter in which the Bonds are issued, an information statement concerning the Bonds, all under and in accordance with section 149(e) of the Code.

(h) Record Retention. The District will retain all pertinent and material records relating to the use and expenditure of the proceeds of the Refunded Bonds and the Bonds until three years after the last Bond is redeemed or paid at maturity, or such shorter period as authorized by subsequent guidance issued by the Department of the Treasury, if applicable. All records will be kept in a manner that ensures their complete access throughout the retention period. For this purpose, it is acceptable that such records are kept either as hardcopy books and records or in an electronic storage and retrieval system, provided that such electronic system includes reasonable controls and quality assurance programs that assure the ability of the District to retrieve and reproduce such books and records in the event of an examination of the Bonds by the Internal Revenue Service.

(i) Registration. The Bonds will be issued in registered form.

(j) Deliberate Actions. The District will not take a deliberate action (as defined in section 1.141-2(d)(3) of the Regulations) that causes the Bonds to fail to meet any requirement of section 141 of the Code after the issue date of the Bonds unless an appropriate remedial action is permitted by section 1.141-12 of the Regulations, the District takes such action, and a Counsel’s opinion is obtained that such remedial action cures any failure to meet the requirements of section 141 of the Code.

(k) Continuing Obligation. Notwithstanding any other provision of this Order, the District's obligations under the covenants and provisions of this Section 9.03 shall survive the defeasance and discharge of the Bonds for as long as such matters are relevant to the exclusion from gross income of interest on the Bonds for federal income tax purposes.

## ARTICLE X

### DEFAULT AND REMEDIES

Section 10.01. Events of Default. Each of the following occurrences or events for the purpose of this Order is hereby declared to be an Event of Default:

(i) the failure to make payment of Debt Service when the same becomes due and payable; or

(ii) default in the performance or observance of any other covenant, agreement or obligation of the District, which default materially and adversely affects the rights of the Owners, including, but not limited to, their prospect or ability to be repaid in accordance with this Order, and the continuation thereof for a period of 60 days after notice of such default is given by any Owner to the District.

#### Section 10.02. Remedies for Default.

(a) Upon the happening of any Event of Default, any Owner or an authorized representative thereof, including, but not limited to, a trustee or trustees therefor, may proceed against the District for the purpose of protecting and enforcing the rights of the Owners under this Order, by mandamus or other suit, action or special proceeding in equity or at law, in any court of competent jurisdiction, for any relief permitted by law, including the specific performance of any covenant or agreement contained herein, or thereby to enjoin any act or thing that may be unlawful or in violation of any right of the Owners hereunder or any combination of such remedies.

(b) It is provided that all such proceedings shall be instituted and maintained for the equal benefit of all Owners of Bonds then outstanding.

#### Section 10.03. Remedies Not Exclusive.

(a) No remedy herein conferred or reserved is intended to be exclusive of any other available remedy or remedies, but each and every such remedy shall be cumulative and shall be in addition to every other remedy given hereunder or under the Bonds or now or hereafter existing at law or in equity; provided, however, that notwithstanding any other provision of this Order, the right to accelerate the debt evidenced by the Bonds shall not be available as a remedy under this Order.

(b) The exercise of any remedy herein conferred or reserved shall not be deemed a waiver of any other available remedy.

(c) By accepting the delivery of a Bond authorized under this Order, such Owner agrees that the certifications required to effectuate any covenants or representations contained in

this Order do not and shall never constitute or give rise to a personal or pecuniary liability or charge against the officers, employees or trustees of the District or the Commissioners Court.

## ARTICLE XI

### DISCHARGE

Section 11.01. Discharge. The District reserves the right to defease, refund or discharge the Bonds in any manner permitted by law.

## ARTICLE XII

[RESERVED]

## ARTICLE XIII

### AMENDMENTS OF ORDER

#### Section 13.01. Amendments of Order.

The District may, without the consent of or notice to the Owners, from time to time and at any time amend this Order in any manner not detrimental to the interests of the Owners, including the curing of any ambiguity, inconsistency, or formal defect or omission herein. In addition, the District may, with the written consent of the Owners of a majority in aggregate principal amount of the Bonds then outstanding, amend, add to or rescind any of the provisions of this Order; provided, that, without the consent of the Owners of all Bonds then outstanding, no such amendment, addition, or rescission shall:

- (a) affect the rights of the Owners of less than all of the Bonds then outstanding;
- (b) make any change in the maturities of the Bonds;
- (c) reduce the rate of interest borne by any of the Bonds;
- (d) reduce the amount of the principal payable on the Bonds;
- (e) modify the terms of payment of principal of or interest on the Bonds or impose any conditions with respect to such payment; or
- (f) change the minimum percentage of the principal amount of Bonds necessary for consent to such amendment.

Bonds owned or held by or for the account of or for the benefit of the District shall not be deemed to be outstanding for the purpose of amending this Order.

#### Section 13.02. Notice and Adoption of Amendment.

If the District desires to amend this Order and such amendment requires the consent of the Owner pursuant to Section 13.01, the District shall cause notice of the proposed amendment to be given in writing to each Owner of Bonds then outstanding. If, within thirty (30) days, or such longer period as shall be prescribed by the District, following the giving of such notice, the Owners of Bonds then outstanding in the aggregate principal amount required by Section 13.01 shall have consented to the amendment as herein provided, no Owner of a Bond shall have any right to object to any of the terms and provisions contained therein, or in any manner to question the propriety of the execution thereof, and all the rights of all Owners of Bonds shall thereafter be determined, exercised, and enforced hereunder subject in all respects to such amendment.

Section 13.03. Consent of Owner Irrevocable.

Any consent given by any Owner of a Bond pursuant to the provisions of this Article shall be irrevocable and binding on all future Owners of the same Bond from the date of such consent.

Section 13.04. Nonsubstantive Changes.

The County Judge, in consultation with the District's Bond Counsel, is hereby authorized and directed to approve such nonsubstantive changes to this Order as may be required by the Attorney General of Texas in his approval of the Bonds herein authorized.

## ARTICLE XIV

### REDEMPTION OF BONDS; APPROVAL OF ESCROW AGREEMENT

Section 14.01. Appointment of Escrow Agent; Approval of Escrow Agreement.

Authorized Officer is hereby authorized to select and appoint the Escrow Agent for the Bonds, if any, and the Escrow Agent shall be designated in the Pricing Certificate. The Authorized Officer is hereby authorized to execute and deliver an Escrow Agreement, having such terms and provisions as are approved by the Authorized Officer as evidenced by his execution thereof or the execution thereof by other appropriate District officials. Alternatively, the Authorized Officer may elect to deposit directly with the paying agent for the Refunded Obligations the proceeds of the Bonds, together with other available funds, in an amount sufficient to provide for the payment or redemption of the Refunded Obligations.

Section 14.02. Purchase of Securities for Escrow Fund.

The County Judge is authorized to make, or cause to be made, necessary arrangements for the purchase of the Federal Securities as defined and described in the Escrow Agreement, including, without limitation, the execution of such documents, certificates or instruments as may be necessary in connection therewith, including the execution of subscriptions, if any, for the purchase of United States Treasury Securities – State and Local Government Series. All actions of the County Judge and other officials, consultants and staff of the District taken prior to the date of this Order in connection with making arrangements for the purchase of such Federal Securities are hereby ratified and affirmed.

Section 14.03. Redemption of Refunded Obligations.

The Refunded Obligations described in the Pricing Certificate are hereby called for redemption and shall be redeemed prior to their stated maturities on the respective dates and at the redemption prices set forth in the Pricing Certificate. Following the deposit to the Escrow Fund as herein specified, the Refunded Obligations shall be payable solely from and secured by the cash and securities on deposit in the Escrow Fund and shall cease to be payable from any other source. It is hereby authorized and directed that a certified copy of this Order be delivered to the paying agent/registrars for the Refunded Obligations, a certified copy of this Order and the delivery thereof to such paying agent/registrars shall constitute the giving of notice of redemption to the paying agent/registrars for the Refunded Obligations and such paying agent/registrars is hereby authorized and directed to give notice of redemption to the owners of the Refunded Obligations in accordance with the requirements of the ordinance authorizing the issuance thereof.

PASSED AND APPROVED this \_\_\_\_\_, 2017.

COMMISSIONERS COURT  
TRAVIS COUNTY, TEXAS

**SCHEDULE I**  
**SCHEDULE OF REFUNDED OBLIGATION CANDIDATES**



## Travis County Commissioners Court Agenda Request TRAVIS COUNTY HOUSING FINANCE CORPORATION

**Meeting Date:** July 18, 2017

**Prepared By/Phone Number:** Andrea Shields, Managing Director/854-9116  
**Elected/Appointed Official/Dept. Head:** Jessica Rio, County Executive, Planning and Budget and Diana Ramirez, Director, EDSI

**Commissioners Court Sponsor:** Commissioner Daugherty

**Agenda Language:**

Consider and take appropriate action to A) approve submission of letters to five multifamily bond properties regarding the Corporation's compliance examination; and B) approve submission of four invoices of \$500.00 and one invoice for \$1250.00 to the appropriate party for staff's time.

**Background/Summary of Request and Attachments:**

Staff had one finding that was subsequently resolved. All properties reviewed remained in compliance with their Regulatory Agreements.

**Staff Recommendations:** Staff recommends approval.

**Issues and Opportunities:** None.

**Fiscal Impact and Source of Funding:** The Corporation will be reimbursed \$3250 for time spent examining the five multifamily bond properties.

**Required Authorizations:**

Andrea Shields, Corporations Managing Director/854-9116; Diana Ramirez, Director, Economic Development and Strategic Investments/854-9694; Jessica Rio, County Executive, Planning and Budget Office/854-9066; and Commissioner Gerald Daugherty/854-9333.

**AGENDA REQUEST & BACKUP MATERIALS DEADLINE:** Agenda requests and backup materials must be submitted in PDF format via email to [agenda@traviscountytx.gov](mailto:agenda@traviscountytx.gov) by **12 noon on Tuesday** in order to be considered for inclusion in the following week's voting session.



## Travis County Housing Finance Corporation

700 Lavaca, Suite 1560

Austin, Texas 78701

(512) 854-9116

[www.traviscountytx.gov/corporations/](http://www.traviscountytx.gov/corporations/)

DATE: July 5, 2017

TO: Board of Directors, Travis County Housing Finance Corporation

FROM: Andrea Shields, Managing Director

SUBJECT: Compliance Examination – The Heights on Parmer

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### **SUMMARY AND BACKGROUND INFORMATION**

On March 1, 2015, the Travis County Housing Finance Corporation (“Corporation”) issued a total of \$26,800,000 in Multifamily Housing Revenue Bonds, Series 2015 to The Heights on Parmer Project. The Bonds were issued to finance the acquisition and construction of a 252-unit multifamily residential development located at 1500 E. Parmer Lane, Austin, Texas. The owner of the development is Pedcor Investments, an Indiana limited partnership.

### **SCOPE**

A minimum of 101 units (40% of 252) must be occupied by “Qualified Tenants” with a total family income at or below 60% of Area Median Income (AMI). Additionally, a minimum of 227 units (90% of 252) must be occupied by “Eligible Tenants” whose income does not exceed 120% of AMI. Tenant incomes must be recertified annually per the Regulatory Agreement.

On April 7, 2017, staff initiated a compliance examination. The review focused on performance requirements set forth by the Regulatory Agreement dated March 1, 2015.

### **AUDIT FINDINGS**

We reviewed a random selection of 50 tenant files (20% of 252). All of the tenant files contained updated tenant income certifications and were in good order. Therefore, no findings were noted.

### **CONCLUSION AND RECOMMENDATIONS**

Staff request approval to invoice The Heights on Parmer a \$1250.00 examination fee.



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July 5, 2017

The Heights on Parmer Apartment Homes  
Attn: Robert Ibarra, Property Manager  
1500 E. Parmer Lane  
Austin, Texas 78753

**RE: 2017 Tenant File Compliance Audit**

Dear Mr. Ibarra:

On April 7, 2017, Travis County Housing Finance Corporation (TCHFC) conducted an on-site tenant file examination at The Heights on Parmer Apartment Homes. The purpose of the examination was to ensure compliance set forth in the Regulatory Agreement that *(a) 40% of the dwelling units are leased to tenants whose income does not exceed 60% of the Area Median Income "AMI" (referred as "Low Income Tenants") and (b) 90% of the dwelling units are leased to tenants whose income does not exceed 120% of AMI (referred to as "Eligible Tenants")*. Additionally, the Regulatory Agreement states that income certifications are required annually for all tenants.

We had no findings, and all tenant files are in compliance with the Regulatory Agreement.

Thank you for the courtesy you showed us during the on-site visit and for your dedication in maintaining compliance with the Regulatory Agreement. We do appreciate it and the professionalism and expertise you bring to the property. If there are any questions or concerns, please do not hesitate to contact us.

Very truly yours,

Andrea Shields  
Managing Director  
Travis County Corporations

cc: Board of Directors, Travis County Housing Finance Corporation  
Diana Ramirez, EDSI  
Brandon Buckman, Pedcor Management Corporation  
Lela Murch, Pedcor Management Corporation  
Debra Myers, Pedcor Management Corporation



**Travis County Housing Finance Corporation**

700 Lavaca, Suite 1560

Austin, Texas 78701

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[www.traviscountytx.gov/corporations/](http://www.traviscountytx.gov/corporations/)

**INVOICE**

July 5, 2017

The Heights on Parmer Apartment Homes  
Attn: Robert Ibarra, Property Manager  
1500 E. Parmer Lane  
Austin, Texas 78753

Please remit to the Travis County Housing Finance Corporation the reimbursable fees related to The Heights on Parmer Apartment Homes compliance examination for 2017 held on April 7, 2017.

**BALANCE DUE: \$ 1250.00**

**Please submit payments to:**

Travis County Housing Finance Corporation  
**Attn: Andrea Shields, Managing Director**  
700 Lavaca Street, Suite 1560  
Austin, Texas 78701

cc: Brandon Buckman, Pedcor Management Corporation  
Lela Murch, Pedcor Management Corporation  
Debra Myers, Pedcor Management Corporation



## Travis County Housing Finance Corporation

700 Lavaca, Suite 1560

Austin, Texas 78701

(512) 854-9116

[www.traviscountytx.gov/corporations/](http://www.traviscountytx.gov/corporations/)

DATE: July 5, 2017

TO: Board of Directors, Travis County Housing Finance Corporation

FROM: Andrea Shields, Managing Director

SUBJECT: Compliance Examination – Eagles Landing Apartments

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### **SUMMARY AND BACKGROUND INFORMATION**

On August 29, 2002, the Corporation issued \$10,725,000 in Multifamily Housing Revenue Bonds for Eagle's Landing Apartment Project. The total bond issue was comprised of two Multifamily Housing Review Bond series; Series 2002A - Senior Bonds and Series 2002B - Subordinate bonds. The Bonds were issued to finance the acquisition and construction of a 240-unit multi-family residential development located at 8000 Decker Lane, Austin, Texas. The owner of the development is Eagle's Landing Housing Partners, Ltd., a Texas limited partnership.

### **SCOPE**

A minimum of 96 units (40% of 240) must be occupied by "Qualifying Tenants" with a total family income at or below 60% of Area Median Income (AMI). Additionally, a minimum of 214 units (90% of 240) must be occupied by "Eligible Tenants" whose income does not exceed 120% of AMI. Tenant incomes must be recertified annually per the Regulatory Agreement.

Eagles Landing Apartments received 4% tax credits through the Texas Department of Housing and Community Affairs requiring the development to lease 100% of the units to households with incomes of 60% AMI or less.

On May 4, 2017, staff initiated a compliance examination. The audit focused on performance requirements set forth by the Regulatory Agreement dated August 2, 2002.

### **AUDIT FINDINGS**

We reviewed a random selection of 48 tenant files (20% of 240 units). There were seven tenant files found to be incomplete and missing administrative documents. Property management staff submitted the missing documents within a timely manner resolving all issues with tenant files. Therefore, no findings were noted and files continue to be in compliance with the Regulatory Agreement.

### **CONCLUSION AND RECOMENDATIONS**

Staff request approval to invoice Eagles Landing a \$500.00 examination fee.



## Travis County Housing Finance Corporation

700 Lavaca, Suite 1560

Austin, Texas 78701

(512) 854-9116

[www.traviscountytx.gov/corporations/](http://www.traviscountytx.gov/corporations/)

July 5, 2017

Eagles Landing Apartments  
Attn: Ms. Donna Wood, Property Manager  
8000 Decker Lane  
Austin, TX 78724

**RE: 2017 Tenant File Compliance Audit**

Dear Ms. Wood:

On May 4, 2017, Travis County Housing Finance Corporation (TCHFC) conducted an on-site tenant file examination at Eagles Landing Apartments. The purpose of the examination was to ensure compliance set forth in the Regulatory Agreement that (a) 40% of the dwelling units to tenants whose income does not exceed 60% of the Area Median Income "AMI" (referred to as "Low Income Tenants") and (b) 90% of the dwelling units to tenants whose income does not exceed 120% of AMI (referred to as "Eligible Tenants"). Additionally, the Regulatory Agreement states that income certifications are required annually for all tenants.

TCHFC Staff noted seven tenant files that were incomplete and missing administrative documents. Property management staff documented and submitted the missing documents within timely manner to TCHFC Staff. Therefore, no findings were noted and all files continue to be in compliance as set forth in the Regulatory Agreement.

Thank you for the courtesy you showed us during the on-site visit and for your dedication in maintaining compliance. We understand and appreciate that these recertifications represented a significant effort and a large time commitment for you and your staff. We do appreciate it and the professionalism and expertise you bring to the property. If there are any questions or concerns, please do not hesitate to contact us.

Very truly yours,

Andrea Shields  
Corporations Managing Director  
Travis County Corporations

cc: Board of Directors, Travis County Housing Finance Corporation  
Diana Ramirez, EDSI  
Cynthia Barber, NuRock Management, Inc.



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**INVOICE**

July 5, 2017

Eagles Landing Apartments

Attn: Ms. Donna Wood, Property Manager

8000 Decker Lane

Austin, TX 78724

Please remit to the Travis County Housing Finance Corporation the reimbursable fees related to the Eagles Landing Apartments compliance examination for 2017 held on May 4, 2017.

**BALANCE DUE: \$ 500.00**

**Please submit payments to:**

Travis County Housing Finance Corporation

**Attn: Andrea Shields, Managing Director**

700 Lavaca Street, Suite 1560

Austin, Texas 78701

cc: Cynthia Barber, NuRock Management, Inc.



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DATE: July 5, 2017

TO: Board of Directors, Travis County Housing Finance Corporation

FROM: Andrea Shields, Managing Director

SUBJECT: Compliance Examination – Argosy at Crestview Apartments

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### **SUMMARY AND BACKGROUND INFORMATION**

On March 1, 2012, the Corporation issued \$17,500,000 in Multifamily Housing Revenue Bonds for The Argosy at Crestview Apartments Project. The bonds were issued to finance the acquisition and rehabilitation of a 288-unit multifamily residential development located at 1003 Justin Lane, Austin, Texas. The owner of the development is ML CASA V, L.P., a Delaware limited partnership.

### **SCOPE**

A minimum of 58 units (20% of 288) must be occupied by “Low Income Tenants” with incomes of 50 percent of the Area Median Income (AMI) or less. A minimum of 144 units (50% of 288) must be occupied by “Moderate Income Tenants” with incomes of 80 percent of the AMI or less. Tenant incomes must be recertified annually per the Regulatory Agreement.

On May 6, 2016, we completed an onsite examination of the Argosy at Crestview Apartments. The audit focused on performance requirements set forth in the Regulatory Agreement and Declaration of Restrictive Covenants for the property.

### **AUDIT FINDINGS**

We reviewed a random selection of total of 58 tenant files (20% of 288 units). There were twelve tenant files found to be incomplete and missing administrative documents. Property management staff submitted the missing documents in a timely manner resolving all issues with tenant files. Therefore, no findings were noted and files continue to be in compliance with the Regulatory Agreement.

### **CONCLUSION AND RECOMMENDATIONS**

Staff request approval to invoice Argosy at Crestview a \$500.00 examination fee.



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July 5, 2017

Argosy at Crestview Apartments  
Attn: Ms. Mercedes Shaw, Property Manager  
1003 Justin Lane  
Austin, Texas 78757

**RE: 2017 Tenant File Compliance Audit**

Dear Ms. Shaw:

On May 19, 2017, Travis County Housing Finance Corporation (TCHFC) conducted an on-site tenant file examination at Argosy at Crestview Apartments. The purpose of the examination was to ensure compliance set forth in the Regulatory and the Land Use Restriction Agreement (LURA) that (a) 20% of the dwelling units are leased to tenants whose income does not exceed 50% of the Area Median Income "AMI" (referred as "Low Income Tenants") and (b) 50% of the dwelling units are leased to tenants whose income does not exceed 80% of AMI (referred to as "Moderate Income Tenants"). Additionally, the LURA states that income certifications are required annually for all tenants.

TCHFC Staff identified a twelve tenant files that were incomplete or missing administrative documents. Property management staff corrected and submitted missing documents in a timely manner resolving all issues with tenant files. Therefore, no findings were noted and Argosy at Crestview Apartments continues to be compliant with the terms set forth in the LURA.

Thank you for the courtesy you showed us during the on-site visit and for your dedication in maintaining compliance. If there are any questions or concerns, please do not hesitate to contact us.

Very truly yours,

Andrea Shields  
Corporations Managing Director  
Travis County Corporations

cc: Board of Directors, Travis County Housing Finance Corporation  
Diana Ramirez, EDSI  
Jaime Hearn, Pinnacle  
Tracy Slappey-Scott, Pinnacle



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**INVOICE**

July 5, 2017

Argosy at Crestview Apartments  
Attn: Ms. Mercedes Shaw, Property Manager  
1003 Justin Lane  
Austin, Texas 78757

Please remit to the Travis County Housing Finance Corporation the reimbursable fees related to the Argosy at Crestview Apartments compliance examination for 2017 held on May 19, 2017.

**BALANCE DUE: \$ 500.00**

**Please submit payments to:**

Travis County Housing Finance Corporation  
**Attn: Andrea Shields, Managing Director**  
700 Lavaca Street, Suite 1560  
Austin, Texas 78701

*Reminder: Effective January 1, 2018, new compliance fees will be \$25 per audited tenant file. As a result, the new compliance fee for Argosy at Crestview will be \$1450.00. Please note any subsequent compliance visits are also subject to the new fee structure.*

cc: Jaime Hearn, Pinnacle  
Tracy Slappey-Scott, Pinnacle



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DATE: July 5, 2017

TO: Board of Directors, Travis County Housing Finance Corporation

FROM: Andrea Shields, Managing Director

SUBJECT: Compliance Examination – Arboretum Oaks Apartments

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### **SUMMARY AND BACKGROUND INFORMATION**

On June 17, 1996, the Corporation issued \$10,310,000 in Refunding Bonds for Hamilton's Mark Project (now called Arboretum Oaks). The Bonds were originally issued on April 1, 1983, to finance the acquisition and construction of a 252-unit multi-family residential development located at 9617 Great Hills Dr. The owner of the Development is the CASA Group, LLC.

### **SCOPE**

A minimum of 50 units (20% of 252) must be occupied by "Lower-Income Tenants" with a total family income at or below 80% of Area Median Income (AMI). Additionally, a minimum of 227 units (90% of 252) must be occupied by "Eligible Tenants" whose income does not exceed 120% of AMI. Tenant incomes must be recertified annually per the Declaration of Restrictive Covenants.

On June 2, 2017, staff initiated a compliance examination. The audit focused on performance requirements set forth by the amended Declaration of Restrictive Covenants dated June 1, 1996.

### **AUDIT FINDINGS**

We reviewed a selection of 50 tenant files (20% of 252 units). There was one finding with a tenant file classified as "Lower-Income" that did not qualify as "Lower-Income." This file was discussed with property management staff during the on-site visit. Management staff would resolve the finding by ensuring next available unit would be leased to "Low-Income Tenant" and therefore, they would remain in compliance with the terms set in the Declaration of Restrictive Covenants.

### **CONCLUSION AND RECOMENDATIONS**

Staff request approval to invoice Arboretum Oaks Apartments a \$500.00 examination fee.



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July 5, 2017

Arboretum Oaks Apartments  
Attn: Ms. Alyson Smith, Property Manager  
9617 Great Hills Trail  
Austin, TX 78759

**RE: 2017 Tenant File Compliance Audit**

Dear Ms. Smith:

On June 2, 2017, Travis County Housing Finance Corporation (TCHFC) conducted an on-site tenant file examination at Arboretum Oaks Apartments. The purpose of the examination was to ensure compliance set forth in the Declaration of Restricted Covenants (a) *20% of the units are leased to tenants whose income does not exceed 80% of the Area Median Income "AMI" (referred to as "Low Income Tenants")* and (b) *90% of the units are leased to tenants whose income does not exceed 80% of AMI (referred to as "Eligible Tenants")*. Additionally, the Declaration of Restrictive Covenants states that income certifications are required annually for all tenants.

TCHFC Staff did note one finding of an over-income household. This file was discussed with property management staff during the on-site visit. Management staff would resolve the finding by ensuring next available unit would be leased to "Low-Income Tenant" and therefore, they would remain in compliance with the terms set in the Declaration of Restrictive Covenants.

Thank you for the courtesy you showed us during the on-site visit and for your dedication in maintaining compliance. If there are any questions or concerns, please do not hesitate to contact us.

Very truly yours,

Andrea Shields  
Corporations Managing Director  
Travis County Corporations

cc: Board of Directors, Travis County Housing Finance Corporation  
Diana Ramirez, EDSI  
Jaime Hearn, Pinnacle  
Tracy Slappey-Scott, Pinnacle



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**INVOICE**

July 5, 2017

Arboretum Oaks Apartments  
Attn: Ms. Alyson Smith, Property Manager  
9617 Great Hills Trail  
Austin, TX 78759

Please remit to the Travis County Housing Finance Corporation the reimbursable fees related to the Arboretum Oaks Apartments compliance examination for 2017 held on June 2, 2017.

**BALANCE DUE: \$ 500.00**

**Please submit payments to:**

Travis County Housing Finance Corporation  
**Attn: Andrea Shields, Managing Director**  
700 Lavaca Street, Suite 1560  
Austin, Texas 78701

cc: Jaime Hearn, Pinnacle  
Tracy Slappey-Scott, Pinnacle



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DATE: July 5, 2017

TO: Board of Directors, Travis County Housing Finance Corporation

FROM: Andrea Shields, Managing Director

SUBJECT: Compliance Examination – Rosemont at Heather Bend

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### **SUMMARY AND BACKGROUND INFORMATION**

On October 1, 2002, the Travis County Housing Finance Corporation (“Corporation”) issued a total of \$15,000,000 in two Revenue Bond issues for the construction of a 260-unit multifamily residential development. The development was named Heatherwilde Apartments but has since changed names to Rosemont at Heather Bend located on 16701 Heatherwilde Boulevard, Pflugerville, Texas 78660. The owner is Heatherwilde Villas Housing Limited Partnership.

### **SCOPE**

A minimum of 102 units (40% of 256) must be occupied by “Low Income Tenants” with a total family income at or below 60% of Area Median Income (AMI). Additionally, a minimum 230 units (90% of 260) must be occupied by “Eligible Tenants” whose income does not exceed 120% of AMI. Tenant incomes must be recertified annually per the Regulatory Agreement.

Rosemont at Heather Bend received 4% tax credits through the Texas Department of Housing and Community Affairs requiring the development to lease 100% of the units to “Low Income Tenants”.

On June 23, 2017, staff initiated a compliance examination. The review focused on performance requirements set forth by the Regulatory Agreement dated October 1, 2002.

### **AUDIT FINDINGS**

We reviewed a random selection of total of 51 tenant files (20% of 256 units). Overall, the tenant files appeared to be maintained in satisfactory condition. There were no findings to report and files were in compliance with the Regulatory Agreement.

### **CONCLUSION AND RECOMENDATIONS**

Staff request approval to invoice Rosemont at Heather Bend a \$500.00 examination fee.



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July 5, 2017

Rosemont at Heather Bend  
Attn: Maria Rodriguez, Property Manager  
16701 N. Heatherwilde Blvd.  
Pflugerville, TX 78660

**RE: 2017 Tenant File Compliance Audit**

Dear Ms. Rodriguez:

On June 23, 2017, Travis County Housing Finance Corporation (TCHFC) conducted an on-site tenant file examination at Rosemont at Heather Bend. The purpose of the examination was to ensure compliance set forth in the Regulatory Agreement that *(a) 40% of the dwelling units to tenants whose income does not exceed 60% of the Area Median Income "AMI" (referred as "Low Income Tenants") and (b) 90% of the dwelling units to tenants whose income does not exceed 120% of AMI (referred to as "Eligible Tenants")*. Additionally, the Regulatory Agreement states that income certifications are required annually for all tenants.

We had no findings, and all tenant files are in compliance with the Regulatory Agreement.

Thank you for the courtesy you showed us during the on-site visit and for your dedication in maintaining compliance with the Regulatory Agreement. We do appreciate it and the professionalism and expertise you bring to the property. If there are any questions or concerns, please do not hesitate to contact us.

Very truly yours,

Andrea Shields  
Managing Director  
Travis County Corporations

cc: Board of Directors, Travis County Housing Finance Corporation  
Diana Ramirez, EDSI  
Jade Burgoyne, Pinnacle  
Tracy Slappey-Scott, Pinnacle



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**INVOICE**

July 5, 2017

Rosemont at Heather Bend  
Attn: Ms. Maria Rodriguez, Property Manager  
16701 N. Heatherwilde Blvd.  
Pflugerville, TX 78660

Please remit to the Travis County Housing Finance Corporation the reimbursable fees related to the Rosemont at Heather Bend compliance examination for 2017 held on June 23, 2017.

**BALANCE DUE: \$ 500.00**

**Please submit payments to:**

Travis County Housing Finance Corporation  
**Attn: Andrea Shields, Managing Director**  
700 Lavaca Street, Suite 1560  
Austin, Texas 78701

cc: Jade Burgoyne, Pinnacle  
Tracy Slappey-Scott, Pinnacle